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# **JGR** Biogeosciences

### RESEARCH ARTICLE

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### **Special Section:**

Water-energy-carbon fluxes over terrestrial water surfaces

#### **Key Points:**

- Typhoons play a major role in the carbon (C) release in a shallow lake
- A model is presented to evaluate net ecosystem production using the residence time of a lake
- Estimates of dissolved inorganic C demonstrate the importance of simulating stratification in a lake

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## Influence of Thermal Stratification on Seasonal Net Ecosystem Production and Dissolved Inorganic Carbon in a Shallow Subtropical Lake

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**Abstract** Thermal stratification is a critical physical process controlling carbon (C) flux from lakes into the atmosphere. In general, vertical water temperature profiles in shallow subtropical lakes can vary significantly because typhoons frequently induce vertical mixing across the entire lake due to strong winds and rapid flushing from river inputs. Since C fluxes are driven by dissolved inorganic C (DIC), it is necessary to understand stratification's influence on DIC dynamics in shallow subtropical lakes. Therefore, we aimed to clarify the impact of stratification on DIC in Yuan-Yang Lake, a typical shallow subtropical mountain lake, by developing a net ecosystem production (NEP) model. We measured the vertical profile of water temperature and DIC once or twice a month from July 2004 to December 2017. We applied a three-dimensional hydrological model to estimate residence time and NEP, which revealed that large amounts of DIC are stored in the lower layer from spring to summer due to the suppression of vertical mixing by stratification. In autumn and winter, the lake was well mixed, and DIC was evenly distributed in the water column due to vertical mixing. This was confirmed by vertical DIC profiles.

**Plain Language Summary** Vertical distribution of dissolved inorganic C (DIC) is one of the most important factors for understanding C emission from lakes. Thermal stratification is expected to be the critical physical process controlling the vertical distribution of DIC. To clarify the relationship between DIC and thermal stratification, we investigated vertical profiles of DIC and the strength of stratification in a subtropical shallow lake (Yuan-Yang Lake [YYL]) using field observations from 2004 to 2017. Our study is the first to apply a three-dimensional hydrological model to clarify the mechanism controlling the vertical profile of DIC as part of an estimate of net ecosystem production in a lake.

### 1. Introduction

Freshwater ecosystems release substantial amounts of carbon (C) into the atmosphere: approximately 0.3–1.8 Pg C yr $^1$  (Aufdenkampe et al., 2011; Engel et al., 2018; Lauerwald et al., 2015; Raymond et al., 2013). Small lakes (lake area < 0.1 km $^2$ ) occupy 25%–35% of the total area of all lakes in the world (Downing et al., 2006; Verpoorter et al., 2014). However, C emissions from small lakes are usually ignored when evaluating C fluxes (Cole et al., 2007; Raymond et al., 2013), resulting in an underestimation of  $CO_2$  emissions on a global scale (Downing et al., 2006; Verpoorter et al., 2014). Lake size has also been revealed to be one of the most critical factors controlling  $CO_2$  emissions, with small lakes having more considerable contributions from convection to gas transfer velocities (Read et al., 2012; Vachon & del Giorgio, 2014). Heavy rainfall, wind shear, and convective mixing are essential meteorological forces that cause vertical mixing in small lakes; in shallow lakes, stratification can cause internal waves, which in turn can cause mass transport (Kimura et al., 2012, 2014, 2017). Therefore, to accurately estimate C fluxes from small lakes, it is necessary to clarify the mechanisms of vertical mixing and the collapse of stratification.

There are many mountainous shallow lakes in subtropical East Asia. For example, in Taiwan, previous studies have shown that seasonal and annual C fluxes are frequently influenced by typhoon and monsoon events, but C fluxes from subtropical lakes above an altitude of 1,500 m are not well understood (Jones et al., 2009; Tsai et al., 2008, 2011,2016). The typhoon season is from July to October, and typhoons contribute to 10%—

LIN ET AL. 1 of 17



37% of the island's annual precipitation (Chiu et al., 2020; Lai et al., 2006; Tsai et al., 2011). Typhoon-induced rapid flushing can mix the entire water body in a small subtropical lake, likely eliminating thermal stratification (Kimura et al, 2012, 2014; Shade et al., 2010; Tsai et al., 2008). It has also been demonstrated that strong typhoon disturbances alter water levels, water quality, lake metabolism, and  $CO_2$  fluxes in shallow subtropical lakes (Chiu et al., 2020; Jones et al., 2009; Tsai et al., 2008, 2011,2016). Jones et al. (2009) showed that the frequency of typhoons had the most significant impact on  $CO_2$  fluxes in a shallow subtropical lake, increasing fluxes by approximately 2,200 kg C yr<sup>-1</sup> per typhoon event. On the other hand, an absence of precipitation may affect  $CO_2$  dynamics across the air-water interface in subtropical lakes (Chiu et al., 2020; Xu & Xu, 2018). Long-term investigations of shallow subtropical lakes, such as those at seasonal and annual scales, are rare but valuable. Further research on small shallow lakes in subtropical regions is necessary to clarify their physical structure and estimate C fluxes accurately.

 $CO_2$  concentrations can be estimated from water temperature, salinity, dissolved inorganic C (DIC), and pH or total alkalinity (TA) (Cai & Wang, 1998; Smith, 1985). If we ignore calcification, DIC is principally associated with the partial pressure of  $CO_2$  ( $pCO_2$ ) (Bade et al., 2004; Striegl et al., 2001). Previous studies showed that C fluxes in boreal lakes were mainly derived by DIC inputs (McDonald et al., 2013; Weyhenmeyer et al., 2015). In general, DIC concentrations in the epilimnion are lower than in the hypolimnion of stratified lakes because photosynthesis dramatically decreases  $pCO_2$  in the epilimnion during the day (Gruber et al., 2000). In addition, mineralization of dissolved organic C (Kortelainen et al., 2006) and carbonate deposition (or dissolution) in sediments at the lake bottom (Einsele et al., 2001) are associated with turbulence from wind shear (MacIntyre et al., 2001) and convective mixing (Eugster et al., 2003), which affect  $CO_2$  fluxes. Therefore, the separation of the epilimnion and hypolimnion due to thermal stratification is one of the most critical physical processes controlling C flux in lakes (Åberg et al., 2010; Andersen et al., 2019; Eugster et al., 2003).

Irradiance and thermal stratification affect chemical activity, biological activity, and physical transport in lakes (Imberger et al., 1985; Nakayama et al., 2012, 2019). Vertical mixing is an incredibly vital physical process in stratified lakes because it controls biochemical fluxes (Boehrer & Schultze, 2008; Gudasz et al., 2010; MacIntyre et al., 1993, 2002). River inflows and wind turbulence mix biotic and abiotic materials from sediments into the water column (Andersen et al., 2017; Boehrer & Schultze, 2008; Czikowsky et al., 2018; Hope et al., 2004; MacIntyre et al., 1993, 2002). Allochthonous C is the primary resource facilitating ecosystem production in lakes (Lu et al., 2018; McDonald et al., 2013; Vachon & del Giorgio, 2014). Thus, the hydrological effect on the vertical profile of DIC in a lake needs to be clarified by considering disturbances due to river inflows and wind. Water temperature is also a critical factor controlling the fate of C in shallow subtropical lakes. We thus investigated water temperature and DIC vertical profiles to quantify the seasonal mixing regime in Yuan-Yang Lake (YYL), Taiwan using the vertical profile of water temperature and DIC once or twice a month from July 2004 to December 2017. Furthermore, we investigated the seasonal patterns in the DIC vertical profiles to estimate net ecosystem production (NEP) in this shallow subtropical lake using a three-dimensional hydrological model.

### 2. Materials and Methods

### 2.1. Study Site

YYL is a typical shallow subtropical mountain lake in East Asia, located in the Chi-Lan area of Yilan County in northern Taiwan (24°57′ N, 121°40′E) at an altitude of about 1,650 m (Figure 1). YYL is a natural lake and has been registered as a long-term ecological study site by the National Science Council of Taiwan since 1992. Additionally, YYL has been a part of the global lake ecological observatory network (GLEON) since 2004. The air temperature around YYL ranges from −5 to 25°C and annual precipitation from 2,200 to 5,000 mm. For about 40% of the year, YYL is covered by heavy fog and receives a mean solar radiation of about 220 MJ m<sup>-2</sup>. YYL has a surface area 3.6 ha and a water depth from 3.8 to 4.5 m, and, although it is in an alpine region, the lake surface is ice-free all year round. YYL is surrounded by pristine old-growth cypress forest, where the dominant tree species are *Chamaecyparis formosensis*, *Chamaecyparis obtusa* var. *formosana*, and *Rhododendron formosanum* Heiml. Aquatic plants exist in the lake, and *Sparganium fallax* and *Schoenoplectus mucronatus* subsp. *robustus* are the dominant species (Chou et al., 2000). The water surface color is brown and humic, with a mean pH of approximately 5.4 (Tsai et al., 2008; Wu et al., 2001). The

LIN ET AL. 2 of 17

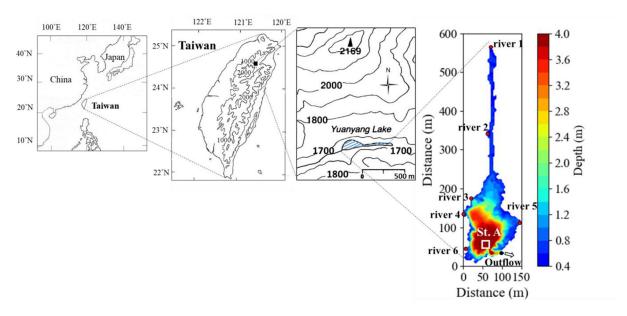


Figure 1. Location and bathymetry of Yuan-Yang Lake (YYL). White rectangle: St. A (the deepest part of the lake) where vertical profiles were taken. Red and black circles indicate inflow and outflow rivers, respectively.

water column is stratified from early April to October and is usually well-mixed in winter from December to February (Kimura et al., 2012; Tsai et al., 2008).

#### 2.2. Data Collection

A buoy located at the deepest place (St. A) of the lake measured water temperature through the water column at 0.5-m increments, water depth (HOBO U20; Onset computer company), and wind speed 2 m above the lake surface every 10 min. A meteorological station within 1 km of the lake collected precipitation, air temperature, and atmospheric pressure measurements every 10 min. Water temperature was measured through the water column at water depths of 0.04, 0.25, 0.5, 0.75, 1.0, 1.5, 2, 2.5, 3.0, 3.5, and 4.0 m at St. A every 1 h using a thermistor chain (Templine, Apprise Technologies, Inc.,) (Figure 1). Total water depth was measured at St. A once or twice a month from July 2004 to December 2017. We also measured the water surface temperature (0.04 m) of six inflow rivers and one outflow river.

We sampled water at St. A at water depths of 0.04, 0.5, 1.0, 2.0 and 3.5 m once or twice a month. We also collected water samples at the water surface in six inflow rivers and one outflow river. The water samples were filtered with plastic filters (47 mm GF/F; Whatman) using a portable hand pump (Hand Vacuum pump, One Lincoln Way). The water samples were kept in airtight vials (Vial glass 40 ml, K60958A-912) and stored in a cooler box at around 4– $10^{\circ}$ C until DIC concentration measurements were taken (mg C L<sup>-1</sup>), less than 72 h after sampling. We used a Total Organic Carbon (TOC) analyzer (O. I. TOC analyzer 1010 from 2004 to 2012 and model 1030 W/1088 from 2013 to 2017, Xylem) and persulfate digestion to detect DIC concentrations with an infrared gas detector. We employed a sonar (LMS-332c GPS Receiver and Sonar) to measure bathymetry in August 2007 with a spatial interval of 1.0 m, which was used in the three-dimensional hydrological model.

We categorized the year into four seasons: spring (March to May), summer (June to August), autumn (September to November), and winter (December to February). Since typhoons may cause significant disturbance to the stratification in summer and autumn, we added two more categories—summer typhoons (June to August) and autumn typhoons (September to November)—to explore how typhoons contribute to the vertical profile of water temperature and DIC. Note that the mean duration of typhoon events was less than a few days.

LIN ET AL. 3 of 17

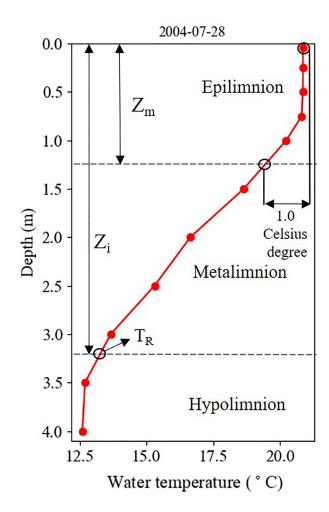


Figure 2. Definition of mixing depth  $(Z_m)$  and intrusion depth  $(Z_i)$ . Vertical profile of water temperature on July 28, 2004.

## 2.3. Brunt-Väisälä Frequency, Mixing Depth, and Intrusion Depth

We used the Brunt-Väisälä frequency (N  $\rm s^{-1}$ ) to quantify the strength of stratification. The Brunt-Väisälä frequency is associated with vertical mixing in lakes (von Rohden & Ilmberger, 2001).

$$N = \sqrt{-\frac{g}{\rho} \frac{d\rho}{dz}} \tag{1}$$

where g is the gravity acceleration,  $\rho$  is the density of water, and z is the vertical coordinate of water depth (m). Since we calculated the vertical mean of  $N^2$ , we obtained  $N^2 > 0$  for most cases.

To define the depth of vertical mixing, the mixing depth  $(Z_m,m)$  was calculated by following Staehr and Sand-Jensen (2007) (Figure 2).

$$Z_{\rm m} = Z \Big|_{T=T_{\rm S}-1} \tag{2}$$

where  $Z_m$  is the mixing water depth, and  $T_S$  is the water surface temperature (at a depth of 0.04 m).

To estimate where river flow intrudes, we defined an intrusion depth (m),  $Z_{\rm i}$  (Figure 2).

$$Z_{i} = Z|_{T=T_{R}}$$
(3)

where  $T_R$  is the water temperature of a river.

#### 2.4. NEP

To estimate NEP, we used end-member analysis using the DIC of the rivers (Christophersen et al., 1990; Hooper et al., 1990). Since there are six inflow rivers in YYL, the mean DIC concentration (mg  $\rm L^{-1}$ ) of the inflow water was estimated as:

$$DIC_{R} = \frac{\sum_{i=1}^{6} Q_{i}DIC_{i}}{\sum_{i=1}^{6} Q_{i}} = \frac{\sum_{i=1}^{6} Q_{i}DIC_{i}}{Q_{R}}$$
(4)

where  $\mathrm{DIC}_R$  is the mean DIC from rivers into YYL,  $\mathrm{DIC}_i$  is the DIC from river,  $Q_i$  is the discharge from the river (Dalrymple, 1960; Laurenson, 1965), and  $Q_R$  is the total river discharge (m<sup>3</sup> s<sup>-1</sup>).  $Q_1$  to  $Q_6$  were 0.072, 0.009, 0.027, 0.018, 0.036, and 0.018 m<sup>3</sup> s<sup>-1</sup>, respectively.

The change in DIC (mg C  $\mathrm{m}^{-3}$ ) was modeled by applying a conceptual model (Nakayama, Komai, et al., 2020) as follows:

$$V_{\text{total}}C_{U}\frac{\partial \text{DIC}}{\partial t} = -V_{\text{total}}\text{NEP} + Q_{Re}C_{U}\left(\text{DIC}_{R} - \text{DIC}_{L}\right) - A_{L}F_{CO2}$$
 (5)

where  $C_{\rm U}$  is the coefficient that converts the unit from mg C L<sup>-1</sup> to mg C m<sup>-3</sup>, NEP is the NEP (mg C m<sup>-3</sup> d<sup>-1</sup>),  $V_{\rm total}$  is the total volume of the lake (m<sup>3</sup>),  $Q_{Re}$  is the effective exchange flux (m<sup>3</sup> d<sup>-1</sup>), DIC<sub>L</sub> is the mean DIC in YYL (mg L<sup>-1</sup>),  $A_{L}$  is the lake surface area (m<sup>2</sup>), and  $F_{CO2}$  is the DIC flux between the lake surface and atmosphere (mg C m<sup>-2</sup> d<sup>-1</sup>). Note that  $Q_{Re}$  is not generally equal to  $Q_{R}$ , so we needed to estimate  $Q_{Re}$  using a three-dimensional hydrological model.

LIN ET AL. 4 of 17



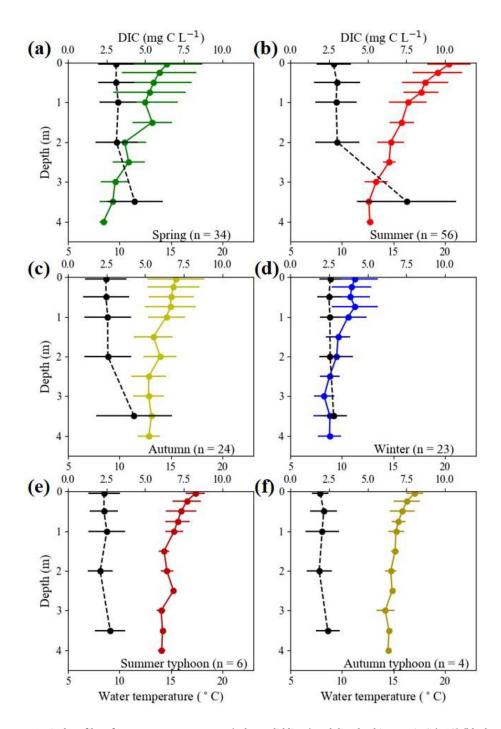
Variables	Equation	References & equipment	
$F_{CO2}$	$k_{CO2} \cdot K_H \left( pCO2_{water} - pCO2_{air} \right)$	Fick's law diffusion	
$pCO2_{air}$ (CO <sub>2</sub> partial pressure in the atmosphere)	Measured data. 390 (ppm)	Air pressure sensor (model 090D; Met One Ins., NW, USA)	
pCO2 <sub>water</sub>	$DIC\left(10^{-pH} ight)^2$	Cai & Wang, 1998	
	$\frac{DIC \left(10^{-pH}\right)^{2}}{\left[\left(10^{-pH}\right)^{2} + \left(10^{-pH}\right)K_{1} + K_{1}K_{2}\right]K_{H}}$		
рН	Measured data	Water quality probe (Hydrolab 4α; Hach, CO, USA)	
DIC	Measured data (DIC concentration, 0.04 m)		
$k_{CO2}$ (Gas transfer velocity)	$k_{600} \left( \frac{Sc_{CO_2}}{600} \right)^{-0.67}$	Cole & Caraco, 1998	
$k_{600}$ (Gas exchange coefficient)	$2.07 + 0.215 U_{10}^{1.7}$	Jähne et al., 1987	
$Sc_{CO_2}$ (Schmidt number)	$1911.1 - 118.11T + 3.4527T^2 - 0.04132T^3$	Wanninkhof, 1992	
$U_{10}$	$U_2 \cdot \left( rac{10_{(m)}}{2_{(m)}}  ight)^{\!\!0.15}$	Smith, 1985	
$U_2$	Measured data (Wind speed above water surface 2 m)	Wind monitor (model 05106; R.M. Young, MI, USA)	
$K_H$ (Henry's coefficient)	$\exp\left(108.39 + 0.0199\text{T} - \frac{6920}{\text{T}} - 40.452\log\text{T} + \frac{669365}{T^2}\right)$	Plummer & Busenberg, 1982	
K <sub>1</sub> (1st dissociation constant)	$\exp\left(-356.31 - 0.0609\text{T} + \frac{21834}{\text{T}} + 126.83\log\text{T} - \frac{1684915}{\text{T}^2}\right)$	Plummer & Busenberg, 1982	
K <sub>2</sub> (2nd dissociation constant)	$\exp\left(-107.8 - 0.0325T + \frac{5152}{T} + 38.926 \log T - \frac{56371}{T^2}\right)$	Plummer & Busenberg, 1982	
T	Measured data (Water temperature (K), 0.04 m)		

To understand how much inorganic C was released from the lake into the atmosphere and how C was exchanged between lake and atmosphere, we applied Fick's law to estimate the air-water  $CO_2$  gas flux (Table 1).  $F_{CO2}$  is positive when  $CO_2$  is emitted from the lake into the air.

We previously found that the change in DIC by air-water  $CO_2$  gas exchange at the lake DIC level was negligible in Komuke Lagoon because of a large decrease in DIC due to photosynthesis by eelgrass (Nakayama, Komai, et al., 2020). However, YYL is a typical shallow subtropical lake, and its air-water  $CO_2$  gas exchange is expected to be on the same order as NEP because phytoplankton is the dominant DIC sink and the biomass is much smaller than eelgrass. Therefore, we did not neglect the air-water  $CO_2$  gas exchange in Equation 5. Although  $DIC_R$  changes considerably during a flood, the value of  $DIC_R$  becomes stable soon after the flood. Therefore, the fluctuation in  $DIC_R$  due to flooding is negligible when analyzing the seasonal change in NEP. Therefore, under the steady-state condition for DIC, NEP was obtained as:

$$NEP = \frac{Q_{Re}}{V_{\text{total}}} C_U \left( DIC_R - DIC_L \right) - \frac{A_L}{V_{\text{total}}} F_{CO2}$$
 (6)

LIN ET AL. 5 of 17



**Figure 3.** Vertical profiles of mean water temperature (colors solid lines) and dissolved inorganic C (DIC) (black dashes) in (a) spring, (b) summer, (c) autumn, and (d) winter, and during the (e) summer typhoons and (f) autumn typhoons from July 2004 to December 2017. The dots show the mean value, and the horizontal lines indicate the standard deviation (*n* is the number of samples).

When  $\mathrm{DIC}_L$  is larger than  $\mathrm{DIC}_R$  and  $\mathrm{CO}_2$  gas is released, inorganic C is produced in the lake, which leads to a negative NEP. When  $\mathrm{DIC}_L$  is smaller than  $\mathrm{DIC}_R$  and the  $\mathrm{CO}_2$  gas is absorbed, C is accumulated within the lake, which leads to a positive NEP. Note that the value of NEP is the sum of primary production and air-water  $\mathrm{CO}_2$  gas exchange  $(F_{CO2})$ .

We obtained  $\Delta DIC$  in YYL using DIC at water depths of 0.04, 0.5, 1.0, 2.0, and 3.5 m.

LIN ET AL. 6 of 17



(a)

Water Temperature (°C)

20

16

12

Summer

Autumn

$$\Delta DIC = \left(\Delta DIC_{0.04m}V_{0.04m} + \Delta DIC_{0.5m}V_{0.5m} + \Delta DIC_{1m}V_{1m} + \Delta DIC_{2m}V_{2m} + \Delta DIC_{3.5m}V_{3.5m}\right) / V_{total}$$
(7)

where  $V_{dep}$  is the volume (m<sup>3</sup>) at a depth of dep, and  $\Delta DIC_{dep}$  is the value of DIC at a depth of dep.

 $V_{\text{total}}$  /  $Q_{\text{Re}}$  corresponds to residence time in YYL (Nakayama, Komai, et al., 2020). In general, residence time is not equal to  $V_{total}$  /  $Q_R$  because the stratification affects a three-dimensional flow and mass transport. Therefore, we attempted to estimate residence time using a three-dimensional numerical simulation. Finally, we obtained NEP by introducing  $\Delta DIC = DIC_L - DIC_R$  as:

$$NEP = -C_U \frac{\Delta DIC}{t_r} - \frac{A_L}{V_{\text{total}}} F_{CO2} = -C_U \frac{\Delta DIC}{t_r} - F_C$$
 (8)

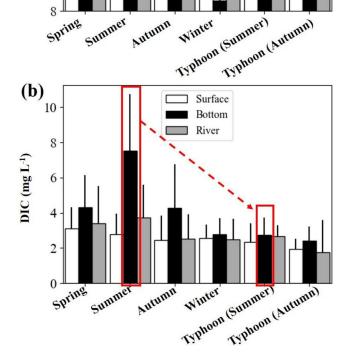
where  $t_r$  is the residence time (d<sup>-1</sup>) of YYL.

To estimate the residence time in YYL, we performed three-dimensional hydrological simulations using a three-dimensional environmental model, Fantom, which is based on object-oriented programming methods (Laniak et al., 2013; Maruya et al., 2010; Nakamoto et al., 2013; Nakayama et al., 2014, 2016; Nakayama, Sato, et al., 2020; Nakayama, Shintani, et al., 2020). To evaluate bathymetry's effects on the flow field and residence time, we used a Fantom to simulate the bottom slope in a z coordinate system with partial cells (Adcroft, 1997). A generic length-scale (GLS) equation model was also employed to evaluate how the vertical mixing affected the water's mass and energy transport (Jones & Launder, 1972; Umlauf & Burchard, 2003). For numerical simulations, the initial vertical profiles of mean water temperatures and DIC for each season were obtained by applying typical stratified conditions (Figure 3). The horizontal grid size was 4.0 m, and the vertical grid size was 0.2 m. The time step was 0.5 s.

The residence time  $(t_r)$  was estimated from the temporal change in mean tracer concentrations in the entire domain of YYL. Initially, we used a uniform tracer concentration of 1.0 for the entire domain of YYL. Then, we computed the time series of the mean tracer concentration, which was used to estimate residual time using:

$$Tr = Tr_0 \exp\left(-\frac{t}{t_r}\right) \tag{9}$$

where Tr is the mean tracer concentration for the entire domain of YYL,  $Tr_0$  (= 1.0) is the initial mean tracer concentration, and t is the time (d<sup>-1</sup>).



Surface

Bottom

River

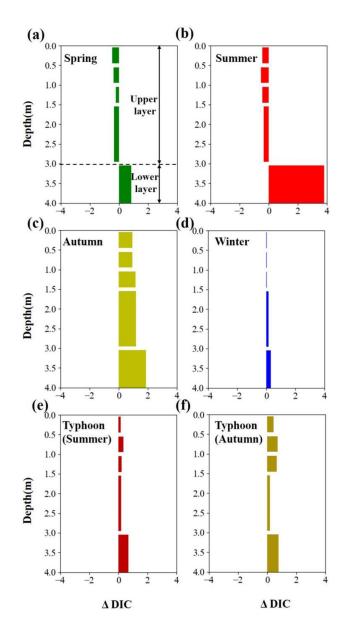
Figure 4. Comparison of (a) water temperatures and (b) dissolved inorganic C (DIC) of the water surface (0.04 m, white bars), water bottom (3.5 m, black bars), and river water ( $T_R$  and DIC<sub>R</sub>, gray bars). The bars represent the mean values, the solid black lines indicate the standard deviations, and red rectangles indicate the change in surface DIC concentration due to dilution after typhoons in summer.

## 3. Results

## 3.1. Water Temperature and DIC in YYL

Mean water surface temperature was the highest in summer (19.1°C), and the difference in water temperature between the water surface (0.04 m) and bottom (4.0 m) was around 10.8°C (Figure 3b). Mean water surface temperature was lowest in winter (11.3°C) (Figure 3d). Mean water temperatures adjacent to the bottom were 8.5, 12.7, 12.9, and 8.7 °C for spring, summer, autumn, and winter, respectively (Figures 3a-3d). The mean surface water temperatures were lower during the summer typhoons than the average summer conditions, but mean surface water

LIN ET AL. 7 of 17



**Figure 5.** Mean vertical profiles of  $\Delta$ DIC (mg C L<sup>1</sup>) in (a) spring, (b) summer, (c) autumn, (d) and winter, and during the (e) summer typhoons, and (f) autumn typhoons.

temperatures during the autumn typhoons were higher than normal autumn conditions (Figures 3b, 3c, 3e, and 3f).

Mean surface water DIC was about 3.0 mg C  $L^{-1}$  from spring to summer and about 2.5 mg C  $L^{-1}$  from autumn to winter (Figures 3a–3d). Mean surface water DIC was lowest during the autumn typhoons (Figures 3e and 3f). YYL stored larger amounts of DIC in the hypolimnion (3.5 m) than surface waters from spring to autumn (Figures 3a–3c). Mean hypolimnion DIC was highest in summer (7.5 mg C  $L^{-1}$ ), although the mean DIC during the summer typhoons were vertically uniform and only about 2.5 mg C  $L^{-1}$  (Figures 3b and 3e). Mean hypolimnion DIC was also low in winter (2.8 mg C  $L^{-1}$ ), due to vertical mixing and the low prevailing water temperatures (Figure 3d). The vertical profile of mean DIC was almost uniform after typhoons (Figures 3e and 3f).

Mean river temperatures were the highest in summer, at  $15.1^{\circ}$ C, and lowest in winter, at  $10.7^{\circ}$ C (Figure 4a). The difference in water temperature between lake surface water and the rivers was at its highest in summer. River DIC in spring and summer was also higher than at other times (Figure 4b). Mean river DIC was highest in summer (3.7 mg C L<sup>-1</sup>) and lowest (1.8 mg C L<sup>-1</sup>) during the autumn typhoons (Figure 4b). Mean DIC concentration declined approximately 40% after the typhoons (red rectangles in Figure 4b).

The absolute mean of  $\Delta DIC$  in the upper layer was lower in spring and summer than in autumn (Figures 5a–5c). Mean lower layer  $\Delta DIC$  in spring (0.83 mg C  $L^{-1}$ ) was lower than that autumn (1.86 mg  $L^{-1}$ ), and mean  $\Delta DIC$  was highest in summer (3.82 mg C  $L^{-1}$ ). Mean  $\Delta DIC$  in the upper layer was highest in autumn (around 1.0 mg C  $L^{-1}$ ), while the absolute value of mean  $\Delta DIC$  was the lowest in winter (about 0.06 mg  $L^{-1}$ ) (Figures 5c and 5d). Mean upper layer  $\Delta DIC$  was smaller in summer than during the summer typhoons (Figures 5b and 5e). In contrast to summer, mean upper layer  $\Delta DIC$  in autumn was larger than during the autumn typhoons (Figures 5c and 5f).

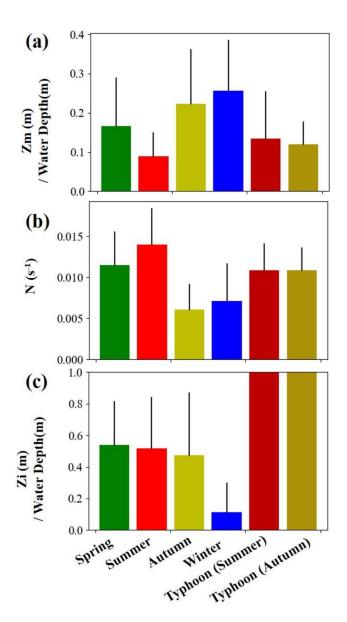
## $3.2.\,$ Mixing Depth, Brunt-Väisälä Frequency, and Intrusion Depth

Mixing depths were less than 25% of the total water column for all categories (Figure 6a). Mean mixing depth was the greatest in winter (25% of the water depth) and smallest in summer (7.50%; Figure 6a). Mean Brunt-Väisälä frequency ranged from around  $6.00 \times 10^{-3}$  to  $1.30 \times 10^{-2}$  s<sup>-1</sup>; in summer, it was twice as much as when it was at its lowest in autumn (Figure 6b). In other words, the stratifications in spring and summer were stronger than those in autumn and winter. The Brunt-Väisälä frequency

after typhoons was higher than in autumn and winter because we conducted field observations a few days after a typhoon passed and stratification formed due to sunny weather (Figure 6b). Mean intrusion depth was about 50% of the total water column from spring to autumn, and 100% of the whole water column after the typhoons (Figure 6c). In contrast, intrusion depth in winter was small, approximately 10% of the total water column (Figure 6c).

Water surface DIC was not associated with water surface temperature (Figure 7a). However, hypolimnion DIC and water temperature showed a significant positive correlation (rs = 0.61, p < 0.001) (Figure 7b). Hypolimnion DIC and river DIC had the strongest positive correlation (rs = 0.69, p < 0.001) (Figure 7c). Hypolimnion DIC and river DIC showed a positive correlation with Brunt-Väisälä frequency

LIN ET AL. 8 of 17



**Figure 6.** Comparison of the (a) mixing depth  $(Z_m)$ , (b) Brunt–Väisälä frequency (N), and (c) intrusion depth  $(Z_i)$  for each category in Yuan-Yang Lake (YYL). The bars represent the mean values, and the solid black lines indicate the standard deviations. The total water depths were used to normalize the intrusion and mixing depths.

(rs = 0.43 and 0.32, p < 0.001) (Figures 7e and 7f), but water surface DIC was not clearly associated with water temperature or Brunt-Väisälä frequency (Figures 7a and 7d). The Brunt-Väisälä frequency and mixing depth were associated with surface water temperatures (Figures 7g and 7h) with high Spearman correlation coefficients (rs = 0.64 & 0.40, p < 0.001). Although Brunt-Väisälä frequency and mixing depth had the strongest absolute correlation (rs = -0.88, p < 0.001) (Figure 7i), mixing depth was not strongly correlated with hypolimnion DIC or river DIC (Table 2). Intrusion depth had significant positive correlations with water surface temperature, Brunt-Väisälä frequency, and hypolimnion DIC (rs = 0.58, 0.32, and 0.32, respectively, p < 0.001). In contrast, intrusion depth was not associated with mixing depth, water surface DIC, or river DIC.

## 3.3. NEP and $F_{CO2}$ in YYL

To demonstrate the effect of stratification on vertical mixing, Figure 8 shows the vertical distribution of tracers under summer conditions. River flow intrudes into the middle layer in YYL due to stratification, and the upper layer is well-mixed on the second day after the initial condition (Figures 8a and 8b). However, it was found that the river flow cannot intrude into the lower layer directly because the water temperature of the river inflow is almost the same as that of the thermocline, resulting in the slow descent of the thermocline from the second to the third day (Figure 8c). In contrast, the tracer was confirmed to be well-mixed in the entire domain in winter because of weak stratification. Finally, the residence time  $(t_r)$  in spring, summer, autumn, and winter was 2.6, 2.6, 1.7, and 1.3 days, respectively. The residence time was longer in spring and summer than autumn and winter because spring and summer have a stronger stratification than autumn and winter. The residence time in winter was the shortest (1.3 days).

In general, residence time is determined by inflow and stratification. Even though the rainfall intensity is higher in summer and autumn than spring and winter, the inflow returns to the base flow immediately after the typhoons cease. Therefore, we assumed that the inflow was constant between seasons, and thus expected there to be no direct influence of precipitation on residence time at the seasonal timescale. On the other hand, a previous study found that typhoons (precipitation) caused vertical mixing of the water column for up to 7–10 days after the typhoons (Tsai et al., 2011). Although the average irradiance (solar radiation) intensity was similar between spring and autumn, the stratification in autumn tended to be weaker than spring due to the vertical mixing induced

by the typhoons. In summer, irradiance was the strongest, resulting in the strong stratification, despite the typhoons. The large density difference between the upper and lower layers inhibited the penetration of river inflow into the hypolimnion. Thus, in spring and summer, the exchange of water between the upper and deeper layers was suppressed. Consequently, the residence time was longest in summer and spring, followed by autumn, and was shortest in winter.

Mean CO<sub>2</sub> emissions ranged from 31.7 to 50.2 mg C m<sup>-3</sup> d<sup>-1</sup>, and mean pCO<sub>2water</sub> was around 564–662 μatm (Table 3). Water temperatures (11.1 ± 1.9 C), DIC (2.20 ± 0.43 mg L<sup>-1</sup>), and  $k_{CO2}$  (1.85 ± 0.42 m s<sup>-1</sup>) were lowest in winter, which led to a lower mean  $F_{CO2}$  than in spring and autumn. Mean  $F_{CO2}$  was lowest in summer (131.2 mg CO<sub>2</sub> m<sup>-2</sup> d<sup>-1</sup>).

LIN ET AL. 9 of 17

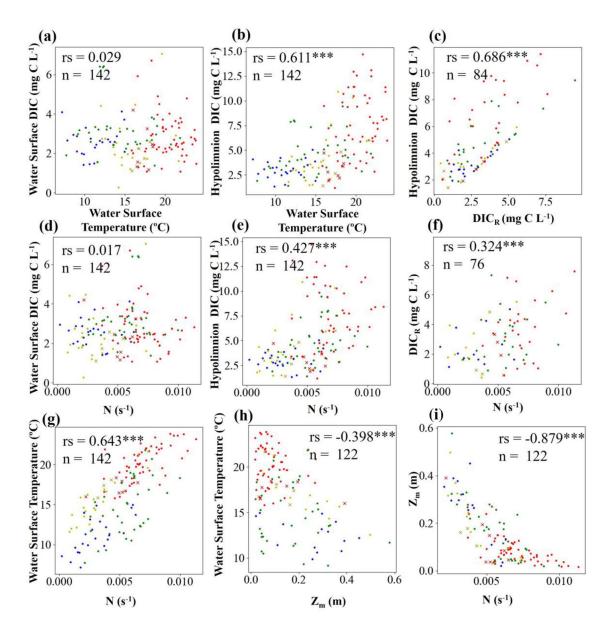


Figure 7. Relationships between (a) water surface temperature and water surface dissolved inorganic C (DIC), (b) water surface temperature and hypolimnion DIC, (c) river DIC (DIC, R) and hypolimnion DIC, (d) Brunt-Väisälä frequency (N) and water surface DIC, (e) N and hypolimnion DIC, (f) N and DIC, R, (g) N and water surface temperature, (h) mixing depth  $(Z_m)$  and water surface temperature, and (i) N and  $Z_m$ . The green, red, yellow, and blue points represent spring, summer, autumn, and winter data, respectively. The dark red and dark yellow crosses represent the summer and autumn typhoon data. rs is the Spearman correlation coefficients. \*\*\*Indicates statistical significance at p-value  $\leq 0.001$ .

From the viewpoint of the entire lake, NEP was positive only in spring (Figure 9). Since the upper layer plays a major role in  $CO_2$  emissions, we applied (8) to estimate NEP in the upper layer using the  $\Delta DIC$ ,  $t_r$  and the volume in the upper layer. As a result, NEP in the upper layer was 114.1, 144.0, -644.8, and -69.7 mg C m<sup>-3</sup> d<sup>-1</sup> in spring, summer, autumn, and winter, respectively. The effect of stratification on the suppression of vertical mixing between the upper and lower layers enhanced positive NEP in spring and summer. In contrast, NEP in the lower layer was -319.6, -1,470.3, -1,282.6, -374.5 mg C m<sup>-3</sup> d<sup>-1</sup> in spring, summer, autumn, and winter, respectively, which provides evidence of C production in the lower layer. The contribution of  $F_C$  to NEP in the upper layer was 24.9%, 15.5%, 5.5%, and 33.5% in spring, summer, autumn, and winter, respectively (Table 3). Therefore,  $CO_2$  emissions were confirmed to not be negligible in (8).

LIN ET AL. 10 of 17



 Table 2

 Spearman Correlation Coefficients for DIC, Water Surface Temperature, Brunt-Väisälä Frequency, Mixing Depth, and Intrusion Depth

Variables	Mixing depth	Brunt-Väisälä frequency	Water surfaceDIC	Hypolimnion DIC	River DIC	Intrusion depth
Water surface temperature	-0.398***	0.643***	0.029	0.611***	0.310**	0.581***
	(122)	(142)	(142)	(142)	(76)	(66)
Mixing depth		-0.879***	0.115	-0.221	-0.158	-0.155
		(122)	(122)	(122)	(66)	(52)
Brunt-Väisälä frequency			0.017	0.427***	0.324***	0.324***
			(142)	(142)	(76)	(66)
Water surface DIC				0.438***	0.320***	0.162
				(152)	(84)	(66)
Hypolimnion DIC					0.686***	0.321***
					(84)	(66)
River DIC						-0.005
						(31)

<sup>\*</sup>Represents the Statistical Significance at *p*-value  $\leq$  0.05.

## 4. Discussion

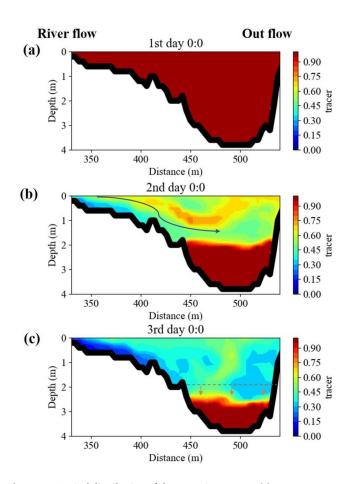
Stratification was confirmed to be stronger in spring and summer (mean N:  $0.011~\text{s}^{-1}$  &  $0.013~\text{s}^{-1}$ , respectively) than autumn and winter (mean N:  $6.00\times10^{-3}~\text{s}^{-1}$  &  $7.00\times10^{-3}~\text{s}^{-1}$ , respectively) in YYL (Figures 3 and 6). Strong stratification inhibited vertical DIC transport from the lower to the upper layer, which resulted in negative  $\Delta$ DIC in the upper layer from spring to summer due to the predominance of photosynthesis (Figure 5). Spearman correlations showed strong correlations between the Brunt-Väisälä frequency and hypolimnion DIC (Figure 7e). Strong thermal stratification during spring and summer drove longer residence times than in autumn and winter. NEP in the upper layer was positive due to the stratification in spring and summer, and NEP in the upper layer dramatically decreased from summer to autumn due to weak stratification (Figure 9). Therefore, DIC produced in the lower layer was vertically transferred easily to the water surface in autumn, which resulted in the highest CO<sub>2</sub> emissions in YYL (Table 3). Since ecological production was the lowest in winter due to the low water temperature, the absolute value of NEP in the upper layer was smaller in winter than autumn (Figure 9).

Average wind speeds were  $0.70 \pm 0.33$ ,  $1.15 \pm 0.8$ ,  $1.11 \pm 0.76$ , and  $1.07 \pm 0.51$  m s<sup>-1</sup> in spring, summer, autumn, and winter, respectively. Previous studies showed that wind shear induces turbulent mixing in YYL (Kimura et al., 2012, 2014, 2017). However, we found that wind shear was not a key driver of vertical mixing on a seasonal scale. We compared the numerical simulations with and without wind stress and found that inflow is one of the main factors controlling residence time. Therefore, although the averages and standard deviations of wind speed from summer to autumn were higher than those in spring and winter, the residence times were mainly controlled by the intrusion depth of river flow, not wind speeds. Stratification in spring and summer is stronger than in autumn and winter. In summer and spring, since the river water temperature is similar to that of the metalimnion and is higher than in the hypolimnion, river inflows were constrained in the metalimnion (Figures 10a and 10b). Thus, the river intrusion cannot penetrate the hypolimnion because of the lake's strong stratification. In this case, only partial vertical mixing occurs between the metalimnion and epilimnion. Consequently, it takes longer to renew hypolimnion water with river inflows. In contrast, river water temperature is similar to that of the metalimnion or epilimnion (upper layer), and river inflows intrude into the upper layer in autumn and winter (Figures 10c and 10d). Even though the intrusion occurs adjacent to the water surface or metalimnion, whole-lake mixing occurs because stratification is weeker and vertical mixing is less suppressed. Thus, autumn and winter have a shorter residence time compared to spring and summer, and stratification plays a key role in controlling the

LIN ET AL. 11 of 17

<sup>\*\*</sup>Represents the Statistical Significance at *p*-value  $\leq$  0.01.

<sup>\*\*\*</sup>Represents the Statistical Significance at p-value  $\leq 0.001$ .



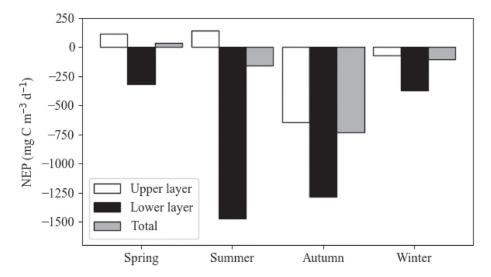
**Figure 8.** Vertical distribution of the tracer in summer. (a) Day 1, 00:00 a.m.; (b) day 2, 00:00 a.m.; (c) day 3, 00:00 a.m. The horizontal coordinate shows the distance from the northernmost point of YYL (m); the vertical coordinate shows the depth (m) from the water surface to the bottom. Contour shows the concentration of the tracer.

water renewal within lakes. This role can be evaluated precisely using a three-dimensional numerical simulation. This role can be evaluated precisely using a three-dimensional numerical simulation; this simulation method is more accurate for estimating residence time than the method that used the total volume of a lake and river inflow.

Previous studies demonstrated that thermal stratification was a significant driver of pCO<sub>2</sub> and CO<sub>2</sub> fluxes (Åberg et al., 2010; Andersen et al., 2019; Vachon & del Giorgio, 2014). Precipitation and storm events played a particularly vital role when they flushed large terrestrial DIC concentrations into the lake, which then released CO<sub>2</sub> and CH<sub>4</sub> (Bartosiewicz et al., 2015; Hope et al., 2004; Tonetta et al., 2017; Vachon & del Giorgio, 2014). There were 10 typhoon events in our data set, all of which occurred in summer and autumn (Table 4). Although the strength and frequency of typhoons were different between summer and autumn (Table 4), our results showed that the vertical profile of DIC and water temperature reflected a weak stratification after all typhoons (Figures 3b, 3c, 3e, and 3f). Each typhoon event led to considerable precipitation and river discharge events relative to the annual precipitation, so the stratification became very weak after each typhoon (Figures 3e and 3f). Kimura et al. (2012) applied the lake number (von Robertson & Imberger, 1994,  $L_{\rm N}$ ) to quantify the vertical mixing in YYL, and suggested that the water column was wellmixed ( $L_N$  < 1) during typhoons and the winter. Wind shear and convective mixing play a large role in diurnal heat flux in lakes (Imberger, 1985). When typhoons mixed the water column, the heat flux from the air into the lake decreased dramatically in YYL (Kimura et al., 2014). Czikowsky et al. (2018) demonstrated that the duration of well-mixed conditions due to storms was around 1-2 days in Lake Pleasant, which resulted in a 50% decrease in heat flux compared with the strongly stratified period. Previous studies suggested that storm events may impact CO2 fluxes (Liu et al., 2016; Tonetta et al., 2017; Vachon & del Giorgio, 2014). CO<sub>2</sub> emissions across the air-water interface due to seasonal cooling-induced mixing are larger than those due to storm-induced mixing (Czikowsky et al., 2018). Our analysis revealed that CO<sub>2</sub> emissions peaked in autumn because the water column is less stratified then. These suggest that ty-

<b>Table 3</b> $CO_2$ flux in YYL From July 2011 to December 2017 (not Including 2,012 and 2,013)				
Variables and unit	Spring	Summer	Autumn	Winter
N	12	18	12	12
WT (°C)	$15.59 \pm 2.96$	$20.58 \pm 1.87$	$15.72 \pm 2.18$	$11.05 \pm 1.92$
$k_{CO2}$ (m s <sup>-1</sup> )	$2.23 \pm 0.55$	$2.42 \pm 0.33$	$2.35 \pm 0.26$	$1.85 \pm 0.42$
pН	$6.10 \pm 0.84$	$5.78 \pm 0.85$	$6.05 \pm 0.58$	$6.25 \pm 0.66$
DIC (mg L <sup>-1</sup> )	$2.44 \pm 0.54$	$2.31 \pm 1.32$	$2.56 \pm 1.70$	$2.20 \pm 0.43$
$pCO2_{air}$ (µatm)	$331.6 \pm 1.30$	$331.6 \pm 1.05$	$332.4 \pm 0.79$	$332.0 \pm 0.52$
pCO2 <sub>water</sub> (µatm)	$634.3 \pm 147.1$	$563.7 \pm 328.3$	$661.8 \pm 429.3$	$608.8 \pm 116.9$
$F_{CO2}$ (mg CO <sub>2</sub> m <sup>-2</sup> d <sup>-1</sup> )	$167.3 \pm 70.1$	$131.2 \pm 186.2$	$207.4 \pm 290.8$	$139.9 \pm 62.9$
$F_C \text{ (mg C m}^{-3} \text{ d}^{-1})$	$28.49 \pm 11.94$	22.35 ± 31.71	$35.32 \pm 49.53$	$23.83 \pm 10.71$
<i>Note. Fc</i> is CO <sub>2</sub> emission.	_			

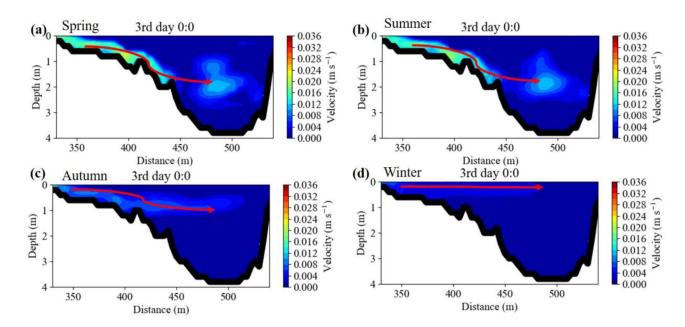
LIN ET AL. 12 of 17



**Figure 9.** Net ecosystem production (NEP) in Yuan-Yang Lake (YYL). C is absorbed when NEP > 0 and C is released when NEP < 0. White bars represent NEP in the upper layer (0.04–2.5 m water depth), black bars represent NEP in the lower layer (2.5–4.0 m water depth), and gray bars represent the whole-lake mean NEP.

phoons may facilitate the vertical mixing of the lake. Consequently, it is necessary to consider the long-term effects of typhoons on  $CO_2$  emissions, even to analyze seasonal changes in  $CO_2$  emission.

 $CO_2$  emissions in YYL were confirmed to be similar to other shallow temperate lakes that ranged from 131.2 to 207.4 mg  $CO_2$  m<sup>-2</sup> d<sup>-1</sup> (Aufdenkampe et al., 2011; Raymond et al., 2013). In particular, mean  $F_{CO2}$  was lowest in summer because of the strong stratification (Figures 3b and 6b, and Table 3). Our results showed that mean  $F_{CO2}$  was highest in autumn (207.4 mg  $CO_2$  m<sup>-2</sup> d<sup>-1</sup>) due to the supply of DIC from the lower to the upper layer under conditions of weak stratification (Figures 3c and 5c). The large standard deviation of DIC from summer to autumn was associated with a large standard deviation in  $F_{CO2}$  due to high-frequency typhoons (Tables 4). Therefore, we suggest that both extensive loading of allochthonous C from the



**Figure 10.** Vertical distribution of horizontal velocities on day 3 (00:00 a.m.) in (a) spring, (b) summer, (c) autumn, and (d) winter. The horizontal coordinate shows the distance from the northernmost point of Yuan-Yang Lake (YYL) (m); the vertical coordinate shows the depth (m) from the water surface to the bottom. Contour shows the horizontal velocity (m s<sup>-1</sup>).

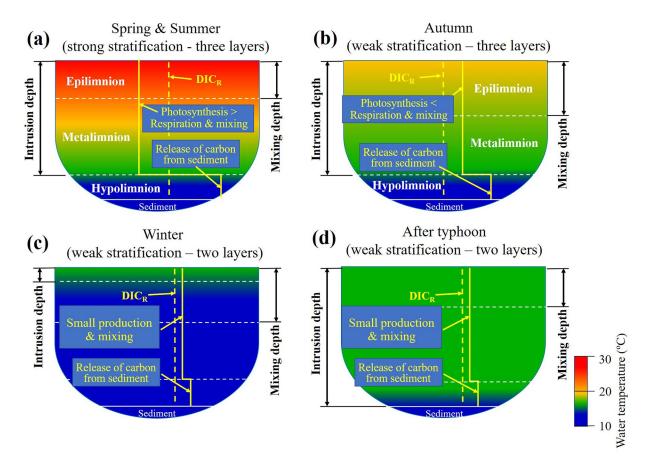
LIN ET AL. 13 of 17



Table 4
Characteristics of Precipitation and Wind Speed of Summer and Autumn Typhoons From 2004 to 2017

Typhoon name	Date	Sampling date	Total precipitation (mm)	Maximum daily precipitation (mm)	Maximum wind speed (m s <sup>-1</sup> )	Ranking
Summer typhoons (Tot	al 56 typhoon events)					
201513SOUDELOR	August 7-9, 2015	-	355.5	304.0	49.7	3rd/81
200708SEPAT	August 16-19, 2007	August 22-24, 2007	266.2	129.6	27.8	16th/81
200417AERE	August 23–26, 2004	August 31, 2004	283.9	232	34.1	4th/81
200509MATSA	August 3-6, 2005	August 9, 2005	227.4	127	31.2	18th/81
200505HAITANG	July 16-20, 2005	July 20, 2005	248.7	120.5	36.8	20th/81
Autumn typhoons (Total 25 typhoon events)						
200813SINLAKU	September 12–15, 2008	September 20, 2008	552	355.5	44.7	2nd/81
201013MEGI	October 21-23, 2010	October 25, 2010	501.9	356.5	16.6	1st/81
200513TALIM	September 1, 2005	September 5, 2005	149	149	39.5	13th/81
200712WIPHA	September 17–18, 2007	September 20, 2007	85.3	59.5	16.3	33rd/81

*Note.* The data come from the typhoon database of the Central Weather Bureau in Taiwan. The meteorological station is located in Yilan CWBT station (24°76′39″ N, 121°75′65″ E). The ranking uses maximum daily precipitation of typhoons events. 201513SOUDELOR was the best ranking in summer.



**Figure 11.** Schematic diagrams of stratification and  $DIC_R$  in (a) spring and summer, (b) autumn, (c) winter, and (d) after typhoons. The horizontal white-dash lines represent the thermocline, the vertical yellow lines represent the  $DIC_L$ , and the vertical yellow-dash lines represent  $DIC_R$ .

LIN ET AL. 14 of 17



surrounding forest and storm events seasonally influence  $CO_2$  emissions from shallow lakes (Chiu et al., 2020; Hope et al., 2004; Shade et al., 2010; Sobek et al., 2003; Tsai et al., 2008).

### 5. Conclusions

We developed a NEP model by successfully including the air-water CO<sub>2</sub> gas exchange and the stratification effect. The residence time was confirmed to be vital information for estimating NEP in a subtropical lake. We categorized the seasonal pattern of stratification in a shallow subtropical lake (summarized in Figure 11). Stratification is most intense in spring and summer, forming an apparent three-layer system. Although hypolimnion DIC is very high due to the release of sediments from the lake bottom, strong stratification inhibits the vertical mixing of hypolimnion DIC into the epilimnion. As a result, DIC in the upper layer tends to be lower due to photosynthesis. Although mean air temperatures in autumn were almost the same as in spring, stratification was weaker due to vertical mixing by typhoons. DIC tended to be uniform from the lower to the upper layer. In contrast, the water column is weakly stratified in winter, and river flow intrudes in a layer adjacent to the water surface. Foggy conditions reduced solar radiation in winter to less than about  $150 \text{ J m}^{-2}$ , low water temperatures and light limitation affect ecosystem production, and cold and dark conditions are expected to lead to little biological activity. Therefore, it is critical to factor in the effects of stratification when estimating the DIC and NEP dynamics in a lake. In general, stratification formation depends not only on river inflow, but also wind stress, lake size, and water depth. All these factors affect the generation of upwelling and internal waves, such as found with the Coriolis effect. Consequently, we suggest that future studies include a detailed three-dimensional numerical model to improve the accuracy of CO2 gas exchange estimations in lakes.

## **Data Availability Statement**

The executable binary (Windows, Mac, Linux) for the three-dimensional hydrodynamic model, Fantom, used in this study is available from http://www.comp.tmu.ac.jp/shintani/fantom.html. The model outputs are available from https://doi.org/10.5281/zenodo.3900032, "The model outputs."

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LIN ET AL. 17 of 17