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(Citation)

Archives of Orthopaedic and Trauma Surgery, 143(2):977-985

(Issue Date)

2022-04-01

(Resource Type)

journal article

(Version)

Accepted Manuscript

(Rights)

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(URL)

<https://hdl.handle.net/20.500.14094/0100483339>



1 **Risk factors of residual pivot-shift after anatomic double bundle anterior cruciate ligament**
2 **reconstruction**

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15

16 **Acknowledgements**

17 We appreciate Editage, (Cactus Communications) for the professional English proof.

18

19 **Conflict of Interest:**

20 The authors declare that they have no conflict of interest.

21 **Funding:**

22 There is no funding source.

23 **Ethical approval:**

24 The study was performed in accordance with the ethical standards of the institutional review board of our

25 hospital (ID No. B190055).

26 **Informed consent:**

27 Informed consent was obtained from all the participants.

Risk factors of residual pivot-shift after anatomic double bundle anterior cruciate ligament reconstruction

1 **Abstract**

2 Introduction: Although anterior cruciate ligament reconstruction (ACLR) is considered a successful
3 procedure, residual pivot-shift after surgery remains to be solved. The purpose of this study was to
4 comprehensively evaluate the risk factors of residual pivot-shift after anatomic double-bundle (DB)-ACLR.

5 Materials and Methods: A total of 164 patients who underwent primary anatomic DB-ACLR between
6 January 2014 and December 2019 and screw removal after the index ACLR in our hospital were included
7 in this retrospective case-control study. The manual pivot-shift test was performed under general anesthesia
8 during screw removal surgery, and patients with grade 1 or higher pivot-shift were classified as the positive
9 pivot-shift group, and those with grade 0 were defined as the negative pivot-shift group. Univariate and
10 logistic regression analyses were performed to identify the factors associated with postoperative residual
11 pivot-shift. Assessment included sex, age, time to surgery, preoperative Tegner activity scale, preoperative
12 pivot-shift grade, preoperative anterior tibial translation by the KT-2000 arthrometer measurement,
13 meniscus injury and its surgical procedure, **knee hyperextension**, cartilage damage, Second fracture, medial
14 and lateral posterior tibial slope, lateral – medial slope asymmetry, participation in pivoting sport/activity
15 at the time of injury, and return to sports at postoperative one year. Line

16 Results: Postoperative positive pivot-shift was observed in 14 (8.5%) of **164** patients. The KT-2000
17 measurement at 1-year postoperatively was significantly higher in the residual pivot-shift positive group
18 than in the negative group ($P < 0.05$). Logistic regression analysis revealed that patients < 20 years of age

19 [P < 0.05, odds ratio (OR): 6.1)], preoperative pivot-shift grade (P < 0.05, OR: 4.4), and hyperextended
20 knee (P < 0.05, OR: 11.8) were risk factors of postoperative pivot-shift. There were no statistically
21 significant differences between other variables.

22 Conclusions: Patients < 20 years of age, with high-grade preoperative pivot-shift, or hyperextended knees
23 had a higher risk of residual postoperative pivot-shift.

24 **Keywords:** anterior cruciate ligament reconstruction; double bundle; **residual pivot-shift**; risk factor;
25 hyperextension of the knee

26

27 **Introduction**

28 Anterior cruciate ligament reconstruction (ACLR) is considered a successful surgical procedure in the
29 treatment of patients with anterior cruciate ligament (ACL) injuries. However, residual anterolateral
30 rotatory instability after ACLR persists. The pivot-shift test is one of the most specific clinical assessments
31 of pathologic anterolateral rotatory knee instability after ACL injury when performed in patients under
32 anesthesia [1]. It has been reported that postoperative residual pivot-shift was associated with poor
33 subjective symptoms and clinical outcomes, and it was suggested as a cause of the early progression of
34 osteoarthritis (OA) of the knee [2–4]. Therefore, it is important to manage postoperative residual pivot-shift
35 to improve outcomes after ACLR.

36 In terms of surgical procedures, previous biomechanical and clinical studies have reported that double-
37 bundle (DB)-ACLR provided better knee stability and pivot-shift control compared with single-bundle
38 (SB)-ACLR [5–11], while other studies reported that clinical outcomes were not significantly different
39 between SB-ACLR and DB-ACLR [5, 12, 13]. Despite the biomechanical advantages of DB-ACLR in
40 controlling the pivot-shift phenomenon, residual pivot-shift was still observed in approximately 10%–20%
41 of the knees after DB-ACLR in previous reports [6, 9, 14].

42 Previous studies have reported various factors associated with residual pivot-shift after ACLR, such as
43 younger age [15, 16], medial and lateral meniscus tears [15, 17, 18], concomitant grade 2 medial collateral
44 (MCL) injury [19], hyperextension of the knee [20], preoperative large pivot-shift phenomenon [18, 20–

45 22], and period from injury to surgery [18, 19, 23]. However, surgical procedures were not consistent in the
46 previous literature, and few studies have comprehensively examined the risk factors of postoperative
47 residual pivot-shift after anatomic DB-ACLR.

48 Therefore, the purpose of this study was to investigate the risk factors of residual pivot-shift after anatomic
49 DB-ACLR in a single center. It was hypothesized that risk factors of residual pivot-shift after DB-ACLR
50 could be identified.

51

52 **Materials and methods**

53 **Patient selection**

54 The study was performed in accordance with the ethical standards of the institutional review board of our
55 hospital (ID No. B190055). Informed consent was obtained from all the participants. Patients who
56 underwent DB-ACLR between January 2014 and December 2019, and underwent screw removal after the
57 index ACLR in our hospital were retrospectively examined.

58 Patients were excluded if they had prior ACL injury, posterior cruciate injury, or meniscus injury in the
59 ipsilateral or contralateral knee, concomitant collateral ligament injuries (grade 2 or 3) [24], reinjuries or
60 contralateral injuries before screw removal, no screw removal, or incomplete data.

61 A total of 318 patients underwent DB-ACLR during the study period. After the exclusion process, 164
62 patients (75 males and 89 females, 24.6 ± 10.3 years old) were selected and included in this study (Figure

63 1).

64 **Surgical procedure**

65 Patients were treated according to the clinical standard of care in the institution. After ACL injury was
66 diagnosed clinically, with confirmation by MRI, ACL reconstruction was scheduled and then performed
67 using an anatomic reconstruction technique. DB-ACLR was performed using hamstring tendon autografts.
68 Briefly, the semitendinosus alone or both semitendinosus and gracilis tendons were harvested for the ACL
69 graft. The femoral and tibial bone tunnels were created within the **original attachments** of the anteromedial
70 bundle (AMB) and posterolateral bundle (PLB). Femoral tunnels were created in an inside-out fashion
71 through far anteromedial portal or outside-in fashion using a drill guide system (ACUFEX PINPOINT
72 Anatomic ACL drill guide system, Smith & Nephew Inc., Andover, MA). The suspensory buttons and a
73 6.5-mm cancellous screw with a washer were used for the femoral fixation and the tibial fixation,
74 respectively. The PLB graft was fixed first at knee extension with manual maximum force and then the
75 AMB was fixed at 20° - 30° of knee flexion.

76 **Postoperative rehabilitation**

77 An identical postoperative protocol was applied to all the patients. A progressive range of motion exercises
78 and one-third of weight bearing on the operated side of the limb was started three days after surgery and
79 full weight bearing was allowed two weeks after surgery. An ACL brace (DONJOY FULLFORCE,
80 DJO, Carlsbad, CA, USA) was worn for postoperatively 2 months. Jogging was permitted at three months

81 postoperatively followed by gradual progression of endurance and agility exercises. Full return-to-sport
82 activity, including competitive sports was permitted approximately nine months after surgery.

83 **Pivot-shift test**

84 The pivot-shift test was performed under general anesthesia before ACLR and screw removal surgery.
85 One of the two experienced orthopedic surgeons who were not in charge of the patient was assigned to
86 perform the pivot-shift test after blinding for the preoperative data of the patient. Both examiners were
87 instructed to perform the pivot-shift test as similarly as possible before using the standardized technique
88 [25]. The standard clinical grading was determined by the examiner on the International Knee
89 Documentation Committee (IKDC) guidelines: none (grade 0), glide (grade 1), clink (grade 2), or gross
90 (grade 3) [26]. Patients with grade 1 or higher pivot-shift test under anesthesia at the time of screw removal
91 surgery were defined as the residual pivot-shift group. The patients in which pivot-shift test of injured knee
92 was positive and there was no side-to-side difference between injured and uninjured knee were categorized
93 into negative residual pivot-shift group.

94 **Data collection**

95 Patient databases were searched for demographic, preoperative, intraoperative, and postoperative
96 information. Demographics included age at the time of surgery and sex. Preoperative data included time
97 from injury to surgery, Tegner activity scale, and Segond fracture, which were confirmed by preoperative
98 radiographs. Intraoperative data were obtained from surgical records, such as medial and lateral meniscal

99 tears, and cartilage damage. Information about surgical procedures for meniscal injuries (repair, partial
100 meniscectomy, or rasping) was also collected. Knee hyperextension was also evaluated preoperatively
101 under anesthesia. Hyperextension was defined as an extension angle of $> 10^\circ$. The posterior tibial slope
102 (PTS) of the medial and lateral plateau were measured on preoperative MRI as previously reported [27].
103 PTS was expressed as degree and the lateral–medial slope asymmetry was calculated by subtracting the
104 medial PTS from the lateral PTS (lateral PTS - medial PTS). Anterior knee laxity was evaluated by side-
105 to-side difference in anterior tibial translation using the KT-2000 arthrometer (MEDmetric, San Diego, CA,
106 USA) at manual maximum load preoperatively in each surgery. Clinical outcomes were evaluated using the
107 IKDC subjective score. Type of sports and activities at the time of injury were investigated on medical
108 records and divided into two types, pivoting sport/activity and non-pivoting sport/activity according to
109 whether the sport frequently involves rotation, cutting, or jumping (e.g., soccer, basketball, volleyball, and
110 skiing). (Figure 2). Further, whether the patient had returned to sports at one year postoperatively was also
111 examined.

112 **Statistical analysis**

113 All statistical analyses were performed using GraphPad Prism 9 software (GraphPad Software, San Diego,
114 CA, USA). Statistical significance was defined as $p < 0.05$. Univariate analysis, chi-squared test, and
115 Mann–Whitney U test were used to compare clinical outcomes and demographic, preoperative, and
116 intraoperative variables between the two groups. The variables showing significant differences in univariate

117 analysis were used as independent variables, and the status of the postoperative positive pivot-shift test was
118 set as a dependent variable. Logistic regression analysis was performed, and the odds ratios (ORs) with
119 95% confidence intervals (CIs) were calculated for all independent variables to identify risk factors of
120 postoperative residual pivot-shift. Post-hoc power analysis in logistic regression showed that the power of
121 teenagers, preoperative pivot-shift, and hyperextension knee were 1.00, 1.00, and 0.85, respectively, with
122 an alpha of 0.05, using G-power 3.1 software (Kiel University, Kiel, Germany).

123

124 **Results**

125 In the evaluation of the pivot-shift test under anesthesia, 14 out of the 164 patients (8.5%) were graded as
126 grade I positive and included in the residual pivot-shift group, while 150 patients were graded as negative
127 and included in the negative pivot-shift group. No significant difference was detected in the IKDC
128 subjective scores between the two groups (Table 1). The percentage of the patients who had returned to
129 sports at one year postoperatively was 54.3% (89/164 patients). No significant difference was observed in
130 the percentage of patients who had returned to sports at one year postoperatively between the two groups
131 (P = 0.87).

132 In the univariate analysis, age < 20 years, hyperextended knee, preoperative pivot-shift grade, and
133 postoperative KT-2000 side-to-side differences were found to be significantly different between the two
134 groups (Table 2). The proportion of patients who were < 20 years of age and with hyperextended knee were

135 significantly higher in the residual pivot-shift group than in the negative group ($P = 0.01$, $P < 0.01$,
136 respectively). Preoperative pivot-shift grade and postoperative KT-2000 side-to-side differences were
137 significantly higher in the residual pivot-shift group than in the negative pivot-shift group ($P < 0.01$, $P =$
138 0.02 , respectively).

139 In logistic regression analysis, age < 20 years, hyperextended knee, and preoperative pivot-shift grade
140 were used as independent variables. Since postoperative KT-2000 side-to-side difference was not
141 considered a risk factor of residual pivot-shift, it was excluded from the variables. Logistic regression
142 analysis revealed that patients of < 20 years of age ($P = 0.04$, OR: 6.13), preoperative pivot-shift grade (P
143 $= 0.02$, OR: 4.35), and hyperextended knee ($P = 0.01$, OR: 11.77) were factors associated with postoperative
144 residual pivot-shift (Table 3).

145 Regarding involvement of pivoting sports at the time of injury and return to sport at postoperative one
146 year, significantly higher proportion of the patients who were < 20 years old participated pivoting sports at
147 the time of injury than those who were **> 20 years old** ($P = 0.01$), while return to sport ratio was lower in
148 the patients < 20 years old ($P < 0.01$). Therefore, to exclude the potential confounding effect, statistical
149 analyses were performed only in the patients who were **< 20 years of age**. In the univariate analysis, the
150 proportion of patients with hyperextended knee was significantly higher ($P < 0.01$), and preoperative pivot-
151 shift grade was significantly higher in the residual pivot-shift group than in the negative pivot-shift group
152 ($P < 0.01$). The logistic regression analysis revealed that preoperative pivot-shift grade ($P = 0.02$, OR: 5.30),

153 and hyperextended knee ($P < 0.01$, OR: 22.60) were also factors associated with postoperative residual
154 pivot-shift in the age group (Table 4).

155 **Discussion**

156 The most important finding of the present study was that **young age of < 20 years**, hyperextended knees,
157 and preoperative high-grade pivot-shift were risk factors of postoperative pivot-shift after anatomic DB-
158 ACLR.

159 Previous studies reported that more than 20% of patients who underwent SB-ACLR showed postoperative
160 anterolateral rotatory instability detected by the pivot-shift test [3, 4]. An increasing number of studies have
161 investigated the risk factors of residual knee instability after ACLR using hamstring tendon grafts, and
162 several associated factors were suggested.

163 Ahn et al. reported that concomitant grade 2 MCL injury and time from injury to surgery ≥ 12 weeks were
164 risk factors for postoperative knee instability of anterior translation (> 5 mm on the stress radiograph) or
165 manual pivot-shift test \geq grade 2 after SB- or DB-ACLR [19]. Yamamoto et al. reported that a large
166 preoperative posterior tibial reduction during the pivot-shift test quantified by the navigation system was a
167 risk factor of positive pivot-shift in the manual grading of the pivot-shift test in 100 patients who underwent
168 SB- or DB-ACLR [21]. Similarly, Ueki et al. investigated the residual pivot-shift in 368 patients who
169 received SB- or DB-ACLR, and reported that hyperextension of the knee and greater preoperative pivot-
170 shift grade under anesthesia were risk factors for postoperative positive pivot-shift [20]. Furthermore,

171 Katakura et al. also examined the risk factors of residual anterolateral rotational instability after DB-ACLR
172 in 42 cases using a kinematic rapid assessment (KiRA) triaxial accelerometer (OrthoKey, Lewes, DE, USA).
173 They reported that **patients with larger** preoperative side-to-side differences in tibial acceleration during the
174 pivot-shift test have a higher risk of residual anterolateral rotational instability [22]. Recently, Kawanishi
175 et al. reported that greater preoperative acceleration and external rotation angular velocity of the pivot-shift
176 measured by an inertial sensor were risk factors of residual pivot-shift [28]. Yamasaki et al. also reported
177 that young age (< 18 years) and knee hyperextension were risk factors of **postoperative anterior tibial**
178 **translation with KT-2000 > 3 mm** and pivot-shift \geq grade 2 in non-athletic patients who received DB-ACLR
179 [29]. Based on these previous reports, preoperative pivot-shift grade, knee hyperextension, and age were
180 frequently reported as risk factors regardless of the surgical technique and evaluation methods (Table 5).
181 Therefore, these reports together with our results strongly suggest that surgeons should exercise caution
182 while performing ACLR in young patients with a large pivot-shift and knee hyperextension.

183 One of the possible reasons for the residual instability after ACLR is the larger stress on the reconstructed
184 graft due to damage of the secondary restraint or inherent knee laxity, which eventually leads to the
185 extension of the graft despite the anatomical reconstruction. Although a high magnitude of pivot-shift and
186 anterior knee instability, defined as increased translation of the tibia, do not represent the same abnormal
187 condition of the knee, both conditions can generate a large stress on the reconstructed graft. Another reason
188 could be that current ACLR techniques cannot fully control the pivot-shift in conditions with abnormally

189 increased laxity or dynamic instability. Yasuma et al. reported that there was a significant difference in the
190 parameters of the quantified pivot-shift measurement by inertial sensors between intact knees and ACL-
191 reconstructed knees in the ACL/anterolateral structure (ALS)-deficient condition, while no statistically
192 significant difference was found between ACL/anterolateral ligament (ALL)-reconstructed knees [30].
193 Therefore, to reduce the residual anterolateral rotatory instability, surgical procedures for augmentation of
194 the anterolateral complex (ALC), such as lateral extra-articular tenodesis (LET) [31–35] and ALL
195 reconstruction [36, 37] have recently been a topic of debate [38]. Getgood et al. conducted a multicenter
196 prospective randomized controlled trial comparing the outcomes of ACLR combined with LET and SB-
197 ACLR alone in patients younger than 25 years of age and those who met at least two of the following three
198 criteria: grade 2 pivot-shift or greater, a desire to return to high-risk/pivoting sports, and generalized
199 ligamentous laxity [39]. They reported that the SB-ACLR with LET group showed a lower rate of clinical
200 failure, which consisted of graft rupture and residual positive pivot-shift rotatory laxity at 2 years after
201 surgery compared with the ACLR group. Additionally, a recent systematic review reported that clinical
202 outcomes after ACL combined with ALL reconstruction were more favourable than those after ACLR alone
203 in terms of residual pivot shift and re-rupture rate [40]. Therefore, the addition of LET or ALL can be a
204 treatment choice in patients with multiple high risks of residual pivot-shift. Meanwhile, there are several
205 contradictory reports regarding the major contribution of ALC to rotational knee laxity in ACL-deficient
206 knees and ACL-reconstructed knees [41–43]. Therefore, whether augmentation of the ALC is the optimal

207 solution or not in DB-ACLR needs to be determined in future studies.

208 The strength of this study is the homogeneity of the surgical procedure and postoperative follow-up, which
209 includes physical therapy and clinical examination, since all surgeries were performed by experienced
210 surgeons performing DB-ACLR in a single institution.

211

212 **Limitation**

213 This study has several limitations. First, manual pivot-shift grading was used in the evaluation, which is
214 not an objective measurement, as compared to electromagnetic sensors [44], triaxial accelerometers [45],
215 or other devices [46]. There has also been discussion questioning the reproducibility of the manual pivot-
216 shift test since it may be dependent on the physician performing the test. However, the test was performed
217 by experienced surgeons, and the pivot-shift test technique was standardized before starting the present
218 research to minimize the variation in the technique. Second, this study did not state detailed categorization
219 based on different locations (anterior, medial, and/or posterior) of meniscal tears and different types of tears
220 (horizontal, radial, and longitudinal) were not addressed. **Third, tunnel positions were not evaluated in this**
221 **study, since postoperative CT images were not taken in all the patients. Therefore, it is possible that tunnel**
222 **malposition was a factor contributing to residual pivot shift.** **Fourth,** the time to follow-up was only
223 approximately one year until the screw removal surgery. Therefore, the follow-up may be too short to
224 evaluate the clinical outcomes, and reinjury was not considered in this study. **Fifth,** although the post-hoc

225 analysis demonstrated an acceptable large power to detect the significant differences between the two
226 groups, the sample size was small since only 14 patients were included in the residual pivot-shift group.
227 Future studies with larger patient's population and including patients with reinjury are expected.

228 Despite the limitations, the results of this study provide information of which patients require more
229 attention during DB-ACLR.

230 **Conclusions**

231 Patients younger than 20 years of age, with high-grade preoperative pivot-shift, or with hyperextended
232 knees have a higher risk of residual postoperative pivot-shift after DB-ACLR.

233 **Declarations**

234 Funding

235 There is no funding source.

236 Conflicts of interest/competing interests

237 The authors declare that they have no conflict of interest.

238 Ethics approval

239 The study was performed in accordance with the ethical standards of the institutional review board of our
240 hospital (ID No. B190055).

241 Consent

242 Informed consent was obtained from all the participants.

243 Authors' contribution statements

244 KK designed the study and wrote the initial draft of the manuscript. TaM contributed to analysis and

245 interpretation of data and assisted in the preparation of the manuscript. KN, DA, NK, YH, ToM, TN and

246 RK contributed to data collection and interpretation and critically reviewed the manuscript. All authors

247 approved the final version of the manuscript and agree to be accountable for all aspects of the work in

248 ensuring that questions related to the accuracy or integrity of any part of the work are appropriately

249 investigated and resolved.

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427 **Figure legend**

428 Figure 1: Flowchart of the patient selection process

429

430 Figure 2: The data of the involvement of the sports or activity at the time of injury

431

432 **Table legends**

433 Table 1: Clinical data of patients at screw removal surgery (1 year after anterior cruciate ligament
434 reconstruction)

435

436 Table 2: Univariate analysis of the patient characteristic data between residual pivot-shift negative and
437 positive groups

438

439 Table 3: Results of logistic regression analysis of variables that showed significant difference in univariate
440 analysis

441

442 Table 4: Results of logistic regression analysis in patients of < 20 years of age.

443

444 Table 5: Risk factors of postoperative residual pivot-shift reported in previous studies