



Raising operators of row type for Macdonald polynomials

Kajihara, Yasushi

Noumi, Masatoshi

(Citation)

Compositio mathematica, 120(2):119-136

(Issue Date)

2000-01

(Resource Type)

other

(Version)

Author's Original

(Rights)

© Foundation Compositio Mathematica 2000. This is the preprint, archived in arXiv. The final publication is available at <http://dx.doi.org/10.1023/A:1001771421176>.

(URL)

<https://hdl.handle.net/20.500.14094/90003247>



RAISING OPERATORS OF ROW TYPE FOR MACDONALD POLYNOMIALS

YASUSHI KAJIHARA AND MASATOSHI NOUMI

Department of Mathematics, Kobe University

ABSTRACT. We construct certain raising operators of row type for Macdonald's symmetric polynomials by an interpolation method.

1. INTRODUCTION

Throughout this paper, we denote by $J_\lambda(x; q, t)$ the integral form of Macdonald's symmetric polynomial in n variables $x = (x_1, \dots, x_n)$ (of type A_{n-1}) associated with a partition λ ([5]). For each $m = 0, 1, 2, \dots$, we consider a q -difference operator B_m which should satisfy the following condition: *For any partition $\lambda = (\lambda_1, \lambda_2, \dots)$ whose longest part λ_1 has length $\leq m$, one has*

$$B_m J_\lambda(x; q, t) = \begin{cases} J_{(m, \lambda)}(x; q, t) & \text{if } \ell(\lambda) < n, \\ 0 & \text{if } \ell(\lambda) = n, \end{cases} \quad (1.1)$$

where $(m, \lambda) = (m, \lambda_1, \lambda_2, \dots)$ stands for the partition obtained by adding a row of length m to λ . An operator B_m having this property will be called a *raising operator of row type* for Macdonald polynomials. With such operators, the Macdonald polynomial $J_\lambda(x; q, t)$ for a general partition $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n)$ can be expressed as

$$B_{\lambda_1} B_{\lambda_2} \dots B_{\lambda_n} .1 = J_\lambda(x; q, t) \quad (\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n \geq 0). \quad (1.2)$$

Namely, one can obtain $J_\lambda(x; q, t)$ by an successive application of the operators B_m starting from $J_\phi(x; q, t) = 1$.

The purpose of this paper is to give an explicit construction of such operators B_m ($m = 0, 1, 2, \dots$). These operators B_m can be considered as a *dual version* of the raising operators of *column type* introduced by A.N. Kirillov and the second author [3], [4]. We remark that, as to the Hall-Littlewood polynomials (the case when $q = 0$), such a class of raising operators B_m of row type has been implicitly employed in Macdonald [5], Chapter III, (2.14):

$$B_m = (1 - t) \sum_{i=1}^n x_i^m \left(\prod_{j \neq i} \frac{x_i - tx_j}{x_i - x_j} \right) T_{0, x_i} \quad (1.3)$$

for $m = 1, 2, \dots$, where T_{0, x_i} is the "0-shift operator" in x_i , namely, the substitution of zero for x_i . Our raising operators of row type for Macdonald polynomials can be considered as a generalization of these operators for Hall-Littlewood polynomials.

We will propose first a theorem of unique existence for raising operators of row type. For each multi-index $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}^n$, we set $|\alpha| = \alpha_1 + \dots + \alpha_n$ and

$$x^\alpha = x_1^{\alpha_1} \cdots x_n^{\alpha_n}, \quad T_{q,x}^\alpha = T_{q,x_1}^{\alpha_1} \cdots T_{q,x_n}^{\alpha_n}, \quad (1.4)$$

where T_{q,x_i} is the q -shift operator in x_i , defined by

$$T_{q,x_i} f(x_1, \dots, x_i, \dots, x_n) = f(x_1, \dots, qx_i, \dots, x_n) \quad (1.5)$$

for $i = 1, \dots, n$.

Theorem 1.1. *For each $m = 0, 1, 2, \dots$, there exists a unique q -difference operator*

$$B_m = \sum_{|\gamma| \leq m} b_\gamma^{(m)}(x) T_{q,x}^\gamma \quad (1.6)$$

of order $\leq m$ satisfying the condition (1.1), where $b_\gamma^{(m)}(x)$ are rational functions in x with coefficients in $\mathbb{Q}(q, t)$. Furthermore, the operator B_m is invariant under the action of the symmetric group \mathfrak{S}_n of degree n .

We will also determine the operator B_m explicitly by an interpolation method. In the following, we use the notation $\alpha \leq \beta$ for the partial ordering of multi-indices defined by

$$\alpha \leq \beta \iff \alpha_i \leq \beta_i \quad (i = 1, \dots, n). \quad (1.7)$$

In order to describe the coefficients of our raising operators, we introduce a variant of q -binomial coefficients $C_{\alpha,\beta}(x; q)$ including the variables $x = (x_1, \dots, x_n)$. For any pair (α, β) of multi-indices such that $\alpha \geq \beta$, we set

$$\begin{aligned} C_{\alpha,\beta}(x; q) &= \prod_{1 \leq i, j \leq n} \frac{(q^{\alpha_i - \beta_j + 1} x_i / x_j)_{\beta_j}}{(q^{\beta_i - \beta_j + 1} x_i / x_j)_{\beta_j}} \\ &= \prod_{j=1}^n \frac{(q^{\alpha_j - \beta_j + 1})_{\beta_j}}{(q)_{\beta_j}} \prod_{i \neq j} \frac{(q^{\alpha_i - \beta_j + 1} x_i / x_j)_{\beta_j}}{(q^{\beta_i - \beta_j + 1} x_i / x_j)_{\beta_j}} \end{aligned} \quad (1.8)$$

with the notation $(a)_k = (a; q)_k = (1 - a)(1 - aq) \cdots (1 - aq^{k-1})$ of the q -shifted factorial. We remark that, if $n = 1$, $C_{\alpha,\beta}(x; q)$ reduce to the ordinary q -binomial coefficients $\begin{bmatrix} \alpha \\ \beta \end{bmatrix}_q$.

Theorem 1.2. *The q -difference operator B_m of Theorem 1.1 can be expressed in the form*

$$B_m = \sum_{|\alpha| = m} b_\alpha^{(m)}(x) \phi_\alpha^{(m)}(x; T_{q,x}), \quad (1.9)$$

where

$$\begin{aligned} b_\alpha^{(m)}(x) &= (-1)^{|\alpha|} q^{\sum_i \binom{\alpha_i}{2}} x^\alpha \sum_{\beta \leq \alpha} (-1)^{|\beta|} q^{\binom{|\beta|}{2}} C_{\alpha,\beta}(x; q) \\ &\quad \cdot \prod_{i,j=1}^n \frac{(tq^{-\beta_j+1} x_i / x_j)_{\beta_j} (q^{-\alpha_j+1} x_i / x_j)_{\alpha_j - \beta_j}}{(q^{\alpha_i - \alpha_j + 1} x_i / x_j)_{\alpha_j}} \end{aligned} \quad (1.10)$$

and

$$\phi_\alpha^{(m)}(x; T_{q,x}) = \sum_{\beta \leq \alpha} (-1)^{|\alpha| - |\beta|} q^{\binom{|\alpha| - |\beta|}{2} + 1} C_{\alpha,\beta}(x; q) T_{q,x}^\beta \quad (1.11)$$

for each α with $|\alpha| = m$.

In the course of the proof of Theorem 1.2, we will make use of a variant of the *q-binomial theorem* for our $C_{\alpha,\beta}(x; q)$, which might also deserve attention (see Proposition 5.3 in Section 5).

Theorem 1.3. *For any $\alpha \in \mathbb{N}^n$, one has*

$$\sum_{\beta \leq \alpha} (-1)^{|\beta|} q^{\binom{|\beta|}{2}} C_{\alpha,\beta}(x; q) u^{|\beta|} = (u)_{|\alpha|}. \quad (1.12)$$

We remark that formula (1.12) also implies a generalization of *q-Chu-Vandermonde formulas*

$$\sum_{\beta \leq \alpha, |\beta|=r} \prod_{j=1}^n \begin{bmatrix} \alpha_j \\ \beta_j \end{bmatrix}_q \prod_{i \neq j} \frac{(q^{\alpha_i - \beta_j + 1} x_i / x_j)_{\beta_j}}{(q^{\beta_i - \beta_j + 1} x_i / x_j)_{\beta_j}} = \begin{bmatrix} n \\ r \end{bmatrix}_q \quad (1.13)$$

for any α with $|\alpha| = n$ and $0 \leq r \leq n$.

After recalling some basic facts about Macdonald polynomials in Section 2, we will prove the uniqueness and the existence of raising operators of row type in Section 3 and in Section 4, respectively. Explicit formulas for the *q*-difference operators $\phi_\alpha^{(m)}(x; T_{q,x})$ and the coefficients $b_\alpha^{(m)}(x)$ ($|\alpha| = m$) of Theorem 1.2 will be given in Section 5 and in Section 6, respectively.

2. MACDONALD POLYNOMIALS

In order to fix the notation, we recall some basic facts about Macdonald's symmetric polynomials of type A_{n-1} . For the details see [5].

Let $\mathbb{K}[x] = \mathbb{K}[x_1, x_2, \dots, x_n]$ be the ring of polynomials in n variables $x = (x_1, x_2, \dots, x_n)$ with coefficients in $\mathbb{K} = \mathbb{Q}(q, t)$, and $\mathbb{K}[x]^{\mathfrak{S}_n}$ the subring of all invariant polynomials under the natural action of the symmetric group \mathfrak{S}_n of degree n .

Macdonald's commuting family of *q*-difference operators D_1, D_2, \dots, D_n is defined by the generating function

$$\begin{aligned} D_x(u; q, t) &= \sum_{r=0}^n (-u)^r D_r \\ &= \sum_{K \subset \{1, \dots, n\}} (-u)^{|K|} q^{\binom{|K|}{2}} \prod_{i \in K, j \notin K} \frac{1 - tx_i/x_j}{1 - x_i/x_j} \prod_{i \in K} T_{q, x_i}. \end{aligned} \quad (2.1)$$

Note that $D_x(u; q, t)$ has the determinantal formula

$$\begin{aligned} D_x(u; q, t) &= \frac{1}{\Delta(x)} \det(x_j^{n-i} (1 - ut^{n-i} T_{q, x_i}))_{i,j} \\ &= \frac{1}{\Delta(x)} \sum_{w \in \mathfrak{S}_n} \epsilon(w) w \left(\prod_{i=1}^n x_i^{n-i} (1 - ut^{n-i} T_{q, x_i}) \right), \end{aligned} \quad (2.2)$$

where $\Delta(x) = \prod_{i < j} (x_i - x_j)$. Macdonald's symmetric polynomials $P_\lambda(x) = P_\lambda(x; q, t)$ are the joint eigenfunctions of the operators D_1, \dots, D_n on $\mathbb{K}[x]^{\mathfrak{S}_n}$, satisfying the equations

$$D_x(u) P_\lambda(x) = P_\lambda(x) \prod_{i=1}^n (1 - uq^{\lambda_i} t^{n-i}); \quad (2.3)$$

each $P_\lambda(x)$ is normalized so that the coefficient of x^λ should be equal to 1. The integral form $J_\lambda(x) = J_\lambda(x; q, t)$ of $P_\lambda(x)$ is defined as

$$J_\lambda(x; q, t) = c_\lambda P_\lambda(x; q, t), \quad c_\lambda = \prod_{s \in \lambda} (1 - q^{a(s)} t^{l(s)+1}). \quad (2.4)$$

It is known in fact that $J_\lambda(x)$ are linear combinations of monomial symmetric functions with coefficients in $\mathbb{Z}[q, t]$ (see [3] for example).

We recall that the Macdonald polynomials have the generating function

$$\prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j) = \sum_{\lambda} P_\lambda(x; q, t) P_{\lambda'}(y; t, q), \quad (2.5)$$

for another set of variables $y = (y_1, \dots, y_m)$, where λ' stands for the conjugate partition of λ , and the summation is taken over all partitions λ such that $l(\lambda') = \lambda_1 \leq m$, $l(\lambda) = \lambda'_1 \leq n$. This formula will be the key to our study of raising operators of row type. Notice that the dual version of the generation function (2.5) has been employed in [3] for the construction of raising operators of column type.

3. RAISING OPERATORS OF ROW TYPE AND THEIR UNIQUENESS

Fixing a nonnegative integer m , we will prove in this section the uniqueness of a q -difference operator

$$B_m = \sum_{|\gamma| \leq m} b_\gamma^{(m)}(x) T_{q,x}^\gamma \quad (b_\gamma^{(m)}(x) \in \mathbb{K}(x)) \quad (3.1)$$

of order $\leq m$ such that

$$B_m J_\lambda(x; q, t) = \begin{cases} J_{(m,\lambda)}(x; q, t) & \text{if } l(\lambda') \leq m, l(\lambda) < n, \\ 0 & \text{if } l(\lambda') \leq m, l(\lambda) = n, \end{cases} \quad (3.2)$$

where $(m, \lambda) = (m, \lambda_1, \lambda_2, \dots)$. We remark that the invariance of B_m under the action of \mathfrak{S}_n follows immediately from the uniqueness theorem. Existence of such an operator will be established in the next section.

Lemma 3.1. *A q -difference operator B_m of order $\leq m$ in the form (3.1) satisfies the condition (3.2) if and only if the following equality holds:*

$$B_{m,x} \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j) = \frac{1}{y_1 \cdots y_m} D_y(1; t, q) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j). \quad (3.3)$$

Proof. Note first that, for each partition $\mu = (\mu_1, \dots, \mu_m)$ of length $\leq m$, one has

$$\frac{1}{y_1 \cdots y_m} D_y(1; t, q) P_\mu(y; t, q) = \begin{cases} P_{\mu-(1)^m}(y; t, q) \prod_{i=1}^m (1 - q^{m-i} t^{\mu_i}) & \text{if } \mu_m > 0, \\ 0 & \text{if } \mu_m = 0. \end{cases} \quad (3.4)$$

Hence we obtain

$$\begin{aligned}
 & \frac{1}{y_1 \cdots y_m} D_y(1; t, q) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j) \\
 &= \sum_{l(\nu) \leq n, l(\nu')=m} P_\nu(x; q, t) P_{\nu'-(1)^m}(y; t, q) \prod_{i=1}^m (1 - q^{m-i} t^{(\nu')_i}) \\
 &= \sum_{l(\lambda) \leq n-1, l'(\lambda) \leq m} P_{(m, \lambda)}(x; q, t) P_{\lambda'}(y; t, q) \prod_{i=1}^m (1 - q^{m-i} t^{(\lambda')_i+1}).
 \end{aligned} \tag{3.5}$$

This implies that equation (3.3) is equivalent to the condition

$$B_m P_\lambda(x; q, t) = \begin{cases} 0 & (\text{if } l(\lambda) = n) \\ P_\lambda(x; q, t) \prod_{i=1}^m (1 - q^{m-i} t^{(\lambda')_i+1}) & (\text{if } l(\lambda) < n) \end{cases} \tag{3.6}$$

for any λ with $l(\lambda') \leq m$. It is easily seen that this coincides with condition (3.2) in terms of the integral forms. \square

By making the action of $D_y(1; t, q)$ in (3.3) explicit, we obtain

Proposition 3.2. *A q -difference operator B_m of order $\leq m$ is a raising operator of row type for Macdonald polynomials if and only if its coefficients satisfy the following identity of rational functions:*

$$\begin{aligned}
 & \sum_{|\gamma| \leq m} b_\gamma^{(m)}(x) \prod_{i=1}^n \prod_{j=1}^m \frac{1 + q^{\gamma_i} x_i y_j}{1 + x_i y_j} \\
 &= \frac{1}{y_1 \cdots y_m} \sum_{K \subset \{1, \dots, n\}} (-1)^{|K|} q^{\binom{|K|}{2}} \prod_{k \in K, l \notin K} \frac{1 - q y_k / y_l}{1 - y_k / y_l} \prod_{i=1}^n \prod_{k \in K} \frac{1 + t x_i y_k}{1 + x_i y_k}.
 \end{aligned} \tag{3.7}$$

Remark 3.3. By the determinantal representation of $D_y(1; t, q)$, equality (3.7) can also be rewritten in the form

$$\begin{aligned}
 & \sum_{|\gamma| \leq m} b_\gamma^{(m)}(x) \prod_{i=1}^n \prod_{j=1}^m \frac{1 + q^{\gamma_i} x_i y_j}{1 + x_i y_j} \\
 &= \frac{1}{y_1 \cdots y_m \Delta(y)} \det \left(y_j^{m-i} (1 - q^{m-i} \prod_{r=1}^n \frac{1 + t x_r y_j}{1 + x_r y_j}) \right)_{i,j}.
 \end{aligned} \tag{3.8}$$

Let now B and B' be two q -difference operators of order $\leq m$ and suppose that they both satisfy the condition (3.2) of raising operators. Then by Lemma 3.1 one has

$$(B_x - B'_x) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j) = 0. \tag{3.9}$$

Hence the uniqueness of B_m of Theorem 1.1 follows immediately from the following general proposition on q -difference operators.

Proposition 3.4. *Let $P = \sum_{|\gamma| \leq m} a_\gamma(x) T_{q,x}^\gamma$ be a q -difference operator of order $\leq m$ with coefficients in $\mathbb{K}(x)$.*

(a) *If $P_x \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j) = 0$, then $P = 0$ as a q -difference operator.*

(b) If $Pf(x) = 0$ for any symmetric polynomial $f(x) \in \mathbb{K}[x]^{\mathfrak{S}_n}$ of degree $\leq mn$, then $P = 0$ as a q -difference operator.

Since the statement (b) follows from (a), we give a proof of (a) of Proposition. For each multi-index $\alpha \in \mathbb{N}^n$ with $|\alpha| = m$, we define a point $p_\alpha(x) \in \mathbb{K}(\curvearrowright)^m$ by

$$p_\alpha(x) = (-1/x_1, -1/qx_1, \dots, -1/q^{\alpha_1-1}x_1, \dots, -1/x_n, -1/qx_n, \dots, -1/q^{\alpha_n-1}x_n). \quad (3.10)$$

Then we have

Lemma 3.5. *For any multi-index $\gamma \in \mathbb{N}^n$, one has*

$$\begin{aligned} \prod_{i=1}^n \prod_{j=1}^m (1 + q^{\gamma_i} x_i y_j) \Big|_{y=p_\alpha(x)} &= \prod_{i=1}^n \prod_{j=1}^n \prod_{\nu=0}^{\alpha_j-1} (1 - q^{\gamma_i-\nu} x_i / x_j) \\ &= \prod_{1 \leq i, j \leq n} (q^{\gamma_i - \alpha_j + 1} x_i / x_j)_{\alpha_j}. \end{aligned} \quad (3.11)$$

In particular, one has $\prod_{i=1}^n \prod_{j=1}^m (1 + q^{\gamma_i} x_i y_j) \Big|_{y=p_\alpha(x)} = 0$ unless $\gamma \geq \alpha$.

Under the assumption of Proposition 3.4(a), we may assume that $a_\alpha(x) \neq 0$ for some $\alpha \in \mathbb{N}^n$ with $|\alpha| = m$ without losing generality. (If P is of order $l < m$, set $y_{l+1} = \dots = y_m = 0$ and apply the following argument by replacing m by l .) The assumption on P implies

$$\sum_{|\gamma| \leq m} a_\gamma(x) \prod_{i=1}^n \prod_{j=1}^m (1 + q^{\gamma_i} x_i y_j) = 0. \quad (3.12)$$

Evaluating this equality at $y = p_\alpha(x)$, we have

$$a_\alpha(x) \prod_{1 \leq i, j \leq n} (q^{\alpha_i - \alpha_j + 1} x_i / x_j)_{\alpha_j} = 0 \quad (3.13)$$

by Lemma 3.5, since, if $|\gamma| \leq m$ and $\gamma \geq \alpha$, then $\gamma = \alpha$. This contradicts to the assumption $a_\alpha(x) \neq 0$. This completes the proofs of Proposition 3.4 and the uniqueness of B_m in Theorem 1.1.

4. EXISTENCE OF B_m

In this section, we discuss the existence of a raising operator B_m .

We begin with a lemma which will play an important role in the following argument.

Lemma 4.1. *Let $F(y) \in \mathbb{K}(x)[y]^{\mathfrak{S}_m}$ be a symmetric polynomial in $y = (y_1, \dots, y_m)$ with coefficients in $\mathbb{K}(x)$, and suppose that $F(y)$ is of degree $\leq n-1$ in y_j for each $j = 1, \dots, m$. If $F(p_\alpha(x)) = 0$ for all $\alpha \in \mathbb{N}^n$ with $|\alpha| = m$, then $F(y)$ is identically zero as a polynomial in y .*

Proof. We prove Lemma by the induction on m . The case when $m = 1$ is obvious since $F(y)$ is of degree $\leq n-1$ and has n distinct zeros $-1/x_1, \dots, -1/x_n$. For $m \geq 2$, we first expand $F(y)$ in terms of y_m as follows:

$$F(y) = F(y_1, \dots, y_m) = \sum_{i=0}^{n-1} F_i(y_1, \dots, y_{m-1}) y_m^i, \quad (4.1)$$

where each coefficient $F_i(y_1, \dots, y_{m-1})$ has degree $\leq n-1$ in all y_j ($j = 1, \dots, m-1$). Let $\beta \in \mathbb{N}^n$ a multi-index with $|\beta| = m-1$ and consider the polynomial

$$f(y_m) = F(p_\beta(x), y_m) = \sum_{i=0}^{n-1} F_i(p_\beta(x)) y_m^i, \quad (4.2)$$

by evaluating $F(y)$ at $(y_1, \dots, y_{m-1}) = p_\beta(x)$. From the assumption on $F(y)$, it follows that the polynomial $f(y_m)$ has n distinct zeros $y_m = -1/q^{\beta_i} x_i$ ($i = 1, \dots, n$). Hence $f(y_m)$ is identically 0 as a polynomial in y_m . This implies that $F_i(p_\beta(x)) = 0$ for each $i = 0, \dots, m-1$ and for any $\beta \in \mathbb{N}^n$ with $|\beta| = m-1$. By the induction hypothesis, we conclude that the coefficients $F_i(y_1, \dots, y_{m-1})$ are identically zero as polynomials in (y_1, \dots, y_{m-1}) , namely, $F(y)$ is identically zero as a polynomial in $y = (y_1, \dots, y_m)$. \square

In view of Lemma 3.1, we propose to construct a q -difference operator

$$B = \sum_{|\alpha| \leq m} b_\alpha(x) T_{q,x}^\alpha \quad (4.3)$$

of order $\leq m$ such that

$$B_x \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j) = \frac{1}{y_1 \dots y_m} D_y(1; t, q) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j). \quad (4.4)$$

In the following, we denote the left-hand side and the right-hand side of this equality by $\Phi(x; y)$ and by $\Psi(x; y)$, respectively. In terms of the coefficients $b_\alpha(x)$, $\Phi(x; y)$ is expressed as

$$\Phi(x; y) = \sum_{|\alpha| \leq m} b_\alpha(x) \prod_{i=1}^n \prod_{j=1}^m (1 + q^{\alpha_i} x_i y_j). \quad (4.5)$$

Note also that $\Psi(x; y)$ is a polynomial in $y = (y_1, \dots, y_m)$ and has degree $\leq n-1$ in each y_j ($j = 1, \dots, m$) as can be seen from (3.4). Hence, by Lemma 4.1, we see that B satisfies the desired equality if and only if

1. $\Phi(x; y)$ is of degree $\leq n-1$ in each y_j for $j = 1, \dots, m$.
2. $\Phi(x; p_\alpha(x)) = \Psi(x; p_\alpha(x))$ for all $\alpha \in \mathbb{N}^n$ with $|\alpha| = m$.

Suppose now that the operator B has the property (1) mentioned above. Since the degree of $\Phi(x; y)$ in y_j is less than n for each $j = 1, \dots, m$, we have

$$\Phi(x; y) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j)^{-1} \Big|_{y_1 \rightarrow \infty, \dots, y_m \rightarrow \infty} = 0. \quad (4.6)$$

Hence by (4.5) we obtain

$$\sum_{|\alpha| \leq m} b_\alpha(x) q^{|\alpha|m} = 0, \quad \text{i.e.,} \quad b_0(x) = - \sum_{0 < |\alpha| \leq m} b_\alpha(x) q^{|\alpha|m}. \quad (4.7)$$

This implies that B can be represent as

$$B = \sum_{1 \leq |\alpha| \leq m} b_\alpha(x) (T_{q,x}^\alpha - q^{|\alpha|m}). \quad (4.8)$$

Note that a general B of order $\leq m$ has an expression of this form if and only if

$$F_1(x; y_1) = \Phi(x; y) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j)^{-1} \Big|_{y_2 \rightarrow \infty, \dots, y_m \rightarrow \infty} \quad (4.9)$$

is of degree $\leq n - 1$ in y_1 . We now show inductively that, for $l = 0, 1, \dots, m$, B can be represented as follows:

$$B = \sum_{l \leq |\alpha| \leq m} b_\alpha(x) \phi_{l;\alpha}(x, T_{q,x}), \quad (4.10)$$

where

$$\phi_{l;\alpha}(x, T_{q,x}) = T_{q,x}^\alpha + \sum_{\beta < \alpha, |\beta| < l} \phi_{l;\alpha,\beta}(x) T_{q,x}^\beta. \quad (4.11)$$

Assume that we have constructed such an expression for l with $l < m$. Note that

$$\begin{aligned} \Phi(x; y) &= \sum_{l \leq |\alpha| \leq m} b_\alpha(x) \left(\prod_{i=1}^n \prod_{j=1}^m (1 + q^{\alpha_i} x_i y_j) \right. \\ &\quad \left. + \sum_{\beta \leq \alpha, |\beta| < l} \phi_{l;\alpha,\beta}(x) \prod_{i=1}^n \prod_{j=1}^m (1 + q^{\beta_i} x_i y_j) \right). \end{aligned} \quad (4.12)$$

Since property (1) of $\Phi(x; y)$ implies

$$\Phi(x; y) \prod_{i=1}^n \prod_{j=l+1}^m (1 + x_i y_j)^{-1} \Big|_{y_{l+1} \rightarrow \infty, \dots, y_m \rightarrow \infty} = 0, \quad (4.13)$$

we obtain the relation

$$\begin{aligned} &\sum_{l \leq |\alpha| \leq m} b_\alpha(x) \left(q^{|\alpha|(m-l)} \prod_{i=1}^n \prod_{j=1}^l (1 + q^{\alpha_i} x_i y_j) \right. \\ &\quad \left. + \sum_{\beta \leq \alpha, |\beta| < l} \phi_{l;\alpha,\beta}(x) q^{|\beta|(m-l)} \prod_{i=1}^n \prod_{j=1}^l (1 + q^{\beta_i} x_i y_j) \right) = 0. \end{aligned} \quad (4.14)$$

In this formula we consider to specialize $y' = (y_1, \dots, y_l)$ at $p_\gamma(x)$, with the notation of (3.10), for each γ with $|\gamma| = l$. By Lemma 3.5, $\prod_{i=1}^n \prod_{j=1}^l (1 + q^{\beta_i} x_i y_j) \Big|_{y'=p_\gamma(x)} = 0$ unless $\beta \geq \gamma$. Hence formula (4.14) with $y' = p_\gamma(x)$ gives rise to

$$\begin{aligned} &b_\gamma(x) q^{l(m-l)} \prod_{1 \leq i, j \leq n} (q^{\gamma_i - \gamma_j + 1} x_i / x_j)_{\gamma_j} \\ &+ \sum_{|\alpha| > l} b_\alpha(x) q^{|\alpha|(m-l)} \prod_{1 \leq i, j \leq n} (q^{\alpha_i - \gamma_j + 1} x_i / x_j)_{\gamma_j} = 0. \end{aligned} \quad (4.15)$$

From this we have

$$b_\gamma(x) = - \sum_{\alpha > \gamma} b_\alpha(x) \psi_{\alpha,\gamma}(x), \quad (4.16)$$

where

$$\begin{aligned}\psi_{\alpha,\gamma}(x) &= q^{(|\alpha|-|\gamma|)(m-|\gamma|)} \prod_{1 \leq i,j \leq n} \frac{(q^{\alpha_i-\gamma_j+1}x_i/x_j)^{\gamma_j}}{(q^{\gamma_i-\gamma_j+1}x_i/x_j)^{\gamma_j}} \\ &= q^{(|\alpha|-|\gamma|)(m-|\gamma|)} C_{\alpha,\gamma}(x; q)\end{aligned}\quad (4.17)$$

with the notation of (1.8). Note that $\psi_{\alpha,\gamma}(x)$ depends on m but does *not* on B . Thus we obtain

$$\begin{aligned}B &= \sum_{|\gamma|=l} b_\gamma(x) \phi_{l;\gamma}(x, T_{q,x}) + \sum_{l < |\alpha| \leq m} b_\alpha(x) \phi_{l;\alpha}(x, T_{q,x}) \\ &= \sum_{l+1 \leq |\alpha| \leq m} b_\alpha(x) \phi_{l+1;\alpha}(x, T_{q,x}).\end{aligned}\quad (4.18)$$

where $\phi_{l+1;\alpha}(x, T_{q,x})$ ($l+1 \leq |\alpha| \leq m$) are determined by

$$\phi_{l+1;\alpha}(x, T_{q,x}) = \phi_{l;\alpha}(x, T_{q,x}) - \sum_{\gamma < \alpha, |\gamma|=l} \psi_{\alpha,\gamma}(x) \phi_{l;\gamma}(x, T_{q,x}). \quad (4.19)$$

In other words, the coefficients of $\phi_{l+1;\alpha}(x, T_{q,x})$ are determined by the recurrence formula

$$\phi_{l+1;\alpha,\beta}(x) = \phi_{l;\alpha,\beta}(x) - \sum_{\beta < \gamma < \alpha, |\gamma|=l} \psi_{\alpha,\gamma}(x) \phi_{l;\gamma,\beta}(x) \quad (4.20)$$

for all β such that $\beta < \alpha$ and $|\beta| < l$. In this induction procedure, it is also seen by Lemma 4.1 that a general B of order $\leq m$ has an expression of this form (4.10) with (4.11) if and only if

$$F_l(x; y_1, \dots, y_m) = \Phi(x; y) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j)^{-1} |_{y_{l+1} \rightarrow \infty, \dots, y_m \rightarrow \infty} \quad (4.21)$$

is of degree $\leq n-1$ in y_j for each $j = 1, \dots, l$.

In this way, we can define the q -difference operators $\phi_{l;\alpha}(x; T_{q,x})$ ($l \leq |\alpha| \leq m$) for $l = 0, \dots, m$, inductively on l by (4.19). Note that these operators depend on the m that we have fixed in advance, but do *not* on the operator B . By using the operators we obtained at the final step $l = m$, we have the expression

$$B = \sum_{|\alpha|=m} b_\alpha(x) \phi_\alpha^{(m)}(x; T_{q,x}) \quad (4.22)$$

for B , where $\phi_\alpha^{(m)}(x; T_{q,x}) = \phi_{m;\alpha}(x; T_{q,x})$.

From this construction, we obtain the following proposition.

Proposition 4.2. *For each $\alpha \in \mathbb{N}^n$ with $|\alpha| = m$, define the q -difference operator $\phi_\alpha^{(m)}(x; T_{q,x})$ as above. Then, for any q -difference operator B of order $\leq m$ with coefficients in $\mathbb{K}(x)$, the following two conditions are equivalent.*

- (a) $\Phi(x; y) = B_x \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j)$ is of degree $\leq n-1$ in y_j for each $j = 1, \dots, m$.
- (b) B is represented as

$$B = \sum_{|\alpha|=m} b_\alpha(x) \phi_\alpha^{(m)}(x, T_{q,x}) \quad (4.23)$$

for some $b_\alpha(x) \in \mathbb{K}(x)$.

We now consider a q -difference operator B of the form Proposition 4.2, (b), so that $\Phi(x; y)$ is of degree $\leq n - 1$ in each y_j ($j = 1, \dots, m$). With $\Psi(x; y)$ being the right-hand side of (4.4), the equality $\Phi(x; y) = \Psi(x; y)$ holds if and only if $\Phi(x; p_\alpha(x)) = \Psi(x; p_\alpha(x))$ for any α with $|\alpha| = m$, as we remarked before. Since

$$\Phi(x; p_\alpha(x)) = b_\alpha(x) \prod_{1 \leq i, j \leq n} (q^{\alpha_i - \alpha_j + 1} x_i / x_j)_{\alpha_j} \quad (4.24)$$

by Lemma 3.5, the coefficients $b_\alpha(x)$ are determined as

$$b_\alpha(x) = \Psi(x; p_\alpha(x)) \prod_{1 \leq i, j \leq n} (q^{\alpha_i - \alpha_j + 1} x_i / x_j)_{\alpha_j}^{-1} \quad (4.25)$$

for all α with $|\alpha| = m$. This completes the proof of existence of a raising operator B_m .

From the recurrence formula (4.20) we see that, for any α with $l \leq |\alpha| \leq m$, the coefficients $\phi_{l; \alpha, \beta}(x)$ of $\phi_{l; \alpha}(x; T_{q, x})$ are expressed as

$$\phi_{l; \alpha, \beta}(x) = \sum_{r=1}^l (-1)^r \sum_{\alpha > \gamma_1 > \dots > \gamma_r = \beta; |\gamma_1| < l} \psi_{\alpha, \gamma_1}(x) \psi_{\gamma_1, \gamma_2}(x) \cdots \psi_{\gamma_{r-1}, \gamma_r}(x) \quad (4.26)$$

for all β with $\beta < \alpha, |\beta| < l$. In particular, we have

Proposition 4.3. *For any pair (α, β) of multi-indices with $\beta \leq \alpha$, define a rational function $\psi_{\alpha, \beta}^{(m)}(x)$ by*

$$\begin{aligned} \psi_{\alpha, \beta}^{(m)}(x) &= q^{(|\alpha| - |\beta|)(m - |\beta|)} C_{\alpha, \beta}(x; q) \\ &= q^{(|\alpha| - |\beta|)(m - |\beta|)} \prod_{1 \leq i, j \leq n} \frac{(q^{\alpha_i - \beta_j + 1} x_i / x_j)_{\beta_j}}{(q^{\beta_i - \beta_j + 1} x_i / x_j)_{\beta_j}}. \end{aligned} \quad (4.27)$$

Then, for any $\alpha \in \mathbb{N}^n$ with $|\alpha| = m$, the coefficients of the q -difference operator

$$\phi_\alpha^{(m)}(x; T_{q, x}) = \sum_{\beta \leq \alpha} \phi_{\alpha, \beta}^{(m)}(x) T_{q, x}^\beta \quad (4.28)$$

are determined by the formula

$$\phi_{\alpha, \beta}^{(m)}(x) = \sum_{r=0}^m (-1)^r \sum_{\alpha = \gamma_0 > \gamma_1 > \dots > \gamma_r = \beta} \psi_{\gamma_0, \gamma_1}^{(m)}(x) \cdots \psi_{\gamma_{r-1}, \gamma_r}^{(m)}(x), \quad (4.29)$$

where the summation is taken over all paths in the lattice \mathbb{N}^n connecting α and β .

In the next section, we will give explicit formulas for these coefficients $\phi_{\alpha, \beta}^{(m)}(x)$.

5. EXPLICIT FORMULAS FOR $\phi_\alpha^{(m)}(x; T_{q, x})$

The goal of this section is to give the explicit formula

$$\phi_\alpha^{(m)}(x; T_{q, x}) = \sum_{\beta \leq \alpha} (-1)^{|\alpha| - |\beta|} q^{\binom{|\alpha| - |\beta| + 1}{2}} C_{\alpha, \beta}(x; q) T_{q, x}^\beta \quad (5.1)$$

for $\phi_\alpha^{(m)}(x, T_{q,x})$ ($|\alpha| = m$) as in Theorem 1.2. With the notation of Proposition 4.3, this formula is equivalent to

$$\begin{aligned} \phi_{\alpha,\beta}^{(m)}(x) &= (-1)^{|\alpha|-|\beta|} q^{\binom{|\alpha|-|\beta|+1}{2}} C_{\alpha,\beta}(x; q) \\ &= (-1)^{|\alpha|-|\beta|} q^{\binom{|\alpha|-|\beta|+1}{2}} \prod_{1 \leq i,j \leq n} \frac{(q^{\alpha_i - \beta_j + 1} x_i / x_j)_{\beta_j}}{(q^{\beta_i - \beta_j + 1} x_i / x_j)_{\beta_j}}. \end{aligned} \quad (5.2)$$

for $\beta \leq \alpha$.

In view of the dependence of $\psi_{\alpha,\beta}^{(m)}(x)$ on m (see Proposition 4.3), we define a function $g_{\alpha,\beta}(x)$ by

$$g_{\alpha,\beta}(x) = q^{-(|\alpha|-|\beta|)|\beta|} C_{\alpha,\beta}(x; q) \quad (5.3)$$

for any $\alpha, \beta \in \mathbb{N}^n$ with $\beta \leq \alpha$, so that $\psi_{\alpha,\beta}^{(m)}(x) = q^{(|\alpha|-|\beta|)m} g_{\alpha,\beta}(x)$. With these $g_{\alpha,\beta}(x)$, we also define a function $f_{\alpha,\beta}(x)$ by

$$f_{\alpha,\beta}(x) = \sum_{r=0}^{|\alpha|-|\beta|} (-1)^r \sum_{\alpha=\gamma_0 > \gamma_1 > \dots > \gamma_r=\beta} g_{\gamma_0,\gamma_1}(x) \cdots g_{\gamma_{r-1},\gamma_r}(x) \quad (5.4)$$

for any $\alpha, \beta \in \mathbb{N}^n$ with $\beta \leq \alpha$. Then by Proposition 4.3 we have

$$\phi_{\alpha,\beta}^{(m)}(x) = q^{(|\alpha|-|\beta|)m} f_{\alpha,\beta}(x) \quad (5.5)$$

if $|\alpha| = m$ and $\beta \leq \alpha$. Hence, the formula (5.2) follows from the following proposition.

Proposition 5.1. *Define the rational functions $f_{\alpha,\beta}(x)$ ($\beta \leq \alpha$) by the formulas (5.4) together with (5.3). Then they can be determined as*

$$f_{\alpha,\beta}(x) = (-1)^{|\alpha|-|\beta|} q^{-\binom{|\alpha|-|\beta|}{2} - (|\alpha|-|\beta|)|\beta|} C_{\alpha,\beta}(x; q) \quad (5.6)$$

for any α, β with $\beta \leq \alpha$.

For the proof of Proposition 5.1, notice that the functions $f_{\alpha,\beta}(x)$ are defined as the matrix elements of the inverse matrix of the lower unitriangular matrix $G = (g_{\alpha,\beta}(x))_{\alpha,\beta}$. Hence we have only to show the inverse matrix of G is given by $G^{-1} = (\tilde{f}_{\alpha,\beta}(x))_{\alpha,\beta}$ with

$$\tilde{f}_{\alpha,\beta}(x) = (-1)^{|\alpha|-|\beta|} q^{-\binom{|\alpha|-|\beta|}{2} - (|\alpha|-|\beta|)|\beta|} C_{\alpha,\beta}(x; q). \quad (5.7)$$

Proposition 5.1 thus reduces to

Lemma 5.2. *For any α, β with $\alpha > \beta$, one has*

$$\sum_{\alpha \geq \gamma \geq \beta} \tilde{f}_{\alpha,\gamma}(x) g_{\gamma,\beta}(x) = 0. \quad (5.8)$$

By the definition of $g_{\alpha,\beta}(x)$ and $\tilde{f}_{\alpha,\beta}(x)$, we have

$$\begin{aligned} &\sum_{\alpha \geq \gamma \geq \beta} \tilde{f}_{\alpha,\gamma}(x) g_{\gamma,\beta}(x) \\ &= \sum_{\alpha \geq \gamma \geq \beta} (-1)^{|\alpha|-|\gamma|} q^{-\binom{|\alpha|-|\gamma|}{2} - (|\alpha|-|\gamma|)|\gamma| - (|\gamma|-|\beta|)|\beta|} C_{\alpha,\gamma}(x; q) C_{\gamma,\beta}(x; q). \end{aligned} \quad (5.9)$$

Just as in the case of binomial coefficients, it is directly shown that our $C_{\alpha,\beta}(x; q)$ satisfy the following identity:

$$\begin{aligned} C_{\alpha,\gamma}(x; q) C_{\gamma,\beta}(x; q) &= C_{\alpha,\beta}(x; q) \prod_{i,j} \frac{(q^{\gamma_i - \beta_j + 1} x_i / x_j)_{\alpha_i - \gamma_i}}{(q^{\gamma_i - \gamma_j + 1} x_i / x_j)_{\alpha_i - \gamma_i}} \\ &= C_{\alpha,\beta}(x; q) C_{\alpha - \beta, \alpha - \gamma}(1/q^\alpha x; q) \end{aligned} \quad (5.10)$$

where $1/q^\alpha x = (1/q^{\alpha_1} x_1, \dots, 1/q^{\alpha_n} x_n)$. Hence we obtain

$$\begin{aligned} \sum_{\alpha \geq \gamma \geq \beta} \widetilde{f_{\alpha,\gamma}}(x) g_{\gamma,\beta}(x) &= q^{-(|\alpha| - |\beta|)|\beta|} C_{\alpha,\beta}(x; q) \\ &\cdot \sum_{\alpha \geq \gamma \geq \beta} (-1)^{|\alpha| - |\gamma|} q^{-\binom{|\alpha| - |\gamma|}{2} - (|\alpha| - |\gamma|)(|\gamma| - |\beta|)} C_{\alpha - \beta, \alpha - \gamma}(1/q^\alpha x; q). \end{aligned} \quad (5.11)$$

Setting $\alpha - \beta = \lambda$ and $\alpha - \gamma = \mu$, the last summation can be rewritten in the form

$$\sum_{0 \leq \mu \leq \lambda} (-1)^{|\mu|} q^{|\mu|(1 - |\lambda|)} q^{\binom{|\mu|}{2}} C_{\lambda,\mu}(1/q^\alpha x). \quad (5.12)$$

Hence Lemma 5.2 is reduced to proving that this formula becomes zero. It is in fact a special case of the following analogue of the q -binomial theorem. (Replace x by $1/q^\alpha x$ and set $u = q^{1 - |\lambda|}$ in (5.13) below, to see that (5.12) becomes zero.)

Proposition 5.3. *For any $\lambda \in \mathbb{N}^n$, one has*

$$\sum_{0 \leq \mu \leq \lambda} (-u)^{|\mu|} q^{\binom{|\mu|}{2}} C_{\lambda,\mu}(x; q) = (u)_{|\lambda|}, \quad (5.13)$$

where u is an indeterminate.

Proof. This “ q -binomial theorem” follows from an identity for Macdonald’s q -difference operator $D_z(u; t, q)$ in N variables $z = (z_1, \dots, z_N)$ with $N = |\lambda|$. Since $D_z(u; t, q) \cdot 1 = (u)_N$, we have

$$\sum_{K \subset \{1, \dots, N\}} (-u)^{|K|} q^{\binom{|K|}{2}} \prod_{k \in K, l \notin K} \frac{1 - qz_k/z_l}{1 - z_k/z_l} = (u)_N. \quad (5.14)$$

For a multi-index $\lambda \in \mathbb{N}^n$ with $|\lambda| = N$, let us specialize (5.14) at $z = p_\lambda(x)$ with the notation of (3.10). Note that, when we specialize z at $p_\lambda(x)$, the indexing set $\{1, \dots, N\}$ is divided into n blocks with cardinality $\lambda_1, \dots, \lambda_n$, respectively. Furthermore, for a configuration K of points in $\{1, \dots, N\}$, the product $\prod_{k \in K, l \notin K} (1 - qz_k/z_l)/(1 - z_k/z_l)$ becomes zero unless the elements of K should be packed to the left in each block. Such configurations K are parameterized by multi-indices $\mu \leq \lambda$ such that $|\mu| = |K|$ and that μ_i denotes the number of points of K sitting in the i -th block for $i = 1, \dots, n$. For such a K , one has

$$\begin{aligned} \prod_{k \in K, l \notin K} \frac{1 - qz_k/z_l}{1 - z_k/z_l} \Big|_{z=p_\lambda(x)} &= \prod_{1 \leq i, j \leq n} \prod_{\mu_i \leq a < \lambda_i; 0 \leq b < \mu_j} \frac{1 - q^{a-b+1} x_i / x_j}{1 - q^{a-b} x_i / x_j} \\ &= \prod_{1 \leq i, j \leq n} \frac{(q^{\lambda_i - \mu_j + 1} x_i / x_j)_{\mu_j}}{(q^{\mu_i - \mu_j + 1} x_i / x_j)_{\mu_j}} = C_{\lambda,\mu}(x; q). \end{aligned} \quad (5.15)$$

(The indices are renamed by $k \rightarrow (j, b)$, $l \rightarrow (i, a)$.) Hence we obtain (5.13). \square

This completes the proof of formula (5.1).

Remark 5.4. In the case of one variable, equation (5.13) reduces the ordinary q -binomial theorem

$$\sum_{k=0}^l (-1)^k q^{\binom{k}{2}} u^k \begin{bmatrix} l \\ k \end{bmatrix}_q = (u)_l. \quad (5.16)$$

If we take the coefficient of u^k in formula (5.13), we obtain

$$\sum_{\mu \leq \lambda, |\mu|=k} \prod_{j=1}^n \begin{bmatrix} \lambda_j \\ \mu_j \end{bmatrix}_q \prod_{i \neq j} \frac{(q^{\lambda_i - \mu_j + 1} x_i / x_j)_{\mu_j}}{(q^{\mu_i - \mu_j + 1} x_i / x_j)_{\mu_j}} = \begin{bmatrix} |\lambda| \\ k \end{bmatrix}_q, \quad (5.17)$$

for $k = 0, 1, \dots, |\lambda|$. This gives a generalization of the q -Chu-Vandermonde formula. From (5.13), we also obtain another type of q -Chu-Vandermonde formula for our $C_{\alpha, \beta}(x; q)$:

$$\sum_{\substack{\mu \leq \alpha, \nu \leq \beta \\ |\mu| + |\nu| = k}} q^{(|\alpha| + |\mu|)|\nu|} C_{\alpha, \mu}(x; q) C_{\beta, \nu}(x; q) = \begin{bmatrix} |\alpha| + |\beta| \\ k \end{bmatrix}_q. \quad (5.18)$$

6. DETERMINATION OF $b_{\alpha}^{(m)}(x)$

We have already proved that our raising operator

$$B_m = \sum_{|\gamma| \leq m} b_{\gamma}^{(m)}(x) T_{q, x}^{\gamma} \quad (6.1)$$

of row type for Macdonald polynomials has an expression

$$B_m = \sum_{|\alpha| = m} b_{\alpha}^{(m)}(x) \phi_{\alpha}^{(m)}(x; T_{q, x}), \quad (6.2)$$

with the q -difference operators $\phi_{\alpha}^{(m)}(x; T_{q, x})$ of (5.1). In this section, we give explicit formulas for $b_{\alpha}^{(m)}(x)$ for all α with $|\alpha| = m$.

As we already remarked in Section 4, the coefficients $b_{\alpha}^{(m)}(x)$ ($|\alpha| = m$) are determined by

$$b_{\alpha}(x) = \Psi(x; p_{\alpha}(x)) \prod_{1 \leq i, j \leq n} (q^{\alpha_i - \alpha_j + 1} x_i / x_j)_{\alpha_j}^{-1}, \quad (6.3)$$

where

$$\Psi(x; y) = \frac{1}{y_1 \cdots y_n} D_y(1; t, q) \prod_{i=1}^n \prod_{j=1}^m (1 + x_i y_j). \quad (6.4)$$

(See (4.25).) Recall that

$$\begin{aligned} \Psi(x; y) &= \frac{1}{y_1 \cdots y_m} \sum_{K \in \{1, \dots, m\}} (-1)^{|K|} q^{\binom{|K|}{2}} \prod_{k \in K, l \notin K} \frac{1 - q y_k / y_l}{1 - y_k / y_l} \\ &\quad \prod_{i=1}^n \left\{ \prod_{k \in K} (1 + t x_i y_k) \prod_{l \notin K} (1 + x_i y_l) \right\}. \end{aligned}$$

We specialize this formula at $y = p_{\alpha}(x)$ for each α with $|\alpha| = m$, in the same way as we did in the proof of Proposition 5.3. All the subsets K that give rise to nonzero summands after the specialization $y = p_{\alpha}(x)$ are parameterized by the multi-indices

β such that $\beta \leq \alpha$ and $|\beta| = K$. With this parameterization, we already showed that

$$\prod_{k \in K, l \notin K} \frac{1 - qy_k/y_l}{1 - y_k/y_l} \Big|_{y=p_\alpha(x)} = C_{\alpha, \beta}(x; q). \quad (6.5)$$

Renaming the indices by $k \rightarrow (j, b)$, we have

$$\begin{aligned} & \prod_{i=1}^n \left\{ \prod_{k \in K} (1 + tx_i y_k) \prod_{l \notin K} (1 + x_i y_l) \right\} \\ &= \prod_{1 \leq i, j \leq n} \prod_{b=0}^{\beta_j-1} (1 - tq^{-b} x_i/x_j) \prod_{b=\beta_j}^{\alpha_j-1} (1 - q^{-b} x_i/x_j) \\ &= \prod_{1 \leq i, j \leq n} (tq^{-\beta_j+1} x_i/x_j)_{\beta_j} (q^{-\alpha_j+1} x_i/x_j)_{\alpha_j-\beta_j}. \end{aligned} \quad (6.6)$$

Hence we have

$$\begin{aligned} \Psi(x; p_\alpha(x)) &= (-1)^m q^{\sum_i \binom{\alpha_i}{2}} x^\alpha \sum_{\beta \leq \alpha} (-1)^{|\beta|} q^{\binom{|\beta|}{2}} C_{\alpha, \beta}(x; q) \\ &\quad \prod_{1 \leq i, j \leq n} (tq^{-\beta_j+1} x_i/x_j)_{\beta_j} (q^{-\alpha_j+1} x_i/x_j)_{\alpha_j-\beta_j}. \end{aligned}$$

By (6.3), we finally obtain

$$\begin{aligned} b_\alpha^{(m)}(x) &= q^{\sum_i \binom{\alpha_i}{2}} x^\alpha \sum_{\beta \leq \alpha} (-1)^{|\alpha|-|\beta|} q^{\binom{|\beta|}{2}} C_{\alpha, \beta}(x; q) \\ &\quad \cdot \prod_{1 \leq i, j \leq n} \frac{(tq^{-\beta_j+1} x_i/x_j)_{\beta_j} (q^{-\alpha_j+1} x_i/x_j)_{\alpha_j-\beta_j}}{(q^{\alpha_i-\alpha_j+1} x_i/x_j)_{\alpha_j}} \\ &= q^{\sum_i \binom{\alpha_i}{2}} x^\alpha \sum_{\beta \leq \alpha} (-1)^{|\alpha|-|\beta|} q^{\binom{|\beta|}{2}} \\ &\quad \cdot \prod_{1 \leq i, j \leq n} \frac{(tq^{-\beta_j+1} x_i/x_j)_{\beta_j} (q^{-\alpha_j+1} x_i/x_j)_{\alpha_j-\beta_j}}{(q^{\beta_i-\beta_j+1} x_i/x_j)_{\beta_j} (q^{\alpha_i-\alpha_j+1} x_i/x_j)_{\alpha_j-\beta_j}}, \end{aligned}$$

for any α with $|\alpha| = m$. This completes the proof of Theorem 1.2.

REFERENCES

- [1] N. Jing: *Vertex operators and Hall-Littlewood symmetric functions*, Adv. in Math **87**(1991), 226–248.
- [2] N. Jing and T. Jozefiak: *A formula for two-row Macdonald functions*, Duke Math. J. **67**(1992), 377–385.
- [3] A.N. Kirillov and M. Noumi: *q-Difference raising operators for Macdonald Polynomials and the integrality of transition coefficients*, to appear in the proceedings of the workshop on “Algebraic Methods and q-Special Functions”, May 13–17, 1996, CRM, Montreal, Canada (preprint q-alg/9605005).
- [4] A.N. Kirillov and M. Noumi: *Affine Hecke algebras and raising operators for Macdonald polynomials*, to appear in Duke Math. J.
- [5] I.G. Macdonald: *Symmetric Functions and Hall Polynomials*, 2nd Edition, Oxford University Press, 1995.

DEPARTMENT OF MATHEMATICS, KOBE UNIVERSITY, ROKKO, KOBE 657-8501, JAPAN
E-mail address: `kaji@math.s.kobe-u.ac.jp`, `noumi@math.s.kobe-u.ac.jp`