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**Original Article** 



# **Complications Associated With Spine** Surgery in Patients Aged 80 Years or Older: Japan Association of Spine Surgeons with **Ambition (JASA) Multicenter Study**

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#### Abstract

Study Design: Retrospective study of registry data.

**Objectives:** Aging of society and recent advances in surgical techniques and general anesthesia have increased the demand for spinal surgery in elderly patients. Many complications have been described in elderly patients, but a multicenter study of perioperative complications in spinal surgery in patients aged 80 years or older has not been reported. Therefore, the goal of the study was to analyze complications associated with spine surgery in patients aged 80 years or older with cervical, thoracic, or lumbar lesions.

**Methods:** A multicenter study was performed in patients aged 80 years or older who underwent 262 spinal surgeries at 35 facilities. The frequency and severity of complications were examined for perioperative complications, including intraoperative and postoperative complications, and for major postoperative complications that were potentially life threatening, required reoperation in the perioperative period, or left a permanent injury.

**Results:** Perioperative complications occurred in 75 of the 262 surgeries (29%) and 33 were major complications (13%). In multivariate logistic regression, age over 85 years (hazard ratio [HR] = 1.007, P = 0.025) and estimated blood loss  $\geq$ 500 g (HR = 3.076, P = .004) were significantly associated with perioperative complications, and an operative time  $\geq$ 180 min (HR = 2.78, P = .007) was significantly associated with major complications.

**Conclusions:** Elderly patients aged 80 years or older with comorbidities are at higher risk for complications. Increased surgical invasion, and particularly a long operative time, can cause serious complications that may be life threatening. Therefore, careful decisions are required with regard to the surgical indication and procedure in elderly patients.

#### Keywords

elderly, complications, spine surgery, risk factor

# Introduction

Methods

Aging of society and recent advances in surgical techniques and general anesthesia have increased the demand for spinal surgery in elderly patients. However, many perioperative complications occur in these patients. Some studies have indicated a concern of increased morbidity and have cautioned against spinal surgery in the elderly,<sup>1-5</sup> whereas others have reported low complication rates in this population and provided support for spinal surgery.<sup>6-8</sup> In general, the term "elderly" is accepted to mean age  $\geq 65$  years,<sup>9-11</sup> but with rapid aging of society, extremely elderly patients aged over 80 years now undergo spinal surgery,<sup>12-15</sup> mostly for lumbar lesions. However, a multicenter study of perioperative complications has not been reported in such patients, including those with cervical, thoracic, and lumbar lesions.

Complications range from mild to severe, and many are resolved by appropriate treatment without leaving sequelae, but some are life threatening, Thus, in this study, we classified complications as those that required reoperation or left permanent injury as major complications, and all perioperative complications, including those that did not significantly alter the course of treatment, as minor complications. All perioperative complications in all lesions for patients older than 80 years were identified through a retrospective review of a multicenter database. The frequency and severity of complications were analyzed and the risk for complications was examined using patient-specific and surgical factors.

#### Demographic Data

The study was performed by JASA (Japan Association of Spine Surgeons with Ambition) using a retrospective analysis of patients aged >80 years treated with spinal surgery at 35 facilities in 2014. The surgery data was included for the period from January 2010 to December 2013. A total of 262 such patients were identified, including 122 males and 140 females. The characteristics of the patients are shown in Table 1. Age ranged from 80 to 91 years, with a mean of 83 years (Figure 1). Lesions were cervical in 74 patients (28%), thoracic in 13 (5%), and lumbar in 175 (67%) (Table 2). Diagnoses included lumbar spinal canal stenosis (LSCS) due to spondylosis in 132 patients, cervical spondylotic myelopathy in 56, LSCS due to spondylolisthesis in 21, thoracic-lumbar compression fracture in 19, cervical ossification of the posterior longitudinal ligament (OPLL) in 6, pyogenic spondylitis in 5, and others in 23 (Table 2). The average length of hospital stay (LOS) was  $38 \pm 19$ days. The mean operative time was 171 minutes, mean estimated blood loss (EBL) was 289 mL, and 87 patients underwent fusion surgeries with instrumentation (Table 1). The institutional review board approved the study.

### Definitions of Risk Factors and Medical Comorbidities

The risk for postoperative complications was examined by comparing background factors in patients with and without

 Table 1. Characteristics of the 262 Cases.

ltem	Value (Range)
Demographic	
Age (years)	83 (80-91)
Sex, male/female (n/n)	122/140
Previous spinal surgery (n)	47
Body mass index (kg/m <sup>2</sup> )	23.3 (14-33)
Disease duration (years)	3.6 (0.1-55)
Smoking status (n)	4
Drug use	
Nonsteroidal anti-inflammatory drugs (NSAIDs)	122
Opioids	18
Osteoporosis agents	68
Anticoagulants	58
Comorbidity	
Hypertension	107
Preexisting neoplasm	45
Diabetes	40
Cerebrovascular disease	20
Operative factor	
Operative time (minutes)	171 (34-615)
Estimated blood loss (mL)	289 (5-6500)
Fusion with instrumentation (n)	87



Figure 1. Bar graph showing the population stratified by age.

complications using univariate and multivariate analysis. These factors included age, gender, previous spinal surgery (resurgery at the same index level), body mass index (BMI), disease duration, smoking status, drug use (nonsteroidal anti-inflammatory drugs [NSAIDs], opioids, osteoporosis agents, and anticoagulants), comorbidities (hypertension, preexisting neoplasm, diabetes, and cerebrovascular disease), and operative factors (operative time, EBL, and fusion with instrumentation).

## **Outcome Variables**

Two types of complications were defined—those related to surgery and all postoperative major and minor complications, which were collectively defined as perioperative complications; and postoperative major complications only. Major complications were defined as those that were potentially life Table 2. Diseases and Lesions in 262 Cases.

Disease and surgical lesion	n (%)	
LSCS (lumbar spondylosis)	132 (50)	
Cervical spondylotic myelopathy	56 (21)	
LSCS (spondylolisthesis)	21 (8)	
Thoracic-lumbar compression fracture	19 (75)	
Cervical OPLL	6 (2)	
Pyogenic spondylitis	5 (2)	
Others	23 (9)	
Cervical	74 (28)	
Thoracic	13 (5)	
Lumbar	175 (67)	

Abbreviations: LSCS, lumbar spinal canal stenosis; OPLL, ossification of the posterior longitudinal ligament.

threatening, required reoperation in the perioperative period, or left a permanent injury. These included cardiac, urinary, renal, and wound-related complications, and hemorrhage or hematoma complicating a procedure. Minor complications were those events that required additional intervention, but did not significantly alter the course of treatment.<sup>16</sup> A simple questionnaire was given to all patients after hospital discharge to examine patient satisfaction after surgery, using a 5-point scale: 1 = not satisfied at all, 2 = not much satisfaction, 3 = neither satisfied nor dissatisfied, 4 = some satisfaction, and 5 = major satisfaction.

### Statistical Analysis

Descriptive statistics were calculated for demographic variables. Differences between 2 groups were analyzed by Mann-Whitney U test or Student's t test, and those between 3 groups by Kruskal-Wallis test. A multivariable logistic regression model was constructed for multivariate analysis using variables with P < .05 in univariate analysis. Multivariate hazard ratios (HRs) with 95% confidence intervals (CIs) are reported. All analyses were conducted using SPSS version 22 for Windows (IBM, Armonk, NY). P < .05 was considered to be significant in all analyses.

# Results

Perioperative complications, including major and minor complications, occurred in 75 of the 262 cases (29%). These included 16 intraoperative and 59 postoperative complications. The 5 common perioperative complication were delirium, screw malposition, epidural hemorrhage, surgical site infection (SSI), and urinary infection (Table 3). There were 33 major complications (13%) that were potentially life threatening, required reoperation, or required an extended hospitalization period, with the 3 most common being epidural hemorrhage, SSI, and urinary infection (Table 3). There was no perioperative mortality. The rates of complications for cervical and thoracic lesion were higher than that for lumbar lesions, but the incidence for each lesion did not differ significantly (Table 4). All complications occurred during the period of initial hospitalization, and there were no readmission cases. The LOSs were

Table 3. Details of Perioperative Complications (n = 75) and Major Complications (n = 33).

Complication	No. of Cases	
Intraoperative complication ( $n = 16$ )		
Screw malposition	8	
Dural tear	5	
Root injury	2	
Peritoneal injury	I	
Postoperative complication (n = 59)		
Delirium	15	
Epidural hemorrhage <sup>a</sup>	7	
Surgical site infection <sup>a</sup>	7	
Urinary infection <sup>a</sup>	6	
Cerebral infarction <sup>a</sup>	3	
Transient muscle weakness	3	
Renal dysfunction <sup>a</sup>	2	
C5 palsy	2	
Systemic edema <sup>a</sup>	2	
Liver dysfunction <sup>a</sup>	2	
Respiration disorder <sup>a</sup>	2	
Acute myocardial infarction <sup>a</sup>	I	
Drug rash	I	
Arrhythmia	I	
Anginaª	I	
Hypotension	I	
Infected with flu virus	I	
Crural neuralgia	I	
Adjacent vertebral fracture	I	

<sup>a</sup>Indicates a major complication (n=33).

 $36 \pm 19$  days without complication,  $38 \pm 20$  days with complication, and  $48 \pm 17$  days with major complication, with a significant difference between the patients with major complications compared with the other 2 groups (P < .01). For patient satisfaction after surgery, the rates of scores 4 or 5 (indicating satisfaction) were 55% (41/75) in the complication group and 89% (166/187) in the no complication group, with a significant difference between these groups (P < .01).

The results of univariate and multivariate analyses are shown in Tables 5 and 6, respectively. In univariate analysis, age >85 years (P = .027), cerebrovascular disease (P = .018), longer operation time (P = .046), and greater EBL  $\geq$ 500 g (P = .001) were significantly related to all perioperative complications (Table 5); and anticoagulant use (P = .028), diabetes (P = .026), and operative time >180 minutes (P = .015) were significantly related to major complications (Table 5). In multivariate logistic regression, age >85 years (HR = 1.007, 95% CI = 1.001-1.009; P = .025) and EBL  $\geq$ 500 g (HR = 3.076, 95% CI = 1.425-6.641; P = .004) were significantly associated with perioperative complications; and operative time >180 minutes (HR = 2.78, 95% CI = 1.317-5.917; P = .007) was significantly associated with major complications (Table 6).

## Discussion

Demographic data indicates that there are 9.3 million people in Japan who are more than 80 years old, equivalent to 7.3% of the

total population, and this cohort is projected to increase to 14.5% by 2035.<sup>17</sup> In ultra-aging countries, the demand for spinal surgery in elderly patients has grown in recent years.<sup>14,15</sup>

Complications in lumbar surgeries for patients over 65 years of age have been reported to occur at rates of 3% to 29%, 1,2,4,6,18-21 and older age, comorbidities, blood loss, operative time, and number of levels increase the rate of complications.<sup>1,3,15,22</sup> For patients over 80 years of age, 2 studies of lumbar surgeries have found complication rates of 20% with a relationship with length of intensive care unit stay.<sup>23</sup> In 26%of lumbar decompression cases in patients aged over 80 years, a general state of mental confusion was observed after surgery for several days, but was fully reversible, and there was no permanent morbidity or perioperative death.<sup>24</sup> These studies focused on lumbar surgeries, and there has been no multicenter study in extremely elderly patients after cervical and thoracic surgeries. In our series with all lesions, the rates were 29% for perioperative complications and 13% for major complications, similar to previous reports of lumbar surgeries. In a Japanese multicenter study, Yone at al<sup>8</sup> found a complication rate of 10.4% in patients with a mean age of 59.3 years in more than 30000 spinal surgeries. In comparison, extremely elderly patients over 80 years are at higher risk for complications, with the rate increased by 2.7 times compared with patients of all ages. In our series, this could be a reason for the relatively low patient satisfaction postoperatively.

We classified complications into minor and major events. Minor perioperative complications were included because it is important for doctors to recognize all adverse events after surgery to avoid more serious problems in the postoperative course and prevent major complications. This is likely to lead to an improved outcome and patient satisfaction. Major complications were defined as those that were potentially life threatening, required reoperation in the perioperative period or left a permanent injury. Perioperative death was also included, but there were no such cases in our series. Among postoperative complications, delirium was the most common, and had features of acute onset and a fluctuating course, inattention, and disorganized thinking in our series. Postoperative delirium is common in extremely elderly patients and is associated with a significant increase in mortality, complications, length of hospital stay, and admission to a long-term care facility.<sup>25</sup> In patients older than 70 years, 20% may develop postoperative delirium.<sup>26</sup> Cerebrovascular disease was also a significant perioperative complication, and it has been reported that this condition has a strong association with postoperative delirium.<sup>27</sup>

Risk factors for perioperative complications were age >85 years (HR = 1.007) and EBL  $\geq$ 500 g (HR = 3.076), and that for major complications was operative time  $\geq$ 180 minutes (HR = 2.78). Surgical invasion such as operative time and EBL have previously been shown to be significant risk factors, <sup>4,5,11,26</sup> but HRs were not determined. Thus, to prevent these complications, care is required regarding the surgical extent, indication, and procedure, including the invasiveness of surgery, such as use of instrumentation. If instrumentation is used, the fixation range should be carefully determined in extremely elderly patients.

	Perioperative Complications (n = 75)			Major Complications (n = 33)		
Surgical Lesion	Patients	Rate (%)	Р	Patients	Rate (%)	Р
Cervical	24	35	n.s.	12	17	n.s.
Thoracic	6	43		2	14	
Lumbar	45	25		19	11	

Table 4. Relationships Between Surgical Lesions and Complications.

Abbreviation: n.s., not significant.

Table 5. Univariate An	alysis of Risk for Perio	perative and Major	Complication ( $n = 75$	i).
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Variable	Perioperative Complication ( $n = 75$ )		Major Complication (n = 33)	
	HR (95% CI)	Р	HR (95% CI)	Р
Demographic				
>85 years of age	1.159 (1.017-1.320)	.027 <sup>a</sup>	1.153 (0.974-1.366)	.099
Female	0.848 (0.428-1.679)	.636	0.783 (0.316-1.939)	.597
Previous spinal surgery	0.913 (0.397-2.096)	.829	0.942 (0.324-2.736)	.912
Body mass index	1.006 (0.946-1.070)	.854	0.952 (0.883-1.026)	.198
Disease duration	1.008 (0.945-1.074)	.816	0.991 (0.892-1.100)	.858
Smoking status	0.624 (0.048-8.113)	.719	0.345 (0.019-6.271)	.472
Drug use				
NSAIDs	0.732 (0.384-1.396)	.343	0.662 (0.281-1.560)	.345
Opioids	0.852 (0.233-3.116)	.808.	0.283 (0.069-1159)	.079
Osteoporosis agents	0.553 (0.258-1.183)	.127	0.956 (0.358-2.551)	.928
Anti-coagulants	2.138 (0.910-5.020)	.081	4.338 (1.173-16.035)	.028ª
Comorbidity				
Hypertension	1.025 (0.540-1.948)	.939	1.028 (0.437-2.421)	.950
Preexisting neoplasm	0.605 (0.270-1.354)	.221	0.545 (0.192-1.548)	.254
Diabetes	0.463 (0.198-1.082)	.076	3.246 (1.149-9.174)	.026ª
Cerebrovascular disease	0.258 (0.084-1.082)	.018ª	0.334 (0.086-1.299)	.113
Intraoperative factor				
Operative time ( $\geq$ 180 min)	1.005 (1.000-1.009)	.046 <sup>ª</sup>	4.329 (1.329-14.08)	.015ª
Estimated blood loss ( $\geq$ 500 g)	5.029 (2.438-10.18)	.001ª	1.599 (0.642-3.982)	.313
Use instrumentation	0.783 (0.355-1.725)	.544	0.397 (0.128-1.001)	.109

Abbreviations: HR, hazard ratio; NSAIDs, nonsteroidal anti-inflammatory drugs. <sup>a</sup>Indicates significant difference (P < .05).

Table 6. Multivariate Analysis of Risk for Perioperative and Major Complication (n = 33).

	Perioperative Complication (n = 75)		Major Complication (n = 33)	
Variable	HR (95% CI)	Р	HR (95% CI)	Р
Demographic >85 years of age	1.007 (1.001-1.009)	.025 <sup>a</sup>		
Intraoperative factor Operative time (≥180 min) Estimated blood loss (≥500 g)	3.076 (1.425-6.641)	.004ª	2.780 (1.317-5.917)	.007ª

Abbreviation: HR, hazard ratio.

<sup>a</sup>Indicates significant difference (P < .05).

This study has the limitation of a retrospective design based on data review, which did not allow evaluation of preoperative severity and detailed evaluation of postoperative course. Despite this limitation, the results provide important estimates of inpatient morbidity and mortality after spinal surgery in extremely elderly patients.

# Conclusions

Perioperative and major complications after spine surgery in the extremely elderly occurred at rates of 29% and 13%, respectively, in our patients. Increased surgical invasion, and especially an operative time of more than 3 hours, was a strong risk factor for potentially life-threatening complications. Therefore, for extremely elderly patients, particular care is required in the choice of the surgical procedure.

#### **Declaration of Conflicting Interests**

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