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RAISING OPERATORS OF ROW TYPE FOR MACDONALD POLYNOMIALS

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ABSTRACT. We construct certain raising operators of row type for Macdonald's symmetric polynomials by an interpolation method.

1. INTRODUCTION

Throughout this paper, we denote by $J_\lambda(x; q, t)$ the integral form of Macdonald's symmetric polynomial in n variables $x = (x_1, \dots, x_n)$ (of type A_{n-1}) associated with a partition λ ([5]). For each $m = 0, 1, 2, \dots$, we consider a q -difference operator B_m which should satisfy the following condition: *For any partition $\lambda = (\lambda_1, \lambda_2, \dots)$ whose longest part λ_1 has length $\leq m$, one has*

$$B_m J_\lambda(x; q, t) = \begin{cases} J_{(m, \lambda)}(x; q, t) & \text{if } \ell(\lambda) < n, \\ 0 & \text{if } \ell(\lambda) = n, \end{cases} \quad (1.1)$$

where $(m, \lambda) = (m, \lambda_1, \lambda_2, \dots)$ stands for the partition obtained by adding a row of length m to λ . An operator B_m having this property will be called a *raising operator of row type* for Macdonald polynomials. With such operators, the Macdonald polynomial $J_\lambda(x; q, t)$ for a general partition $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n)$ can be expressed as

$$B_{\lambda_1} B_{\lambda_2} \dots B_{\lambda_n} .1 = J_\lambda(x; q, t) \quad (\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n \geq 0). \quad (1.2)$$

Namely, one can obtain $J_\lambda(x; q, t)$ by an successive application of the operators B_m starting from $J_\phi(x; q, t) = 1$.

The purpose of this paper is to give an explicit construction of such operators B_m ($m = 0, 1, 2, \dots$). These operators B_m can be considered as a *dual version* of the raising operators of *column type* introduced by A.N. Kirillov and the second author [3], [4]. We remark that, as to the Hall-Littlewood polynomials (the case when $q = 0$), such a class of raising operators B_m of row type has been implicitly employed in Macdonald [5], Chapter III, (2.14):

$$B_m = (1-t) \sum_{i=1}^n x_i^m \left(\prod_{j \neq i} \frac{x_i - tx_j}{x_i - x_j} \right) T_{0, x_i} \quad (1.3)$$

for $m = 1, 2, \dots$, where T_{0, x_i} is the "0-shift operator" in x_i , namely, the substitution of zero for x_i . Our raising operators of row type for Macdonald polynomials can be considered as a generalization of these operators for Hall-Littlewood polynomials.

We will propose first a theorem of unique existence for raising operators of row type. For each multi-index $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}^n$, we set $|\alpha| = \alpha_1 + \dots + \alpha_n$ and

$$x^\alpha = x_1^{\alpha_1} \dots x_n^{\alpha_n}, \quad T_{q,x}^\alpha = T_{q,x_1}^{\alpha_1} \dots T_{q,x_n}^{\alpha_n}, \quad (1.4)$$

where T_{q,x_i} is the q -shift operator in x_i , defined by

$$T_{q,x_i} f(x_1, \dots, x_i, \dots, x_n) = f(x_1, \dots, qx_i, \dots, x_n) \quad (1.5)$$

for $i = 1, \dots, n$.

Theorem 1.1. *For each $m = 0, 1, 2, \dots$, there exists a unique q -difference operator*

$$B_m = \sum_{|\gamma| \leq m} b_\gamma^{(m)}(x) T_{q,x}^\gamma \quad (1.6)$$

of order $\leq m$ satisfying the condition (1.1), where $b_\gamma^{(m)}(x)$ are rational functions in x with coefficients in $\mathbb{Q}(q, t)$. Furthermore, the operator B_m is invariant under the action of the symmetric group \mathfrak{S}_n of degree n .

We will also determine the operator B_m explicitly by an interpolation method. In the following, we use the notation $\alpha \leq \beta$ for the partial ordering of multi-indices defined by

$$\alpha \leq \beta \iff \alpha_i \leq \beta_i \quad (i = 1, \dots, n). \quad (1.7)$$

In order to describe the coefficients of our raising operators, we introduce a variant of q -binomial coefficients $C_{\alpha,\beta}(x; q)$ including the variables $x = (x_1, \dots, x_n)$. For any pair (α, β) of multi-indices such that $\alpha \geq \beta$, we set

$$\begin{aligned} C_{\alpha,\beta}(x; q) &= \prod_{1 \leq i, j \leq n} \frac{(q^{\alpha_i - \beta_j + 1} x_i / x_j)_{\beta_j}}{(q^{\beta_i - \beta_j + 1} x_i / x_j)_{\beta_j}} \\ &= \prod_{j=1}^n \frac{(q^{\alpha_j - \beta_j + 1})_{\beta_j}}{(q)_{\beta_j}} \prod_{i \neq j} \frac{(q^{\alpha_i - \beta_j + 1} x_i / x_j)_{\beta_j}}{(q^{\beta_i - \beta_j + 1} x_i / x_j)_{\beta_j}} \end{aligned} \quad (1.8)$$

with the notation $(a)_k = (a; q)_k = (1-a)(1-aq) \dots (1-aq^{k-1})$ of the q -shifted factorial. We remark that, if $n = 1$, $C_{\alpha,\beta}(x; q)$ reduce to the ordinary q -binomial coefficients $\begin{bmatrix} \alpha \\ \beta \end{bmatrix}_q$.

Theorem 1.2. *The q -difference operator B_m of Theorem 1.1 can be expressed in the form*

$$B_m = \sum_{|\alpha|=m} b_\alpha^{(m)}(x) \phi_\alpha^{(m)}(x; T_{q,x}), \quad (1.9)$$

where

$$\begin{aligned} b_\alpha^{(m)}(x) &= (-1)^{|\alpha|} q^{\sum_i \binom{\alpha_i}{2}} x^\alpha \sum_{\beta \leq \alpha} (-1)^{|\beta|} q^{\binom{|\beta|}{2}} C_{\alpha,\beta}(x; q) \\ &\quad \cdot \prod_{i,j=1}^n \frac{(tq^{-\beta_j+1} x_i / x_j)_{\beta_j} (q^{-\alpha_j+1} x_i / x_j)_{\alpha_j - \beta_j}}{(q^{\alpha_i - \alpha_j + 1} x_i / x_j)_{\alpha_j}} \end{aligned} \quad (1.10)$$

and

$$\phi_\alpha^{(m)}(x; T_{q,x}) = \sum_{\beta \leq \alpha} (-1)^{|\alpha| - |\beta|} q^{\binom{|\alpha| - |\beta| + 1}{2}} C_{\alpha,\beta}(x; q) T_{q,x}^\beta \quad (1.11)$$

for each α with $|\alpha| = m$.

In the course of the proof of Theorem 1.2, we will make use of a variant of the q -binomial theorem for our $C_{\alpha,\beta}(x; q)$, which might also deserve attention (see Proposition 5.3 in Section 5).

Theorem 1.3. *For any $\alpha \in \mathbb{N}^n$, one has*

$$\sum_{\beta \leq \alpha} (-1)^{|\beta|} q^{\binom{|\beta|}{2}} C_{\alpha,\beta}(x; q) u^{|\beta|} = (u)_{|\alpha|}. \quad (1.12)$$

We remark that formula (1.12) also implies a generalization of q -Chu-Vandermonde formulas

$$\sum_{\beta \leq \alpha, |\beta|=r} \prod_{j=1}^n \begin{bmatrix} \alpha_j \\ \beta_j \end{bmatrix}_q \prod_{i \neq j} \frac{(q^{\alpha_i - \beta_j + 1} x_i / x_j)_{\beta_j}}{(q^{\beta_i - \beta_j + 1} x_i / x_j)_{\beta_j}} = \begin{bmatrix} n \\ r \end{bmatrix}_q \quad (1.13)$$

for any α with $|\alpha| = n$ and $0 \leq r \leq n$.

After recalling some basic facts about Macdonald polynomials in Section 2, we will prove the uniqueness and the existence of raising operators of row type in Section 3 and in Section 4, respectively. Explicit formulas for the q -difference operators $\phi_\alpha^{(m)}(x; T_{q,x})$ and the coefficients $b_\alpha^{(m)}(x)$ ($|\alpha| = m$) of Theorem 1.2 will be given in Section 5 and in Section 6, respectively.

2. MACDONALD POLYNOMIALS

In order to fix the notation, we recall some basic facts about Macdonald's symmetric polynomials of type A_{n-1} . For the details see [5].

Let $\mathbb{K}[x] = \mathbb{K}[x_1, x_2, \dots, x_n]$ be the ring of polynomials in n variables $x = (x_1, x_2, \dots, x_n)$ with coefficients in $\mathbb{K} = \mathbb{Q}(q, t)$, and $\mathbb{K}[x]^{\mathfrak{S}_n}$ the subring of all invariant polynomials under the natural action of the symmetric group \mathfrak{S}_n of degree n .

Macdonald's commuting family of q -difference operators D_1, D_2, \dots, D_n is defined by the generating function

$$\begin{aligned} D_x(u; q, t) &= \sum_{r=0}^n (-u)^r D_r \\ &= \sum_{K \subset \{1, \dots, n\}} (-u)^{|K|} q^{\binom{|K|}{2}} \prod_{i \in K, j \notin K} \frac{1 - tx_i/x_j}{1 - x_i/x_j} \prod_{i \in K} T_{q,x_i}. \end{aligned} \quad (2.1)$$

Note that $D_x(u; q, t)$ has the determinantal formula

$$\begin{aligned} D_x(u; q, t) &= \frac{1}{\Delta(x)} \det(x_j^{n-i} (1 - ut^{n-i} T_{q,x_i}))_{i,j} \\ &= \frac{1}{\Delta(x)} \sum_{w \in \mathfrak{S}_n} \epsilon(w) w \left(\prod_{i=1}^n x_i^{n-i} (1 - ut^{n-i} T_{q,x_i}) \right), \end{aligned} \quad (2.2)$$

where $\Delta(x) = \prod_{i < j} (x_i - x_j)$. Macdonald's symmetric polynomials $P_\lambda(x) = P_\lambda(x; q, t)$ are the joint eigenfunctions of the operators D_1, \dots, D_n on $\mathbb{K}[x]^{\mathfrak{S}_n}$, satisfying the equations

$$D_x(u) P_\lambda(x) = P_\lambda(x) \prod_{i=1}^n (1 - uq^{\lambda_i} t^{n-i}); \quad (2.3)$$

each $P_\lambda(x)$ is normalized so that the coefficient of x^λ should be equal to 1. The integral form $J_\lambda(x) = J_\lambda(x; q, t)$ of $P_\lambda(x)$ is defined as

$$J_\lambda(x; q, t) = c_\lambda P_\lambda(x; q, t), \quad c_\lambda = \prod_{s \in \lambda} (1 - q^{a(s)} t^{l(s)+1}). \quad (2.4)$$

It is known in fact that $J_\lambda(x)$ are linear combinations of monomial symmetric functions with coefficients in $\mathbb{Z}[q, t]$ (see [3] for example).

We recall that the Macdonald polynomials have the generating function

$$\prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j) = \sum_{\lambda} P_\lambda(x; q, t) P_{\lambda'}(y; t, q), \quad (2.5)$$

for another set of variables $y = (y_1, \dots, y_m)$, where λ' stands for the conjugate partition of λ , and the summation is taken over all partitions λ such that $l(\lambda') = \lambda_1 \leq m$, $l(\lambda) = \lambda'_1 \leq n$. This formula will be the key to our study of raising operators of row type. Notice that the dual version of the generation function (2.5) has been employed in [3] for the construction of raising operators of column type.

3. RAISING OPERATORS OF ROW TYPE AND THEIR UNIQUENESS

Fixing a nonnegative integer m , we will prove in this section the uniqueness of a q -difference operator

$$B_m = \sum_{|\gamma| \leq m} b_\gamma^{(m)}(x) T_{q,x}^\gamma \quad (b_\gamma^{(m)}(x) \in \mathbb{K}(x)) \quad (3.1)$$

of order $\leq m$ such that

$$B_m J_\lambda(x; q, t) = \begin{cases} J_{(m,\lambda)}(x; q, t) & \text{if } l(\lambda') \leq m, l(\lambda) < n, \\ 0 & \text{if } l(\lambda') \leq m, l(\lambda) = n, \end{cases} \quad (3.2)$$

where $(m, \lambda) = (m, \lambda_1, \lambda_2, \dots)$. We remark that the invariance of B_m under the action of \mathfrak{S}_n follows immediately from the uniqueness theorem. Existence of such an operator will be established in the next section.

Lemma 3.1. *A q -difference operator B_m of order $\leq m$ in the form (3.1) satisfies the condition (3.2) if and only if the following equality holds:*

$$B_{m,x} \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j) = \frac{1}{y_1 \cdots y_m} D_y(1; t, q) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j). \quad (3.3)$$

Proof. Note first that, for each partition $\mu = (\mu_1, \dots, \mu_m)$ of length $\leq m$, one has

$$\frac{1}{y_1 \cdots y_m} D_y(1; t, q) P_\mu(y; t, q) = \begin{cases} P_{\mu-(1)^m}(y; t, q) \prod_{i=1}^m (1 - q^{m-i} t^{\mu_i}) & \text{if } \mu_m > 0, \\ 0 & \text{if } \mu_m = 0. \end{cases} \quad (3.4)$$

Hence we obtain

$$\begin{aligned}
 & \frac{1}{y_1 \cdots y_m} D_y(1; t, q) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j) \\
 &= \sum_{l(\nu) \leq n, l(\nu') = m} P_\nu(x; q, t) P_{\nu' - (1)^m}(y; t, q) \prod_{i=1}^m (1 - q^{m-i} t^{(\nu')_i}) \\
 &= \sum_{l(\lambda) \leq n-1, l'(\lambda) \leq m} P_{(m, \lambda)}(x; q, t) P_{\lambda'}(y; t, q) \prod_{i=1}^m (1 - q^{m-i} t^{(\lambda')_{i+1}}).
 \end{aligned} \tag{3.5}$$

This implies that equation (3.3) is equivalent to the condition

$$B_m P_\lambda(x; q, t) = \begin{cases} 0 & (\text{if } l(\lambda) = n) \\ P_\lambda(x; q, t) \prod_{i=1}^m (1 - q^{m-i} t^{(\lambda')_{i+1}}) & (\text{if } l(\lambda) < n) \end{cases} \tag{3.6}$$

for any λ with $l(\lambda') \leq m$. It is easily seen that this coincides with condition (3.2) in terms of the integral forms. \square

By making the action of $D_y(1; t, q)$ in (3.3) explicit, we obtain

Proposition 3.2. *A q -difference operator B_m of order $\leq m$ is a raising operator of row type for Macdonald polynomials if and only if its coefficients satisfy the following identity of rational functions:*

$$\begin{aligned}
 & \sum_{|\gamma| \leq m} b_\gamma^{(m)}(x) \prod_{i=1}^n \prod_{j=1}^m \frac{1 + q^{\gamma_i} x_i y_j}{1 + x_i y_j} \\
 &= \frac{1}{y_1 \cdots y_m} \sum_{K \subset \{1, \dots, n\}} (-1)^{|K|} q^{\binom{|K|}{2}} \prod_{k \in K, l \notin K} \frac{1 - q y_k / y_l}{1 - y_k / y_l} \prod_{i=1}^n \prod_{k \in K} \frac{1 + t x_i y_k}{1 + x_i y_k}.
 \end{aligned} \tag{3.7}$$

Remark 3.3. By the determinantal representation of $D_y(1; t, q)$, equality (3.7) can also be rewritten in the form

$$\begin{aligned}
 & \sum_{|\gamma| \leq m} b_\gamma^{(m)}(x) \prod_{i=1}^n \prod_{j=1}^m \frac{1 + q^{\gamma_i} x_i y_j}{1 + x_i y_j} \\
 &= \frac{1}{y_1 \cdots y_m \Delta(y)} \det \left(y_j^{m-i} (1 - q^{m-i} \prod_{r=1}^n \frac{1 + t x_r y_j}{1 + x_r y_j}) \right)_{i,j}.
 \end{aligned} \tag{3.8}$$

Let now B and B' be two q -difference operators of order $\leq m$ and suppose that they both satisfy the condition (3.2) of raising operators. Then by Lemma 3.1 one has

$$(B_x - B'_x) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j) = 0. \tag{3.9}$$

Hence the uniqueness of B_m of Theorem 1.1 follows immediately from the following general proposition on q -difference operators.

Proposition 3.4. *Let $P = \sum_{|\gamma| \leq m} a_\gamma(x) T_{q,x}^\gamma$ be a q -difference operator of order $\leq m$ with coefficients in $\mathbb{K}(x)$.*

(a) *If $P_x \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j) = 0$, then $P = 0$ as a q -difference operator.*

(b) If $Pf(x) = 0$ for any symmetric polynomial $f(x) \in \mathbb{K}[x]^{\mathfrak{S}^n}$ of degree $\leq mn$, then $P = 0$ as a q -difference operator.

Since the statement (b) follows from (a), we give a proof of (a) of Proposition. For each multi-index $\alpha \in \mathbb{N}^n$ with $|\alpha| = m$, we define a point $p_\alpha(x) \in \mathbb{K}(\sphericalangle)^m$ by

$$p_\alpha(x) = (-1/x_1, -1/qx_1, \dots, -1/q^{\alpha_1-1}x_1, \dots, -1/x_n, -1/qx_n, \dots, -1/q^{\alpha_n-1}x_n). \quad (3.10)$$

Then we have

Lemma 3.5. *For any multi-index $\gamma \in \mathbb{N}^n$, one has*

$$\begin{aligned} \prod_{i=1}^n \prod_{j=1}^m (1 + q^{\gamma_i} x_i y_j) \Big|_{y=p_\alpha(x)} &= \prod_{i=1}^n \prod_{j=1}^n \prod_{\nu=0}^{\alpha_j-1} (1 - q^{\gamma_i-\nu} x_i/x_j) \\ &= \prod_{1 \leq i, j \leq n} (q^{\gamma_i-\alpha_j+1} x_i/x_j)_{\alpha_j}. \end{aligned} \quad (3.11)$$

In particular, one has $\prod_{i=1}^n \prod_{j=1}^m (1 + q^{\gamma_i} x_i y_j) \Big|_{y=p_\alpha(x)} = 0$ unless $\gamma \geq \alpha$.

Under the assumption of Proposition 3.4, (a), we may assume that $a_\alpha(x) \neq 0$ for some $\alpha \in \mathbb{N}^n$ with $|\alpha| = m$ without losing generality. (If P is of order $l < m$, set $y_{l+1} = \dots = y_m = 0$ and apply the following argument by replacing m by l .) The assumption on P implies

$$\sum_{|\gamma| \leq m} a_\gamma(x) \prod_{i=1}^n \prod_{j=1}^m (1 + q^{\gamma_i} x_i y_j) = 0. \quad (3.12)$$

Evaluating this equality at $y = p_\alpha(x)$, we have

$$a_\alpha(x) \prod_{1 \leq i, j \leq n} (q^{\alpha_i-\alpha_j+1} x_i/x_j)_{\alpha_j} = 0 \quad (3.13)$$

by Lemma 3.5, since, if $|\gamma| \leq m$ and $\gamma \geq \alpha$, then $\gamma = \alpha$. This contradicts to the assumption $a_\alpha(x) \neq 0$. This completes the proofs of Proposition 3.4 and the uniqueness of B_m in Theorem 1.1.

4. EXISTENCE OF B_m

In this section, we discuss the existence of a raising operator B_m .

We begin with a lemma which will play an important role in the following argument.

Lemma 4.1. *Let $F(y) \in \mathbb{K}(x)[y]^{\mathfrak{S}^m}$ be a symmetric polynomial in $y = (y_1, \dots, y_m)$ with coefficients in $\mathbb{K}(x)$, and suppose that $F(y)$ is of degree $\leq n-1$ in y_j for each $j = 1, \dots, m$. If $F(p_\alpha(x)) = 0$ for all $\alpha \in \mathbb{N}^n$ with $|\alpha| = m$, then $F(y)$ is identically zero as a polynomial in y .*

Proof. We prove Lemma by the induction on m . The case when $m = 1$ is obvious since $F(y)$ is of degree $\leq n-1$ and has n distinct zeros $-1/x_1, \dots, -1/x_n$. For $m \geq 2$, we first expand $F(y)$ in terms of y_m as follows:

$$F(y) = F(y_1, \dots, y_m) = \sum_{i=0}^{n-1} F_i(y_1, \dots, y_{m-1}) y_m^i, \quad (4.1)$$

where each coefficient $F_i(y_1, \dots, y_{m-1})$ has degree $\leq n-1$ in all y_j ($j = 1, \dots, m-1$). Let $\beta \in \mathbb{N}^n$ a multi-index with $|\beta| = m-1$ and consider the polynomial

$$f(y_m) = F(p_\beta(x), y_m) = \sum_{i=0}^{n-1} F_i(p_\beta(x)) y_m^i, \quad (4.2)$$

by evaluating $F(y)$ at $(y_1, \dots, y_{m-1}) = p_\beta(x)$. From the assumption on $F(y)$, it follows that the polynomial $f(y_m)$ has n distinct zeros $y_m = -1/q^{\beta_i} x_i$ ($i = 1, \dots, n$). Hence $f(y_m)$ is identically 0 as a polynomial in y_m . This implies that $F_i(p_\beta(x)) = 0$ for each $i = 0, \dots, m-1$ and for any $\beta \in \mathbb{N}^n$ with $|\beta| = m-1$. By the induction hypothesis, we conclude that the coefficients $F_i(y_1, \dots, y_{m-1})$ are identically zero as polynomials in (y_1, \dots, y_{m-1}) , namely, $F(y)$ is identically zero as a polynomial in $y = (y_1, \dots, y_m)$. \square

In view of Lemma 3.1, we propose to construct a q -difference operator

$$B = \sum_{|\alpha| \leq m} b_\alpha(x) T_{q,x}^\alpha \quad (4.3)$$

of order $\leq m$ such that

$$B_x \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j) = \frac{1}{y_1 \cdots y_m} D_y(1; t, q) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j). \quad (4.4)$$

In the following, we denote the left-hand side and the right-hand side of this equality by $\Phi(x; y)$ and by $\Psi(x; y)$, respectively. In terms of the coefficients $b_\alpha(x)$, $\Phi(x; y)$ is expressed as

$$\Phi(x; y) = \sum_{|\alpha| \leq m} b_\alpha(x) \prod_{i=1}^n \prod_{j=1}^m (1 + q^{\alpha_i} x_i y_j). \quad (4.5)$$

Note also that $\Psi(x; y)$ is a polynomial in $y = (y_1, \dots, y_m)$ and has degree $\leq n-1$ in each y_j ($j = 1, \dots, m$) as can be seen from (3.4). Hence, by Lemma 4.1, we see that B satisfies the desired equality if and only if

1. $\Phi(x; y)$ is of degree $\leq n-1$ in each y_j for $j = 1, \dots, m$.
2. $\Phi(x; p_\alpha(x)) = \Psi(x; p_\alpha(x))$ for all $\alpha \in \mathbb{N}^n$ with $|\alpha| = m$.

Suppose now that the operator B has the property (1) mentioned above. Since the degree of $\Phi(x; y)$ in y_j is less than n for each $j = 1, \dots, m$, we have

$$\Phi(x; y) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j)^{-1} \Big|_{y_1 \rightarrow \infty, \dots, y_m \rightarrow \infty} = 0. \quad (4.6)$$

Hence by (4.5) we obtain

$$\sum_{|\alpha| \leq m} b_\alpha(x) q^{|\alpha|m} = 0, \quad \text{i.e.,} \quad b_0(x) = - \sum_{0 < |\alpha| \leq m} b_\alpha(x) q^{|\alpha|m}. \quad (4.7)$$

This implies that B can be represent as

$$B = \sum_{1 \leq |\alpha| \leq m} b_\alpha(x) (T_{q,x}^\alpha - q^{|\alpha|m}). \quad (4.8)$$

Note that a general B of order $\leq m$ has an expression of this form if and only if

$$F_1(x; y_1) = \Phi(x; y) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j)^{-1} \Big|_{y_2 \rightarrow \infty, \dots, y_m \rightarrow \infty} \quad (4.9)$$

is of degree $\leq n - 1$ in y_1 . We now show inductively that, for $l = 0, 1, \dots, m$, B can be represented as follows:

$$B = \sum_{l \leq |\alpha| \leq m} b_\alpha(x) \phi_{l; \alpha}(x, T_{q, x}), \quad (4.10)$$

where

$$\phi_{l; \alpha}(x, T_{q, x}) = T_{q, x}^\alpha + \sum_{\beta < \alpha, |\beta| < l} \phi_{l; \alpha, \beta}(x) T_{q, x}^\beta. \quad (4.11)$$

Assume that we have constructed such an expression for l with $l < m$. Note that

$$\begin{aligned} \Phi(x; y) &= \sum_{l \leq |\alpha| \leq m} b_\alpha(x) \left(\prod_{i=1}^n \prod_{j=1}^m (1 + q^{\alpha_i} x_i y_j) \right) \\ &\quad + \sum_{\beta \leq \alpha, |\beta| < l} \phi_{l; \alpha, \beta}(x) \prod_{i=1}^n \prod_{j=1}^m (1 + q^{\beta_i} x_i y_j). \end{aligned} \quad (4.12)$$

Since property (1) of $\Phi(x; y)$ implies

$$\Phi(x; y) \prod_{i=1}^n \prod_{j=l+1}^m (1 + x_i y_j)^{-1} \Big|_{y_{l+1} \rightarrow \infty, \dots, y_m \rightarrow \infty} = 0, \quad (4.13)$$

we obtain the relation

$$\begin{aligned} &\sum_{l \leq |\alpha| \leq m} b_\alpha(x) \left(q^{|\alpha|(m-l)} \prod_{i=1}^n \prod_{j=1}^l (1 + q^{\alpha_i} x_i y_j) \right) \\ &\quad + \sum_{\beta \leq \alpha, |\beta| < l} \phi_{l; \alpha, \beta}(x) q^{|\beta|(m-l)} \prod_{i=1}^n \prod_{j=1}^l (1 + q^{\beta_i} x_i y_j) \Big) = 0. \end{aligned} \quad (4.14)$$

In this formula we consider to specialize $y' = (y_1, \dots, y_l)$ at $p_\gamma(x)$, with the notation of (3.10), for each γ with $|\gamma| = l$. By Lemma 3.5, $\prod_{i=1}^n \prod_{j=1}^l (1 + q^{\beta_i} x_i y_j) \Big|_{y' = p_\gamma(x)} = 0$ unless $\beta \geq \gamma$. Hence formula (4.14) with $y' = p_\gamma(x)$ gives rise to

$$\begin{aligned} &b_\gamma(x) q^{l(m-l)} \prod_{1 \leq i, j \leq n} (q^{\gamma_i - \gamma_j + 1} x_i / x_j)_{\gamma_j} \\ &\quad + \sum_{|\alpha| > l} b_\alpha(x) q^{|\alpha|(m-l)} \prod_{1 \leq i, j \leq n} (q^{\alpha_i - \gamma_j + 1} x_i / x_j)_{\gamma_j} = 0. \end{aligned} \quad (4.15)$$

From this we have

$$b_\gamma(x) = - \sum_{\alpha > \gamma} b_\alpha(x) \psi_{\alpha, \gamma}(x), \quad (4.16)$$

where

$$\begin{aligned}\psi_{\alpha,\gamma}(x) &= q^{(|\alpha|-|\gamma|)(m-|\gamma|)} \prod_{1 \leq i,j \leq n} \frac{(q^{\alpha_i - \gamma_j + 1} x_i / x_j)^{\gamma_j}}{(q^{\gamma_i - \gamma_j + 1} x_i / x_j)^{\gamma_j}} \\ &= q^{(|\alpha|-|\gamma|)(m-|\gamma|)} C_{\alpha,\gamma}(x; q)\end{aligned}\quad (4.17)$$

with the notation of (1.8). Note that $\psi_{\alpha,\gamma}(x)$ depends on m but does *not* on B . Thus we obtain

$$\begin{aligned}B &= \sum_{|\gamma|=l} b_\gamma(x) \phi_{l;\gamma}(x, T_{q,x}) + \sum_{l < |\alpha| \leq m} b_\alpha(x) \phi_{l;\alpha}(x, T_{q,x}) \\ &= \sum_{l+1 \leq |\alpha| \leq m} b_\alpha(x) \phi_{l+1;\alpha}(x, T_{q,x}).\end{aligned}\quad (4.18)$$

where $\phi_{l+1;\alpha}(x, T_{q,x})$ ($l+1 \leq |\alpha| \leq m$) are determined by

$$\phi_{l+1;\alpha}(x, T_{q,x}) = \phi_{l;\alpha}(x, T_{q,x}) - \sum_{\gamma < \alpha, |\gamma|=l} \psi_{\alpha,\gamma}(x) \phi_{l;\gamma}(x, T_{q,x}).\quad (4.19)$$

In other words, the coefficients of $\phi_{l+1;\alpha}(x; T_{q,x})$ are determined by the recurrence formula

$$\phi_{l+1;\alpha,\beta}(x) = \phi_{l;\alpha,\beta}(x) - \sum_{\beta < \gamma < \alpha, |\gamma|=l} \psi_{\alpha,\gamma}(x) \phi_{l;\gamma,\beta}(x)\quad (4.20)$$

for all β such that $\beta < \alpha$ and $|\beta| < l$. In this induction procedure, it is also seen by Lemma 4.1 that a general B of order $\leq m$ has an expression of this form (4.10) with (4.11) if and only if

$$F_l(x; y_1, \dots, y_m) = \Phi(x; y) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j)^{-1} \Big|_{y_{l+1} \rightarrow \infty, \dots, y_m \rightarrow \infty}\quad (4.21)$$

is of degree $\leq n-1$ in y_j for each $j = 1, \dots, l$.

In this way, we can define the q -difference operators $\phi_{l;\alpha}(x; T_{q,x})$ ($l \leq |\alpha| \leq m$) for $l = 0, \dots, m$, inductively on l by (4.19). Note that these operators depend on the m that we have fixed in advance, but do *not* on the operator B . By using the operators we obtained at the final step $l = m$, we have the expression

$$B = \sum_{|\alpha|=m} b_\alpha(x) \phi_\alpha^{(m)}(x; T_{q,x})\quad (4.22)$$

for B , where $\phi_\alpha^{(m)}(x; T_{q,x}) = \phi_{m;\alpha}(x; T_{q,x})$.

From this construction, we obtain the following proposition.

Proposition 4.2. *For each $\alpha \in \mathbb{N}^n$ with $|\alpha| = m$, define the q -difference operator $\phi_\alpha^{(m)}(x; T_{q,x})$ as above. Then, for any q -difference operator B of order $\leq m$ with coefficients in $\mathbb{K}(x)$, the following two conditions are equivalent.*

- (a) $\Phi(x; y) = B_x \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j)$ is of degree $\leq n-1$ in y_j for each $j = 1, \dots, m$.
- (b) B is represented as

$$B = \sum_{|\alpha|=m} b_\alpha(x) \phi_\alpha^{(m)}(x, T_{q,x})\quad (4.23)$$

for some $b_\alpha(x) \in \mathbb{K}(x)$.

We now consider a q -difference operator B of the form Proposition 4.2, (b), so that $\Phi(x; y)$ is of degree $\leq n - 1$ in each y_j ($j = 1, \dots, m$). With $\Psi(x; y)$ being the right-hand side of (4.4), the equality $\Phi(x; y) = \Psi(x; y)$ holds if and only if $\Phi(x; p_\alpha(x)) = \Psi(x; p_\alpha(x))$ for any α with $|\alpha| = m$, as we remarked before. Since

$$\Phi(x; p_\alpha(x)) = b_\alpha(x) \prod_{1 \leq i, j \leq n} (q^{\alpha_i - \alpha_j + 1} x_i / x_j)_{\alpha_j} \quad (4.24)$$

by Lemma 3.5, the coefficients $b_\alpha(x)$ are determined as

$$b_\alpha(x) = \Psi(x; p_\alpha(x)) \prod_{1 \leq i, j \leq n} (q^{\alpha_i - \alpha_j + 1} x_i / x_j)_{\alpha_j}^{-1} \quad (4.25)$$

for all α with $|\alpha| = m$. This completes the proof of existence of a raising operator B_m .

From the recurrence formula (4.20) we see that, for any α with $l \leq |\alpha| \leq m$, the coefficients $\phi_{l; \alpha, \beta}(x)$ of $\phi_{l; \alpha}(x; T_{q, x})$ are expressed as

$$\phi_{l; \alpha, \beta}(x) = \sum_{r=1}^l (-1)^r \sum_{\alpha > \gamma_1 > \dots > \gamma_r = \beta; |\gamma_1| < l} \psi_{\alpha, \gamma_1}(x) \psi_{\gamma_1, \gamma_2}(x) \cdots \psi_{\gamma_{r-1}, \gamma_r}(x) \quad (4.26)$$

for all β with $\beta < \alpha, |\beta| < l$. In particular, we have

Proposition 4.3. *For any pair (α, β) of multi-indices with $\beta \leq \alpha$, define a rational function $\psi_{\alpha, \beta}^{(m)}(x)$ by*

$$\begin{aligned} \psi_{\alpha, \beta}^{(m)}(x) &= q^{(|\alpha| - |\beta|)(m - |\beta|)} C_{\alpha, \beta}(x; q) \\ &= q^{(|\alpha| - |\beta|)(m - |\beta|)} \prod_{1 \leq i, j \leq n} \frac{(q^{\alpha_i - \beta_j + 1} x_i / x_j)_{\beta_j}}{(q^{\beta_i - \beta_j + 1} x_i / x_j)_{\beta_j}}. \end{aligned} \quad (4.27)$$

Then, for any $\alpha \in \mathbb{N}^n$ with $|\alpha| = m$, the coefficients of the q -difference operator

$$\phi_\alpha^{(m)}(x; T_{q, x}) = \sum_{\beta \leq \alpha} \phi_{\alpha, \beta}^{(m)}(x) T_{q, x}^\beta \quad (4.28)$$

are determined by the formula

$$\phi_{\alpha, \beta}^{(m)}(x) = \sum_{r=0}^m (-1)^r \sum_{\alpha = \gamma_0 > \gamma_1 > \dots > \gamma_r = \beta} \psi_{\gamma_0, \gamma_1}^{(m)}(x) \cdots \psi_{\gamma_{r-1}, \gamma_r}^{(m)}(x), \quad (4.29)$$

where the summation is taken over all paths in the lattice \mathbb{N}^n connecting α and β .

In the next section, we will give explicit formulas for these coefficients $\phi_{\alpha, \beta}^{(m)}(x)$.

5. EXPLICIT FORMULAS FOR $\phi_\alpha^{(m)}(x; T_{q, x})$

The goal of this section is to give the explicit formula

$$\phi_\alpha^{(m)}(x; T_{q, x}) = \sum_{\beta \leq \alpha} (-1)^{|\alpha| - |\beta|} q^{\binom{|\alpha| - |\beta| + 1}{2}} C_{\alpha, \beta}(x; q) T_{q, x}^\beta \quad (5.1)$$

for $\phi_\alpha^{(m)}(x, T_{q,x})$ ($|\alpha| = m$) as in Theorem 1.2. With the notation of Proposition 4.3, this formula is equivalent to

$$\begin{aligned}\phi_{\alpha,\beta}^{(m)}(x) &= (-1)^{|\alpha|-|\beta|} q^{\binom{|\alpha|-|\beta|+1}{2}} C_{\alpha,\beta}(x; q) \\ &= (-1)^{|\alpha|-|\beta|} q^{\binom{|\alpha|-|\beta|+1}{2}} \prod_{1 \leq i, j \leq n} \frac{(q^{\alpha_i - \beta_j + 1} x_i / x_j)_{\beta_j}}{(q^{\beta_i - \beta_j + 1} x_i / x_j)_{\beta_j}}.\end{aligned}\quad (5.2)$$

for $\beta \leq \alpha$.

In view of the dependence of $\psi_{\alpha,\beta}^{(m)}(x)$ on m (see Proposition 4.3), we define a function $g_{\alpha,\beta}(x)$ by

$$g_{\alpha,\beta}(x) = q^{-(|\alpha|-|\beta|)|\beta|} C_{\alpha,\beta}(x; q) \quad (5.3)$$

for any $\alpha, \beta \in \mathbb{N}^n$ with $\beta \leq \alpha$, so that $\psi_{\alpha,\beta}^{(m)}(x) = q^{(|\alpha|-|\beta|)m} g_{\alpha,\beta}(x)$. With these $g_{\alpha,\beta}(x)$, we also define a function $f_{\alpha,\beta}(x)$ by

$$f_{\alpha,\beta}(x) = \sum_{r=0}^{|\alpha|-|\beta|} (-1)^r \sum_{\alpha=\gamma_0 > \gamma_1 > \dots > \gamma_r = \beta} g_{\gamma_0, \gamma_1}(x) \cdots g_{\gamma_{r-1}, \gamma_r}(x) \quad (5.4)$$

for any $\alpha, \beta \in \mathbb{N}^n$ with $\beta \leq \alpha$. Then by Proposition 4.3 we have

$$\phi_{\alpha,\beta}^{(m)}(x) = q^{(|\alpha|-|\beta|)m} f_{\alpha,\beta}(x) \quad (5.5)$$

if $|\alpha| = m$ and $\beta \leq \alpha$. Hence, the formula (5.2) follows from the following proposition.

Proposition 5.1. *Define the rational functions $f_{\alpha,\beta}(x)$ ($\beta \leq \alpha$) by the formulas (5.4) together with (5.3). Then they can be determined as*

$$f_{\alpha,\beta}(x) = (-1)^{|\alpha|-|\beta|} q^{-\binom{|\alpha|-|\beta|}{2} - (|\alpha|-|\beta|)|\beta|} C_{\alpha,\beta}(x; q) \quad (5.6)$$

for any α, β with $\beta \leq \alpha$.

For the proof of Proposition 5.1, notice that the functions $f_{\alpha,\beta}(x)$ are defined as the matrix elements of the inverse matrix of the lower unitriangular matrix $G = (g_{\alpha,\beta}(x))_{\alpha,\beta}$. Hence we have only to show the inverse matrix of G is given by $G^{-1} = (\tilde{f}_{\alpha,\beta}(x))_{\alpha,\beta}$ with

$$\tilde{f}_{\alpha,\beta}(x) = (-1)^{|\alpha|-|\beta|} q^{-\binom{|\alpha|-|\beta|}{2} - (|\alpha|-|\beta|)|\beta|} C_{\alpha,\beta}(x; q). \quad (5.7)$$

Proposition 5.1 thus reduces to

Lemma 5.2. *For any α, β with $\alpha > \beta$, one has*

$$\sum_{\alpha \geq \gamma \geq \beta} \tilde{f}_{\alpha,\gamma}(x) g_{\gamma,\beta}(x) = 0. \quad (5.8)$$

By the definition of $g_{\alpha,\beta}(x)$ and $\tilde{f}_{\alpha,\beta}(x)$, we have

$$\begin{aligned}& \sum_{\alpha \geq \gamma \geq \beta} \tilde{f}_{\alpha,\gamma}(x) g_{\gamma,\beta}(x) \\ &= \sum_{\alpha \geq \gamma \geq \beta} (-1)^{|\alpha|-|\gamma|} q^{-\binom{|\alpha|-|\gamma|}{2} - (|\alpha|-|\gamma|)|\gamma| - (|\gamma|-|\beta|)|\beta|} C_{\alpha,\gamma}(x; q) C_{\gamma,\beta}(x; q).\end{aligned}\quad (5.9)$$

Just as in the case of binomial coefficients, it is directly shown that our $C_{\alpha,\beta}(x; q)$ satisfy the following identity:

$$\begin{aligned} C_{\alpha,\gamma}(x; q) C_{\gamma,\beta}(x; q) &= C_{\alpha,\beta}(x; q) \prod_{i,j} \frac{(q^{\gamma_i - \beta_j + 1} x_i / x_j)_{\alpha_i - \gamma_i}}{(q^{\gamma_i - \gamma_j + 1} x_i / x_j)_{\alpha_i - \gamma_i}} \\ &= C_{\alpha,\beta}(x; q) C_{\alpha - \beta, \alpha - \gamma}(1/q^\alpha x; q) \end{aligned} \quad (5.10)$$

where $1/q^\alpha x = (1/q^{\alpha_1} x_1, \dots, 1/q^{\alpha_n} x_n)$. Hence we obtain

$$\begin{aligned} \sum_{\alpha \geq \gamma \geq \beta} \widetilde{f_{\alpha,\gamma}}(x) g_{\gamma,\beta}(x) &= q^{-(|\alpha| - |\beta|)|\beta|} C_{\alpha,\beta}(x; q) \\ &\cdot \sum_{\alpha \geq \gamma \geq \beta} (-1)^{|\alpha| - |\gamma|} q^{-\binom{|\alpha| - |\gamma|}{2} - (|\alpha| - |\gamma|)(|\gamma| - |\beta|)} C_{\alpha - \beta, \alpha - \gamma}(1/q^\alpha x; q). \end{aligned} \quad (5.11)$$

Setting $\alpha - \beta = \lambda$ and $\alpha - \gamma = \mu$, the last summation can be rewritten in the form

$$\sum_{0 \leq \mu \leq \lambda} (-1)^{|\mu|} q^{|\mu|(1 - |\lambda|)} q^{\binom{|\mu|}{2}} C_{\lambda,\mu}(1/q^\alpha x). \quad (5.12)$$

Hence Lemma 5.2 is reduced to proving that this formula becomes zero. It is in fact a special case of the following analogue of the q -binomial theorem. (Replace x by $1/q^\alpha x$ and set $u = q^{1 - |\lambda|}$ in (5.13) below, to see that (5.12) becomes zero.)

Proposition 5.3. *For any $\lambda \in \mathbb{N}^n$, one has*

$$\sum_{0 \leq \mu \leq \lambda} (-u)^{|\mu|} q^{\binom{|\mu|}{2}} C_{\lambda,\mu}(x; q) = (u)_{|\lambda|}, \quad (5.13)$$

where u is an indeterminate.

Proof. This “ q -binomial theorem” follows from an identity for Macdonald’s q -difference operator $D_z(u; t, q)$ in N variables $z = (z_1, \dots, z_N)$ with $N = |\lambda|$. Since $D_z(u; t, q) \cdot 1 = (u)_N$, we have

$$\sum_{K \subset \{1, \dots, N\}} (-u)^{|K|} q^{\binom{|K|}{2}} \prod_{k \in K; l \notin K} \frac{1 - qz_k/z_l}{1 - z_k/z_l} = (u)_N. \quad (5.14)$$

For a multi-index $\lambda \in \mathbb{N}^n$ with $|\lambda| = N$, let us specialize (5.14) at $z = p_\lambda(x)$ with the notation of (3.10). Note that, when we specialize z at $p_\lambda(x)$, the indexing set $\{1, \dots, N\}$ is divided into n blocks with cardinality $\lambda_1, \dots, \lambda_n$, respectively. Furthermore, for a configuration K of points in $\{1, \dots, N\}$, the product $\prod_{k \in K; l \notin K} (1 - qz_k/z_l)/(1 - z_k/z_l)$ becomes zero unless the elements of K should be packed to the left in each block. Such configurations K are parameterized by multi-indices $\mu \leq \lambda$ such that $|\mu| = |K|$ and that μ_i denotes the number of points of K sitting in the i -th block for $i = 1, \dots, n$. For such a K , one has

$$\begin{aligned} \prod_{k \in K; l \notin K} \frac{1 - qz_k/z_l}{1 - z_k/z_l} \Big|_{z=p_\lambda(x)} &= \prod_{1 \leq i, j \leq n} \prod_{\mu_i \leq a < \lambda_i; 0 \leq b < \mu_j} \frac{1 - q^{a-b+1} x_i / x_j}{1 - q^{a-b} x_i / x_j} \\ &= \prod_{1 \leq i, j \leq n} \frac{(q^{\lambda_i - \mu_j + 1} x_i / x_j)_{\mu_j}}{(q^{\mu_i - \mu_j + 1} x_i / x_j)_{\mu_j}} = C_{\lambda,\mu}(x; q). \end{aligned} \quad (5.15)$$

(The indices are renamed by $k \rightarrow (j, b)$, $l \rightarrow (i, a)$.) Hence we obtain (5.13). \square

This completes the proof of formula (5.1).

Remark 5.4. In the case of one variable, equation (5.13) reduces the ordinary q -binomial theorem

$$\sum_{k=0}^l (-1)^k q^{\binom{k}{2}} u^k \begin{bmatrix} l \\ k \end{bmatrix}_q = (u)_l. \quad (5.16)$$

If we take the coefficient of u^k in formula (5.13), we obtain

$$\sum_{\mu \leq \lambda, |\mu|=k} \prod_{j=1}^n \begin{bmatrix} \lambda_j \\ \mu_j \end{bmatrix}_q \prod_{i \neq j} \frac{(q^{\lambda_i - \mu_j + 1} x_i / x_j)_{\mu_j}}{(q^{\mu_i - \mu_j + 1} x_i / x_j)_{\mu_j}} = \begin{bmatrix} |\lambda| \\ k \end{bmatrix}_q, \quad (5.17)$$

for $k = 0, 1, \dots, |\lambda|$. This gives a generalization of the q -Chu-Vandermonde formula. From (5.13), we also obtain another type of q -Chu-Vandermonde formula for our $C_{\alpha, \beta}(x; q)$:

$$\sum_{\substack{\mu \leq \alpha, \nu \leq \beta \\ |\mu| + |\nu| = k}} q^{(|\alpha| + |\mu|)|\nu|} C_{\alpha, \mu}(x; q) C_{\beta, \nu}(x; q) = \begin{bmatrix} |\alpha| + |\beta| \\ k \end{bmatrix}_q. \quad (5.18)$$

6. DETERMINATION OF $b_{\alpha}^{(m)}(x)$

We have already proved that our raising operator

$$B_m = \sum_{|\gamma| \leq m} b_{\gamma}^{(m)}(x) T_{q, x}^{\gamma} \quad (6.1)$$

of row type for Macdonald polynomials has an expression

$$B_m = \sum_{|\alpha| = m} b_{\alpha}^{(m)}(x) \phi_{\alpha}^{(m)}(x; T_{q, x}), \quad (6.2)$$

with the q -difference operators $\phi_{\alpha}^{(m)}(x; T_{q, x})$ of (5.1). In this section, we give explicit formulas for $b_{\alpha}^{(m)}(x)$ for all α with $|\alpha| = m$.

As we already remarked in Section 4, the coefficients $b_{\alpha}^{(m)}(x)$ ($|\alpha| = m$) are determined by

$$b_{\alpha}(x) = \Psi(x; p_{\alpha}(x)) \prod_{1 \leq i, j \leq n} (q^{\alpha_i - \alpha_j + 1} x_i / x_j)_{\alpha_j}^{-1}, \quad (6.3)$$

where

$$\Psi(x; y) = \frac{1}{y_1 \cdots y_n} D_y(1; t, q) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j). \quad (6.4)$$

(See (4.25).) Recall that

$$\begin{aligned} \Psi(x; y) &= \frac{1}{y_1 \cdots y_m} \sum_{K \in \{1, \dots, m\}} (-1)^{|K|} q^{\binom{|K|}{2}} \prod_{k \in K, l \notin K} \frac{1 - q y_k / y_l}{1 - y_k / y_l} \\ &\quad \prod_{i=1}^n \left\{ \prod_{k \in K} (1 + t x_i y_k) \prod_{l \notin K} (1 + x_i y_l) \right\}. \end{aligned}$$

We specialize this formula at $y = p_{\alpha}(x)$ for each α with $|\alpha| = m$, in the same way as we did in the proof of Proposition 5.3. All the subsets K that give rise to nonzero summands after the specialization $y = p_{\alpha}(x)$ are parameterized by the multi-indices

β such that $\beta \leq \alpha$ and $|\beta| = K$. With this parameterization, we already showed that

$$\prod_{k \in K, l \notin K} \frac{1 - qy_k/y_l}{1 - y_k/y_l} \Big|_{y=p_\alpha(x)} = C_{\alpha, \beta}(x; q). \quad (6.5)$$

Renaming the indices by $k \rightarrow (j, b)$, we have

$$\begin{aligned} & \prod_{i=1}^n \left\{ \prod_{k \in K} (1 + tx_i y_k) \prod_{l \notin K} (1 + x_i y_l) \right\} \\ &= \prod_{1 \leq i, j \leq n} \prod_{b=0}^{\beta_j-1} (1 - tq^{-b} x_i/x_j) \prod_{b=\beta_j}^{\alpha_j-1} (1 - q^{-b} x_i/x_j) \\ &= \prod_{1 \leq i, j \leq n} (tq^{-\beta_j+1} x_i/x_j)_{\beta_j} (q^{-\alpha_j+1} x_i/x_j)_{\alpha_j-\beta_j}. \end{aligned} \quad (6.6)$$

Hence we have

$$\begin{aligned} \Psi(x; p_\alpha(x)) &= (-1)^m q^{\sum_i \binom{\alpha_i}{2}} x^\alpha \sum_{\beta \leq \alpha} (-1)^{|\beta|} q^{\binom{|\beta|}{2}} C_{\alpha, \beta}(x; q) \\ &\quad \prod_{1 \leq i, j \leq n} (tq^{-\beta_j+1} x_i/x_j)_{\beta_j} (q^{-\alpha_j+1} x_i/x_j)_{\alpha_j-\beta_j}. \end{aligned}$$

By (6.3), we finally obtain

$$\begin{aligned} b_\alpha^{(m)}(x) &= q^{\sum_i \binom{\alpha_i}{2}} x^\alpha \sum_{\beta \leq \alpha} (-1)^{|\alpha|-|\beta|} q^{\binom{|\beta|}{2}} C_{\alpha, \beta}(x; q) \\ &\quad \cdot \prod_{1 \leq i, j \leq n} \frac{(tq^{-\beta_j+1} x_i/x_j)_{\beta_j} (q^{-\alpha_j+1} x_i/x_j)_{\alpha_j-\beta_j}}{(q^{\alpha_i-\alpha_j+1} x_i/x_j)_{\alpha_j}} \\ &= q^{\sum_i \binom{\alpha_i}{2}} x^\alpha \sum_{\beta \leq \alpha} (-1)^{|\alpha|-|\beta|} q^{\binom{|\beta|}{2}} \\ &\quad \cdot \prod_{1 \leq i, j \leq n} \frac{(tq^{-\beta_j+1} x_i/x_j)_{\beta_j} (q^{-\alpha_j+1} x_i/x_j)_{\alpha_j-\beta_j}}{(q^{\beta_i-\beta_j+1} x_i/x_j)_{\beta_j} (q^{\alpha_i-\alpha_j+1} x_i/x_j)_{\alpha_j-\beta_j}}, \end{aligned}$$

for any α with $|\alpha| = m$. This completes the proof of Theorem 1.2.

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