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# Quark mass hierarchy and mixing via geometry of extra dimension with point interactions

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We propose a new model which can simultaneously and naturally explain the origins of fermion generation, quark mass hierarchy, and the Cabibbo–Kobayashi–Maskawa matrix from the geometry of an extra dimension. We take the extra dimension to be an interval with point interactions, which are additional boundary points in the bulk space of the interval. Because of the Dirichlet boundary condition for fermions at the positions of point interactions, profiles of chiral fermion zero modes are split and localized, and then we can realize three generations from each five-dimensional Dirac fermion. Our model allows fermion flavor mixing but the form of the non-diagonal elements of fermion mass matrices is found to be severely restricted due to the geometry of the extra dimension. The Robin boundary condition for a scalar leads to an extra coordinate-dependent vacuum expectation value, which can naturally explain the fermion mass hierarchy.  
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Subject Index      B33, B40, B43, B53, B55

## 1. Introduction

Recently, the ATLAS and CMS experimental groups of the CERN Large Hadron Collider (LHC) have announced the excess at 125 GeV, which is consistent with the Standard Model (SM) Higgs boson, with a local significance of  $5\sigma$  after combining 7 TeV and 8 TeV data [1,2]. This amazing happening means that the mysteries behind the last missing piece of the SM are ready to be unveiled. But the SM still has many points which are unclear, in spite of lots of effort from physicists.

One is called the “quark mass hierarchy problem”. In the SM, we are forced to comply with a hierarchy of almost five orders of magnitude in Yukawa couplings of quarks for describing the suitable quark masses. Closely related to this issue, the SM cannot answer the mechanism behind the Cabibbo–Kobayashi–Maskawa (CKM) matrix, which describes the strengths of generation-changing interactions in the SM. In addition to these two issues, we cannot explain why we introduce three copies of quarks whose quantum numbers are the same except for their masses and the degrees of mixings in the above interactions. Many attempts have been made to explain the issues within the four-dimensional (4D) Quantum Field Theory (QFT) framework, including, for example, launching new continuous and/or discrete symmetries, introducing new matter and interactions, and discussing renormalization group (RG) effects from a theory at a (very) high energy scale compared to the electroweak (EW) scale.

<sup>†</sup>These authors contributed equally to this work.

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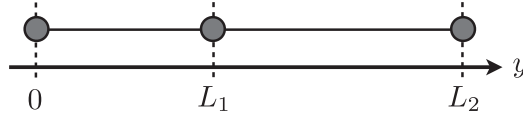
When we focus on the case in five dimensions (5D), where there is one additional spatial direction, we can find a new useful tool for tackling the above and other problems: *geometry*. Two of the most renowned studies which show the power of geometry are [3,4], where the authors proposed innovative ways for solving the hierarchy problem. Extra space can have a huge variety of structure, which are detected as differences from the 4D effective theory point of view. In a 5D QFT framework, we also find new mechanisms which we cannot find in 4D, for example, generating spontaneous gauge symmetry breaking with a global Wilson loop operator [5–7], and symmetry breaking by orbifold boundary conditions (BCs) [8–10]. However, it remains difficult to determine the origins of the quark mass hierarchy, quark mixing, and the number of fermion generations based only on them. We note that the existence of (compact) extra dimension(s) is suggested by superstring theory. Lots of work has been done towards settling the three problems in the quark (and lepton sectors) independently and/or simultaneously in the many contexts of large extra dimension [11–14], warped extra dimension [15–17], vortex profile [18–20] based on [21], and others [22–24].

In this paper, we focus on one of the interesting mechanisms resulting from an extra dimension, i.e., localization of fields. We can generate the hierarchy in the Yukawa coupling naturally when the SM fermions are localized at different points in one (more) extra dimension(s) [25], whose situation is realized by a 5D scalar field coupling to 5D fermions with a kink background [26,27]. A variation of this possibility is to localize the Higgs scalar vacuum expectation value (VEV) in one extra dimension [28,29]. Here, we propose a simple way of realizing three chiral generations and their localization, where we introduce point interactions (or many branes) on an interval [30–33]. This system is decomposed into multiple intervals and, due to the Dirichlet BC at the positions of the point interactions, fermion zero modes are split and degenerated. Each profile is localized around a corresponding point interaction as an effect of nonzero fermion bulk mass.

When we construct a model with the above mechanism, it is reasonable to assume that all the 5D fields live in the bulk with no tree-level localized term at the positions of point interactions. This setup is very similar to the minimal Universal Extra Dimension (mUED) model on  $S^1/Z_2$  [34]. The mUED is one of the most investigated models in the context of an extra dimension and has many exciting points, e.g., the existence of a dark matter candidate is ensured by the accidental symmetry under changing the two end points of  $S^1/Z_2$  [35].<sup>1</sup> The latest concrete analysis for relic abundance of the candidate is found in Ref. [40]. The parameters of the mUED (and other six-dimensional UED models) are restricted by analyses based on the recent LHC experimental results in Refs [41–45]. In the mUED model, the Yukawa structure is the same as that of the SM, and therefore we still need some fine tuning in the coefficients. On the other hand, in our model the 5D Yukawa couplings cannot possess a generation index, since one generation of the SM fields is introduced. In other words, another maneuver should be offered to overwhelm the difficulty.

To generate the Yukawa coupling hierarchy via geometry, an extra coordinate-dependent and localized profile of the scalar VEV is preferred. An idea to realize this situation is to impose nontrivial BCs for the scalar field, which is incompatible with its non-vanishing constant vacuum configuration. This mechanism has been applied to breaking translational invariance [46], breaking supersymmetry [47–50], and has been extended to higher extra dimensions [51,52]. For the scalar singlet case, the profile is described with Jacobi's elliptic function and we can find a parameter region where the

<sup>1</sup> Recently, a non-minimal version of the UED model with brane-localized terms has been proposed in Ref. [36], and the collider physical studies on the model have also been done in Refs. [36–39].



**Fig. 1.** A schematic diagram of the interval system with a point interaction at  $y = L_1$ .

elliptic function approaches the exponential function. The exponential form is ideal for generating a large hierarchy within a natural choice of parameters, and almost all the input parameters take coefficients with  $\mathcal{O}(10)$  magnitude when we scale them based on each corresponding suitable mass value.

This paper is organized as follows: In Sect. 2 we give a brief review of a way of constructing a system with many point interactions, and subsequently discuss suitable choices of BCs for 5D fermion and vector fields. In Sect. 3 we search for the possibility of achieving quark mass hierarchy and mixing simultaneously in a multiple point interaction system with an exponential Higgs VEV profile. In Sect. 4 we construct a concrete model realizing the exponential VEV with high precision without violating gauge coupling universality, and check the validity of the model through discussing the naturalness of the magnitudes of the coefficients. Sect. 5 is devoted to conclusions and discussions.

## 2. Basic properties of zero mode functions in a system with point interactions

### 2.1. Zero mode profile of 5D fermion on an interval with a point interaction

In this subsection, we consider the zero mode profile of a 5D fermion on an interval with a point interaction which is placed in the middle of the whole system. A point interaction means that the interaction can occur only at a point as a  $\delta$ -function potential. In Refs. [53–56], possible point interactions in one-dimensional quantum mechanics are shown to be classified by boundary conditions which are characterized by  $U(2)$  parameters for a circle and  $U(1) \times U(1)$  for an interval. According to this result, we will specify each point interaction by one of the possible boundary conditions in this paper. We use a coordinate  $y$  to indicate the position in the extra space and assign the locations of the three boundary points as  $0 (= L_0)$ ,  $L_1$ ,  $L_2$ , respectively. The schematic diagram of our system in Fig. 1 helps our understanding.

In this paper, we concentrate on the simple case where there is no tree-level brane localized term. The 5D action of a fermion we consider is

$$\int d^4x \left[ \int_0^{L_1} dy + \int_{L_1}^{L_2} dy \right] \left( \bar{\Psi} \left( i \partial_M \Gamma^M + M_F \right) \Psi \right), \quad (1)$$

where the latin indices run from 0 to 3, 5 (or  $y$ ) and greek ones run from 0 to 3, respectively.<sup>2</sup>  $\Psi$  and  $M_F$  are a 5D Dirac fermion and its bulk mass. We mention that 5D fermion bulk mass with the ordinary form is allowed in our system since we do not introduce orbifold  $Z_2$  parity.

<sup>2</sup> In this paper, we choose the metric convention as  $\eta_{MN} = \eta^{MN} = \text{diag}(-1, 1, 1, 1, 1)$ . The representations of the gamma matrices are  $\Gamma_\mu = \gamma_\mu$ ,  $\Gamma_y = \Gamma^y = -i\gamma^5 = \gamma^0\gamma^1\gamma^2\gamma^3$ , and we note that the Clifford algebra is defined as  $\{\Gamma_M, \Gamma_N\} = -2\eta_{MN}$ .

In what follows, we contemplate the profiles of fermions. Due to the variational principle, the following quantities must vanish at the corresponding boundaries:

$$[\bar{\Psi}\Gamma^y\delta\Psi]|_{y=0} = [\bar{\Psi}\Gamma^y\delta\Psi]|_{y=L_2} = 0, \quad (2)$$

$$[\bar{\Psi}\Gamma^y\delta\Psi]|_{y=L_1-\varepsilon} - [\bar{\Psi}\Gamma^y\delta\Psi]|_{y=L_1+\varepsilon} = 0, \quad (3)$$

where  $\varepsilon$  is an infinitesimal positive constant. The form of  $\bar{\Psi}\Gamma^y\delta\Psi = 0$  can be decomposed into  $\bar{\Psi}_R\delta\Psi_L = \bar{\Psi}_L\delta\Psi_R = 0$ , where the 4D chirality is defined as  $\gamma^5\Psi_L = \pm\Psi_R$ . Then the Dirichlet BCs turn out to be consistent with Eqs. (2) and (3), i.e.

$$\Psi_R = 0 \quad \text{or} \quad \Psi_L = 0 \quad \text{at} \quad y = 0, L_1 \pm \varepsilon, L_2. \quad (4)$$

We will, however, choose  $\Psi_R = 0$  (or  $\Psi_L = 0$ ) at all the boundaries to realize the SM chiral fermions in the zero mode sector, as we will see later.

We note that once the BC of a right-/left-handed part of a 5D fermion  $\Psi$  is determined as

$$\Psi_R(x, L_i) = 0 \quad (\Psi_L(x, L_i) = 0), \quad (5)$$

where  $L_i$  shows the position of a boundary point, the BC of the remaining left-/right-handed part is simultaneously fixed through the equation of motion (EOM) as

$$(-\partial_y + M_F)\Psi_L = 0 \quad ((\partial_y + M_F)\Psi_R = 0) \quad \text{at} \quad y = 0, L_1 \pm \varepsilon, L_2. \quad (6)$$

We can expand the 5D fermion as

$$\begin{aligned} \Psi(x, y) &= \Psi_R(x, y) + \Psi_L(x, y) \\ &= \sum_n \left\{ \psi_R^{(n)}(x) f_{\Psi_R^{(n)}}(y) + \psi_L^{(n)}(x) f_{\Psi_L^{(n)}}(y) \right\}. \end{aligned} \quad (7)$$

The series  $\{f_{\Psi_R^{(n)}}\}$  and  $\{f_{\Psi_L^{(n)}}\}$  are eigenstates of the hermitian operators  $\mathcal{D}^\dagger\mathcal{D}$  and  $\mathcal{D}\mathcal{D}^\dagger$ , respectively;  $\mathcal{D}$  (and  $\mathcal{D}^\dagger$ ) are defined as

$$\begin{cases} \mathcal{D} := \partial_y + M_F \\ \mathcal{D}^\dagger := -\partial_y + M_F \end{cases}, \quad (8)$$

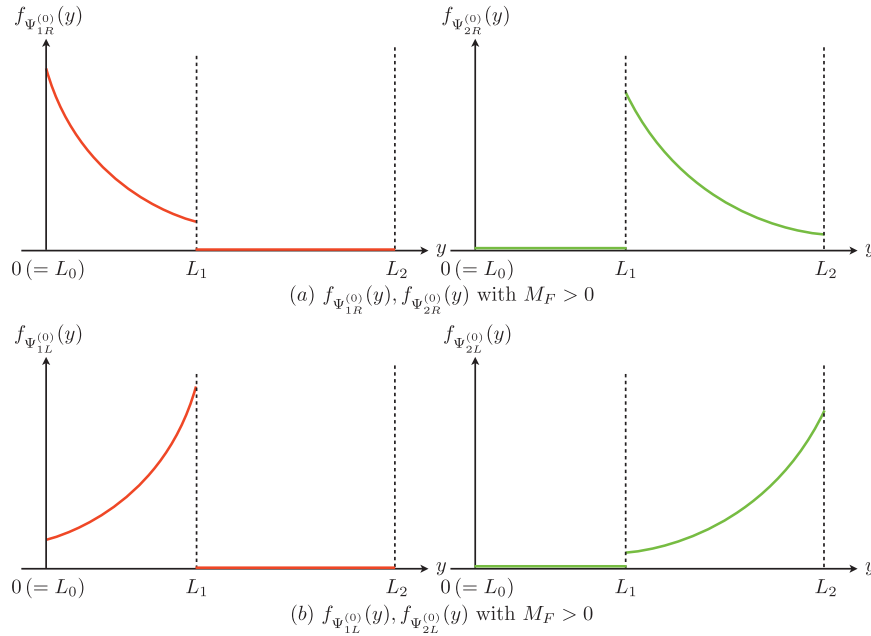
$$\begin{cases} \mathcal{D}^\dagger\mathcal{D}f_{\Psi_R^{(n)}}(y) = M_{\Psi^{(n)}}^2 f_{\Psi_R^{(n)}}(y) \\ \mathcal{D}\mathcal{D}^\dagger f_{\Psi_L^{(n)}}(y) = M_{\Psi^{(n)}}^2 f_{\Psi_L^{(n)}}(y) \end{cases}, \quad (9)$$

where  $M_{\Psi^{(n)}}$  is a KK mass of the  $n$ th right/left KK mode. This mass degeneracy is ensured by quantum mechanical supersymmetry (QMSUSY) [56–59] as

$$\begin{cases} \mathcal{D}f_{\Psi_R^{(n)}}(y) = M_{\Psi^{(n)}} f_{\Psi_L^{(n)}}(y) \\ \mathcal{D}^\dagger f_{\Psi_L^{(n)}}(y) = M_{\Psi^{(n)}} f_{\Psi_R^{(n)}}(y) \end{cases}. \quad (10)$$

For zero mode ( $M_{\Psi^{(0)}} = 0$ ), Eq. (10) takes the simple form:

$$\begin{cases} \mathcal{D}f_{\Psi_R^{(0)}}(y) = 0 \\ \mathcal{D}^\dagger f_{\Psi_L^{(0)}}(y) = 0 \end{cases}. \quad (11)$$



**Fig. 2.** Profiles of the zero modes  $f_{\Psi_{1R}}^{(0)}(y)$ ,  $f_{\Psi_{2R}}^{(0)}(y)$  and  $f_{\Psi_{1L}}^{(0)}(y)$ ,  $f_{\Psi_{2L}}^{(0)}(y)$  are depicted schematically in (a) and (b) for  $\Psi_L = 0$  and  $\Psi_R = 0$ , respectively, with  $M_F > 0$ . Here there are three boundary points at  $y = 0, L_1, L_2$ .

Taking account of the BCs, we find the zero mode solutions for  $\Psi_L = 0$  at  $y = 0, L_1 \pm \varepsilon, L_2$  as (see Fig. 2)

$$f_{\Psi_{1R}}^{(0)}(y) = \begin{cases} \mathcal{N}_1 e^{-M_F y} & \text{for } 0 < y < L_1 \\ 0 & \text{for } L_1 < y < L_2 \end{cases} \quad (12)$$

and

$$f_{\Psi_{2R}}^{(0)}(y) = \begin{cases} 0 & \text{for } 0 < y < L_1 \\ \mathcal{N}_2 e^{-M_F y} & \text{for } L_1 < y < L_2 \end{cases}; \quad (13)$$

for  $\Psi_R = 0$  at  $y = 0, L_1 \pm \varepsilon, L_2$ :

$$f_{\Psi_{1L}}^{(0)}(y) = \begin{cases} \mathcal{N}'_1 e^{M_F y} & \text{for } 0 < y < L_1 \\ 0 & \text{for } L_1 < y < L_2 \end{cases} \quad (14)$$

and

$$f_{\Psi_{2L}}^{(0)}(y) = \begin{cases} 0 & \text{for } 0 < y < L_1 \\ \mathcal{N}'_2 e^{M_F y} & \text{for } L_1 < y < L_2 \end{cases} \quad (15)$$

where  $\mathcal{N}_1, \mathcal{N}_2, \mathcal{N}'_1, \mathcal{N}'_2$  are normalization constants, whose concrete forms are shown later.

Here, we would like to comment on some important points of the situation. The Dirichlet boundary condition (5) for a fermion at  $y = L_1$  turns out to effectively split the interval into two segments. Then, chiral zero modes are two-fold degenerate, and each profile of the zero modes is confined in one of the segments and localized exponentially at one of the edges,<sup>3</sup> as shown in Eqs. (12) and (13) (or (14) and (15)). Thus, we can realize a model with two generations of 4D chiral fermions

<sup>3</sup> It is noted that the value of  $M_F$  can take a negative value, and in this case the direction of wave function localization flips to the opposite side.

140 in the case of an interval with a point interaction. Furthermore, the localization of the zero mode profiles will be found to lead to the fermion mass hierarchy, as will be seen later. We can see pictures explaining this situation in Fig. 2. Each concrete form is written down as follows:

- In the case of  $\Psi_R = 0$  at  $y = 0, L_1 \pm \varepsilon, L_2$ ,

$$\Psi(x, y) = \left\{ \sqrt{\frac{2M_F}{e^{2M_F \Delta L_1} - 1}} e^{M_F(y-L_0)} [\theta(y-L_0)\theta(L_1-y)] \psi_{1L}^{(0)}(x) \right. \\ \left. + \sqrt{\frac{2M_F}{e^{2M_F \Delta L_2} - 1}} e^{M_F(y-L_1)} [\theta(y-L_1)\theta(L_2-y)] \psi_{2L}^{(0)}(x) \right\} + (\text{KK modes}); \quad (16)$$

- In the case of  $\Psi_L = 0$  at  $y = 0, L_1 \pm \varepsilon, L_2$ ,

$$\Psi(x, y) = \left\{ \sqrt{\frac{2M_F}{1 - e^{-2M_F \Delta L_1}}} e^{-M_F(y-L_0)} [\theta(y-L_0)\theta(L_1-y)] \psi_{1R}^{(0)}(x) \right. \\ \left. + \sqrt{\frac{2M_F}{1 - e^{-2M_F \Delta L_2}}} e^{-M_F(y-L_1)} [\theta(y-L_1)\theta(L_2-y)] \psi_{2R}^{(0)}(x) \right\} + (\text{KK modes}), \quad (17)$$

150 where  $\theta(y)$  is the step function and  $\psi_1^{(0)}$  and  $\psi_2^{(0)}$  represent two (degenerated) fermion zero modes.  $\Delta L_i$  shows the length of the corresponding  $i$ th segment which is defined as

$$\Delta L_i = L_i - L_{i-1}. \quad (18)$$

Here, every mode function is suitably normalized and we can find the factor for this purpose in front of the exponential functions.

155 We can also evaluate the right- or left-handed KK fermion profiles, but the aim of this paper is to understand the mechanism explaining the fermion mass hierarchy. Therefore, we will revisit this issue in future work.

## 2.2. Zero mode profile of 5D gauge boson on an interval with a point interaction

Following the previous section, we move to the zero mode profile of a 5D gauge boson on the interval with a point interaction. Here we concentrate on the  $U(1)$  case since our intention is to investigate the structure of the mass spectrum. The concrete form of the 5D action is

$$\int d^4x \left[ \int_0^{L_1} dy + \int_{L_1}^{L_2} dy \right] \left( -\frac{1}{4} F_{MN} F^{MN} \right), \quad (19)$$

160 where  $F_{MN} = \partial_M A_N - \partial_N A_M$  is the 5D field strength of the 5D  $U(1)$  gauge boson  $A_M$  and we assume that the background geometry is the same as in the fermion case. After taking variation, we focus on the forms of conditions at the boundary points:

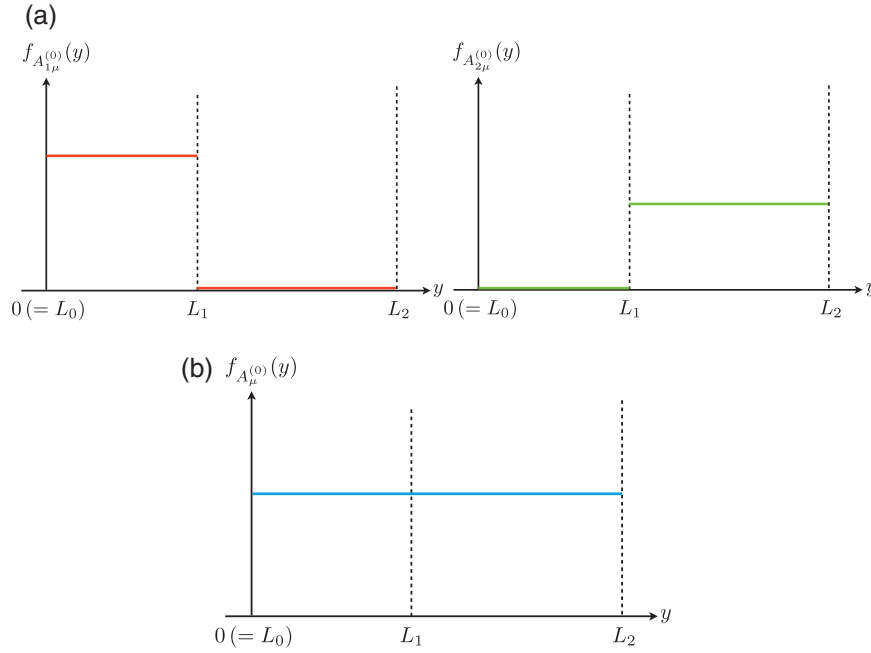
$$[(\partial_y A_\mu - \partial_\mu A_y) \delta A^\mu] \big|_{y=0} = [(\partial_y A_\mu - \partial_\mu A_y) \delta A^\mu] \big|_{y=L_2} = 0, \quad (20)$$

$$[(\partial_y A_\mu - \partial_\mu A_y) \delta A^\mu] \big|_{y=L_1-\varepsilon} - [(\partial_y A_\mu - \partial_\mu A_y) \delta A^\mu] \big|_{y=L_1+\varepsilon} = 0. \quad (21)$$

165 Under the constraints, we could choose the following BCs, where the Neumann (Dirichlet) type BC is assigned for  $A_\mu$  ( $A_y$ ), like the mUED model, as

$$(\partial_y A_\mu) = 0 \quad \text{at } y = 0, L_1 \pm \varepsilon, L_2, \quad (22)$$

$$A_y = 0 \quad \text{at } y = 0, L_1 \pm \varepsilon, L_2, \quad (23)$$



**Fig. 3.** Profiles of zero mode 4D gauge boson: (a) with BCs in Eq. (22), and (b) with BCs which are modified at  $y = L_1$  as in Eq. (24).

in which  $A_\mu$ 's zero mode with a constant profile is found. We note that the compatibility between the BCs' (22) and (23) can also be shown from a viewpoint of QMSUSY [56–59]. But this configuration is problematic from a phenomenological point of view. In this setup, the zero mode profile of a 4D gauge boson is also split at  $y = L_1$ , and this fact means that a zero mode within an interval has limited interactions only with the particles inside the segment to which the gauge boson belongs (see Fig. 3). Since this possibility with doubly-degenerated zero modes ( $A_{1\mu}^{(0)}$  and  $A_{2\mu}^{(0)}$ ) is rejected in the SM, we have to change the BC at  $y = L_1$ . We further note that the gauge universality in the SM is violated in this configuration and this fact gets to be another reason for discarding the system with the BCs given in (22) and (23).

To avoid the problems, we would like the profile to be continuous at  $y = L_1$ . Therefore we put the “continuous” conditions at this point for  $A_\mu$  and  $A_y$  as

$$A_\mu \Big|_{y=L_1-\varepsilon} = A_\mu \Big|_{y=L_1+\varepsilon} \quad \text{and} \quad \partial_y A_\mu \Big|_{y=L_1-\varepsilon} = \partial_y A_\mu \Big|_{y=L_1+\varepsilon}, \quad (24)$$

$$A_y \Big|_{y=L_1-\varepsilon} = A_y \Big|_{y=L_1+\varepsilon} \quad \text{and} \quad \partial_y A_y \Big|_{y=L_1-\varepsilon} = \partial_y A_y \Big|_{y=L_1+\varepsilon}. \quad (25)$$

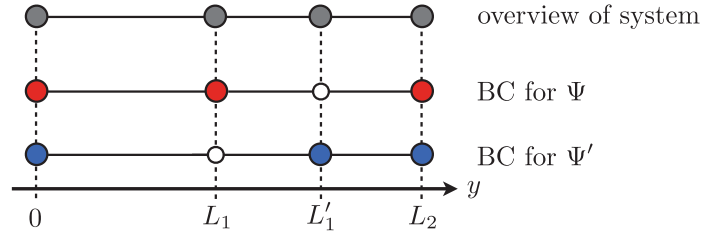
These conditions are consistent with the constraints in Eq. (21). In this case, we can observe only one zero mode of  $A_\mu$ , whose situation is consistent with that of the SM (see Fig. 3). It is noted that we need to put the continuity in the first derivative level because the Klein–Gordon (or Maxwell) equation is second order. We also consider this continuous condition for a 5D scalar as in Eq. (25).

### 2.3. Flavor mixing in a system with point interactions

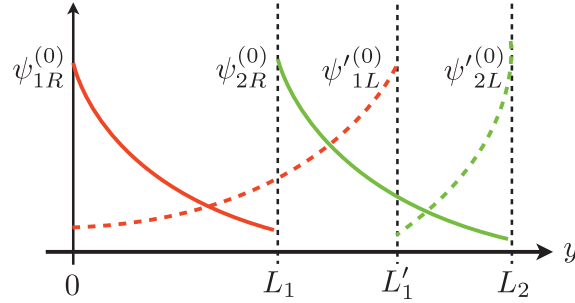
We can also consider the “continuous” condition at point interactions for fermions as

$$\Psi \Big|_{y=L_i-\varepsilon} = \Psi \Big|_{y=L_i+\varepsilon}, \quad (26)$$





**Fig. 4.** This is an overview of our system with two point interactions, where the red (blue) circular spots show the Dirichlet-type BC for the left- (right-)handed part at the corresponding boundary points, respectively, and the small white dots mean the continuous condition for fermions.



**Fig. 5.** Zero mode profiles of 5D fermions  $\Psi$  and  $\Psi'$ .

where  $L_i$  denotes a position of point interactions. An interesting application is given as follows: At this time, we add another 5D fermion  $\Psi'$  with the different bulk mass  $M'_F$  and go to a two-point-interaction system, where the point interactions are located at  $y = L_1, L'_1$  (see Fig. 4). The 5D action which now we think about is

$$\int d^4x \int_0^{L_2} dy \{ [\bar{\Psi}(i\partial_M \Gamma^M + M_F)\Psi] + [\bar{\Psi}'(i\partial_M \Gamma^M + M'_F)\Psi'] \}, \quad (27)$$

where we do not divide the range of integral for  $y$  for clarity of description. The BCs for  $\Psi$  and  $\Psi'$  are selected as in Fig. 4, where the red (blue) circular spots show the Dirichlet-type BC for the left- (right-)handed part at the corresponding boundary points, respectively, and the small white dots mean the continuous condition for fermions. Under the BCs, zero modes of both  $\Psi$  and  $\Psi'$  become two-fold degenerated and we distinguish the two states by adding new indices of “1” or “2” showing “generation” from the left to the right. When we choose the signs of the two bulk masses  $M_F, M'_F$  as  $M_F > 0, M'_F > 0$ , the localization of the zero modes is as in Fig. 5. The concrete forms of mode functions for  $\Psi$  are the same as in Eq. (17), and we know those for  $\Psi'$  after adding the prime symbol ' to some parameters as  $\psi_{1L}^{(0)} \rightarrow \psi'_{1L}{}^{(0)}, \psi_{2L}^{(0)} \rightarrow \psi'_{2L}{}^{(0)}, M_F \rightarrow M'_F, L_1 \rightarrow L'_1$  in Eq. (16).

The remarkable point in the system with the two point interactions is that the two zero modes with different 4D chirality and “generation” indices overlap in a middle region ( $L_1 < y < L'_1$ ) of the system. This means that flavor mixing can be realized in our configuration. When we consider a UED-type scenario, right- and left-handed fermions are supplied by different 5D fields of  $SU(2)_W$  doublet and singlet, respectively and separately. Thereby, we can install this mechanism into the UED model with no obstacle. In the SM, in fact, the flavor mixing structure is incorporated in the Yukawa sector with Yukawa couplings with the most general form and three copies of each  $SU(2)_W$  doublet and singlet in the gauge eigenbases. These situations are affected exceedingly after adapting our mechanism for realizing flavor mixing. In addition, we should consider the profile of Higgs, because

it is a very important ingredient in the Yukawa sector. These issues will be discussed concretely in the next section. 210

### 3. Searching for the possibility of achieving quark mass hierarchy and mixing in a multiple point interaction system

Based on our discussion in Section 2, we make an attempt to create a model where quark mass hierarchy and mixing are accomplished by the use of the mechanism of flavor mixing due to multiple point interactions. In this section, we consider the following form of action  $S$  with effective Yukawa coupling in the new geometry: 215

$$S = \int d^4x \int_0^{L_3} dy \left\{ [\bar{Q}(i\partial_M \Gamma^M + M_Q)Q + \bar{U}(i\partial_M \Gamma^M + M_U)U + \bar{D}(i\partial_M \Gamma^M + M_D)D] - [Y^{(u)}\bar{U}\langle\phi(y)\rangle U + Y^{(d)}\bar{D}\langle\phi(y)\rangle D + \text{h.c.}] \right\}. \quad (28)$$

We have introduced one  $SU(2)_W$  quark doublet  $Q$ , one up-type quark singlet  $U$ , and one down-type quark singlet  $D$ , with their 5D Dirac bulk masses  $M_Q$ ,  $M_U$ ,  $M_D$ . We would like to emphasize that our model does not possess any generation index at this stage and that fermion generation can appear dynamically. Here we have assumed that a ‘‘Higgs doublet’’  $\mathcal{H}$  acquires VEV with  $y$ -position dependence such as 220

$$\mathcal{H} = \begin{pmatrix} 0 \\ \langle\phi(y)\rangle \end{pmatrix}, \quad (29)$$

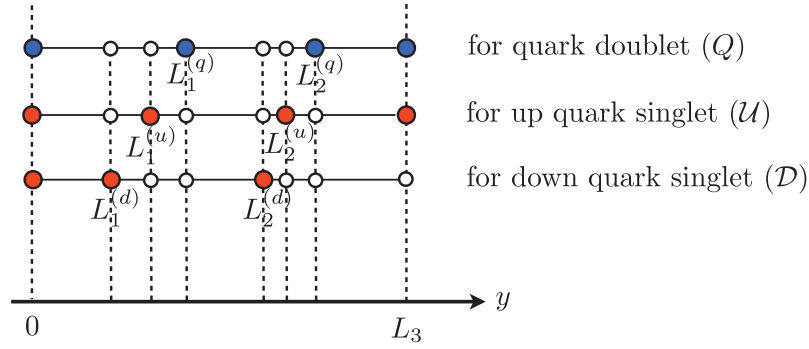
and that the structure of the Yukawa sector is the same as that of the SM. Note that the 5D up (down) quark Yukawa couplings  $Y^{(u)}$  ( $Y^{(d)}$ ) also do not contain any generation index for the quarks in our model. The  $SU(2)_W$  quark doublet can be decomposed as 225

$$Q = \begin{pmatrix} U \\ D \end{pmatrix}. \quad (30)$$

Before we go into more details, we would like to point out some remarkable properties of our model. The form of the action in Eq. (28) seems to be very similar to the corresponding part of the mUED model at first glance, but there are two significant differences between the two theories, as explained below. 230

One concerns the structure of the Yukawa coupling. In the UED, we should introduce three copies of fermion configurations to realize the three-generation structure of the SM. The profiles of the mode functions describing zero mode fermions take constants, therefore fine-tuning in the Yukawa couplings is inevitable. On the other hand, we only introduce one copy of fermion configurations in our model. 235

The other one can be seen in properties of the VEV of the Higgs boson. In mUED models, BCs of the Higgs field are chosen as the Neumann-type, then the VEV gets to be a constant, which is the same with the SM. Here we consider the Yukawa structure in our model briefly. As in Eq. (28), the 5D Yukawa couplings do not possess any generation indices. The SM Yukawa structure is expected to be produced through geometry of an effective form of Higgs VEV and the lopsided wave functions 240



**Fig. 6.** A schematic diagram for explaining our attempt. The conventions for the circular spots and dots are the same as those in Fig. 4.

of zero mode fermions. In our model, the VEV profile of the Higgs scalar  $\langle\phi\rangle$  is assumed to be  $y$ -position-dependent and to take the “warped” form of

$$\langle\phi(y)\rangle = \mathcal{A} \exp[\alpha(y - L)], \quad (31)$$

with two massive parameters  $\mathcal{A}$  and  $\alpha$ , whose mass dimensions are  $3/2$  and  $1$ , respectively.  $L(=L_3)$  means the length of the total system. The reason for forming this conjecture is that this shape of the VEV is preferable for generating the large quark mass hierarchy in the SM within a natural-ordered setting of parameters. At this stage, we do not worry about the precise method of realizing this type of VEV profile, and mainly devote our attention to phenomenological issues of it. In a later section, we discuss an example of realizing this (assumed) setup using the generalized Higgs BCs discussed in Ref. [60].

We have to introduce new point interactions, where we take the Dirichlet BC for all of  $Q, \mathcal{U}, \mathcal{D}$  to realize three generations in the SM. To this end, we assume that each 5D fermion feels nontrivially two point interactions with the Dirichlet BC. It turns out that the zero modes of the fermions are three-fold degenerate, where each profile is confined in one of three segments. Here we consider the quark profiles explained in Fig. 6, where the meaning of the red and blue circular spots and the white dots are the same as those in Fig. 4. It should be emphasized that the positions of point interactions which each 5D fermion feels are not necessarily common. We assign the coordinates of the lower and upper ends of the total system at  $0(=L_0)$  and  $L_3$ , respectively, and the locations of the point interactions between the two end points of the interval are represented by  $L_1^{(q)}, L_2^{(q)}, L_1^{(u)}, L_2^{(u)}, L_1^{(d)}, L_2^{(d)}$ , with the superscripts identifying the type of the fields and the subscripts showing the sequences when we count them from the left to the right (see Fig. 6).

Concretely speaking, we adopt the following BCs for  $Q, \mathcal{U}, \mathcal{D}$ , respectively, as

$$Q_R = 0 \quad \text{at } y = 0, L_1^{(q)} \pm \varepsilon, L_2^{(q)} \pm \varepsilon, L_3, \quad (32)$$

$$\mathcal{U}_L = 0 \quad \text{at } y = 0, L_1^{(u)} \pm \varepsilon, L_2^{(u)} \pm \varepsilon, L_3, \quad (33)$$

$$\mathcal{D}_L = 0 \quad \text{at } y = 0, L_1^{(d)} \pm \varepsilon, L_2^{(d)} \pm \varepsilon, L_3, \quad (34)$$

where the three-fold generated left- (right-)handed zero modes emerge in  $Q$  ( $\mathcal{U}, \mathcal{D}$ ) as we have discussed before. Here we do not describe the “continuous” condition for each field. It is noted that the profiles of  $U$  and  $D$  get to be the same in our configuration. The orders of the positions are settled

on as

$$\begin{aligned} 0(=L_0) < L_1^{(u)} < L_1^{(q)} < L_2^{(u)} < L_2^{(q)} < L_3, \\ 0(=L_0) < L_1^{(d)} < L_1^{(q)} < L_2^{(d)} < L_2^{(q)} < L_3. \end{aligned} \quad (35) \quad 270$$

We note that the values of 4D effective Yukawa masses are evaluated as those of overlap integrals among the VEV, right- and left-handed modes. Then both magnitudes and signs of the bulk masses  $M_Q$ ,  $M_U$ ,  $M_D$  govern an important part of the results.

The forms of the effective 4D Yukawa masses among the three generations are obtained after integration over  $y$  as

$$\begin{aligned} & - \int_0^{L_3} dy \left[ Y^{(u)} \bar{U} \langle \phi(y) \rangle \mathcal{U} + Y^{(d)} \bar{D} \langle \phi(y) \rangle \mathcal{D} + \text{h.c.} \right] \\ & = - \left[ \bar{u}_{1L}^{(0)}(x), \bar{u}_{2L}^{(0)}(x), \bar{u}_{3L}^{(0)}(x) \right] \mathcal{M}^{(u)} \begin{bmatrix} u_{1R}^{(0)} \\ u_{2R}^{(0)} \\ u_{3R}^{(0)} \end{bmatrix} \\ & \quad - \left[ \bar{d}_{1L}^{(0)}(x), \bar{d}_{2L}^{(0)}(x), \bar{d}_{3L}^{(0)}(x) \right] \mathcal{M}^{(d)} \begin{bmatrix} d_{1R}^{(0)} \\ d_{2R}^{(0)} \\ d_{3R}^{(0)} \end{bmatrix} + \text{h.c.}, \end{aligned} \quad (36) \quad 275$$

where the mass matrices  $\mathcal{M}^{(u)}$  and  $\mathcal{M}^{(d)}$  have the following structure:

$$\mathcal{M}^{(u)} = \begin{bmatrix} m_{11}^{(u)} & m_{12}^{(u)} & 0 \\ 0 & m_{22}^{(u)} & m_{21}^{(u)} \\ 0 & 0 & m_{33}^{(u)} \end{bmatrix}, \quad \mathcal{M}^{(d)} = \begin{bmatrix} m_{11}^{(d)} & m_{12}^{(d)} & 0 \\ 0 & m_{22}^{(d)} & m_{21}^{(d)} \\ 0 & 0 & m_{33}^{(d)} \end{bmatrix}. \quad (37)$$

The three-fold degenerated zero modes are distinguished by the generation indices “1, 2, 3” in both up-type ( $u$ ) and down-type ( $d$ ) quarks. Each matrix component in Eq. (37) is calculated by overlap integrals among the Higgs VEV and zero mode functions of right- and left-handed fermions, which are functions of the fermion bulk masses  $M_Q$ ,  $M_U$ ,  $M_D$  and the locations of the point interactions  $L_1^{(q)}$ ,  $L_2^{(q)}$ ,  $L_1^{(u)}$ ,  $L_2^{(u)}$ ,  $L_1^{(d)}$ ,  $L_2^{(d)}$ . In contrast to the SM, some elements of the Yukawa mass matrices are zero. The diagonal parts  $m_{11}^{(u)}$ ,  $m_{22}^{(u)}$ ,  $m_{33}^{(u)}$ ,  $m_{11}^{(d)}$ ,  $m_{22}^{(d)}$ ,  $m_{33}^{(d)}$  are always nonzero unless we take an extremal parameter choice, e.g.,  $L_1^{(u)} = L_2^{(u)}$ , which, of course, is unsuitable for our purpose in this paper. We notice that the characteristic form of the mass matrices in Eq. (37) is given by the geometry of our setting. Some of the components in the mass matrices vanish due to no overlapping of mode functions.

Which non-diagonal component is nonzero depends on the positions of the point interactions. Following the rule in Eq. (35), only the (1, 2) and (2, 3) elements are nonzero. When we reverse the order of position between  $L_1^{(q)}$  and  $L_1^{(u)}$  as

$$L_1^{(u)} < L_1^{(q)} \rightarrow L_1^{(q)} < L_1^{(u)}, \quad (38)$$

the value of the (1, 2) component vanishes ( $m_{12}^{(u)} = 0$ ) but that of the (2, 1) component becomes nonzero ( $m_{21}^{(u)} \neq 0$ ). This issue is easily understandable when we focus on the fact that the row (column) index of the mass matrix corresponds to the generation of the left- (right-)handed fermion,

respectively. We would like to mention that in our model, flavor mixing is inevitable to realize the SM quark masses. Because in the aligned configuration of  $L_1^{(q)} = L_1^{(u)} = L_1^{(d)}$ ,  $L_2^{(q)} = L_2^{(u)} = L_2^{(d)}$ , it is very hard to realize the quark mass patterns of the first generation ( $m_{\text{up}} < m_{\text{down}}$ ) and those of the third generation ( $m_{\text{top}} > m_{\text{bottom}}$ ) simultaneously.

300 The point is whether or not we can reproduce the structure of the CKM matrix based on the limited forms of the Yukawa mass matrices, where we never find overlap between the first and third generations. After some calculations, we can find a set of input parameters where we reproduce the quark mass hierarchy and the CKM matrix simultaneously. In the next subsection we discuss, in detail, whether we can simultaneously reproduce the structure of the quark mass hierarchy and the CKM  
305 matrix based on the limited forms of the Yukawa mass matrices (37) without overlapping between the first and third generations.

### 3.1. A solution in the setup with a warped VEV

Let us first give the concrete forms of the Yukawa mass matrices in our system which were discussed in the previous subsection. The fields  $Q, \mathcal{U}, \mathcal{D}$  with the BCs in Eqs (32), (33), and (34) are KK-  
310 decomposed as follows:

$$Q(x, y) = \begin{pmatrix} U(x, y) \\ D(x, y) \end{pmatrix} = \begin{pmatrix} \sum_{i=1}^3 u_{iL}^{(0)}(x) f_{q_{iL}}^{(0)}(y) \\ \sum_{i=1}^3 d_{iL}^{(0)}(x) f_{q_{iL}}^{(0)}(y) \end{pmatrix} + (\text{KK modes}), \quad (39)$$

$$\mathcal{U}(x, y) = \sum_{i=1}^3 u_{iR}^{(0)}(x) f_{u_{iR}}^{(0)}(y) + (\text{KK modes}), \quad (40)$$

$$\mathcal{D}(x, y) = \sum_{i=1}^3 d_{iR}^{(0)}(x) f_{d_{iR}}^{(0)}(y) + (\text{KK modes}), \quad (41)$$

where we only focus on the zero mode parts. Here, the zero mode functions are obtained in the  
315 following forms:

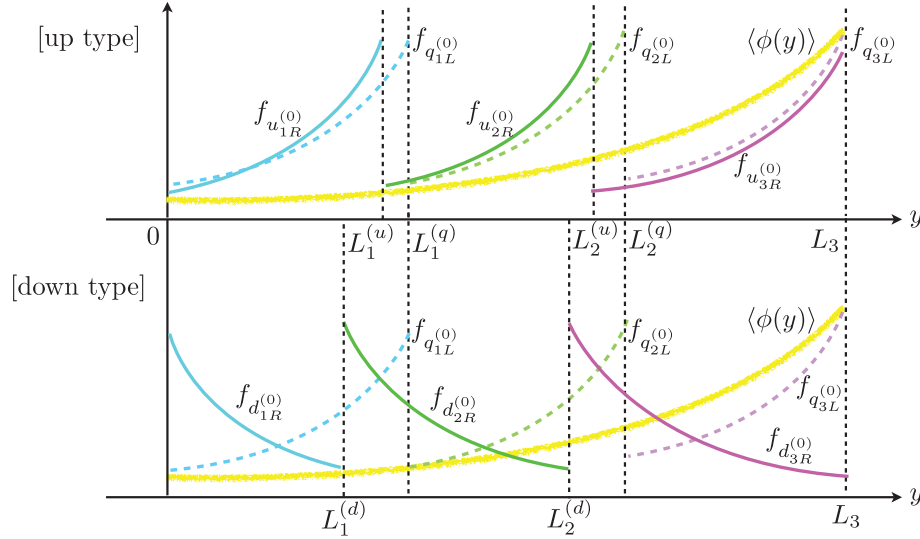
$$f_{q_{iL}}^{(0)}(y) = \mathcal{N}_i^{(q)} e^{M_Q(y-L_{i-1}^{(q)})} \left[ \theta(y - L_{i-1}^{(q)}) \theta(L_i^{(q)} - y) \right], \quad (42)$$

$$f_{u_{iR}}^{(0)}(y) = \mathcal{N}_i^{(u)} e^{-M_{\mathcal{U}}(y-L_{i-1}^{(u)})} \left[ \theta(y - L_{i-1}^{(u)}) \theta(L_i^{(u)} - y) \right], \quad (43)$$

$$f_{d_{iR}}^{(0)}(y) = \mathcal{N}_i^{(d)} e^{-M_{\mathcal{D}}(y-L_{i-1}^{(d)})} \left[ \theta(y - L_{i-1}^{(d)}) \theta(L_i^{(d)} - y) \right], \quad (44)$$

where we use the conventions, for clarity, of

$$\begin{aligned} \Delta L_i^{(l)} &= L_i^{(l)} - L_{i-1}^{(l)} \quad (\text{for } i = 1, 2, 3; l = q, u, d), \\ 0 (= L_0) &= L_0^{(q)} = L_0^{(u)} = L_0^{(d)}, \\ L_3 &= L_3^{(q)} = L_3^{(u)} = L_3^{(d)}, \\ \mathcal{N}_i^{(q)} &= \sqrt{\frac{2M_Q}{e^{2M_Q \Delta L_i^{(q)}} - 1}}, \quad \mathcal{N}_i^{(u)} = \sqrt{\frac{2M_{\mathcal{U}}}{1 - e^{-2M_{\mathcal{U}} \Delta L_i^{(u)}}}}, \quad \mathcal{N}_i^{(d)} = \sqrt{\frac{2M_{\mathcal{D}}}{1 - e^{-2M_{\mathcal{D}} \Delta L_i^{(d)}}}}. \end{aligned} \quad (45)$$



**Fig. 7.** An outline of the wavefunction profiles.

$\mathcal{N}_i^{(q)}, \mathcal{N}_i^{(u)}, \mathcal{N}_i^{(d)}$  are wavefunction normalization factors for  $f_{q_{iL}}^{(0)}, f_{u_{iL}}^{(0)}, f_{d_{iL}}^{(0)}$ , respectively. The length of the total system  $L$  takes the universal value of

325

$$L := L_3 = L_3^{(q)} - L_0^{(q)} = L_3^{(u)} - L_0^{(u)} = L_3^{(d)} - L_0^{(d)}. \quad (46)$$

We choose the signs of the fermion bulk masses  $M_Q, M_U, M_D$  as

$$M_Q > 0, M_U < 0, M_D > 0, \quad (47)$$

where we take a negative value in  $M_U$  to generate a large overlap for the top quark mass (mainly) in  $m_{33}^{(u)}$ . An outline of the wavefunction profiles is shown in Fig. 7.

Each component of  $\mathcal{M}^{(u)}$  and  $\mathcal{M}^{(d)}$  is acquired by calculating the corresponding overlap integral as follows:

330

$$\begin{aligned} m_{11}^{(u)} &= Y^{(u)} \int_0^{L_1^{(u)}} dy f_{q_{1L}}^{(0)}(y) f_{u_{1R}}^{(0)}(y) \langle \phi(y) \rangle \\ &= \mathcal{N}_1^{(q)} \mathcal{N}_1^{(u)} Y^{(u)} \mathcal{A} e^{-\alpha L} \left\{ \frac{e^{(M_Q + |M_U| + \alpha)L_1^{(u)}} - 1}{M_Q + |M_U| + \alpha} \right\}, \end{aligned} \quad (48)$$

$$\begin{aligned} m_{22}^{(u)} &= Y^{(u)} \int_{L_1^{(q)}}^{L_2^{(u)}} dy f_{q_{2L}}^{(0)}(y) f_{u_{2R}}^{(0)}(y) \langle \phi(y) \rangle \\ &= \mathcal{N}_2^{(q)} \mathcal{N}_2^{(u)} Y^{(u)} \mathcal{A} e^{-\alpha L} \left\{ \frac{e^{(M_Q + |M_U| + \alpha)L_2^{(u)}} - e^{(M_Q + |M_U| + \alpha)L_1^{(q)}}}{M_Q + |M_U| + \alpha} \right\} e^{-M_Q L_1^{(q)} - |M_U| L_1^{(u)}}, \end{aligned} \quad (49)$$

$$\begin{aligned} m_{33}^{(u)} &= Y^{(u)} \int_{L_2^{(q)}}^{L_3} dy f_{q_{3L}}^{(0)}(y) f_{u_{3R}}^{(0)}(y) \langle \phi(y) \rangle \\ &= \mathcal{N}_3^{(q)} \mathcal{N}_3^{(u)} Y^{(u)} \mathcal{A} e^{-\alpha L} \left\{ \frac{e^{(M_Q + |M_U| + \alpha)L_3^{(q)}} - e^{(M_Q + |M_U| + \alpha)L_2^{(q)}}}{M_Q + |M_U| + \alpha} \right\} e^{-M_Q L_2^{(q)} - |M_U| L_2^{(u)}}, \end{aligned} \quad (50)$$

335

$$\begin{aligned}
m_{12}^{(u)} &= Y^{(u)} \int_{L_1^{(u)}}^{L_1^{(q)}} dy f_{q_{1L}}^{(0)}(y) f_{u_{2R}}^{(0)}(y) \langle \phi(y) \rangle \\
&= \mathcal{N}_1^{(q)} \mathcal{N}_2^{(u)} Y^{(u)} \mathcal{A} e^{-\alpha L} \left\{ \frac{e^{(M_Q + |M_{\mathcal{U}}| + \alpha)L_1^{(q)}} - e^{(M_Q + |M_{\mathcal{U}}| + \alpha)L_1^{(u)}}}{M_Q + |M_{\mathcal{U}}| + \alpha} \right\} e^{-|M_{\mathcal{U}}|L_1^{(u)}}, \quad (51)
\end{aligned}$$

$$\begin{aligned}
m_{23}^{(u)} &= Y^{(u)} \int_{L_2^{(u)}}^{L_2^{(q)}} dy f_{q_{2L}}^{(0)}(y) f_{u_{3R}}^{(0)}(y) \langle \phi(y) \rangle \\
&= \mathcal{N}_2^{(q)} \mathcal{N}_3^{(u)} Y^{(u)} \mathcal{A} e^{-\alpha L} \left\{ \frac{e^{(M_Q + |M_{\mathcal{U}}| + \alpha)L_2^{(q)}} - e^{(M_Q + |M_{\mathcal{U}}| + \alpha)L_2^{(u)}}}{M_Q + |M_{\mathcal{U}}| + \alpha} \right\} e^{-M_Q L_1^{(q)} - |M_{\mathcal{U}}|L_2^{(u)}}, \quad (52)
\end{aligned}$$

$$\begin{aligned}
340 \quad m_{11}^{(d)} &= Y^{(d)} \int_0^{L_1^{(d)}} dy f_{q_{1L}}^{(0)}(y) f_{d_{1R}}^{(0)}(y) \langle \phi(y) \rangle \\
&= \mathcal{N}_1^{(q)} \mathcal{N}_1^{(d)} Y^{(d)} \mathcal{A} e^{-\alpha L} \left\{ \frac{e^{(M_Q - M_{\mathcal{D}} + \alpha)L_1^{(d)}} - 1}{M_Q - M_{\mathcal{D}} + \alpha} \right\}, \quad (53)
\end{aligned}$$

$$\begin{aligned}
m_{22}^{(d)} &= Y^{(d)} \int_{L_1^{(q)}}^{L_2^{(d)}} dy f_{q_{2L}}^{(0)}(y) f_{d_{2R}}^{(0)}(y) \langle \phi(y) \rangle \\
&= \mathcal{N}_2^{(q)} \mathcal{N}_2^{(d)} Y^{(d)} \mathcal{A} e^{-\alpha L} \left\{ \frac{e^{(M_Q - M_{\mathcal{D}} + \alpha)L_2^{(d)}} - e^{(M_Q - M_{\mathcal{D}} + \alpha)L_1^{(q)}}}{M_Q - M_{\mathcal{D}} + \alpha} \right\} e^{-M_Q L_1^{(q)} + M_{\mathcal{D}} L_1^{(d)}}, \quad (54)
\end{aligned}$$

$$\begin{aligned}
m_{33}^{(d)} &= Y^{(d)} \int_{L_2^{(q)}}^{L_3^{(d)}} dy f_{q_{3L}}^{(0)}(y) f_{d_{3R}}^{(0)}(y) \langle \phi(y) \rangle \\
345 \quad &= \mathcal{N}_3^{(q)} \mathcal{N}_3^{(d)} Y^{(d)} \mathcal{A} e^{-\alpha L} \left\{ \frac{e^{(M_Q - M_{\mathcal{D}} + \alpha)L_3^{(d)}} - e^{(M_Q - M_{\mathcal{D}} + \alpha)L_2^{(q)}}}{M_Q - M_{\mathcal{D}} + \alpha} \right\} e^{-M_Q L_2^{(q)} + M_{\mathcal{D}} L_2^{(d)}}, \quad (55)
\end{aligned}$$

$$\begin{aligned}
m_{12}^{(d)} &= Y^{(d)} \int_{L_1^{(d)}}^{L_1^{(q)}} dy f_{q_{1L}}^{(0)}(y) f_{d_{2R}}^{(0)}(y) \langle \phi(y) \rangle \\
&= \mathcal{N}_1^{(q)} \mathcal{N}_2^{(d)} Y^{(d)} \mathcal{A} e^{-\alpha L} \left\{ \frac{e^{(M_Q - M_{\mathcal{D}} + \alpha)L_1^{(q)}} - e^{(M_Q - M_{\mathcal{D}} + \alpha)L_1^{(d)}}}{M_Q - M_{\mathcal{D}} + \alpha} \right\} e^{M_{\mathcal{D}} L_1^{(d)}}, \quad (56)
\end{aligned}$$

$$\begin{aligned}
m_{23}^{(d)} &= Y^{(d)} \int_{L_2^{(d)}}^{L_2^{(q)}} dy f_{q_{2L}}^{(0)}(y) f_{d_{3R}}^{(0)}(y) \langle \phi(y) \rangle \\
&= \mathcal{N}_2^{(q)} \mathcal{N}_3^{(d)} Y^{(d)} \mathcal{A} e^{-\alpha L} \left\{ \frac{e^{(M_Q - M_{\mathcal{D}} + \alpha)L_2^{(q)}} - e^{(M_Q - M_{\mathcal{D}} + \alpha)L_2^{(d)}}}{M_Q - M_{\mathcal{D}} + \alpha} \right\} e^{-M_Q L_1^{(q)} + M_{\mathcal{D}} L_2^{(d)}}, \quad (57)
\end{aligned}$$

350 where we have used the forms of the wavefunctions in Eqs. (42), (43), (44), the conventions in Eq. (45), and the assumed profiles of the Higgs VEV in Eq. (31). In this paper, we choose the parameters as

$$\begin{aligned}
L_1^{(q)} &= 0.338 \cdot L, & L_2^{(q)} &= 0.689 \cdot L, & L_3^{(q)} &= 1 \cdot L, \\
L_1^{(u)} &= 0.0115 \cdot L, & L_2^{(u)} &= 0.540 \cdot L, & L_3^{(u)} &= 1 \cdot L,
\end{aligned}$$

$$\begin{aligned}
L_1^{(d)} &= 0.223 \cdot L, & L_2^{(d)} &= 0.676 \cdot L, & L_3^{(d)} &= 1 \cdot L, \\
M_Q &= 6.67 \cdot L^{-1}, & M_U &= -7.98 \cdot L^{-1}, & M_D &= 6.16 \cdot L^{-1}, \\
\alpha &= 8.67 \cdot L^{-1}, & Y^{(u)}/Y^{(d)} &= 12.0, & \mathcal{A}Y^{(u)} &= 275 \text{ GeV},
\end{aligned} \tag{58}$$

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which reproduce the quark mass hierarchy and the CKM matrix with good precision. The quark mass eigenvalues and the CKM matrix are evaluated from Eqs. (48)–(57). The diagonalized Yukawa mass matrices take the forms

$$\mathcal{M}^{(u)}|_{\text{diagonal}} = \text{diag} (2.13 \text{ MeV}, 1.18 \text{ GeV}, 174 \text{ GeV}), \tag{59}$$

360

$$\mathcal{M}^{(d)}|_{\text{diagonal}} = \text{diag} (3.85 \text{ MeV}, 110 \text{ MeV}, 4.19 \text{ GeV}), \tag{60}$$

and the CKM matrix is given as

$$|V_{\text{CKM}}| = \begin{bmatrix} 0.976 & 0.213 & 0.00448 \\ 0.213 & 0.976 & 0.0475 \\ 0.0145 & 0.0454 & 0.999 \end{bmatrix}. \tag{61}$$

Now we present the latest experimental values. The quark masses are summarized in Table 1 and the CKM matrix is

365

$$|V_{\text{CKM}}|_{\text{exp.}} = \begin{bmatrix} 0.974 & 0.225 & 0.00415 \\ 0.230 & 1.006 & 0.0409 \\ 0.0084 & 0.0429 & 0.89 \end{bmatrix} \tag{62}$$

from Ref. [61]. From the results in Eqs. (59) and (60), the mass eigenvalues are well reproduced within about twenty percent, and the absolute values of the CKM matrix elements are also described within about twenty percent in almost all the elements. But we should comment on the (3, 1) component of the CKM matrix which we have obtained, where the deviation ratio measures up to  $\sim 70\%$ .

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At this stage, we ponder over the number of input parameters and constraints originating from the equations. After keeping the relations concerning the positions in Eqs. (45) and (46) in mind, we count the independent d.o.f. (degrees of freedom) as 7 for the positions of the boundary points, 3 for the fermion bulk masses, 2 for the 5D Yukawa couplings, 2 for the effective Higgs VEV parameters, respectively, and the total d.o.f. is 14. Meanwhile, the total number of constraints is 9, consisting of 6 quark mass eigenvalues and 3 CKM mixing angles. Here, the two massive parameters of

375

$$Y^{(u)} \text{ (or } Y^{(d)}), \mathcal{A}, L \tag{63}$$

are still not specified. It is noted that once one of  $Y^{(u)}$ ,  $Y^{(d)}$ ,  $\mathcal{A}$  is fixed, the others are determined simultaneously. The reason for this indetermination is that the electroweak scale is not indicated within our analysis.

**Table 1.** Experimental values of quark masses from Ref. [61].

up quark	mass	down quark	mass
up ( $u$ )	1.8–3.0 MeV	down ( $d$ )	4.5–5.5 MeV
charm ( $c$ )	1.250–1.300 GeV	strange ( $s$ )	90–100 MeV
top ( $t$ )	172.1–174.9 GeV	bottom ( $b$ )	4.15–4.21 GeV



380 The results in Eq. (58) are realized broadly within  $\mathcal{O}(10)$  range (when we choose the basis of scaling as  $L^{-1}$ ) and it is shown that we can explain both the quark mass hierarchy and the structure of the CKM matrix in a natural statement.

#### 4. An example for realizing warped Higgs VEV by generalized Higgs boundary condition

385 In the previous section, we have verified the possibility of obtaining the quark mass hierarchy and the structure of the CKM matrix at the same instant without fine-tuning of the parameters in the setup, whose geometry contains many point interactions. Here we have assumed the forms of 5D Yukawa couplings and the warped shape of the Higgs VEV profile  $\langle\phi(y)\rangle$ . In this section, we give an example of generating this situation without conflicting with the physics of the SM.

##### 390 4.1. Generalized boundary condition for a scalar

Following [60], we can consider the physics which is described by the 5D actions on a single interval  $[0, L]$  for a 5D scalar  $\Phi$  of

$$S_\Phi = \int d^4x \int_0^L dy \{ \Phi^\dagger \partial^\mu \partial_\mu \Phi + \Phi^\dagger \partial_y^2 \Phi - V(|\Phi|^2) \}, \quad (64)$$

395 with the bulk potential  $V(|\Phi|^2) = M^2 |\Phi|^2 + \frac{\lambda}{2} |\Phi|^4$ , and where we do not consider a tree-level brane-localized term. The parameters  $M^2, \lambda$  need to be real due to hermiticity. We can find a difference from the ordinary UED-type form in the structure of the derivatives. Based on the variational principle, the following form should vanish at the boundaries  $y = 0, L$ :

$$\Phi^\dagger \partial_y \delta \Phi - (\partial_y \Phi)^\dagger \delta \Phi = 0 \quad \text{at } y = 0, L. \quad (65)$$

It turns out that a larger class of BCs is allowed with two new real massive parameters  $L_\pm$ , whose mass dimensions are  $-1$ , as

$$\begin{aligned} \Phi + L_+ \partial_y \Phi &= 0 & \text{at } y = 0, \\ \Phi - L_- \partial_y \Phi &= 0 & \text{at } y = L, \end{aligned} \quad (66)$$

where  $L_\pm$  can take values in the range of  $-\infty \leq L_\pm \leq \infty$ . This type of BC is called a Robin boundary condition. It is obvious that the above conditions include the ordinary Neumann (Dirichlet) BC in the case of  $L_\pm = \pm\infty$  ( $L_\pm = 0$ ).

##### 4.2. Position-dependent scalar VEV with generalized boundary condition

405 In this subsection, we discuss the profile of the scalar singlet  $\Phi$  and its phase structure. In the mUED model, where the Higgs doublet takes the Neumann BC at both boundaries, the 4D effective Higgs potential is minimized with ease, where the VEV is a constant ( $y$ -independent), and the situation is the same with the SM.

On the other hand, in our model the phase structure is nontrivial because the massive parameters  $L_\pm$  emerge in our generalized BC in Eq. (66). To investigate the properties of the phase structure of

the scalar  $\Phi$ , we have to solve the minimization problem of the functional indicating 4D effective Higgs potential: 410

$$\mathcal{E}[\Phi] := \int_0^L dy \{-\Phi^\dagger \partial_y^2 \Phi + V(|\Phi|^2)\} = \int_0^L dy \left\{ -\Phi^\dagger \partial_y^2 \Phi + M^2 |\Phi|^2 + \frac{\lambda}{2} |\Phi|^4 \right\}. \quad (67)$$

It is important to incorporate the kinetic term along with the extra spatial direction into the potential because the minimum configuration of the singlet  $\Phi$  probably possesses  $y$ -dependence, as we will see below.

Hereafter, we search for the form of the VEV  $\langle \Phi(y) \rangle$  by solving the EOM 415

$$(-\partial_y^2 + M^2)\Phi + \lambda \Phi^\dagger \Phi^2 = 0, \quad (68)$$

which can be obtained after taking variation in Eq. (67). The solutions of Eq. (68) are generally found to be expressed in terms of Jacobi's elliptic functions. The types of solution with  $M^2 > 0$  are classified based on a parameter  $Q$ , which is an integration constant after integrating along  $y$ , with mass dimensions 5. It turns out that with the choice of  $Q < 0$ , a solution of Eq. (68), which can realize the desired “warped” VEV in an asymptotic form, is given by 420

$$\langle \Phi(y) \rangle = v \cdot \frac{1}{\text{cn} \left( \sqrt{\frac{\lambda}{2}} \frac{\mu}{k} (y - y_0), k \right)}, \quad (69)$$

where the several parameters are defined as

$$\mu^2 = \frac{M^2}{\lambda} \left( 1 + \sqrt{1 + \frac{4\lambda|Q|}{M^4}} \right), \quad (70)$$

$$v^2 = \frac{M^2}{\lambda} \left( \sqrt{1 + \frac{4\lambda|Q|}{M^4}} - 1 \right), \quad (71)$$

$$k^2 = \frac{\mu^2}{\mu^2 + v^2}. \quad (72)$$

$y_0$  means a translation d.o.f. along  $y$ , which appears after integration to solve the equation in (68). 425  
The index  $k$  is an important parameter of elliptic function for determining the profile. We can rewrite  $k$  by use of the input parameters in  $\mu, v$  as

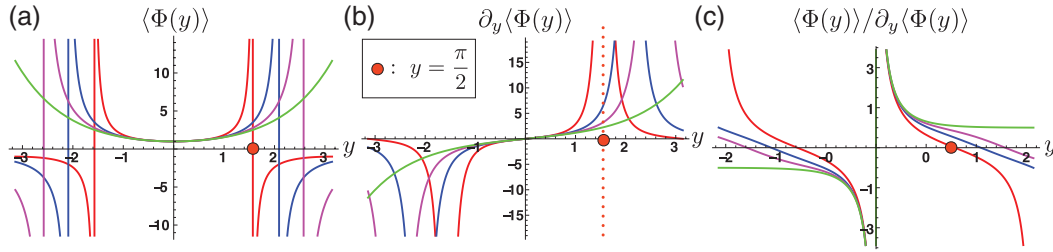
$$k = \sqrt{\frac{1}{2} \left( 1 + \frac{1}{\sqrt{1+X}} \right)} \quad \left( X := \frac{4\lambda|Q|}{M^4} \right), \quad (73)$$

where we conclude that the possible region of the value of  $k$  is

$$\sqrt{\frac{1}{2}} \leq k \leq 1. \quad (74)$$

Here we note that the condition  $\lambda > 0$  is required to ensure the stability of the vacuum. We again write down the form of  $\Phi$  with less abbreviation: 430

$$\langle \Phi(y) \rangle = \left[ \frac{M}{\sqrt{\lambda}} \left\{ \sqrt{1+X} - 1 \right\}^{1/2} \right] \times \frac{1}{\text{cn} \left( M \{1+X\}^{1/4} (y - y_0), \sqrt{\frac{1}{2} \left( 1 + \frac{1}{\sqrt{1+X}} \right)} \right)}. \quad (75)$$



**Fig. 8.** These three plots represent the VEV profile of: (a)  $\langle \Phi(y) \rangle = 1/\text{cn}(y, k)$ , (b) its first derivative  $\partial_y \langle \Phi(y) \rangle$ , and (c) the form of  $\langle \Phi(y) \rangle / \partial_y \langle \Phi(y) \rangle$ . The red, blue, magenta, green curves are in  $k = 0, 0.71, 0.9, 1$ , respectively. The red dots are the points  $y = \pi/2$ .

Next, we decide to choose our strategy to treat the scalar BC. We rewrite Eq. (66) as follows:

$$L_+ = -\langle \Phi(0) \rangle / \partial_y \langle \Phi(0) \rangle, \quad L_- = +\langle \Phi(L) \rangle / \partial_y \langle \Phi(L) \rangle. \quad (76)$$

The  $L_+$ ,  $L_-$  are determined by the values of  $\langle \Phi(y) \rangle$ ,  $\partial_y \langle \Phi(y) \rangle$  at the endpoints  $y = 0, L$ , and we first discuss the shapes of  $\langle \Phi(y) \rangle$ ,  $\partial_y \langle \Phi(y) \rangle$ . In Fig. 8, we show three plots of  $\langle \Phi(y) \rangle$ ,  $\partial_y \langle \Phi(y) \rangle$  and  $\langle \Phi(y) \rangle / \partial_y \langle \Phi(y) \rangle$ , with suitable normalizations. The red, blue, magenta, green curves are in  $k = 0, 0.71, 0.9, 1$ , respectively, and the red dots are the points  $y = \pi/2$ . Some comments are in order:

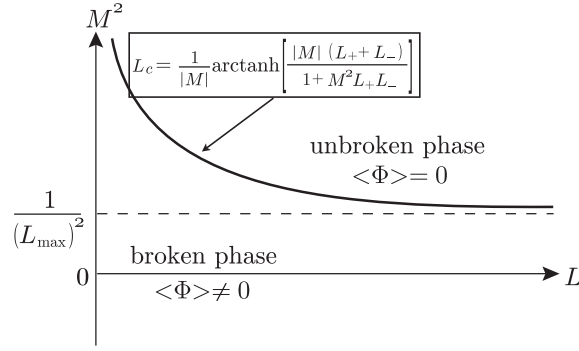
- The function of  $1/\text{cn}(y)$  can be represented as a trigonometric or hyperbolic functions in extremal cases of  $k$ , namely  $1/\text{cn}(y)|_{k=0} = 1/\cos(y)$  and  $1/\text{cn}(y)|_{k=1} = \cosh(y)$ . Following the change of the value of  $k$  from 0 to 1, the profile of  $1/\text{cn}(y)$  smoothly shifts from  $1/\cos(y)$  to  $\cosh(y)$ .
- $\langle \Phi(y) \rangle|_{k=0}$  and  $\partial_y \langle \Phi(y) \rangle|_{k=0}$  are divergent at  $y = \pi/2$ . Increasing the value of  $k$  from 0 to 1, this divergent point moves from  $\pi/2$  to infinity.
- The profile of  $[\langle \Phi(y) \rangle / \partial_y \langle \Phi(y) \rangle]|_{k=0}$  is divergent at  $y = \pi$  and takes zero value at  $y = \pi/2$ . As the value of  $k$  increases from 0 to 1, these points move from  $\pi$  ( $\pi/2$ ) to infinity. This profile is also divergent at  $y = 0$  independently of the value of  $k$ .
- In the region between  $y = 0$  and  $y = y_d$ , where  $y_d$  is the first divergent point with positive value and, of course,  $y_d = \pi/2$  in the case of  $k = 0$ , the profile of  $\langle \Phi(y) \rangle / \partial_y \langle \Phi(y) \rangle$  is monotonically decreasing independently of the value of  $k$ .

In this paper, we focus on the segment of  $(0, y_d)$ . For this segment, as we review above, the profile of  $\langle \Phi(y) \rangle / \partial_y \langle \Phi(y) \rangle$  is monotonically decreasing independently of the value of  $k$  and takes positive value. Therefore, the values of  $L_+$ ,  $L_-$  obey the following condition:

$$\begin{cases} L_+ < 0 \\ L_- > 0 \\ |L_+| > |L_-| \end{cases}, \quad (77)$$

where the condition  $L_+ + L_- < 0$  is automatically derived from the conditions of Eq. (77). The phase structure of the scalar singlet  $\Phi$  with generalized BC is explored in Ref. [60], and we quote a phase diagram in our case of  $L_+ L_- < 0$ ,  $L_+ + L_- \leq 0$  as Fig. 9.  $L_{\max}$  in Fig. 9 is defined as

$$L_{\max} := \max \{L_+, L_-\} = L_-. \quad (78)$$



**Fig. 9.** Phase diagram of the scalar  $\Phi$  in the case of  $L_+ L_- < 0$ ,  $L_+ + L_- \leq 0$  from Ref. [60].

The important point is the existence of a critical length  $L_c$  in the region of  $M^2 > 1/L_{\max}^2$ , whose definition is

$$L_c = \frac{1}{|M|} \operatorname{arctanh} \left( \frac{|M| (L_+ + L_-)}{1 + M^2 L_+ L_-} \right) \quad \text{for } M^2 > \frac{1}{L_{\max}^2}, \quad (79)$$

and when we introduce a  $U(1)$  gauge boson, the gauge symmetry is broken (unbroken) for  $L < L_c$  ( $L \geq L_c$ ). On the other hand, the gauge symmetry is always broken in the region of  $M^2 \leq \frac{1}{L_{\max}^2}$ .

Now we discuss properties of the solution. With the condition of

$$k \sim 1 \quad \text{and} \quad -\sqrt{\frac{\lambda}{2}} \frac{\mu}{k} y_0 \gg 1, \quad (80)$$

the form of the solution gets to be exponential as follows:

$$\langle \Phi(y) \rangle \underset{k \sim 1}{\sim} \nu \cosh \left( \sqrt{\frac{\lambda}{2}} \mu (y - y_0) \right) \underset{\sqrt{\frac{\lambda}{2}} \mu (y - y_0) \gg 1}{\sim} \frac{\nu}{2} e^{-\sqrt{\frac{\lambda}{2}} \mu y_0} \cdot e^{\sqrt{\frac{\lambda}{2}} \mu y}, \quad (81)$$

which is just the form of the warped VEV. Detailed analysis, including numerical calculation, is provided in Section 4.4. For a more concrete understanding, we conduct further analysis based on the discussion in Ref. [60]. We introduce the eigenfunctions  $f_{(n)}(y)$  of the eigenvalue equation

$$-\partial_y^2 f_{(n)}(y) = E_{(n)} f_{(n)}(y), \quad n = 0, 1, 2, \dots, \quad (82)$$

with the BCs

$$f_{(n)}(0) + L_+ \partial_y f_{(n)}(0) = f_{(n)}(L) - L_- \partial_y f_{(n)}(L) = 0. \quad (83)$$

In terms of the orthonormal eigenfunctions  $f_{(n)}(y)$ , whose orthonormality is ensured by the hermiticity of the operator  $(-\partial_y^2)$ , the field  $\Phi$  can be expanded as

$$\Phi(y) = \sum_{n=0}^{\infty} \phi_{(n)} f_{(n)}(y), \quad (84)$$

with the corresponding coefficients  $\phi_{(n)}$ . Inserting this into  $\mathcal{E}[\Phi]$  in Eq. (67) leads to

$$\mathcal{E}[\Phi] = \sum_{n=0}^{\infty} m_{(n)}^2 |\phi_{(n)}|^2 + (\text{quartic terms in } \phi_{(n)}), \quad (85)$$

where

$$m_{(n)}^2 := M^2 + E_{(n)}, \quad n = 0, 1, 2, \dots \quad (86)$$

Note that the quartic terms are non-negative for any configurations of  $\phi_{(n)}$  because they come from the term of  $\int_0^L dy \frac{\lambda}{2} |\Phi|^4 (\geq 0)$ . It follows that the configuration of the VEV is given by  $\langle \Phi \rangle = 0$  (or  $\langle \phi_{(n)} \rangle = 0$  for any  $n$ ) if  $m_{(n)}^2 \geq 0$  for any  $n$ . For realizing symmetry breaking, the condition  $m_{(0)}^2 < 0$  is mandatory and the  $|\phi_{(0)}|^2$  term's contribution is probably dominant around the minima.<sup>4</sup> Consequently, we could approximate the form of  $\langle \Phi(y) \rangle$  as

$$\langle \Phi(y) \rangle \sim \phi_{(0)} f_{(0)}(y). \quad (87)$$

Here we take the two parameters of the BC as

$$\frac{1}{L_{\pm}} = \mp(M + \epsilon), \quad (88)$$

where  $\epsilon$  is a microscopic (but not infinitesimal) positive value. It is not difficult to obtain the form of  $f_{(0)}(y)$  and the corresponding eigenvalue  $E_{(0)}$  with the assumptions

$$f_{(0)}(y) \sim e^{(M+\epsilon)y}, \quad E_{(0)} = -(M + \epsilon)^2, \quad (89)$$

from which we derive the form of the warped VEV again. By calculating the value of  $m_{(0)}$  as

$$m_{(0)}^2 = -2\epsilon M - \epsilon^2, \quad (90)$$

we can infer with certainty that symmetry breaking is realized with our premise of  $M > 0$ .<sup>5</sup>

#### 4.3. A model for realizing our mechanism without violating gauge universality in multiple point interaction systems

Now we know that the form of the warped VEV can be achieved by the scalar with the generalized BC in Eq. (66). Thinking naively, in the UED-type model with one Higgs  $SU(2)_W$  doublet  $H$  with the generalized BCs at the two end points of the total system ( $y = 0, y = L$ ), and “continuous” conditions at the others, we can explain the quark mass hierarchy and the structure of the CKM matrix simultaneously via geometry. But two nontrivial issues exist in this setup.

In the SM, the profile of the VEV  $\langle H \rangle$  can be rotated by use of  $SU(2)_W$  global symmetry as

$$\langle H \rangle \rightarrow \begin{pmatrix} 0 \\ v/\sqrt{2L} \end{pmatrix}, \quad (91)$$

with  $v = 246 \text{ GeV}$ , because the profile  $\langle H \rangle$  is constant. The first issue is whether we can rotate the profile and obtain the above form or not. In our case, the VEV generally becomes  $y$ -dependent and the 4D effective Higgs potential in Eq. (67) is considered to hold a very complicated structure. Thus it is nontrivial whether the VEV  $\langle H \rangle$  can take the form in Eq. (91).

The second issue is critical. When the VEV is  $y$ -position dependent, the zero mode profile of a 4D gauge boson is determined by a Lamé-type equation and is not constant any longer. Then

<sup>4</sup> Continuing discussions in this way, we can classify the phase structure of the scalar without knowing the details of the VEV  $\langle \Phi \rangle$ .

<sup>5</sup> In other words, the condition  $M^2 < 1/L_{\max}^2$  is fulfilled.

the overlap integrals for quark-antiquark-gauge boson interactions in the SM become generation-dependent and, as a consequence, the gauge universality in the SM is jeopardized. Of course, this result is unacceptable and therefore we need to alter our strategy.

A remedy for this problem requires the coexistence of a Higgs doublet  $H$  and a singlet scalar  $\Phi$ , where the BC of the former is chosen as the ordinary Neumann-type at the end points,

$$\partial_y H|_{y=0} = \partial_y H|_{y=L} = 0, \quad (92)$$

and of the latter is selected as the generalized BC in Eq. (66). The 5D actions for  $H$  and  $\Phi$  are given as

$$S_H = \int d^4x \int_0^L dy \left\{ H^\dagger (D_M D^M + M'^2) H - \frac{\lambda'}{2} (H^\dagger H)^2 \right\}, \quad (93)$$

$$S_\Phi = \int d^4x \int_0^L dy \left\{ \Phi^\dagger (\partial_M \partial^M - M^2) \Phi - \frac{\lambda}{2} (\Phi^\dagger \Phi)^2 \right\}. \quad (94)$$

Here we introduce the 5D gauge bosons  $G_M$ ,  $W_M$ ,  $B_M$ , which are 5D  $SU(3)_C$ ,  $SU(2)_W$ ,  $U(1)_Y$  bosons, respectively.  $D_M$  means the covariant derivative for the corresponding gauge bosons. The 5D fermions in Eq. (28) are also gauged for reproducing the SM interactions.  $D_M$  and the action for the 5D gauge bosons take the same form as those in the mUED and we do not include them here since the detailed information is not important in the following discussion. The BCs for  $G_M$ ,  $W_M$ ,  $B_M$  are chosen as

$$\partial_y G_\mu|_{y=0} = \partial_y G_\mu|_{y=L} = 0, \quad (95)$$

$$G_y|_{y=0} = G_y|_{y=L} = 0, \quad (96)$$

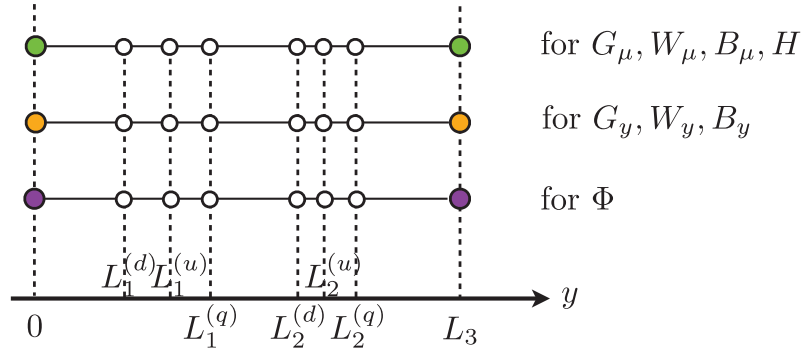
where we only illustrate the gluon case. Fig. 10 shows a schematic diagram for explaining the BCs for bosons. The green, orange, and purple circular spots represent the ordinary Neumann, Dirichlet, and the generalized BCs in Eq. (66), respectively. Note that for both end points of the total system, we use the continuous condition discussed in Eqs. (24) and (25). Each 4D vector part has a zero mode, whose mode function is a constant. By using the condition  $M'^2 > 0$ ,  $SU(2)_W \times U(1)_Y$  gauge symmetry is spontaneously broken through the usual Higgs mechanism and the SM situation is duplicated at the sectors of  $G_M$ ,  $W_M$ ,  $B_M$ ,  $H$ . Here we should assign the  $U(1)_Y$  charge of the Higgs singlet  $\Phi$  as zero to ensure that  $\Phi$  does not couple to any gauge bosons. Accordingly, the problem with gauge universality never occurs in the refined setup.

What we should consider next is the structure of 5D Yukawa interactions. When we adopt the forms in the SM (or the mUED), the large mass hierarchy cannot be created since the profile of  $\langle H \rangle$  is constant. To simplify the situation, we introduce the discrete symmetry

$$H \rightarrow -H, \quad \Phi \rightarrow -\Phi \quad (97)$$

to prohibit the terms of  $\bar{Q}(i\sigma_2 H^*)U$ ,  $\bar{Q}H\mathcal{D}$ ,  $\Phi\bar{Q}Q$ ,  $\Phi\bar{U}U$ ,  $\Phi\bar{\mathcal{D}}\mathcal{D}$  in the Pauli matrix  $\sigma_2$ . The desirable 4D Yukawa structure is generated by introducing the terms

$$S_Y = \int d^4x \int_0^L dy \{ \Phi [ -\mathcal{Y}^{(u)} \bar{Q}(i\sigma_2 H^*)U - \mathcal{Y}^{(d)} \bar{Q}H\mathcal{D} + \text{h.c.} ] \}, \quad (98)$$



**Fig. 10.** A schematic diagram for explaining the BCs for bosons. The green, orange, and purple circular spots represent the ordinary Neumann, Dirichlet, and the generalized BCs in Eq. (66), respectively. Note that for both end points of the total system, we use the continuous condition discussed in Eqs. (24) and (25).

where those operators are higher-dimensional compared to the previous five operators and allowed under the discrete symmetry in Eq. (97). We note that the coefficients  $\mathcal{Y}^{(u)}$ ,  $\mathcal{Y}^{(d)}$  have mass dimension  $-2$ . After the electroweak symmetry breaking (EWSB) occurs with nonvanishing  $\langle H \rangle$  and  $\langle \Phi \rangle$ , the 4D effective Lagrangian which we assume in Eq. (28) is realized without any serious conflict with the nature of the SM.

Now we discuss some related issues. Whether 5D gauge invariance is conserved or not is one of the important criteria for judging validity of BCs. When we break gauge symmetry by BCs, the issue of possible unitary violation due to longitudinal components of massive gauge bosons should be considered [62–64]. However, we mention that in the cases of the Dirichlet BC for the fermions at the mid and end points and the generalized Higgs BC at the end points, 5D gauge invariance is intact.

In the above analysis, we neglect the contribution to the total scalar potential of the doublet-singlet mixing term with coefficient  $C$ :

$$S_{\text{mixing}} = \int d^4x \int_0^L dy \{-C \Phi^\dagger \Phi H^\dagger H\}, \quad (99)$$

where the discrete symmetry in Eq. (97) cannot preclude this form. After considering this part, the profiles of  $\Phi$  and  $H$  are deformed and the problem with gauge universality is revived. Therefore we should choose a sufficiently small coefficient  $C$  to avoid this obstacle. Detailed discussion of this topic is provided in Appendix A and B.

#### 4.4. Detailed numerical calculations for justifying the model with Higgs doublet and singlet

Based on the previous discussions, we re-examine the issue of the validity of our model including the Higgs doublet and scalar singlet with numerical calculations. At first, we reconsider the approximation in Eq. (81). As we have discussed before, the cn function is almost equivalent to the cosh function in the limit of  $k \simeq 1$ , and then we obtain the form

$$\langle \Phi(y) \rangle \simeq v \cosh \left[ \sqrt{\frac{\lambda}{2}} \mu (y - y_0) \right] = \frac{v}{2} \left\{ e^{\sqrt{\frac{\lambda}{2}} \mu (y - y_0)} + e^{-\sqrt{\frac{\lambda}{2}} \mu (y - y_0)} \right\} \quad (\text{with } k \simeq 1). \quad (100)$$



If the condition  $\sqrt{\frac{\lambda}{2}}\mu(y - y_0) \gtrsim 1$  is fulfilled, the second term on the right-hand side of Eq. (100) can be neglected with about 10% error ( $e^{-2} \simeq 0.135$ ). Therefore, we get the outcome of

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$$\langle \Phi(y) \rangle \simeq \frac{\nu}{2} e^{\sqrt{\frac{\lambda}{2}}\mu(y-y_0)} \quad \left( \text{with } k \simeq 1 \text{ and } \sqrt{\frac{\lambda}{2}}\mu(y - y_0) \gtrsim 1 \right). \quad (101)$$

Here we consider the situation of  $k \simeq 1$  more concretely. As we show in Eq. (73), the parameter  $k$  is composed from some input parameters for the solution, and  $k \simeq 1$  is equivalent to the condition

$$X = \frac{4\lambda|Q|}{M^4} \simeq 0. \quad (102)$$

It is obvious that for matching this condition, smaller (greater) values of  $\lambda$  and/or  $|Q|(M)$  are preferred. But the extremal choices of  $\lambda = 0$ ,  $|Q| = 0$ ,  $M = \infty$  turn into disorder and unnaturalness. We rewrite the approximated form in Eq. (101) with input parameters by considering the shapes of  $\mu, \nu$  in Eqs. (70) and (71), which are approximately, under the situation  $k \simeq 1$ ,

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$$\mu \simeq \sqrt{\frac{2}{\lambda}} M, \quad \nu \simeq \frac{\sqrt{2|Q|}}{M}, \quad (103)$$

with the zeroth (first) order approximation in  $X$  for  $\mu$  ( $\nu$ ). When we evaluate  $\nu$  up to  $X$ 's zeroth order, the value of  $\nu$  goes to zero and this is meaningless. Using these results, we can rewrite the equation in (101) as

$$\langle \Phi(y) \rangle \simeq \sqrt{\frac{|Q|}{2}} \frac{1}{M} e^{-My_0} \cdot e^{My} \quad \left( \text{with } k \simeq 1 \text{ and } M(y - y_0) \gtrsim 1 \right). \quad (104)$$

The correspondence of Eq. (104) to the assumed warped VEV in Eq. (31) is as follows:

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$$\mathcal{A} = \sqrt{\frac{|Q|}{2}} \frac{1}{M} e^{M(L-y_0)}, \quad \alpha = M. \quad (105)$$

Then we notice that the shape of the approximated profile in Eq. (104) is mainly determined by  $M$  and  $y_0$ , and that  $\lambda$  does not appear in the approximation.

In what follows, we discuss the validity of the above approximation and the deviation from it when we consider the exact form in Eq. (75). At first, we define the dimensionless parameters with tilde  $\sim$  in the basis of the massive parameter of the total length of the system  $L(=L_3)$ , e.g.,

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$$M = \tilde{M} L^{-1}, \quad L_i^{(q)} = \tilde{L}_i^{(q)} L, \quad (106)$$

where some of the dimensionless parameters are already calculated in Eq. (58). The significant point is that the bulk mass of the Higgs singlet is already almost fixed because of Eqs. (58), (104), and (105) as

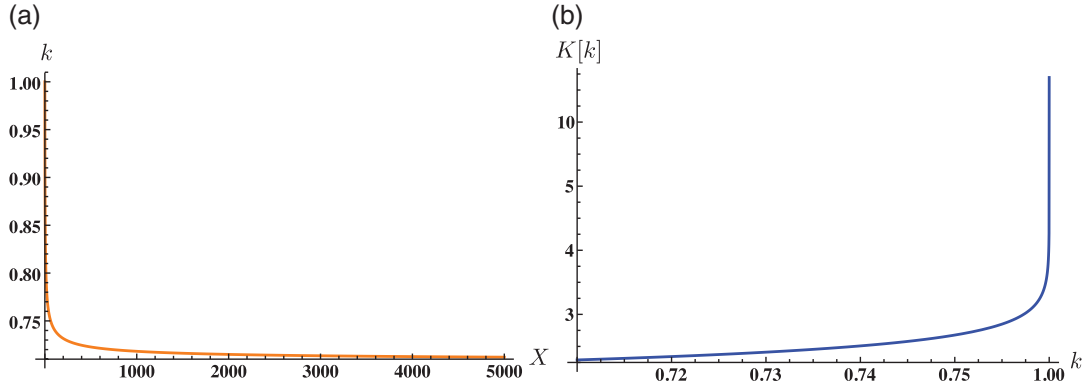
$$M \simeq 8.67 L^{-1}, \quad (107)$$

and so we should search for a region of the parameters related to the singlet under this constraint.

In our configuration, the modulus parameter of Jacobi's elliptic function  $\text{cn}$  is determined as a function of  $X = \frac{4\lambda|Q|}{M^4}$ , as in Eq. (73), and the relation between them is shown in Fig. 11, where we understand that we have to take very small  $X$  to obtain  $k = 1$ . We also refer to the complete elliptic

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**Fig. 11.** (a) The value of  $k$  in the function of  $X$  in the range of  $0 \leq X \leq 5000$ . (b) The value of the complete elliptic integral of the first kind  $K[k]$  in the range of  $0.9 \leq k \leq 1$ .

integral of the first kind  $K[k]$ , which is a function of the elliptic modulus  $k$  and whose value is equal to the quarter period of Jacobi's elliptic function  $\text{cn}(y, k)$  in Fig. 11. This plot suggests that if we take the infinite period, which corresponds to  $1/\cosh(y, k)$ , we tune the value of  $k$  very close to one.

The positions of the divergent points of  $1/\text{cn}(x, k)$ , which correspond to zero points of  $\text{cn}(x, k)$ , give us another important suggestion. The  $1/\text{cn}(x, k)$  function gets divergent with a period of  $2K[k]$  and the range of  $[0, L]$  should not contain any such point. In the exact form of the VEV  $\langle \Phi(y) \rangle$  in Eq. (75), the position  $y_d$  with divergence is evaluated as

$$\tilde{y}_d = \tilde{y}_0 + \frac{1}{\tilde{M}(1+X)^{1/4}} K \left[ \sqrt{\frac{1}{2} \left( 1 + \frac{1}{\sqrt{1+X}} \right)} \right] \pmod{\frac{2}{\tilde{M}(1+X)^{1/4}} K \left[ \sqrt{\frac{1}{2} \left( 1 + \frac{1}{\sqrt{1+X}} \right)} \right]}, \quad (108)$$

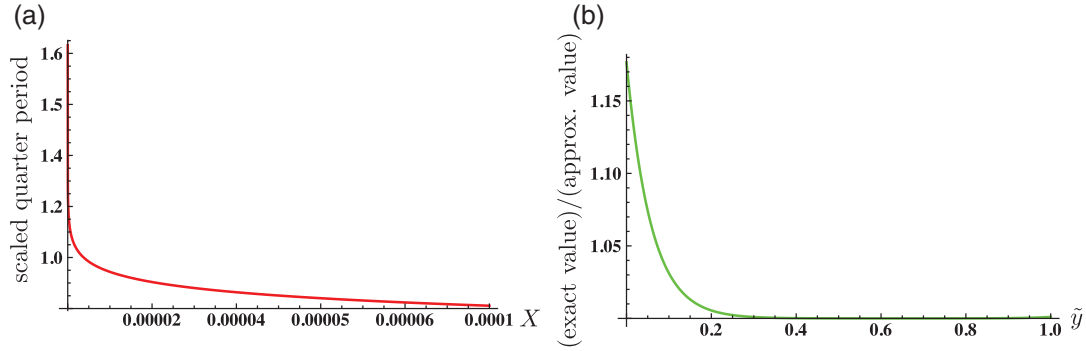
with  $X = \tilde{X} = \frac{4\tilde{\lambda}|\tilde{Q}|}{\tilde{M}^4}$ . The second term of the right-hand side in Eq. (108) means the quarter period of  $\langle \Phi(y) \rangle$  in the coordinate  $\tilde{y}$ . Considering the profile in Fig. 8, in the scaled coordinate  $\tilde{y}$  the position of  $\tilde{y}_d$  is preferred at one plus a few positive values. When we consider the property of the scaled quarter period in Fig. 12, as we have discussed before, we need to make the value of  $X$  approach zero (but not exactly zero) for an  $\mathcal{O}(1)$  scaled period. In addition, we have to take account of the condition on  $y_0$  in Eq. (104), which is interpreted in the scaled coordinate  $\tilde{y}$  as

$$\tilde{M}(\tilde{y} - \tilde{y}_0) \gtrsim 1 \rightarrow 8.67(\tilde{y} - \tilde{y}_0) \gtrsim 1 \quad \text{in the range of } 0 \leq \tilde{y} \leq 1, \quad (109)$$

with the value of dimensionless  $\tilde{M}$  in Eq. (107). Here we observe that the case of positive  $\tilde{y}_0$  is problematic (at least) around  $\tilde{y} = 0$ . Based on all the knowledge which we have obtained, we can find a set of parameters:

$$\tilde{M} = 8.67, \quad \tilde{y}_0 = -0.1, \quad \tilde{\lambda} = 0.001, \quad |\tilde{Q}| = 0.001. \quad (110)$$

The validity of this choice is checked in Fig. 12 to calculate the ratio, which is defined as the exact VEV form over its approximated form in Eq. (104), and the difference is estimated as about 15% at most.



**Fig. 12.** (a) The value of the scaled quarter period of the exact VEV in the function of  $X$  in the range of  $0 \leq X \leq 0.0001$ . (b) The value of the ratio, which is defined as the exact VEV form over its approximated form in Eq. (104).

In the following, we check whether the EWSB occurs or not in our configuration. The prescription is written in Section 4.2 and the two input parameters  $L_{\pm}$  can be inversely calculated from the profile of the exact VEV through Eq. (76) as

$$\frac{1}{\tilde{L}_+} = -6.07, \quad \frac{1}{\tilde{L}_-} = 8.69, \quad (111)$$

and the value of  $\tilde{L}_{\max}$  in Eq. (78) is simultaneously fixed at  $1/\tilde{L}_{\max} = 8.69$  as the scaled values based on  $L$ . Agreeing with the previous naive discussion at the end of Section 4.2, the condition

$$M^2 < \frac{1}{L_{\max}^2} \quad (112)$$

is fulfilled, and therefore the EWSB is realized in our configuration for real. Here we comment on two things. One is that the parameter  $\lambda$  always appears in the cn function as the combination  $|Q|\lambda$ , and  $\lambda$  in itself only affects the overall normalization. This means that we can take greater values of  $\lambda$  with smaller  $|Q|$ . The other is that the smallness of  $y_0$  and  $|Q|$  is not an unnatural thing, because they are resultant values derived from the two input parameters  $L_{\pm}$ , whose dimensionless values are within  $\mathcal{O}(10)$ .

From the above discussion, we are able to calculate the Yukawa mass matrix elements in our model with the “elliptic VEV”  $\langle \Phi(y) \rangle$ . We take care of the following two facts:

- We use the exact form of the VEV in Eq. (75) instead of the assumed warped form in Eq. (31) with the parameters in Eqs. (110) and/or (111).
- Because the Yukawa structure is introduced as the higher-dimensional operators in Eq. (98), their replacement is required:

$$Y^{(u)} \rightarrow \mathcal{Y}^{(u)} \frac{v}{\sqrt{2}}, \quad Y^{(d)} \rightarrow \mathcal{Y}^{(d)} \frac{v}{\sqrt{2}}. \quad (113)$$

The diagonalized Yukawa mass matrices take the forms

$$\mathcal{M}^{(u)}|_{\text{diagonal}} = \text{diag} (2.47 \text{ MeV}, 1.18 \text{ GeV}, 174 \text{ GeV}), \quad (114)$$

$$\mathcal{M}^{(d)}|_{\text{diagonal}} = \text{diag} (3.94 \text{ MeV}, 110 \text{ MeV}, 4.19 \text{ GeV}), \quad (115)$$

and the CKM matrix is given as

$$|V_{\text{CKM}}| = \begin{bmatrix} 0.977 & 0.214 & 0.00448 \\ 0.213 & 0.976 & 0.0475 \\ 0.0145 & 0.0454 & 0.999 \end{bmatrix}, \quad (116)$$

where we adopt the values in Eq. (58) for the 9 lengths ( $L_1^{(q)}, L_2^{(q)}, L_3^{(q)}, L_1^{(u)}, L_2^{(u)}, L_3^{(u)}, L_1^{(d)}, L_2^{(d)}, L_3^{(d)}$ ) and the 3 fermion bulk masses ( $M_Q, M_U, M_D$ ). We can find only a small difference between the results and the previous results based on the assumed warped VEV.

In contrast to the foregoing analysis, the Higgs dynamics is well described and the dimensionless coefficient part of  $\langle \Phi(y) \rangle$ , which is related to  $\tilde{A}$  in the assumed warped VEV, is calculable and the values of  $\tilde{Y}^{(u)}, \tilde{Y}^{(d)}$  are as follows:

$$\tilde{Y}^{(u)} = 0.0442, \quad \tilde{Y}^{(d)} = 0.00369. \quad (117)$$

Here we note that when we consider the situation where all the objects are localized, the effective length of each object is considered to be smaller than the total length  $L$ . If we take the effective length for overlap integrals showing down quarks as about  $L/3$ , which is the length of the integral for  $m_{11}^{(d)}$ , the unnaturalsness in  $\tilde{Y}^{(d)}$  is somewhat ameliorated as follows:

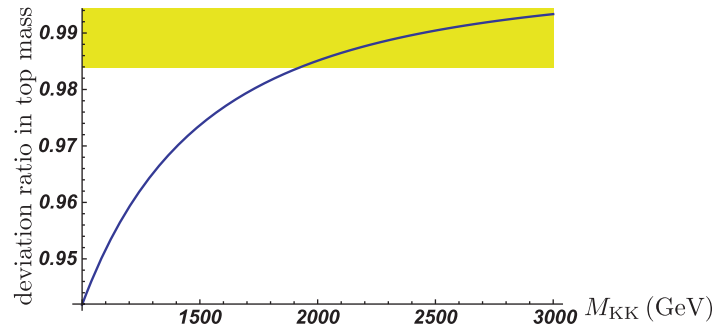
$$\tilde{Y}^{(d)} \sim 0.00369 \cdot (3)^2 = 0.0332. \quad (118)$$

The insertion of the relatively-large massive value  $v = 246 \text{ GeV}$ , compared to the quark masses (except the top quark), in the 5D Yukawa structure in Eq (113) is a cause of the smallness of  $\tilde{Y}^{(u)}$  and  $\tilde{Y}^{(d)}$ . We do not provide more detailed discussion since we cannot avoid some ambiguities.

## 5. Summary and discussions

We have presented a review of some properties of 5D fermion and vector fields in a multi-interval system, where each interval is connected to the others through point interactions. By choosing suitable BCs at the positions of the point interactions, the profiles of the fermion zero modes get to be three-fold degenerated, localized, and mixed, which means all of the Yukawa structure in the SM can be realized. Combined with the  $y$ -position-dependent Higgs VEV with exponential warped form, the quark mass hierarchy and the structure of the CKM matrix are explained simultaneously almost only via the geometry of the extra dimension. One way to generate the warped VEV without gauge universality violation is to introduce both the Higgs doublet with the ordinary Neumann BCs and the scalar singlet with the generalized BCs, which are coupled in higher-dimensional Yukawa terms. The ordinary Yukawa terms are prohibited by adding a discrete symmetry. The exact form of the scalar singlet VEV is represented by Jacobi's elliptic function and we have found that it becomes close to the exponential function in a region of parameters with almost  $\mathcal{O}(10)$  input parameters. To avoid violation in gauge universality, we should assume that the magnitude of the coefficient of the doublet-singlet mixing term in Eq. (99) is sufficiently small.

Here we briefly estimate the effect from KK mixing. In our system, translational invariance along  $y$  is highly violated because of the existence of the point interactions, and moreover KK-parity cannot be defined because of the lack of reflection symmetry. Consequently, the zero modes and KK modes



**Fig. 13.** The deviation ratio in the observed top mass, which is defined as the mass eigenvalues over the reference value  $173.3(\pm 2.8)$  GeV, whose value is from a recent work [65]. The yellow band shows the allowed region after considering the error of the observed top quark mass.

of the fermions are mixed at the tree level and this affects the values of the mass eigenstates and the elements of the CKM matrix. Here we would like to consider the form

$$-\left[\bar{t}^{(0)}, \bar{T}^{(1)}, \bar{t}^{(1)}\right]_L \begin{bmatrix} m_t & 0 & m_t \\ m_t & M_{KK} & m_t \\ 0 & m_t & -M_{KK} \end{bmatrix} \begin{bmatrix} t^{(0)} \\ T^{(1)} \\ t^{(1)} \end{bmatrix}_R + \text{h.c.}, \quad (119)$$

where  $m_t$ ,  $M_{KK}$ ,  $t^{(0)}$ ,  $T^{(1)}$ , and  $t^{(1)}$  are the top quark mass, KK scale, top zero mode, first top KK state of the  $SU(2)_W$  doublet, and that of the  $SU(2)_W$  singlet, respectively. In the following, we only consider mixing in the top quark sector since mixings in the other five flavors are negligible because of the smallness of their Yukawa couplings. In reality, some deviation factors from the assumed ordinary UED-like form probably emerge in front of each matrix component, originating from differences in overlap integrals and mixings between a zero mode and/or between zero modes, and KK states of more than the first level are also derived. But we ignore these issues for simplicity.

The deviation ratio in the observed top mass, which is defined as the mass eigenvalues over the reference value  $173.3(\pm 2.8)$  GeV, whose value is from a recent work [65], is calculated and the result is represented in Fig. 13. The yellow band shows the allowed region after considering the error of the observed top quark mass. We conclude that we can ignore the level-mixing effect when the KK scale, which is defined as  $\pi/L$  in the ordinary UED context, is greater than 2 TeV, dependent on accepting our naive assumptions. More detailed analysis is out of the scope of this paper and we will discuss this issue in a future study. It is noted that in a “decoupling” case with a huge  $M_{KK}$ , we can always neglect the level-mixing effect even if this possibility is not so interesting from a collider physics point of view.

The work done in this paper is considered as a first step for constructing a phenomenological model which explains the number of fermion generations, fermion mass hierarchies, and the structure of fermion mixing matrices simultaneously, based mainly on the geometry of an extra dimension. But lots of issues remain to be studied.

The first issue is whether our mechanism works well in the lepton sector. As is widely known, two mixing angles of neutrinos are large, and this suggests that the components of the neutrino mass matrix are probably the same order of magnitude, in contrast to the quark one. In addition, the expected neutrino masses are up to (sub-)eV order, whereas we find at least six orders of magnitude smaller than the value of the lightest charged lepton (electron). These differences are nontrivial

and we will search for a configuration where the properties of quarks and leptons are derived simultaneously via geometry. The second related issue is on the phase of CP violation in the CKM matrix. The existence of this phase with nonzero value has been established by B physics experiments, but within our present mechanism such a phase never occurs since all the zero mode functions are real.

670 One possible direction for overcoming this problem is to introduce a complex phase through twisted boundary conditions.

Away from phenomenological issues, the Higgs with the generalized boundary conditions has a rich theoretical structure, and many topics wait there to be unveiled. The phase structure of the scalar singlet is explored in Ref. [60], but for non-singlet scalars only limited studies have been done. In

675 non-Abelian gauge theory the boundary conditions can mix the gauge indices and the number of possibilities is increased. Accordingly, its phase structure gets to be much richer. Another interesting issue is the structure of quantum fluctuation around the position-dependent elliptic VEV of the singlet scalar. Even in the case of the zero mode, the properties are highly nontrivial, but this issue is mandatory when we discuss the signature of the scalar singlet at colliders. Moduli stabilization via

680 Casimir energy is also an important topic for ensuring the stability of the system with the nontrivial VEV structure.<sup>6</sup>

The physics in the system with point interactions and/or  $y$ -position-dependent scalar VEV is only starting to be discovered, and we can find a lot of fascinating themes from both phenomenological and theoretical points of view.

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## Appendix

### 695 A. Estimating the orders of magnitude of doublet-singlet scalar mixing effects

In this Appendix, we consider the minimization problem of the scalar potential of the doublet  $H$  and singlet  $\Phi$  scalars with the doublet-singlet mixing term in Eq. (99). In this analysis, we assume that the VEV of the singlet  $\Phi$  takes the effective form  $\langle\phi(y)\rangle$  from Eq. (31), and we concentrate on the part of

$$\int d^4x \int_0^L dy \left\{ H^\dagger (\partial_y)^2 H + M'^2 H^\dagger H - \frac{\lambda'}{2} (H^\dagger H)^2 - C \Phi^\dagger \Phi H^\dagger H \right\}. \quad (\text{A1})$$

700 After the replacement

$$H \rightarrow \begin{pmatrix} 0 \\ \frac{\langle h(y) \rangle}{\sqrt{2}} \end{pmatrix}, \quad \Phi \rightarrow \langle \phi(y) \rangle, \quad (\text{A2})$$

<sup>6</sup> We can find some related works in Refs. [66–68].

we can identify the functional form  $\mathcal{E}'[\langle h \rangle]$  which we should minimize as follows:

$$\mathcal{E}'[\langle h \rangle] = \int_0^L dy \left\{ (\partial_y \langle h \rangle)^2 - M'^2 \langle h \rangle^2 + \frac{\lambda'}{4} \langle h \rangle^4 + C \langle \phi \rangle^2 \langle h \rangle^2 \right\}. \quad (\text{A3})$$

Due to the Neumann BCs in Eq. (92), the form of the (position-dependent) VEV  $\langle h(y) \rangle$  is fixed as

$$\langle h(y) \rangle = \sqrt{\frac{2}{\lambda'}} M' + \beta_0 + \sum_{n=1}^{\infty} \beta_n \cos\left(\frac{\pi n}{L} y\right), \quad (\text{A4})$$

with the coefficients  $\beta_0, \beta_n$ . Here the first term of the right-hand side of Eq. (A4) corresponds to the solution with  $C = 0$ , whose value is equal to  $v/\sqrt{L}$  with  $v = 246 \text{ GeV}$ , and the remaining two terms show the deformation from it in the case of  $C \neq 0$ . Under the assumption that the value of  $C$  is small, the potential is minimized with the forms of the coefficients

$$\beta_0 = -\frac{\sqrt{\frac{2}{\lambda'}} C}{2M' L} \frac{\mathcal{A}^2}{2\alpha} (1 - e^{-2\alpha L}), \quad (\text{A5})$$

$$\beta_n = -\frac{2\sqrt{\frac{2}{\lambda'}} M' C}{L \left[ 2M'^2 + \left(\frac{\pi n}{L}\right)^2 \right]} \frac{\mathcal{A}^2}{2} \frac{4\alpha}{4\alpha^2 + \left(\frac{\pi n}{L}\right)^2} \left( (-1)^n - e^{-2\alpha L} \right), \quad (\text{A6})$$

within the second order of  $C$ .

After we consider the suitable order estimation

$$\lambda' \sim L, \quad M' \sim v, \quad \mathcal{A}\sqrt{L} \sim v, \quad L^{-1} \sim M_{\text{KK}}, \quad \alpha L \sim \mathcal{O}(1), \quad (\text{A7})$$

where  $M_{\text{KK}}$  is a typical mass scale of the KK states, we can conclude that the orders of magnitude of the deviation from  $C = 0$  are

$$\left| \frac{\beta_0}{\sqrt{\frac{2}{\lambda'}} M'} \right| \sim \frac{\tilde{C}}{\tilde{\alpha}}, \quad (\text{A8})$$

$$\left| \frac{\beta_n}{\sqrt{\frac{2}{\lambda'}} M'} \right| \sim \frac{\tilde{\alpha}}{n^2 (n^2 + \tilde{\alpha}^2)} \left( \frac{v}{M_{\text{KK}}} \right)^2 \tilde{C}, \quad (\text{A9})$$

with the dimensionless values  $\alpha = \tilde{\alpha} L^{-1}$ ,  $C = \tilde{C} L$ . The results tell us that the value of  $\beta_n$  is suppressed by the KK index  $n$  and  $M_{\text{KK}}$ , but, on the contrary, that of  $\beta_0$  is not suppressed because  $\tilde{\alpha} = \mathcal{O}(1)$  in Eqs. (58) and (A7), although  $\beta_0$  does not affect our conclusions since it merely shifts the constant expectation value of  $H$ . On the other hand, nonzero values of  $\beta_n$  could cause a problem for gauge universality, so that  $\tilde{C} (M_{\text{KK}})$  should be sufficiently small (large) in our model.

## B. Evaluating the upper bound of the coefficient of the doublet-singlet mixing term via gauge universality violation in Z boson decay branching ratios

Following the previous Appendix, we evaluate an upper bound for the coefficient of doublet-singlet mixing term  $\tilde{C}$  via gauge universality violation in Z boson decay branching ratios. As is widely

known, the value of the decay width of a Z boson into quarks and leptons is generation-independent (taking the massless limit on the fermions in the final state) and its possible deviation is considered to be a good order parameter for gauge universality violation in our model. In this analysis, we only focus on the case of  $\tilde{C} \geq 0$  since in the case of  $\tilde{C} < 0$ , we also consider the stability condition of the total scalar potential. The full potential analysis is considered to be an interesting topic and we will leave it for a future work.

First we focus on the zero mode physical Higgs part of the Higgs doublet  $H$ :

$$H \rightarrow \begin{pmatrix} 0 \\ \frac{1}{\sqrt{2}} (\langle h \rangle + h^{(0)}) \end{pmatrix}, \quad (\text{B1})$$

where  $\langle h \rangle$  ( $h^{(0)}$ ) is the VEV (quantum fluctuation of its zero mode), respectively. Due to the variational principle, the equation for determining the profile  $f_{h^{(0)}}$  of  $h^{(0)}$  is derived as follows:

$$\left( -\partial_y^2 - M'^2 + \frac{3}{2} \lambda' \langle h(y) \rangle^2 + C \langle \phi(y) \rangle^2 \right) f_{h^{(0)}}(y) = \mu_{h^{(0)}}^2 f_{h^{(0)}}(y), \quad (\text{B2})$$

where  $\mu_{h^{(0)}}$  is the physical mass of  $h^{(0)}$  and the forms of  $\langle h \rangle$  and  $\langle \phi \rangle$  have been already discussed in Appendix A. We solve the equation with the BC of  $\partial_y f_{h^{(0)}}|_{y=0} = \partial_y f_{h^{(0)}}|_{y=L} = 0$  up to a perturbation of first order of  $\tilde{C}$ .

After dividing  $\langle h \rangle$ ,  $f_{h^{(0)}}$ , and  $\mu_{h^{(0)}}$  into their unperturbed and perturbed parts

$$\langle h \rangle = v_5^{(0)} + \varphi^{(1)}, \quad f_{h^{(0)}} = f_{h^{(0)}}^{(0)} + f_{h^{(0)}}^{(1)}, \quad \mu_{h^{(0)}}^2 = (m_{h^{(0)}}^{(0)})^2 + (m_{h^{(0)}}^{(1)})^2, \quad (\text{B3})$$

where  $v_5^{(0)} = \sqrt{2M'^2/\lambda'} = v/\sqrt{L}$  is the 5D unperturbed  $h$ 's VEV, the upper indices “(0)” and “(1)” show the order of perturbation with respect to  $\tilde{C}$ . The concrete forms are determined via the BCs and orthonormality as follows:

$$f_{h^{(0)}}^{(0)}(y) = \frac{1}{\sqrt{L}}, \quad (m_{h^{(0)}}^{(0)})^2 = 2M'^2, \quad (\text{B4})$$

$$f_{h^{(0)}}^{(1)}(y) = \frac{1}{\sqrt{L}} \left[ A_{h^{(0)}} + B_{h^{(0)}} y + 3\lambda' v_5^{(0)} \left( \beta_0 \frac{y^2}{2} - \sum_{n=1}^{\infty} \beta_n \left( \frac{L}{n\pi} \right)^2 \cos \left( \frac{n\pi}{L} y \right) \right) - \frac{1}{2} (m_{h^{(0)}}^{(1)})^2 y^2 + C \mathcal{A}^2 \frac{1}{(2\alpha)^2} e^{2\alpha(y-L)} \right], \quad (\text{B5})$$

$$(m_{h^{(0)}}^{(1)})^2 = 3\lambda' v_5^{(0)} \beta_0 + \frac{C \mathcal{A}^2}{2\alpha L} (1 - e^{-2\alpha L}), \quad (\text{B6})$$

where  $\beta_0$  and  $\beta_n$  are already shown in Eqs. (A5) and (A6), respectively, and the coefficients for Eq. (B5) are given by

$$A_{h^{(0)}} = \frac{C \mathcal{A}^2 L}{12\alpha} (1 + 2e^{-2\alpha L}) - \frac{C \mathcal{A}^2}{(2\alpha)^3 L} (1 - e^{-2\alpha L}), \quad (\text{B7})$$

$$B_{h^{(0)}} = -\frac{C \mathcal{A}^2}{2\alpha} e^{-2\alpha L}. \quad (\text{B8})$$



Next, we also estimate the perturbed Z boson profile  $f_{Z^{(0)}}$  and physical mass  $\mu_{Z^{(0)}}$ . The corresponding EOM takes the form<sup>7</sup>

$$\left(-\partial_y^2 + (m_{Z^{(0)}}^{(0)})^2 + \sqrt{g_5^2 + g_5'^2} m_{Z^{(0)}}^{(0)} \varphi^{(1)}(y)\right) f_{Z^{(0)}}(y) = \mu_{Z^{(0)}}^2 f_{Z^{(0)}}(y). \quad (\text{B9})$$

Here,  $g_5$  ( $g_5'$ ) is the 5D  $SU(2)_W$  ( $U(1)_Y$ ) gauge coupling and  $m_{Z^{(0)}}^{(0)} = \frac{1}{2} v_5^{(0)} \sqrt{g_5^2 + g_5'^2}$  is the unperturbed Z boson mass. As in the case of the physical Higgs, we can obtain the perturbed results with the same notation for order of perturbation as

$$f_{Z^{(0)}}^{(0)}(y) = \frac{1}{\sqrt{L}}, \quad (\text{B10})$$

$$f_{Z^{(0)}}^{(1)}(y) = -\frac{1}{\sqrt{L}} \sum_{n=1}^{\infty} \beta_n \left(\frac{L}{n\pi}\right)^2 \sqrt{g_5^2 + g_5'^2} m_{Z^{(0)}}^{(0)} \cos\left(\frac{n\pi}{L} y\right), \quad (\text{B11})$$

$$(m_{Z^{(0)}}^{(1)})^2 = \sqrt{g_5^2 + g_5'^2} m_{Z^{(0)}}^{(0)} \beta_0. \quad (\text{B12}) \quad 755$$

As expected, the perturbed Z boson profile in Eq. (B11) is  $y$ -dependent and probably becomes a source of gauge universality violation.

From Eqs. (B3), (B6), and (B12), we can obtain the following relations:

$$\tilde{\lambda}'(:=\lambda'/L) = \frac{1}{4} (g^2 + g'^2) \left(\frac{\mu_{h^{(0)}}}{\mu_{Z^{(0)}}}\right)^2, \quad (\text{B13})$$

$$(m_{Z^{(0)}}^{(0)})^2 = \mu_{Z^{(0)}}^2 + \frac{1}{4} (g^2 + g'^2) \frac{\tilde{\mathcal{A}}^2}{\tilde{\lambda}' \tilde{\alpha} \pi^2} \tilde{C} M_{\text{KK}}^2, \quad (\text{B14}) \quad 760$$

where  $g = g_5/\sqrt{L}$  and  $g' = g_5'/\sqrt{L}$  are the corresponding 4D gauge couplings, and  $M_{\text{KK}}$  is defined as  $\pi/L$  in this Appendix. Note that we ignored the small factor of  $e^{-2\alpha L}$  in Eqs. (B13) and (B14), where  $\alpha L = 8.67$  was assigned in Eqs. (105) and (110). Before proceeding to numerical calculations, we summarize some important issues:

- The overall Z boson perturbed profile is

$$f_{Z^{(0)}}(y) = \frac{1}{\sqrt{L}} \left[ 1 + \sum_{n=1}^{\infty} (-1)^n 8 \tilde{C} (m_{Z^{(0)}}^{(0)})^2 \frac{\tilde{\mathcal{A}}^2}{n^2 \pi^2 + 2 \tilde{M}'^2} \frac{\tilde{\alpha}}{n^2 \pi^2 + 4 \tilde{\alpha}^2} \frac{1}{n^2 M_{\text{KK}}^2} \cos\left(\frac{n\pi}{L} y\right) \right], \quad (\text{B15})$$

where the effects from higher modes ( $n \geq 2$ ) are found to be numerically suppressed and we can ignore them.

<sup>7</sup> As discussed in [69], gauge-fixing terms should be introduced to eliminate some mixing terms.



**Table B1.** Summary table for left- and right-handed couplings of quarks to the Z boson.

type	$a$	$b$	$f_{fL}$	$c$	$d$	$f_{fR}$
up	0	$L_1^{(q)}$	$f_{q_{1L}}^{(0)}$	0	$L_1^{(u)}$	$f_{u_{1R}}^{(0)}$
down	0	$L_1^{(q)}$	$f_{q_{1L}}^{(0)}$	0	$L_1^{(d)}$	$f_{d_{1R}}^{(0)}$
strange	$L_1^{(q)}$	$L_2^{(q)}$	$f_{q_{2L}}^{(0)}$	$L_1^{(d)}$	$L_2^{(d)}$	$f_{d_{2R}}^{(0)}$
charm	$L_1^{(q)}$	$L_2^{(q)}$	$f_{q_{2L}}^{(0)}$	$L_1^{(u)}$	$L_2^{(u)}$	$f_{u_{2R}}^{(0)}$
bottom	$L_2^{(q)}$	$L$	$f_{q_{3L}}^{(0)}$	$L_2^{(d)}$	$L$	$f_{d_{3R}}^{(0)}$

- 770 ○ The relation between Higgs quartic coupling and physical mass in Eq. (B13) is the same as in the SM even after the perturbation.
- The relation between unperturbed and perturbed physical masses in Eq. (B14) indicates that when we take the value of  $M_{KK} \gg \mu_{Z^{(0)}} (\simeq 90 \text{ GeV})$ ,  $(m_{Z^{(0)}}^{(0)})^2$  is simply expressed with good precision as

$$(m_{Z^{(0)}}^{(0)})^2 \sim M_{KK}^2. \quad (\text{B16})$$

- 775 This means that the upper bound on  $\tilde{C}$  probably gets to be (almost) constant in the range of  $M_{KK}$  above a few TeV, and the effect of the  $n = 1$  mode in Eq. (B15) is never decoupled even in the limit of  $M_{KK} \rightarrow \infty$ .

In our model, partial widths of the Z boson are evaluated by the formula

$$\Gamma(Z^{(0)} \rightarrow \bar{f}^{(0)} f^{(0)}) = 4N_C \left[ (G_{fL})^2 + (G_{fR})^2 \right] \Gamma_Z^0, \quad (\text{B17})$$

- 780 where  $N_C$  is the QCD color factor (3 for quark, 1 for lepton) and  $\Gamma_Z^0 = G_F(\mu_{Z^{(0)}})^3/12\sqrt{2}\pi$  with the Fermi constant  $G_F$ . Here we assume that the fermions in the final state are massless. For a quark with its profiles  $\{f_{fL} \text{ (left), } f_{fR} \text{ (right)}\}$ , the left- (right-)handed coupling  $G_{fL}$  ( $G_{fR}$ ) is represented in general as follows:

$$G_{fL} = g_{fL} \int_a^b dy f_{fL}^2(\sqrt{L} f_{Z^{(0)}}), \quad (\text{B18})$$

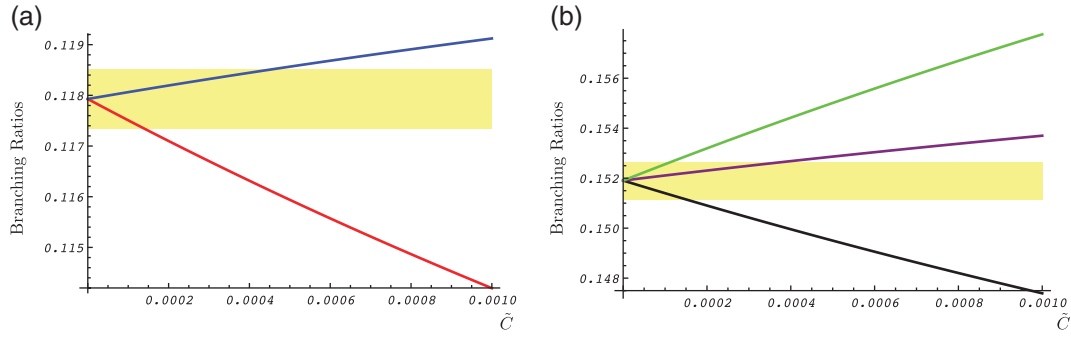
$$G_{fR} = g_{fR} \int_c^d dy f_{fR}^2(\sqrt{L} f_{Z^{(0)}}), \quad (\text{B19})$$

- 785 where  $g_{fL}$  ( $g_{fR}$ ) corresponds to the values in the SM, whose form is:

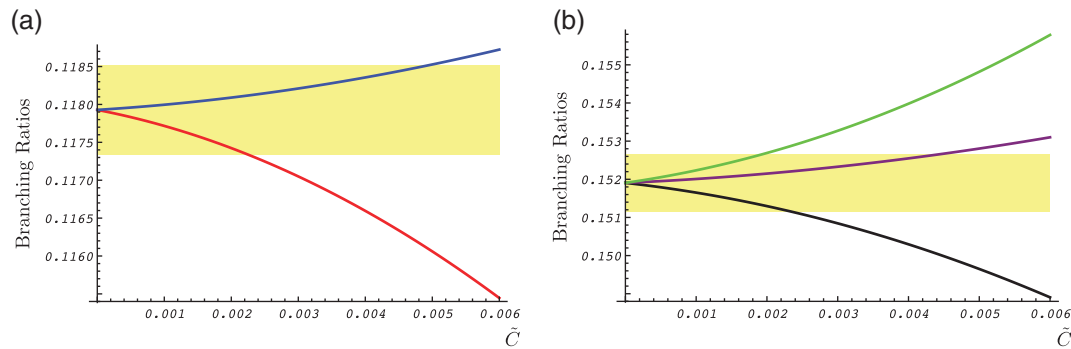
$$g_{fL}^2 = (I_{W,f}^3)^2 - 2 \sin^2 \theta_W I_{W,f}^3 Q_f + \sin^4 \theta_W Q_f^2, \quad g_{fR}^2 = \sin^4 \theta_W Q_f^2, \quad (\text{B20})$$

- with the third component of weak isospin ( $I_{W,f}^3$ ), the Weinberg angle ( $\theta_W$ ), and electromagnetic charge in a unit of the elementary charge ( $Q_f$ ). The input values for each integration are summarized in Table B1. We note that in the limit  $\tilde{C} \rightarrow 0$ , the form of  $f_{Z^{(0)}}$  gets back to the unperturbed one ( $= 1/\sqrt{L}$ ) and then  $G_{fL}$  and  $G_{fR}$  become generation-independent due to the orthonormality of the fermion profiles. On the other hand, for leptons, whose profiles are out of the scope of this paper, we assume that there is no point interaction in the bulk space and consequently their left- and right-handed couplings are entirely the same as in the SM.
- 790

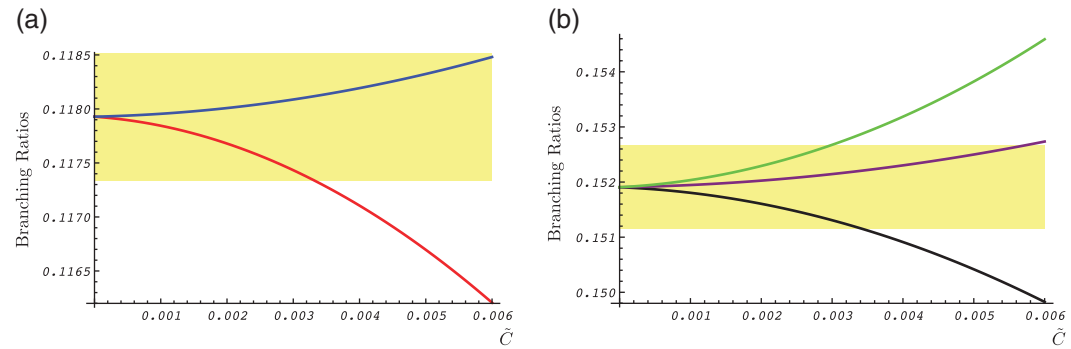
In our numerical calculations, we adopt the values which we used in Section 4 and we set the physical Higgs mass as 125 GeV. We consider the four possibilities of  $M_{KK}$ , 100 GeV, 500 GeV,



**Fig. B1.** The Z boson's branching ratios with  $M_{KK} = 100$  GeV. The red and blue curves in (a) represent branching into a pair of up and charm quarks, while in (b) the black, purple, and green curves show branching into a pair of down, strange, and bottom quarks, respectively. The horizontal yellow band shows the allowed region, which is defined as where the deviation from the SM is within 0.5%.

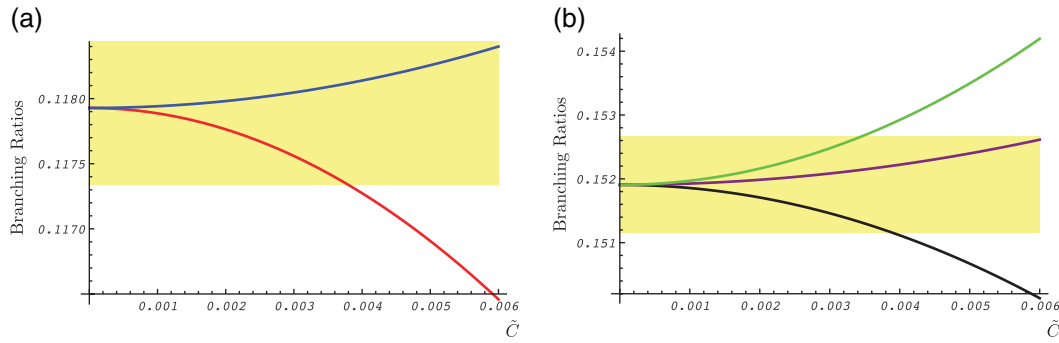


**Fig. B2.** The Z boson's branching ratios with  $M_{KK} = 500$  GeV. The color code is as in Fig. B1.



**Fig. B3.** The Z boson's branching ratios with  $M_{KK} = 1$  TeV. The color code is as in Fig. B1.

1 TeV, and 10 TeV in Figs B1, B2, B3, and B4 respectively. In all the plots, the red, blue, black, purple, and green curves represent the decay branching ratios of the Z boson into a pair of up, charm, down, strange, and bottom quarks, respectively. The horizontal yellow bands show the allowed regions, which are defined as where the deviation from the SM is within 0.5%, which is the typical accuracy of the latest experimental data [61]. Here we can recognize two things. One is that in the every case, deviations in the bottom quark put the most stringent bound on  $\tilde{C}$ . The other is that when we take  $M_{KK}$  as above 1 TeV, the upper bound on  $\tilde{C}$  is almost the same as we anticipated before. To conclude, when we choose a TeV-scale value in  $M_{KK}$ , where this choice is preferable in terms of phenomenological



**Fig. B4.** The Z boson's branching ratios with  $M_{KK} = 10$  TeV. The color code is as in Fig. B1.

consistency, the value of  $\tilde{C}$  should be located in the range of

$$\tilde{C} \lesssim 0.003. \quad (\text{B21})$$

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