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Caffeic acid production from glucose using metabolically engineered *Escherichia coli*

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Abstract

Caffeic acid (3,4-dihydroxycinnamic acid) is a precursor for high-valued compounds with anticancer,

antiviral activities, and anti-inflammatory making it an important substance in the food additive,

cosmetics, and pharmaceutical industries. Here, we developed an engineered *Escherichia coli* strain

- capable of directly producing high levels of caffeic acid from glucose. Tyrosine ammonia-lyase from
- *Rhodotorula glutinis* (RgTAL) and *p*-coumaric acid 3-hydroxylase from *Saccharothrix espanaensis*
- (SeC3H) were expressed. Next, feedback-resistant chorismate mutase/prephenate dehydrogenase, was
- 22 introduced to promote L-tyrosine synthesis. This engineered strain CA3 produced 1.58 g/L of caffeic
- acid from glucose without tyrosine supplemented to the medium. Furthermore, to reduce *p*-coumaric
- acid accumulation, 4-hydroxyphenylacetate 3-hydroxylase from *Pseudomonas aeruginosa* (PaHpaBC)
- was introduced. Finally, an engineered strain CA8 directly produced 6.17 g/L of caffeic acid from
- glucose using a jar fermenter. The *E. coli* developed in this study would be helpful as a chassis strain
- to produce value-added caffeic acid-derivatives.
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1. Introduction

Caffeic acid (3,4-dihydroxycinnamic acid) is a type of phenylpropanoid, a naturally occurring organic compound of plant origin. Caffeic acid has pharmacological activities, such as antioxidant [1], antiviral [2], anticancer [3], and antidepressant [4] effects, making it an important substance in the food additive, cosmetics, and pharmaceutical industries. The most common method of its production is extraction, mainly from plants, but the yield is low due to low accumulation in plant cells [5-7], also separation and purification are complicated and inefficient [8]. Therefore, as demand increases, the establishment of more efficient methods is desired, and biosynthesis via metabolically engineered microorganisms is attracting interest as a promising alternative [9]. *Escherichia coli* is widely used to produce many kinds of chemical compounds. Figure 1 shows the engineered metabolic pathway of caffeic acid biosynthesis in *E. coli*. Caffeic acid can be synthesized

Keywords: caffeic acid, *E. coli*, metabolic engineering, shikimate pathway, *p*-coumaric acid

from tyrosine via a two-step reaction by tyrosine ammonia-lyase and *p*-coumaric acid 3-hydroxylase

(C3H). In plants, cinnamic acid is formed from L-phenylalanine by phenylalanine ammonia-lyase,

followed by two cytochrome P450 monooxygenases, cinnamic acid 4-hydroxylase (C4H) and *p*-

coumaric acid 3-hydroxylase (C3H), which in turn produce *p*-coumaric acid, before it is converted to

caffeic acid [10]. However, cytochrome P450 monooxygenases are difficult to express in several

microbial systems [11-14]. In microbial systems, sam5-encoded SeC3H (from *Saccharothrix*

espanaensis) can be used, allowing caffeic acid production in *Escherichia coli* [15,16]. Other effective

hydroxylase complexes 4HPA3H for caffeic acid synthesis are EcHpaBC (from *Escherichia coli*) [11,

17], TtHpaBC (from *Thermus thermophilus HB8*) [18], RpHpaBC (from *Rhodopseudomonas*

palustris) and PaHpaBC (from *Pseudomonas aeruginosa*) [19,20]. They have been reported, to show a

high affinity for *p*-coumaric acid.

Previously, studies have been conducted to synthesize caffeic acid using the precursors *p*-coumaric

acid and L-tyrosine as substrates [16,21]. However, caffeic acid synthesis relying on direct precursor

supply is undesirable due to high production costs. Therefore, a production process that allows

utilization of a simple carbon source such as glucose has been developed [12, 16]. The reported caffeic

- acid titer using glucose as a carbon source is 1.03 g/L in *Escherichia coli* [22], 7.92 g/L in a 5-L
- fermenter using *Escherichia coli* [23] and 5.5 g/L in *Saccharomyces cerevisiae* [24, 25].
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This study constructed caffeic acid-producing *E. coli* strain using metabolically engineering techniques. We previously developed a CFT1 strain [26,28], useful for aromatic compound biosynthesis via the shikimate (SHK) pathway. Therefore, it was selected as the parent strain. To construct the caffeic acid synthesis pathway, RgTAL (from *Rhodotorula glutinis*) and SeC3H (from *Saccharothrix espanaensis*) were expressed using plasmids. Next, to construct a series of processes to 67 produce caffeic acid from glucose, $\frac{f v A^{f b r}}{c}$, encoding a feedback-resistant chorismate mutase/prephenate dehydrogenase, was introduced. Constructed tyrosine overproducing strain CA3 69 produced 1.58 ± 0.09 g/L of caffeic acid at a yield of 0.08 ± 0.00 g/g-glucose. Strain CA8, in which PaHpaC (from *Pseudomonas aeruginosa*) was additionally introduced for pathway enhancement, 71 produced 3.61 ± 0.10 g/L of caffeic acid at a yield of 0.19 ± 0.01 g/g-glucose, which significantly 72 increased productivity. Furthermore, strain CA8 was cultured in a bioreactor and produced 6.17 ± 0.19 g/L of caffeic acid in 90 hours of culture. The production volume and yield obtained in this study are the highest values reported in previous caffeic acid biosynthesis studies. They may even be applicable in studies involving ferulic acid and L-dopa, which are caffeic acid derivatives.

2. Materials and Methods

2.1 Strains and plasmids construction

The strains and plasmids used in this study are listed in Table 1. ATCC31882 and its derivative strains were used for caffeic acid production. NovaBlue competent cells (Novagen, Cambridge, MA, USA) were used for gene cloning. Polymerase chain reaction was performed using KOD FX Neo (TOYOBO, Osaka, Japan). Custom DNA oligonucleotide primers were synthesized by Invitrogen Custom DNA Oligos (Thermo Fisher Scientific, Tokyo, Japan) and are listed in Table S1. Codon-optimized foreign gene fragments RgTAL, SeC3H, PaHpaB, and PaHpaC were synthesized by

86 Plasmids named pZE12-RS, pTrcHisB-RS, pHLA-RS, and pSAK-RS were prepared. Briefly, RgTAL 87 gene fragment was amplified by PCR using the primer pair pZS-RgTAL Fw and pZS-RgTAL Rv 88 with the RgTAL synthetic gene as a template. SeC3H gene fragment was amplified by PCR using the 89 primer pair pZS-SeC3H_Fw and pZS-SeC3H_Rv with SeC3H synthetic gene as a template. The 90 amplified fragments were cloned between the KpnI/HindIII site of pZE12-MCS or pSAK [27] and the 91 resulting plasmids were designated pZE12-RS or pSAK-RS. RgTAL–SeC3H gene fragment was 92 amplified by PCR using the primer pairs pTrc-RgTAL_Fw and pTrc-SeC3H_Rv, pHLA-RgTAL_Fw 93 and pHLA-SeC3H_Rv with pZE12-RS as a template. The amplified fragment was cloned between the 94 *Bgl*II/*Eco*RⅠ site of pTrcHisB or between the *Bgl*II/*Xho*Ⅰ site of pHLA [28] and the resulting plasmids 95 were designated pTrcHisB-RS or pHLA-RS. 96 Plasmids named pSAK-BC, pSAK-B, and pSAK-C were prepared as follows. Briefly, PaHpaB gene 97 fragment was amplified by PCR using the primer pair pS-PaHpaB_Fw and pS-PaHpaB_Rv with 98 PaHpaB synthetic gene as a template. PaHpaC gene fragment was amplified by PCR using the primer 99 pair pS-PaHpaC_Fw and pS-PaHpaC_Rv with PaHpaC synthetic gene as a template. The plasmids 100 obtained by cloning the PaHpaBC, PaHpaB, and PaHpaC fragments between the KpnI/HindIII sites of 101 pSAK, respectively, were designated pSAK-BC, pSAK-B, and pSAK-C, respectively. 102 pSAK-aroG^{fbr} and pSAK-tktA were prepared as follows. Briefly, *aroG^{fbr}* was amplified by PCR using 103 the primer pair pS-aroG^{fbr} Fw and pS-aroG^{fbr} Rv with $a\alpha G^{b\gamma}$ synthetic gene as a template. *tktA* was 104 amplified by PCR using the primer pair pS-tktA_Fw and pS-tktA_Rv with ATCC31882 genomic DNA 105 as a template. The plasmids obtained by cloning the *aroG^{fbr}* or *tktA* fragments between the 106 KpnI/HindIII sites of pSAK were designated pSAK-aro G^f or pSAK-tktA. 107 A plasmid for *pheA* gene disruption named pTΔpheA was constructed as follows. Briefly, pTargetF 108 [25] served as a template and was amplified by PCR of linearized fragments using the primer pairs 109 del pheA.N20 Fw and del pheA.N20 Rv. The amplified fragments were self-ligated, and the 110 resulting plasmid was designated pTFΔpheA. The upstream and downstream DNA sequences of *pheA* 111 were amplified using the primer pairs del_pheA.UP_Fw and del_pheA.UP_Rv, del_pheA.DOWN_Fw 112 and del pheA.DOWN Rv with ATCC31882 genome DNA as template. The amplified fragments were

139 centrifuged at 10000 rpm for 20 min, and the supernatant was analyzed. For bioreactor cultures, 5 mL

140 of the pre-cultures in LB medium were transferred into flasks with 20mL of fresh medium and 141 incubated at 37°C for an additional 8 h with shaking at 220 rpm. The pre-culture solution was then 142 inoculated into a bioreactor containing 500 mL of M9Y medium to an OD₆₀₀ of 0.10, incubated at 143 37°C. The pH was maintained at 7.00 by the automated addition of 28% (v/v) NH₃. Foam formation 144 was suppressed using KM-70 (Shin-Etsu Chemical, Co., Ltd., Tokyo, Japan). Dissolved oxygen was 145 maintained at $>20\%$ by controlling agitation. The solution (300 μ L) was collected every predetermined 146 time up to 96 h.

147

148 2.4 Analytical methods

149 Cell proliferation was evaluated using a UVmini-1240 spectrophotometer (Shimadzu Corporation, 150 Kyoto, Japan) at an optical density of OD600. For glucose analysis, a Prominence HPLC System 151 (Shimadzu Corporation) equipped with a Shodex SUGAR KS-801 column (particle size 6 μ m, L \times 152 I.D. 300×8.0 mm, Shodex) was used. The HPLC profile was monitored using a refractive index 153 detector. Caffeic acid and *p*-coumaric acid were analyzed using HPLC equipped with a MSII column 154 (particle size 5 μ m, L × I.D. 250 × 4.6 mm, Nacalai Tesque). A two-component solvent system was 155 used. Solvent A was 0.2% phosphate buffer, and solvent B was methanol. The flow rate of the mobile 156 phase was 1.0 mL min⁻¹ and the column was maintained at 40° C. The gradient was started with an 157 80:20 mixture of A and B (0–15 min), shifted to a 50:50 mixture of A and B (15–20 min), and back to 158 an 80:20 mixture of A and B (20–25 min). HPLC profiles were obtained using a 240 nm UV–VIS 159 detector. L-Tyrosine was analyzed using HPLC equipped with a PBr column (particle size 5 μ m, L \times 160 I.D. 250 × 4.6 mm, Nacalai Tesque). A two-component solvent system was used. Solvent A was 0.2% 161 phosphate buffer, and solvent B was methanol. The flow rate of the mobile phase was 1.0 mL min⁻¹ 162 and the column was maintained at 40°C. The gradient was started with 90:10 mixture of A and B (0–6 163 min), shifted to a 50:50 mixture of A and B (6–8 min), and back to 90:10 mixture of A and B (11–13 164 min). HPLC profiles were obtained using a 240 nm (caffeic acid and *p*-coumaric acid) or 220 nm (L-165 tyrosine) UV–VIS detector. The retention time of caffeic acid, *p*-coumaric acid and L-tyrosine were 166 4.0 min, 5.0 min and 10.0 min, respectively.

3. Results and Discussion

3.1 Construction of a caffeic acid production pathway in *E coli*

The previously developed *E. coli* CFT1 strain derived from ATCC 31882 was selected as the parent strain for this study. The endogenous phosphotransferase system (PTS) of CFT1 was replaced with a galactose permease/glucokinase system (GalP/Glk system). This modification increased intracellular phosphoenolpyruvate (PEP) utilization, an important factor in aromatic compound biosynthesis. First, we generated the CA0 strain based on CFT1 by disrupting *pheA*, which encodes prephenate dehydratase. PheA catalyzes competitive reactions in caffeic acid synthesis such as phenylalanine production. Subsequently, RgTAL and SeC3H were expressed in plasmids to construct a caffeic acid production pathway. Next, RgTAL and SeC3H were cloned into pZE12, pTrcHisB, pHLA, and pSAK vectors to create plasmids pZE12-RS, pTrcHisB-RS, pHLA-RS, and pSAK-RS. pZE12, pTrcHisB and pHLA are high-copy plasmids carrying LlacO1 promoter, Trc promoter and HCE promoter. pSAK is a low-copy plasmid carrying A1lacO1 promoter. These plasmids were respectively transformed into CA0. The caffeic acid conversion capacity of each strain was evaluated by adding 0.5 g/L of L-tyrosine to the medium. The results of the cultivation are shown in Figure 2. A strain CA0 harboring 183 pZE12-RS showed the highest caffeic acid conversion capacity $(0.47 \pm 0.02 \text{ g/L})$ from L-tyrosine. The amount of p-coumaric acid was less than 0.1 g/L in all strains (data not shown). It indicates LlacO1 promoter was suitable for expression of RgTAL and SeC3H. Thus, pZE12-RS was selected as the plasmid for RgTAL and SeC3H expression. All of the following experiments were performed without 187 the addition of tyrosine.

189 3.2 Production from glucose by $\frac{t}{r}A^{f}$ overexpression

Caffeic acid production using the strain CA0 harboring pZE12-RS was only observed when L-tyrosine

was added. This strain did not produce caffeic acid from glucose (data not shown). This suggests that

the amount of intracellular L-tyrosine must be increased to produce caffeic acid from glucose.

193 Therefore, to promote L-tyrosine synthesis, *tyrA^{fbr}*, encoding feedback-resistant chorismate

mutase/prephenate dehydrogenase, was introduced into the CA0 strain in three ways. A low-copy

195 plasmid for tvrA^{fbr} expression under the Trc promoter (pSAK-Ptrc-tyrA^{fbr}) control, was constructed. A 196 CA0 strain harboring pZE12-RS and pSAK-Ptrc-tyrA^{fbr} was named CA1. A strain in which *tyrA^{fbr}* was integrated into the *trpE* locus of the genome under the Trc promoter named CA2 and a strain in which *tyrA^{fbr}* was introduced into the same locus under the A1lacO1 promoter was named CA3. Caffeic acid production after 48 h using glucose as a sole carbon source is shown in Figure 3. All strains introduced 200 with *tyrA^{tbr}* successfully produced caffeic acid from glucose. Particularly, CA3 produced 1.58 ± 0.09 g/L, approximately 1.3-times more than strains CA1 and CA2. Therefore, CA3 was selected as the caffeic acid producing strain.

204 3.3 Enhancement of the caffeic acid production pathway by PaHpaB and PaHpaC overexpression 205 After CA3 cultivation, the accumulation of *p*-coumaric acid (1.20 \pm 0.10 g/L), caffeic acid precursor, was observed (Figure 3). This suggests that the reaction to convert *p*-coumaric acid to caffeic acid is the bottleneck of caffeic acid production. We attempted to enhance the reaction that converts *p*-coumaric acid to caffeic acid, however, additional expression of SeC3H could not improve caffeic acid production (data not shown). Other than SeC3H (from *Saccharothrix espanaensis*), EcHpaBC (from *Escherichia coli*) and TtHpaBC (from *Thermus thermophilus HB8*) have been reported to catalyze this reaction. EcHpaBC has high activity than TtHpaBC [18], and EcHpaBC-mediated caffeic acid producing pathway produced 12.1 mg/L of caffeic acid from glucose [11]. When yeast was used as a host, 569.0 mg/mL of caffeic acid was produced [24]. We focused on PaHpaBC (from *Pseudomonas aeruginosa*), which showed high oxidation activity [19], but they have not previously been used for the production of caffeic acid from glucose. Therefore, we introduced them into CA3. A CA3 strain expressing PaHpaBC and using plasmid pSAK-PaBC was named CA4. A strain CA5, with PaHpaB introduced into the genome of CA3 under the control of the A1lacO1 promoter, was also constructed. A strain CA6 is a CA3 strain carrying plasmid pSAK-PaB for PaHpaB expression only. Similarly, a CA7 strain was constructed by introducing PaHpaC in its genome under the control of the A1lacO1 promoter, and a CA8 strain carries a plasmid pSAK-PaC for HpaC expression. The results of the culture are shown in Figure 4. CA4, which has PaHpaBC introduced as a complex, showed a slight production decrease, while CA5-CA8, which had PaHpaB/PaHpaC independently introduced, showed

decreased *p*-coumaric acid accumulation and increased caffeic acid production. Particularly, CA8 224 produced 3.61 ± 0.09 g/L of caffeic acid, approximately 2.3-times more than CA3. CA7 produced 3.11 g/L of caffeic acid, which is higher than CA6 (1.88 g/L) and CA5 (1.91 g/L). The accumulation of *p*-226 coumaric acid were less than 0.6 g/L among all strains (Figure 4) and no L-tyrosine was detected in 227 the medium (data not shown). Strains CA7 and CA8 overexpressed PaHpaC and CA5 and CA6 228 overexpressed PaHpaB. SeC3H is a single-component FADH₂-utilizing hydroxylases and FAD is regenerated such as a flavin reductase in *E. coli* [32]. PaHpaBC are a two-component flavin-dependent monooxygenase consists of reductase (PaHpaC) and oxygenase (PaHpaB) [33]. Generally, 231 optimization of FADH₂ transfer within or between flavin-dependent enzymes is important to decrease the extravagant consumption of reducing agents NADH. From the Figure 4, the enhancement of reduced flavin supply (i.e. PaHpaC overexpression) improved caffeic acid production. 3.4 Enhancement of upstream pathways related to E4P/PEP After caffeic acid biosynthesis pathway was established in *E. coli* through metabolic engineering of

the downstream pathway after chorismic acid, we focused on enhancing the upstream pathway to achieve even higher caffeic acid production. The methods of shikimate pathway enhancement have been reported previously [27]. The first step of the SHK pathway is the condensation of erythrose 4- phosphate (E4P) and PEP to produce 3-deoxy-d-arabinoheptulosonic acid 7-phosphate (DAHP) by the action of DAHP synthase. DAHP synthase of *E. coli* is composed of three isozymes, AroG, AroF, and AroH, whose activity is strictly regulated by allosteric regulation and transcriptional repression [35]. 243 Therefore, to enhance DAHP synthesis response, *aroG^{fbr}*, encoding feedback-resistant AroG, was introduced into CA8 to create a CA9 strain. Furthermore, the CA8 strain shortened the intermediate substrates, E4P and/or PEP. Therefore, to promote E4P supply, the CA10 strain was generated by introducing *tktA*, which encodes a transketolase that catalyzes the E4P synthesis reaction, into CA8. In a report, PEP accumulation can be effectively controlled by the inactivation of pyruvate kinase I (*pykF*)/pyruvate kinase II (*pykA*), which catalyzes the reaction that converts PEP to pyruvate [35, 36]. Therefore, we generated a CA11 strain, which had *pykF*/*pykA* disrupted. Since the inactivation of 250 pyruvate kinase I/pyruvate kinase II weakens the TCA cycle and affects bacterial growth, 2 g/L of

- sodium pyruvate was added to the culture medium. The results of the cultivation are shown in Figure
- 5. Caffeic acid production was almost at similar levels among these strains, suggesting that E4P and
- PEP supply is not a limiting factor in caffeic acid production from glucose.
-
- 3.5 Caffeic acid production using a jar fermenter
- The CA8 strain was cultured in a jar fermenter to demonstrate the potential for scaled-up production for industrialization. Modified M9Y medium with 50 g/L glucose was used. Figure 6 shows the culture 258 profiles in the jar fermenter. Caffeic acid production using jar fermenter was 6.17 ± 0.19 g/L after 90 h cultivation, which is the highest titer from glucose reported to date. Almost all glucose was consumed 260 during caffeic acid production. Less than 0.6 g/L of p-coumaric acid accumulated, but it was consumed during the cultivation. These results indicates that the caffeic acid-producing strains developed in this study are effective and have the potential to be scaled-up for industrialization.
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4. Conclusion

We succeeded in constructing a caffeic acid-producing pathway from glucose in *E. coli* by metabolic engineering. We demonstrated that enhancement of PaHpaC expression effectively increased caffeic 267 acid production. Using a jar fermenter, we succeeded in producing 6.17 g/L caffeic acid, the highest value obtained from glucose as a carbon source using *E. coli*. We believe that establishing the caffeic acid production process can serve as an effective platform technology for the synthesis of derivatives of other shikimate pathway intermediates.

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Conflicts of interest

The authors declare no commercial or financial conflict of interest.

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- Kosuke Sakae: Conceptualization, Methodology, Investigation, Writing–Original Draft. Daisuke
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- Shuhei Noda: Conceptualization, Methodology, Writing–Review & Editing. Tsutomu Tanaka:
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Figures

Figure 1. Metabolic engineering of caffeic acid producing *E. coli*. The blue X indicates disruption of the *ptsH*, *ptsI*, *trpE* and *pheA* genes. Red or orange shows genes involved in caffeic acid synthesis overexpressed by plasmid or genomic integration. G6P, glucose 6-phosphate; PEP, phosphoenolpyruvate; E4P, erythrose 4-phosphate; DAHP, 3-deoxy-d-arabinoheptulosonic acid 7- phosphate; PP pathway, pentose–phosphate pathway; SHK pathway, shikimate pathway; RgTAL, tyrosine ammonia-lyase from *Rhodotorula glutinis*; SeC3H, *p*-coumaric acid 3-hydroxylase from *Saccharothrix espanaensis*; PaHpaBC, hydroxylase complexes 4HPA3H from *Pseudomonas* 412 *aeruginosa*; *tyrA^{fbr}*, feedback-resistant chorismate mutase/prephenate dehydrogenase; *galP*, d-galactose transporter; *glk*, glucokinase; *ptsH*, phosphocarrier protein HPr; *ptsI*, phosphoenolpyruvate-protein phosphotransferase; *pykF*, pyruvate kinaseⅠ; *pykA*, pyruvate kinaseⅡ; *tktA*, transketolase; *aroG^{fbr}*, feedback-resistant 3-deoxy-7-phosphoheptulonate synthase; *trpE*, anthranilate synthase component I; *pheA*, chorismate mutase/prephenate dehydratase.

419 **Figure 2.** Caffeic acid production after 48 h of cultivation in M9Y medium containing 0.5 g/L L-

420 tyrosine and 20 g/L glucose using the strains harboring plasmid pZE12-RS, pTrcHisB-RS, pHLA-RS,

421 or pSAK-RS. The data shown are as the means and standard deviations of three independent

422 experiments.

423

424 **Figure 3.** Caffeic acid production after 48 h of cultivation in M9Y medium containing 20 g/L glucose 425 using strains CA1, CA2, and CA3. The data shown are as the means and standard deviations of three 426 independent experiments

429 **Figure 4.** Caffeic acid production after 48 h of cultivation in M9Y medium containing 20 g/L glucose

431 independent experiments.

433 **Figure 5.** Caffeic acid production after 48 h of cultivation in M9Y medium containing 20 g/L glucose 434 using the strains CA8-CA11. The data shown are as the means and standard deviations of three 435 independent experiments

437

438 **Figure 6.** CA8 culture profiles in a jar fermenter. Blue squares, green triangles, light-brown and dark-439 brown symbols indicate cell growth, glucose concentration, *p*-coumaric acid concentration and caffeic 440 acid concentration, respectively. The data shown are as the means and standard deviations of three 441 independent experiments.

443 **Table 1.** Strains and plasmids used in this study.

445 **Graphical abstract**

