



Characterization method for relative Raman enhancement for surface-enhanced Raman spectroscopy using gold nanoparticle dimer array

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1 **Characterization method for relative Raman enhancement**
2 **for surface-enhanced Raman spectroscopy using gold**
3 **nanoparticle dimer array**

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9 In this paper, a characterization method for Raman enhancement for highly sensitive and
10 quantitative surface-enhanced Raman spectroscopy (SERS) is reported. A particle dimer
11 shows a marked electromagnetic enhancement when the particle connection direction is
12 matched to the polarization direction of incident light. In this study, dimers were arrayed by
13 nanotrench-guided self-assembly for a marked total Raman enhancement. By measuring
14 acetonedicarboxylic acid, the fabricated structures were characterized for SERS depending
15 on the polarization angle against the particle connection direction. This indicates that the
16 fabricated structures cause an effective SERS enhancement, which is dominated by the
17 electromagnetic enhancement. Then, we measured 4,4'-bipyridine, which is a pesticide
18 material, for quantitative analysis. In advance, we evaluated the enhancement of the particle
19 structure by the Raman measurement of acetonedicarboxylic acid. Finally, we compared the
20 Raman intensities of acetonedicarboxylic acid and 4,4'-bipyridine. Their intensities showed
21 good correlation. The advantage of this method for previously evaluating the enhancement
22 of the substrate was demonstrated. This developed SERS characterization method is
23 expected to be applied to various quantitative trace analyses of molecules with high
24 sensitivity.

25

26 **1. Introduction**

27 Surface-enhanced Raman spectroscopy (SERS) is a powerful tool for bio/chemical trace
28 analysis because of its high sensitivity and high molecular identification ability without
29 labeling.¹⁻⁴⁾ A Raman spectrum includes molecular structural information showing several
30 Raman peaks corresponding to a molecular structure. Therefore, it has been expected to be
31 applied to various fields such as medicine, biology, and environment.^{2,5-8)} The Raman
32 scattering light from a small number of molecules is significantly weak. In SERS, however,
33 the Raman scattering light can be enhanced by plasmonic resonance, which occurs on metal
34 nanostructure surfaces.^{2,3)} Therefore, SERS enables us to perform the highly sensitive rapid
35 detection and reliable identification of bio/chemical molecules.

36 For SERS, various enhancing nanostructures such as those obtained by particle
37 aggregation,^{7,9)} carbon nanotube (CNT) aggregation,¹⁰⁾ and nanoporous fabrication¹¹⁾ have
38 been reported. These nanostructures consist of numerous nanogaps called hotspots. A
39 strategy of these method is to fabricate numerous nanogaps. However, it has been known
40 that Raman enhancement shows a polarization-dependent property of an incident light.
41 Many theoretical studies have supported this polarization dependent Raman
42 enhancement.^{4,12)} Single-molecule SERS detection has been performed using a gold
43 nanoparticle dimer with a molecular bridge between particles when the polarization direction
44 of an incident light is matched to the particle-particle connection direction.^{13,14)} However,
45 the connecting direction cannot be controlled on a substrate for on-substrate SERS
46 measurement.^{12,14)} Moreover, it is necessary to adjust the polarization direction to the
47 connecting direction of particles for each dimer after scanning electron microscopy (SEM)
48 or atomic force microscopy (AFM) observation. Therefore, this method is inefficient for the
49 practical applications of SERS. In addition to the above problems, Raman intensities showed
50 a large variation in the reported SERS experiments. Raman intensity variation has been
51 thought to originate from the distributions of particle arrangement characteristics such as
52 nanogap, particle morphology, the number of hotspots, particle configuration, and particle
53 connecting direction.

54 To overcome these problems, therefore, we proposed the use of a directionally and
55 regularly arrayed gold nanoparticle dimer as shown in Fig .1. In this structure, two gold
56 nanoparticles configurate a particle dimer and dimers are arranged directionally and

57 regularly on a substrate. Therefore, it is unnecessary to adjust the polarization direction of
58 an incident light for each dimer. A particle dimer is fabricated by drying-based nanotrench-
59 guided self-assembly.¹⁵⁻¹⁹⁾ Particle surfaces are covered by citrate, acetonedicarboxylic acid,
60 acetoacetic acid, and intermediate products after chemical synthesis using a citrate reduction
61 method.²⁰⁻²²⁾ During the drying process in the self-assembly, the adsorbed molecule layer
62 acts as a spacer between particles, forming a nanogap between particles. The thickness of
63 the citrate groups is around 0.5 nm.²³⁾ Therefore, a nanogap of around 1 nm forms between
64 particles. Before the SERS analysis for target molecules in the solution, the adsorbed
65 molecules were removed. Although electron beam (EB) lithography or focused ion beam
66 (FIB) has been used for fabricating orderly nanostructures,¹⁵⁻¹⁹⁾ one cannot fabricate a
67 nanogap of around 1 nm, which shows a marked electromagnetic enhancement.^{24,25)} We
68 confirmed that the proposed structures enable us to perform an ultrasensitive and rapid SERS
69 analysis.^{19,26)}

70 In our structures, however, the obtained Raman intensities showed large variations as
71 with the previously reported SERS substrates. The distributions of the number of hotspots,
72 particle configuration, and particle connecting direction, mentioned above as reasons for
73 large variations in Raman intensities, should be smaller in our substrate. The Raman intensity
74 variation from our substrate is thought to be due to the distributions of nanogap and particle
75 morphology in the subnanometer scale. The nanogap has a significant effect on Raman
76 enhancement.²⁷⁾ These features are difficult to be controlled.

77 Therefore, we propose a characterization method for a relative Raman enhancement in
78 this study. A gold nanoparticle chemically synthesized by a citrate reduction method has
79 adsorbed molecules on particle surfaces. We used the adsorbed molecules for preliminary
80 characterization. Although the adsorbed molecules are removed before the SERS analysis
81 for target molecules, Raman intensities from the adsorbed molecules are preliminarily
82 measured for each dimer array. Then, target molecules, 4,4'-bipyridine in this study, are
83 measured after removing the adsorbed molecules. On the basis of the SERS intensities from
84 the adsorbed molecules, we can choose the SERS substrate that shows a higher Raman
85 enhancement. Furthermore, the Raman intensities obtained from target molecules can be
86 compensated using the Raman intensities from the adsorbed molecules. In this study, we
87 evaluated the proposed method by investigating the (1) polarization angle dependence and

88 (2) Raman intensity relationship between the adsorbed and 4,4'-bipyridine molecules.

89

90 **2. Experimental and analytical methods**

91 2.1 SERS structure and fabrication process

92 The directionally and regularly arrayed gold nanoparticle dimers are shown in Fig. 1. In this
93 study, gold nanoparticles with a mean particle diameter of approximately 100 nm were used.
94 The nanoparticles were arranged along the template nanotrenches on a Si substrate using a
95 nanotrench-guided self-assembly method.¹⁶⁻²⁰⁾ The connection direction of the arranged
96 nanoparticles was easily matched to the polarization direction of the incident light without
97 SEM or AFM observation. The intervals of the arranged dimers were 400 and 200 nm in the
98 directions parallel and perpendicular to the coupling direction of the particles, respectively.

99 The nanotrench-guided self-assembly used in this study is shown in Fig. 2. A colloidal
100 particle solution was injected between a cover glass and a template substrate with an array
101 of nanotrenches. The Si template substrate was etched by inductively coupled plasma
102 reactive ion etching (ICP-RIE) with an EB resist mask pattern. The water surface line moved
103 backward and the particles became concentrated near the meniscus edge during the drying
104 of the aqueous particle dispersion between the substrates. The drag force pressed the
105 particles onto the template substrate to trap the particles on the template nanotrenches when
106 the meniscus passed over the templates. The gold nanoparticles were synthesized by a citrate
107 reduction method. The synthesized particles exhibited negatively charged surfaces because
108 acetonedicarboxylic acid, acetoacetic acid, and citrate molecules uniformly formed and
109 attached to the particle surfaces without vacancy during synthesis.²³⁾ During the removal of
110 the remaining water between the particles, the particles attract each other and form particle–
111 particle contacts, which act as hotspots. A nanogap of around 1 nm forms between particles.

112 2.2 Characterization method for relative Raman enhancement

113 Raman measurements were performed using a micro-Raman spectroscopy system equipped
114 with a He-Ne laser of 632.8 nm wavelength. A $\times 50$ objective lens with an NA of 0.5 was
115 used. The laser spot size was approximately 2 μm . For all measurements, mapping Raman
116 measurements were carried out in a $7 \times 7 \mu\text{m}^2$ area with an interval of 1 μm . The center of the
117 mapping area was located at the arrangement area of dimers of $5 \times 5 \mu\text{m}^2$. The integration

118 time was set to 2 s. The Raman intensities integrated from 1500 to 1700 cm^{-1} were used for
119 characterization because the maximum intensity was observed in that Raman spectra range.

120 As the first experiment, we investigated the dependence of Raman intensities on the
121 polarization angle of an incident light. In this experiment, the molecules adsorbed on the
122 particle surfaces were used for characterization. After arranging the particles, pure water was
123 placed in a poly(dimethylsiloxane) (PDMS) reservoir, as shown in Fig. 1, and then Raman
124 measurements were carried out. The substrate direction was adjusted through the
125 microscopic observation of the square arrangement area. The polarization angle in the
126 coupling direction was varied from 0 to 90° in 15° increments. The adjustment accuracy was
127 approximately $\pm 1^\circ$. Then, the dependence was compared with the simulation result of the
128 Raman enhancement factor. The Raman enhancement factor $|E|^4$ at the wavelength of an
129 incident light was calculated from the simulated electromagnetic enhancement factor $|E|^2$ at
130 a nanogap.^{12,28-32} It was simulated by a commercially available finite differential time
131 domain (FDTD) software program. The nanogap between particles was set to 1 nm in this
132 simulation.

133 Next, we compared the Raman intensities of the adsorbed and 4,4'-bipyridine molecules
134 for the same dimer array. First, we obtained the Raman spectrum of the adsorbed molecules
135 at a polarization angle of 0°. Then, we acquired the Raman spectrum of the 4,4'-bipyridine
136 molecules after removing the adsorbed molecules by UV/O₃ treatment at 80 °C and 40 min.
137 We confirmed from the Raman spectrum that the adsorbed molecules were completely
138 removed. 10^{-3} and 10^{-7} M 4,4'-bipyridine solutions were prepared by dissolving a powder
139 in pure water. The prepared solution was placed in a PDMS reservoir as shown in Fig. 1.

140

141 **3. Results and discussion**

142 **3.1 Fabrication**

143 Figure 3 shows the SEM images of the arrangement of the fabricated gold nanoparticles. We
144 observed that gold nanoparticles were arranged onto the nanotrench template and gold
145 nanoparticle dimers were arrayed directionally and regularly. Although the length of the
146 nanotrench was 260 nm, two particles with a mean diameter of 100 nm were connected by
147 water bridge force during the drying process. All the connection directions of particles were
148 in one direction. In the arranged particles, we found the distributions of particle size, shape,

149 and the number of hotspots. These distributions are one of the reasons for the observed
150 Raman intensity variations.

151 3.2 Raman spectroscopy: polarization-dependent property

152 Figure 4 shows the Raman spectra acquired from the adsorbed molecules on particles
153 surfaces. We observed large broad peaks at Raman shifts from 1000 to 1400 cm^{-1} and from
154 1500 to 1700 cm^{-1} . These peaks were thought to originate from the adsorbed molecules.
155 These peaks disappeared after the UV/O₃ treatment. The broad peak at around 950 cm^{-1}
156 originated from the Si substrate. The Raman intensity increased with decreasing polarization
157 angle as shown in Fig. 4.

158 Figure 5 shows the mapping result with the interval of 1 μm . In this result, higher Raman
159 intensities were observed in the $5 \times 5 \mu\text{m}^2$ region, which is the arrangement area. We
160 confirmed that the gold nanoparticle array exhibits a strong enhancement. Figure 6 shows
161 the polarization angle dependences of the normalized experimental Raman intensity and
162 simulated Raman enhancement. These dependences showed good agreement between the
163 experimental and simulation results. The small difference observed at a polarization angle
164 between 15° and 60° is thought to be due to a chemical enhancement effect caused by charge
165 transfer and/or the orientation of molecules adsorbed on particle surfaces. These results
166 indicate that all dimers were arranged in one direction on a substrate and an effective Raman
167 enhancement was possible. Furthermore, Raman intensities were dominated by the
168 electromagnetic enhancement. Therefore, the proposed method using the adsorbed
169 molecules was suitable for the characterization of relative Raman enhancement.

170 3.3 Raman spectroscopy: 4,4'-bipyridine detection

171 Figures 7 and 8 show the typical Raman spectra obtained from the adsorbed molecules and
172 4,4'-bipyridine solution, respectively. The letters in Figs. 7 and 8 indicate the sample
173 numbers. Lines A–C and D–F in Fig. 8 show the Raman spectra obtained from 10^{-3} and 10^{-7}
174 M solutions, respectively, using different substrates. In Fig. 8, several Raman peaks, which
175 originate from 4,4'-bipyridine,³³⁾ are shown as dotted lines. In both cases in Figs. 7 and 8,
176 Raman intensities exhibit large variations.

177 Figure 9 shows the relationship between the Raman intensities of the adsorbed and 4,4'-
178 bipyridine molecules at 10^{-3} and 10^{-7} M. The letters in Fig. 9 indicate the sample numbers
179 shown in Figs. 7 and 8. They show a linear correlation between the Raman intensities. We

180 confirmed that the Raman intensities are affected by the SERS structure. The dotted lines
181 indicate the linearly fitted lines. The slopes were 0.123 and 0.062 for 10^{-3} and 10^{-7} M,
182 respectively.

183 Then, we proposed a compensation method for reducing Raman intensity variations from
184 4,4'-bipyridine using the obtained correlation slope s . The compensated intensity of 4,4'-
185 bipyridine I_i^P was calculated from $I_i^P = I_i^A + (2000 - I_i^A) \times s$. Here, I_i^A and I_i^P
186 indicate the Raman intensities from the adsorbed and target molecules, respectively. 2000
187 indicates the standard intensity of the adsorbed molecules, which was determined to be
188 comparable to the average of the adsorbed molecules in this study. This means that the
189 Raman intensity of 4,4'-bipyridine calculated using the obtained slope of the fitting line when
190 the preliminary Raman intensity of the adsorbed molecules is 2000 counts. Figure 10 shows
191 the set of Raman intensities of 4,4'-bipyridine depending on its concentration before and
192 after the compensation shown in Fig. 9. Table I shows a summary of the average, standard
193 deviation, and coefficient value (CV) of Raman intensities. The average intensity at 10^{-3} M
194 was only 1.7 times higher than that of 10^{-7} M. We considered that this is due to the fact that
195 the number of molecules generating the Raman scattering light is not proportional to the
196 molecular concentration owing to the limited number of hotspots and area. The CV values
197 of 4,4'-bipyridine after compensation at 10^{-3} and 10^{-7} M were 10.9 and 11.4%, respectively.
198 The CV was significantly reduced by compensation. The proposed characterization method
199 enables us to choose a highly enhancing SERS substrate and reduce the variation in Raman
200 intensity.

201

202 4. Conclusions

203 In this study, we proposed a characterization method for reducing the variation in Raman
204 intensity for a directionally arrayed gold nanoparticle dimer. The SERS structure was
205 fabricated by nanotrench-guided self-assembly. All particles were connected in one direction.

206 We confirmed from the polarization dependence that Raman intensities are dominated
207 by the electromagnetic enhancement and that the proposed method using the adsorbed
208 molecules is suitable for the characterization of relative Raman enhancement. These results
209 show a linear correlation between the Raman intensities. We confirmed that the Raman
210 intensities are mainly affected by the SERS structure. The proposed characterization method

211 enables us to choose a highly enhancing SERS substrate. The CV values of 4,4'-bipyridine
212 after compensation at 10^{-3} and 10^{-7} M were 10.9 and 11.4%, respectively, and CV was
213 significantly reduced by compensation.

214

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223

224 **References**

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- 275
- 276

277 **Figure Captions**

278 **Fig. 1.** (Color online) Schematics of proposed and developed gold nanoparticle dimer arrays
279 for SERS, which are fabricated by the nanotrench-guided self-assembly. After particle
280 arrangement the adsorbed molecules cover the particle surfaces. The adsorbed molecules
281 were removed before a Raman measurement for target molecules.

282

283 **Fig. 2.** (Color online) Experimental method for nanoparticle dimer arrangement by
284 nanotrench-guided self-assembly.

285

286 **Fig. 3.** SEM images of gold nanoparticle dimer array: (a) $5 \times 5 \mu\text{m}^2$ region, and (b) enlarged
287 view.

288

289 **Fig. 4.** (Color online) Raman spectra of adsorbed molecules depending on the polarization
290 angle.

291

292 **Fig. 5.** (Color online) Mapping result of adsorbed molecules. The values in the figure show
293 an integral value between 1500 and 1700 cm^{-1} .

294

295 **Fig. 6.** (Color online) Polarization angle dependences of the Raman intensity (experimental:
296 adsorbed molecules) and Raman enhancement (analytical).

297

298 **Fig. 7.** (Color online) Raman spectra of acetone dicarboxylic acid used in the evaluation of
299 the substrate enhancement. The letters indicate the sample numbers.

300

301 **Fig. 8.** (Color online) Raman spectra of 4,4'-bipyridine at 10^{-3} M (A, B, C) and 10^{-7} M (D,
302 E, F). Red dotted lines indicate 4,4'-bipyridine-derived Raman peaks. The letters indicate the
303 sample numbers.

304

305 **Fig. 9.** (Color online) Relationship between Raman intensities of adsorbed molecules and
306 4,4'-bipyridine at concentrations of 10^{-3} and 10^{-7} M. The letters indicate the sample numbers
307 shown in Figs. 7 and 8.

308

309 **Fig. 10.** (Color online) Raman intensity variations of adsorbed and 4,4'-bipyridine molecules
 310 after and before compensation at concentrations of 10^{-3} and 10^{-7} M.

311

312 **Table I.** Average, standard deviation, and CV of Raman intensities of adsorbed and 4,4'-
 313 bipyridine molecules after and before compensation at concentrations of (a) 10^{-3} and (b)
 314 10^{-7} M.

315

	(a)			(b)		
	Adsorbed molecule	4,4'- bipyridine	After compensation	Adsorbed molecule	4,4'- bipyridine	After compensation
Average	2185.3	302.2	279.3	Average	1097.2	108.8
Standard deviation	652.2	86.0	30.5	Standard deviation	731.1	49.3
CV [%]	29.8	28.5	10.9	CV [%]	66.6	45.3

316

317

318

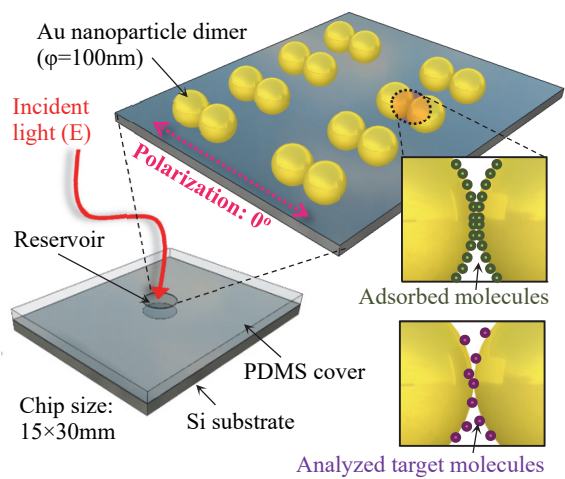


Fig.1. (Color online)

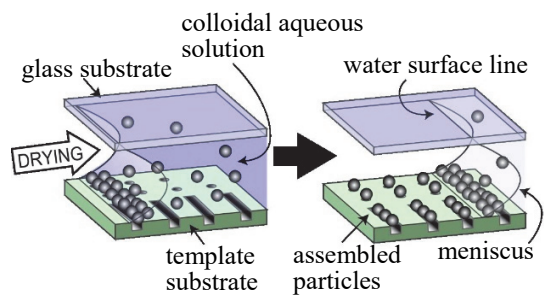


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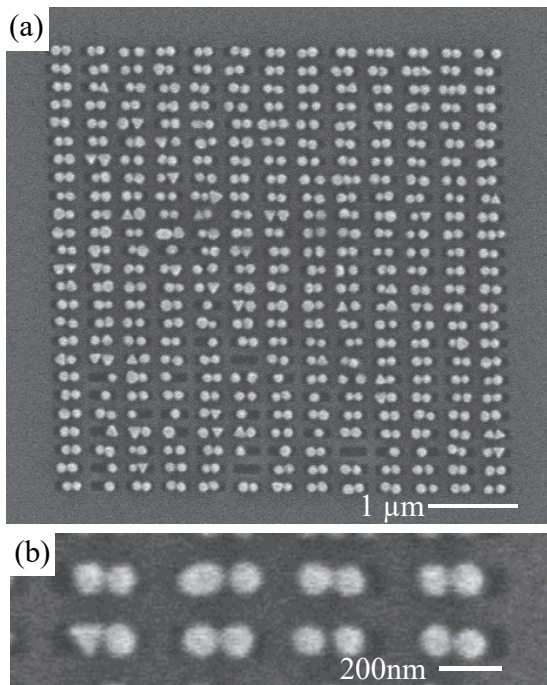


Fig.3.

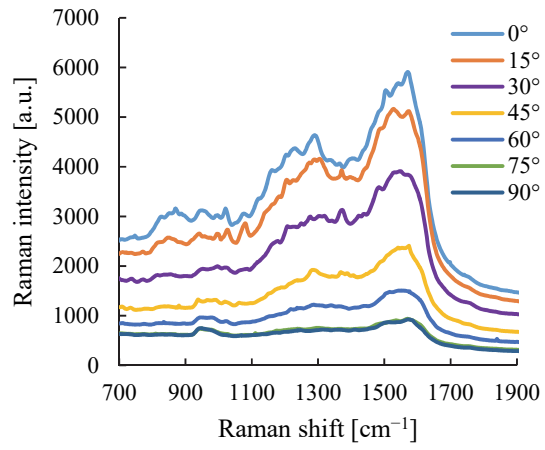


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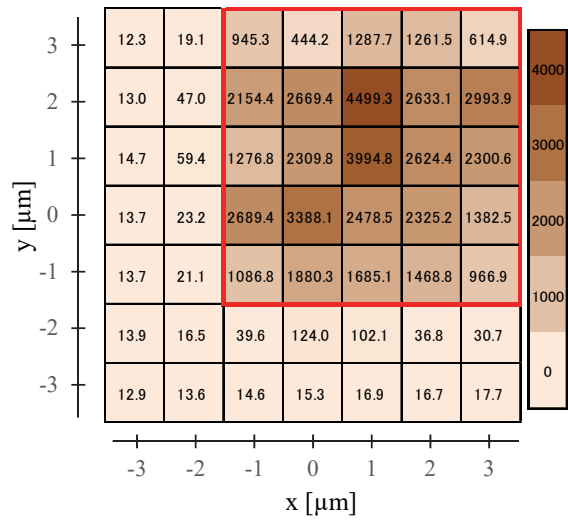


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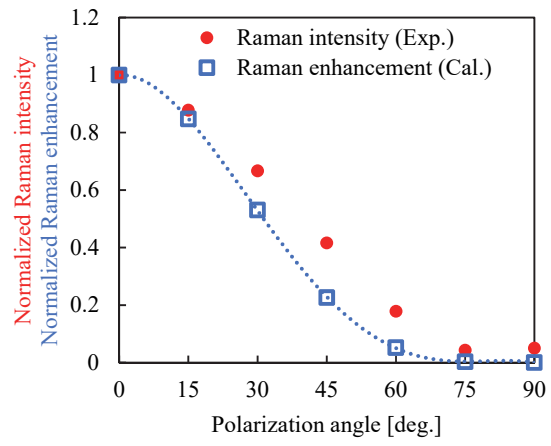


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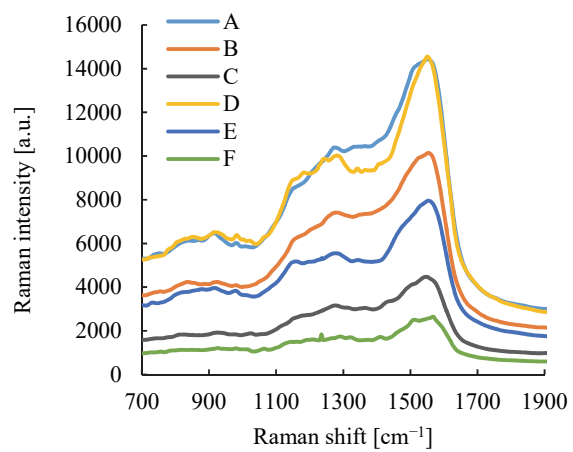


Fig.7. (Color online)

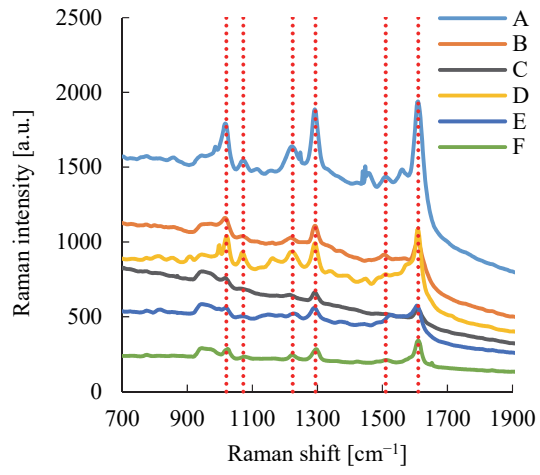


Fig.8. (Color online)

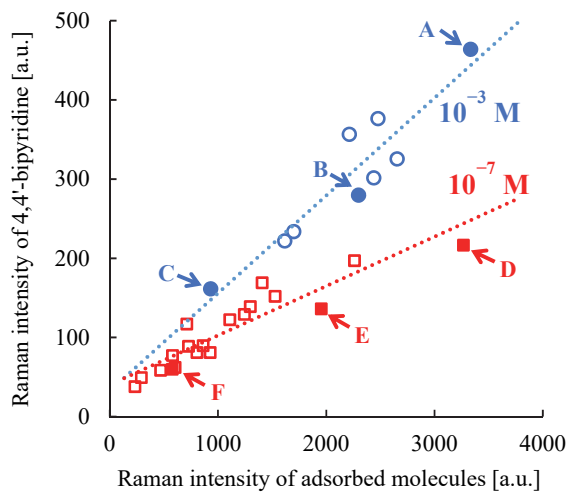


Fig.9. (Color online)

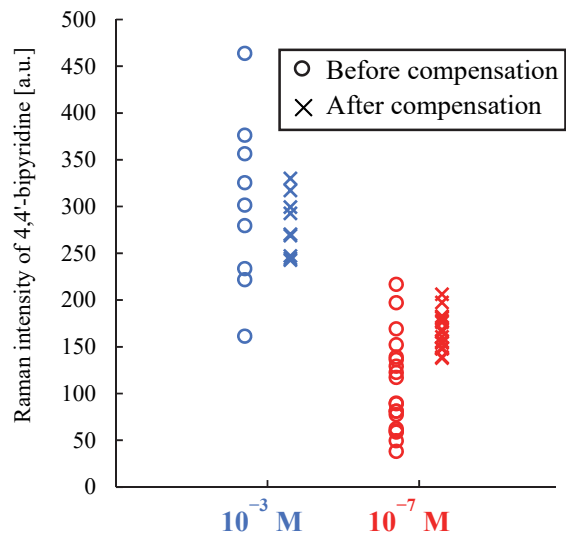


Fig.10. (Color online)