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Effects of ultrasound, radial extracorporeal shock wave, and electrical stimulation on rat bone defect healing

Short title: Effects of Physical Agents on bone defect healing

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1 Abstract

2 Fractures associated with osteoporosis are major public health concerns. Current treatments for fractures are limited to surgery or fixation, leading to long-term bedrest which is linked 3 to increased mortality. Alternatively, utilization of physical agents has been suggested as a 4 5 promising therapeutic approach for fractures. Here, we examined the effects of ultrasound, radial extracorporeal shock waves, and electrical stimulation on normal or osteoporotic 6 7 fracture healing. Femoral bone defects were created in normal or ovariectomized rats. Rats 8 were divided into four groups: untreated, and treated with ultrasound, shock wave, or 9 electrical stimulation after surgery. Samples were collected at 2 or 4 weeks after surgery, and the healing process was evaluated with micro-CT, histological, and immunohistochemical 10 analyses. Ultrasound at intensities of 0.5 and 1.0 W/cm², but not 0.05 W/cm², accelerated the 11 new bone formation. Shock wave exposure also increased the newly formed bone, but 12 13 formed the abnormal periosteal callus around the defect site. Conversely, electrical stimulation did not affect the healing process. Ultrasound exposure increased osteoblast 14 activity and cell proliferation and decreased sclerostin-positive osteocytes. We demonstrated 15 16 that higher intensity ultrasound and radial extracorporeal shock wave accelerate fracture 17 healing, but shock wave treatment may increase risk of periosteal callus formation.

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Keywords Ultrasound; Radial extracorporeal shock wave; Electrical stimulation; Fracture
healing

21 Introduction

Osteoporosis is characterized by low bone mass and poor bone microarchitecture, leading to a higher fracture susceptibility.¹ Current treatments for fractures involve surgery or fixation, resulting in prolonged bedrest; however, the long-term bedrest following fractures is linked to increased incidences of pulmonary embolism and heart failure,^{2,3} and even mortality.⁴ Therefore, novel treatment strategies are needed to accelerate fracture healing. We believe that one such promising treatment might be physical agents: ultrasound, radial extracorporeal shock wave, and electrical stimulation.

Among of the physical agents, low-intensity pulsed ultrasound (LIPUS) is the most widely prescribed for fracture healing.⁵ However, accumulating recent evidence has shown the lack of LIPUS efficacy,^{6–8} justifying the search for more effective physical agents and for optimal stimulation parameters to maximize the potential of physical agents for accelerating fracture healing.

To our current knowledge, no *in vivo* studies have investigated the biological effects 34 of different physical agents on fracture healing. Furthermore, although it has been generally 35 accepted that postmenopausal estrogen deficiency affects fracture healing process,^{9–11} most 36 in vivo studies of physical agents have focused on a single stimulation intensity or animal 37 model.^{12–22} We hypothesized that physical agents accelerate fracture healing and the 38 39 responses to the physical agents can differ by its type and stimulation intensity. Hence, the 40 present study aimed to verify the effects of three physical agents (ultrasound, radial extracorporeal shock waves, and electrical stimulation) with various intensities on fracture 41 healing processes in normal or osteoporotic rats. The goal of our study was to develop novel 42 and more effective therapeutic approaches for fractures utilizing physical agents, as an 43 44 alternative to conventional treatments.

45

46 Materials and Methods

47 *Experimental design and animal care*

The protocols for the experiments were approved by our institutional animal care and use committee and according to the Kobe University Animal Experimentation Regulations (approval number: P160607). Male (n = 56, 5-6 months old, 500-600 g) and female (n = 36, 5-6 months old, 250-350 g) Wistar retired breeder rats were purchased from Japan SLC (Shizuoka, Japan). The animals were housed in pairs in polycarbonate cages with bedding and were maintained under artificial conditions at a constant temperature of 22 ± 1 °C with constant humidity of 55% ± 5% and a 12-hour light-dark cycle. They were allowed free

access to standard food and water 24 hours a day.

We investigated the effects of the physical agents on fracture repair in two 56 57 experiments (normal and osteoporotic fracture models). In the study of the normal fracture 58 healing, male rats were anesthetized by intraperitoneal administration of 40 mg/kg sodium pentobarbital, and bone defects 1.2 mm in diameter was created in mid-diaphysis region of 59 the bilateral femur as a reproducible and stable model of bone healing.^{23–25} The defects 60 61 penetrated the cortex to the medullary cavity but did not penetrate the opposite cortex. Male rats were chosen to avoid the effects of estrogen on bone turnover.⁹ Then, the animals were 62 randomly divided into four groups: untreated after the bone defect creation (BD group) and 63 treated with ultrasound (BD + US group), radial extracorporeal shock wave (BD + rESW) 64 group), or electrical stimulation (BD + ES group) after surgery (Fig. 1A). The physical agent 65 treatments for 1 week or 2 weeks with different stimulation intensity (0.05, 0.5, or 1.0 66 W/cm² for US group; 1, 2, or 4 bar for rESW group; 8 or 16 mA for ES group) were started 67 one day after surgery. 68

In the study of the osteoporotic fracture repair, female rats received bilateral
 ovariectomy to simulate postmenopausal osteoporosis. After 8 weeks of ovariectomy, bone

71 defects 1.2 mm in diameter and 2.5 mm deep were created in the metaphysis of the bilateral femurs (about 2 mm from the growth plate) of the rats as previously described.^{26,27} Then, the 72 animals were randomly divided into four groups: untreated after the bone defect creation 73 (OVX-BD group) and treated with ultrasound (OVX-BD + US group), radial extracorporeal 74 75 shock wave (OVX-BD + rESW group), or electrical stimulation (OVX-BD + ES group) after surgery (Fig. 1B). Starting from one day after the bone defect creation, the rats were treated 76 for 4 weeks with each physical agent with different stimulation intensity: 0.05, 0.5, or 1.0 77 78 W/cm² for US group; 1, 2, or 3 bar for rESW group; 8 or 16 mA for ES group. All animals were euthanized by exsanguination under general anesthesia and 79 80 analgesia at the end of the experimental period. For the BD groups, the bilateral femurs were harvested at 1 week for histological analyses (n = 3 limbs from 3 rats per group) or 2 weeks 81 for micro-computed tomography (μ CT) and histological analyses (n = 4 limbs from 4 rats 82 83 per group). We used the left femurs for μ CT analyses and the right femurs for histological analyses. The histological and biomechanical changes were assessed only in the BD and BD 84 + US groups. For the OVX-BD group, the bilateral femurs were harvested at 12 weeks, and 85 we used the left femurs for μ CT and biomechanical analyses (n = 4 limbs from 4 rats per 86 87 group) and the right femurs for histological analyses (n = 4 limbs from 4 rats per group).

88

89 *Ultrasound*

The animals received daily 20-min ultrasound exposure for 1 week or 2 weeks (BD + US group) or 4 weeks (OVX-BD + US group) after the bone defect creation. Bilateral hindlimbs of each rat were shaved and the ultrasound gel was applied. A plane circular transducer, 3.7 cm in diameter, with ultrasound device (SONICCTIZER, MINATO Medical Science Co., Ltd., Osaka, Japan) was then positioned over the experimental wound of each hindlimb, while the animals were under general anesthesia. The device work at 20% duty cycle from 1 kHz of a pulse repetition frequency and generates a sine wave at 1.0 MHz with the LIPUS intensity (spatial-averaged temporal-averaged intensity $[I_{SATA}] = 0.05 \text{ W/cm}^2$) or the higher intensity than LIPUS which minimizes thermal effects ($I_{SATA} = 0.5 \text{ or } 1.0 \text{ W/cm}^2$).²⁸

99

100 Radial extracorporeal shock wave

The rats were treated with radial extracorporeal shock wave only once (BD + rESW group)101 or four times of one weekly session (OVX-BD + rESW group). Both hind legs of the rats 102 were shaved, and a 15 mm-diameter probe was used and positioned over the experimental 103 wound of each hindlimb which applied an ultrasonic gel, while the animals were under 104 general anesthesia. The probe was connected to the radial shock wave device (Physio-105 ShockMaster, SAKAI Medical Co., Ltd., Tokyo, Japan) and each femur was exposed to 106 107 radial pressure waves which consisted in a total of 2,000 shock waves per one session, at 5 108 Hz with three different intensities of 1, 2, or 4 bar for the BD + rESW group or 1, 2, or 3 bar for the OVX-BD + rESW group. 109

110

111 Electrical stimulation

The rats received electrical stimulation daily for 10 min per day, for 2 weeks (BD + ES group) or 4 weeks (OVX-BD + ES group) after the bone defect creation. Both hind legs of the rats were shaved, and the rats were anesthetized. The bilateral quadriceps were then electrically stimulated by paired gold surface electrodes 7 mm in diameter. The electrodes were connected to an electrical stimulator (ASPIA TS-1000; Nihon Medix, Chiba, Japan) to transmit a square pulse at a frequency of 10 Hz and a rest-insertion period of 1 s contraction followed by 4 s rest with two different intensities of 8 or 16 mA.

119

120 *Micro-computed tomography* (μCT)

121	Cross-sectional scans were made at the drilled sites in each femur sample using micro three-
122	dimensional (3D) X-ray CT system (R_mCT2; Rigaku, Tokyo, Japan) with an isotropic
123	voxel resolution of 20 μm was employed at a voltage 90 kV and current 160 $\mu A.$ The
124	scanned data were reconstructed by image analysis software (TRI/3D-BON; Ratoc, Tokyo,
125	Japan). For the quantification of the newly formed bone, the regions of interest (ROI) with a
126	cube $(750 \times 750 \times 750 \ \mu\text{m}^3)$ were placed into the central bone defect area. Thresholds value
127	of 690 HA/mg ³ for the diaphyseal defects in the BD groups to define cortical bone ²⁹ or 184
128	HA/mg ³ for the metaphyseal defects in the OVX-BD groups to define total bone including
129	cortical and trabecular bone ³⁰ were used to define the newly formed bone which
130	characterized by bone volume fraction (BV/TV), trabecular number (Tb.N), trabecular
131	thickness (Tb.Th), and trabecular separation (Tb.Sp).
132	
133	Biomechanical testing
134	The mechanical properties of the bone defect sites were assessed by an indentation test as
135	previously described. ³¹ The femurs were placed on the base fixed in a mechanical testing

136 machine (AUTOGRAPH, Shimadzu, Kyoto, Japan). A cylindrical indenter of 1.0 mm in diameter was applied to the center of the bone defect at a constant displacement velocity of 1 137 mm/min. The indenter was allowed to penetrate the medullary cavity. The maximum load 138 was obtained from the load-deflection curve and determined as the strength of the newly 139 formed bone in the defect area. The biomechanical test in the OVX-BD + rESW group could 140 not be carried out because of the difficulty of visual confirmation of the metaphyseal defect 141 142 site due to the presence of diffuse fracture callus around the defects.

143

Histology 144

Histological preparation 145

Non-demineralized frozen sections were prepared according to the method described by Kawamoto.³² Briefly, the femur was freeze-embedded with super cryoembeding medium (SCEM, Leica Microsystems, Tokyo, Japan) in isopentane at -75 °C. Cross-sections of the femur in the coronal plane (5 μ m thick) were cut from each sample and were then used for histological or immunohistochemical analyses.

151

152 Histological analysis

For general histological studies, frozen sections were stained with von Kossa, safranin O/fast 153 greens, alkaline phosphatase (ALP), or tartrate-resistant acid phosphatase (TRAP) 154 (TRAP/ALP stain kit[®]; FUJIFILM Wako Pure Chemical, Tokyo, Japan), according to the 155 manufacturers' instructions. For histomorphometric analysis of ALP and TRAP staining, 156 two random field of view per sample were randomly taken from the bone defect regions with 157 a light microscope (BX53; Olympus, Tokyo, Japan) and a camera (DP73; Olympus) at a 158 magnification of 20X. Osteoblast surface was measured manually using Image J 1.50 159 (National Institutes of Health, Bethesda, MD, USA) as the total length of ALP-positive 160 surface divided by bone surface. Osteoclast surface was similarly analyzed following TRAP 161 staining. 162

163

164 Immunohistochemistry

165 Following the protocols in our laboratory,³³ the tissue sections were immunostained using

against sclerostin (diluted 1:800; AF1589, R&D Systems, Minneapolis, MN, USA) or

- 167 proliferating cell nuclear antigen (PCNA; 1:1500, D3H8P, Cell Signaling Technology,
- 168 Danvers, MA, UA). Immunoreactivity was visualized with diaminobenzidine
- 169 tetrahydrochloride reagent (ImmPACTTM DAB peroxidase substrate kit, SK-410, Vector
- 170 Lab., Burlingame, CA, USA). Then, the sections were counterstained Mayer's hematoxylin

for sclerostin or hematoxylin for PCNA. The immunolabeled sections were captured with the
light microscope (BX-53; Olympus) and the camera (DP73; Olympus) at a magnification of
20X. For sclerostin, the number of sclerostin-positive and total osteocytes were manually
counted in two random regions of the cortical bone around the bone defect area per sample.
For PCNA, the number of immune-positive cells was manually counted in one random fields
of view in the bone defect regions per sample.

177

178 Statistical analysis

Statistical analyses were conducted with EZR (Saitama Medical Center, Jichi Medical 179 University, Saitama, Japan), which is a graphical user inter face for R (The R Foundation for 180 Statistical Computing, Vienna, Austria).³⁴ First, all data were checked for normality with the 181 Shapiro-Wilk test. Normality was observed in all analyses, and the results were compared 182 among groups with the one-way ANOVA test followed by the Tukey HSD test. All values 183 are presented here as mean \pm standard deviation (SD). P values less than 0.05 were 184 considered significant. A post hoc power analysis was used to confirm that sufficient number 185 186 of animals had been used.

187

188 **Results**

189 Morphologic changes in diaphyseal defect

190 3D reconstructions of the newly formed bone in the diaphyseal defects showed that the rats

191 treated with ultrasound at 0.5 and 1.0 W/cm^2 had more new bone than untreated rats (Fig.

- 192 2A). This was confirmed by increased BV/TV and Tb.N in the 0.5 and 1.0 W/cm² US-
- treated groups compared to the BD group (P < 0.05, power = 1.00) (Fig. 2B and
- 194 Supplementary Table 1). Furthermore, representative histological evidence of bone
- mineralization supported the findings obtained by μ CT analysis (Fig. 2C). The newly formed

bone across the cortical gap was thicker and denser in the 0.5 and 1.0 W/cm² US-treated
groups compared to the untreated group.

198

199 Morphologic changes in metaphyseal defect

Representative images in the femoral metaphyseal defect sites revealed that the defects in the 200 OVX-BD + US and rESW groups, except for the US group at 0.05 W/cm², were filled with 201 the newly formed bone compared to the OVX-BD group (Fig. 3A). Von Kossa staining 202 showed that these groups had the more abundant bone in the defect sites than the OVX-BD 203 group (Fig. 3B). Quantitative measurements of the newly formed bone with µCT analyses 204 revealed that BV/TV increased in the rats treated with ultrasound at 1.0 W/cm² or 205 extracorporeal shock wave at 1, 2, and 3 bar when compared to the untreated rats (P < 0.05, 206 power = 1.00) (Fig. 3C). Furthermore, in the 1.0 W/cm² US-treated group, BV/TV was 207 larger than in the 0.05 W/cm² US-treated group (P < 0.05). These findings were reinforced 208 by the other structural parameters by µCT analyses (Supplementary Table 2). On the other 209 hand, in the rats that received radial extracorporeal shock wave, the periosteal callus was 210 211 observed near the defect site in the 3D images by µCT (Fig. 3A), histological sections (Fig. 3B and 3D, left), and macroscopic observation (Fig. 3D, right). 212

213

214 Biomechanical properties

The biomechanical strength of the new bone in the defect sites which was determined by the maximum load showed no differences between the untreated and treated groups both in the normal and osteoporotic rats.

218

219 Osteoblast and osteoclast activity

220	In the diaphyseal defects, ALP-positive regions increased in the 0.5 and 1.0 W/cm^2 US-
221	treated groups compared to the untreated ($P < 0.05$, power = 0.96) (Fig. 4A, top and B).
222	TRAP staining revealed the localization of osteoclast in the diaphyseal defects at 7 days after
223	surgery (Fig. 4A, bottom). There was no significant change in the percentage of osteoclast
224	surface among all groups (power = 0.95) (Fig. 4C).
225	In the metaphyseal defects, ALP-stained bone surfaces in the OVX-BD + $rESW$ and
226	US at 1.0 W/cm ² were higher than OVX-BD group (P < 0.05, power = 1.00) (Fig. 5A and
227	B). TRAP-positive regions were observed in all groups (Fig. 5C), but there were no
228	significant differences in the percentage of osteoclast surface among all groups (power =
220	1.00 (E ~ 5 D)
229	1.00) (Fig. 5D).
229	1.00) (Fig. 5D).
	Immunohistochemical pattern of sclerostin and PCNA
230	
230 231	Immunohistochemical pattern of sclerostin and PCNA
230 231 232	<i>Immunohistochemical pattern of sclerostin and PCNA</i> The sclerostin-positive osteocytes around the defect area were tended to decreased in the 1.0
230231232233	<i>Immunohistochemical pattern of sclerostin and PCNA</i> The sclerostin-positive osteocytes around the defect area were tended to decreased in the 1.0 W/cm^2 US-treated group (versus untreated, $P = 0.09$, power = 0.96) (Fig. 6A, top and Table
 230 231 232 233 234 	<i>Immunohistochemical pattern of sclerostin and PCNA</i> The sclerostin-positive osteocytes around the defect area were tended to decreased in the 1.0 W/cm^2 US-treated group (versus untreated, $P = 0.09$, power = 0.96) (Fig. 6A, top and Table 2). In the metaphyseal defects, the percentage of sclerostin-positive osteocytes decreased in

distributed in the bone marrow at the defect area when compared to the untreated group at 7 days after the surgery (Fig. 6, bottom). The number of PCNA-positive cells increased in the 0.5 and 1.0 W/cm² US-treated group (P < 0.05, power = 0.97) (Table 2).

241

242 Discussion

This study investigated the effects of ultrasound, radial extracorporeal shock wave, and
electrical stimulation on normal or osteoporotic fracture healing in rat bone defect models.

As a result, ultrasound at higher intensity (0.5 and 1.0 W/cm²) accelerated normal fracture healing, but not radial extracorporeal shock wave and electrical stimulation. We found that high intensity ultrasound exposure increased cell proliferation and osteoblast activity at the healing site. The results in the osteoporotic fracture model showed that ultrasound at higher intensity (1.0 W/cm²) and radial extracorporeal shock wave accelerate fracture healing under estrogen-deficient conditions. However, we also found that shock wave treatment may increase risk of the abnormal periosteal callus formation.

Based on the μ CT analyses, ultrasound at intensity 0.05 W/cm² did not affect the 252 new bone formation in the bone defect both in the normal and osteoporotic rats. This accords 253 with previous clinical^{7,8} and animal reports,^{35–37} showing no stimulatory effect of LIPUS at 254 intensity less than 0.1 W/cm² on fracture healing processes. Meanwhile, ultrasound at higher 255 intensity (0.5 or 1.0 W/cm²) than LIPUS accelerated bone formation at the bone defect site 256 both in the normal and osteoporotic rats. These are similar to the report that ultrasound at 257 intensity 0.3 W/cm² accelerated bone formation in the bone defect, but not at intensity 0.1 258 W/cm².³⁵ Moreover, ultrasound exposure at various intensities ranging from 0.015 to 0.15 259 W/cm^{2 38} or 0.005 to 0.1 W/cm^{2 39} improved estrogen-deficient bone loss in an intensity-260 dependent manner. In line with these previous findings, our results indicate that ultrasound 261 exposure at higher intensity than LIPUS enhances bone formation both in normal and 262 osteoporotic fracture healing. Although high intensity ultrasound can induce some side 263 effects such as skin necrosis at 2.5 W/cm^{2 40} and osteonecrosis with increased bone 264 resorption at 2.2 W/cm^{2 41}, the rats treated with ultrasound at 0.5 and 1.0 W/cm² had no 265 gross, physical, or histological abnormalities. Consequently, these findings suggest the 266 possibility that higher intensity ultrasound than LIPUS is a promising noninvasive treatment 267 for fracture healing. 268

Consistent with previous reports showing the effectiveness of focused 269 extracorporeal shock wave in fracture healing,^{15,16,42,43} radial extracorporeal shock wave 270 increased the newly formed bone in the osteoporotic fracture model. Furthermore, the shock 271 wave at all three intensities (1, 2, and 3 bar) increased the newly formed bone, indicating that 272 273 this treatment can accelerate osteoporotic fracture healing, irrespective of the stimulation intensity. Although radial shock waves have the advantage of being lower energy and less 274 pain for patients than focused shock waves, in this study, its exposure also induced the 275 diffuse callus formation around the bone defect. In the metaphyseal defect model, a small 276 amount of the periosteal callus is observed during the healing process, and its formation 277 peaks at day 14 and is completely resorbed at day 28 to 35 after the defect creation.⁴⁴ 278 Meanwhile, the callus induced by the shock wave still remained in the defect site at 4 weeks 279 after surgery and was greater than that of the untreated rats, implying the abnormal bone 280 281 healing process. Taken together, these findings indicate that radial extracorporeal shock wave in one weekly session accelerate osteoporotic fracture healing, but its treatment may 282 potentially increase the abnormal callus formation. 283

Ultrasound and radial extracorporeal shock wave accelerated fracture healing, while 284 muscle contraction induced by electrical stimulation did not affect the new bone formation in 285 both normal and osteoporotic fractures. This is inconsistent with a previous animal study 286 showing that the electrically-induced muscular contraction enhances fracture healing in 287 rabbits.²² Although the defect area is filled with the hematoma and fibrous tissue rapidly 288 after surgery,²⁴ the bone defect may be less likely to respond to longitudinal stress induced 289 290 by muscular contraction than the transverse fracture. Thus, muscle contraction induced by electrical stimulation may be insufficient to affect bone defect healing. 291

We evaluated the mechanical strength of the newly formed bone at the defect sites using the indentation test, which has been widely used in measuring the biomechanical properties of bone in different experimental conditions.³¹ As a consequence, ultrasound exposure at higher intensity had no effect on maximum load in normal and osteoporotic rats, despite increased new bone mass at the defect site. The bone strength is determined not only by the quantity of bone tissue but also by its quality, which is characterized by the trabecular microarchitecture, the mineral and collagen, and the shape of bones.⁴⁵ Whether ultrasound exposure affects the bone quality is unclear in this study, but our results indicate that its exposure for 2 or 4 weeks does not affect the bone strength at the healing site.

Bone defect healing occurs mainly through intramembranous ossification via direct 301 differentiation of osteoblasts from mesenchymal cells in the initial phase of the healing 302 process.²⁴ Ultrasound at 0.5 and 1.0 W/cm² increased ALP activity, a differentiation marker 303 of osteoblasts,⁴⁶ in the normal fracture model at the initial phase of defect healing (7 days 304 after surgery). In the osteoporotic rats, ultrasound at 1.0 W/cm² and radial extracorporeal 305 shock waves at 1 and 3 bar also enhanced its activity at the later phase (4 weeks after 306 surgery), implying that these treatments could activate osteoblasts both in the initial and later 307 phases of healing processes. In addition, high intensity ultrasound and shock wave were 308 309 tended to decrease sclerostin-positive osteocytes, paralleled by increased osteoblast activity. Sclerostin inhibits the osteoblast differentiation and activity by antagonizing Wnt/ β catenin 310 signaling.⁴⁷ Additionally, sclerostin deficient mice enhances intramembranous ossification of 311 bone defects by increasing the β -catenin expression and osteoblast number.⁴⁸ Thus, these 312 313 findings suggest that higher intensity ultrasound and radial extracorporeal shock wave activate osteoblasts, at least in part, via downregulation of sclerostin in osteocytes, thereby 314 accelerating bone healing. 315

Cell proliferation is essential for fracture healing processes, particularly in the early stages of the healing.^{49,50} Consistent with the previous reports,^{14,51–53} ultrasound at intensity 0.05 W/cm² did not affect the number of PCNA-positive cells, a marker of cell proliferation⁵⁴, at the early phase of defect healing (7 days after surgery). In contrast, ultrasound at 0.5 and 1.0 W/cm² enhanced cell proliferation at the same time point, as indicated by increased PCNA-positive cells at the bone defect site. This corresponds to the report that high magnitude strain in the physiological range stimulates cell proliferation of bone marrow stromal cells.⁵⁵ Collectively, these findings suggest that higher intensity ultrasound enhanced cell proliferation, in addition to osteoblast differentiation, leading to accelerated fracture healing.

This study had several limitations. The differences in sex, age, and the defect site 326 make it difficult to compare the results between normal and osteoporotic rats. Therefore, we 327 cannot conclude from the present study whether the response of physical agents differed 328 with or without estrogen. In addition, we could not examine the effects of physical agents on 329 endochondral ossification. The bone defect model has been used in many studies of fracture 330 healing as a reproducible and stable model.^{23–27} This model is healed only by 331 intramembranous ossification,⁵⁶ while transverse fracture healing in humans occurs through 332 not only intramembranous but also endochondral ossification.⁵⁷ Our study showed that the 333 physical agents enhanced intramembranous ossification, but their stimulatory effect on 334 endochondral ossification cannot at present be answered. Therefore, further research should 335 explore the safety and efficacy of physical agents in clinical trials and animal studies with 336 transverse fractures. 337

In conclusion, we demonstrated that higher intensity ultrasound than LIPUS accelerates both normal and osteoporotic fracture healing. Our findings also showed that radial extracorporeal shock wave enhances osteoporotic fracture healing, but its treatment may increase risk of the abnormal periosteal callus formation. Future studies are needed to determine if our findings are clinically applicable. 343

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353

354 **Competing interests**

355 The authors declare no competing interests.

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511 **Figure legends**

512

513 healing is shown. The bilateral femurs were harvested at 1 week for histological analyses (n

Fig. 1 (A) A Diagram of the experimental design for the studies of the normal fracture

- 514 = 3 limbs from 3 rats per group) or 2 weeks for μ CT and histological analyses (n = 4 limbs
- from 4 rats per group) and biomechanical testing (n = 4 limbs from 2 rats per group). We
- used the right femurs for μ CT analyses and the left femurs for histological analyses. (**B**) A
- 517 Diagram of the experimental design for the studies of the osteoporotic fracture healing is
- shown. The bilateral femurs were harvested at 12 weeks, and we used the left femurs for
- 519 μ CT and biomechanical analyses (n = 4 limbs from 4 rats per group) and the right femurs for
- 520 histological analyses (n = 4 limbs from 4 rats per group). OVX = ovariectomy; BD = bone
- 521 defect; US = ultrasound; rESW = radial extracorporeal shock wave; ES = electrical
- 522 stimulation
- 523
- Fig. 2 Morphologic changes in the diaphyseal defect of normal rats after 2 weeks of the 524 525 defect creation. (A) Representative 3D images show the newly formed bone at the 526 diaphyseal defect site obtained by μ CT analysis. Scale bar = 500 μ m. (**B**) The graph shows quantification of the bone volume in the defect by μ CT analysis (n = 4 femurs per group). 527 Data are expressed as mean \pm SD. **P* < 0.05 vs. BD group; †*P* < 0.10 vs. BD + US at 0.05 528 W/cm^2 group. (C) Representative histological images in the defect area stained with von 529 Kossa are shown. Scale bars = $500 \mu m$. BD = bone defect; US = ultrasound; rESW = radial 530 extracorporeal shock wave; ES = electrical stimulation; BV/TV = bone volume/tissue 531 532 volume

533

Fig. 3 Morphologic changes in the metaphyseal defect of osteoporotic rats after 4 weeks of
the defect creation. (A) Representative 3D images show the metaphyseal defect site obtained

536	by μ CT analysis. Scale bar = 2 mm. (B) Representative histological images in the defect
537	stained with von Kossa are shown. Scale bars = 500 μ m. (C) The graph shows quantification
538	of the bone volume in the defect by μ CT analysis (n = 4 femurs per group). Data are
539	expressed as mean \pm SD. * <i>P</i> < 0.05 vs. OVX-BD group; † <i>P</i> < 0.05 vs. OVX-BD + US at
540	0.05 W/cm ² group. (D) Representative histological images in the distal femur stained with
541	safranin O/fast green (left) and macroscopic observations of the femur (right) are shown.
542	Arrowheads indicate the site of the defect creation. Scale bars = 1 mm (left) and 5 mm
543	(right). OVX = ovariectomy; BD = bone defect; US = ultrasound; rESW = radial
544	extracorporeal shock wave; ES = electrical stimulation; BV/TV = bone volume/tissue
545	volume
546	
547	Fig. 4 (A) Representative histological images in the diaphyseal defect sites of normal rats
548	after 1 week of the defect creation stained with ALP (top) and TRAP (bottom) are shown.
549	Scale bars = 100 μ m. (B) The graphs show quantification of ALP staining by osteoblast

surface per bone surface (C) and TRAP staining by osteoclast surface per bone surface (n =551 3 femurs per group). Data are expressed as mean \pm SD. **P* < 0.05 vs. BD group. BD = bone defect; US = ultrasound 552

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Fig. 5 (A) Representative histological images in the metaphyseal defect sites of osteoporotic 554 555 rats after 4 weeks of the defect creation stained with ALP are shown. Scale bars = $100 \,\mu m$. (B) The graph shows quantification of ALP staining by osteoblast surface per bone surface 556 (n = 4 femurs per group). (C) Representative histological images in the metaphyseal defect 557 sites stained with TRAP are shown. Scale bars = $100 \mu m$. (**D**) The graph shows 558 quantification of TRAP staining by osteoclast surface per bone surface (n = 4 femurs per 559 group). Data are expressed as mean \pm SD. **P* < 0.05 vs. OVX-BD group; †*P* < 0.05 vs. 560

561	OVX-BD + US at 0.05 W/cm ² group; $\ddagger P < 0.05$ vs. OVX-BD + US at 0.5 W/cm ² group.
562	OVX = ovariectomy; BD = bone defect; US = ultrasound; rESW = radial extracorporeal
563	shock wave; ES = electrical stimulation
564	
565	Fig. 6 Representative photomicrographs show the distribution of sclerostin in the cortical
566	bone around the diaphyseal defect area of normal rats after 2 weeks of the defect creation
567	(top). Representative photomicrographs show the distribution of PCNA in the diaphyseal
568	bone defect sites of normal rats after 1 week of the defect creation (bottom). Scale bars =
569	100 μ m. BD = bone defect; US = ultrasound

A	,	0 weeks	1 week	2 weeks	
	Untreated (n = 9)	<u> </u>	(n = 3)	(n = 2 for mech n = 4 for µCT	
BD	US-treate (n = 27)	d 🛆 —	(n = 9)	(n = 6 for mech n = 12 for µCT	nanical testing; and histology)
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	ES-treated (n = 8)	d Á	1	(n = 8 for µCT)	1
B	US treatm	-) Sample colle W treatment 8 weeks	ES trea ■ ES trea	tment 12 weeks
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Figure 1

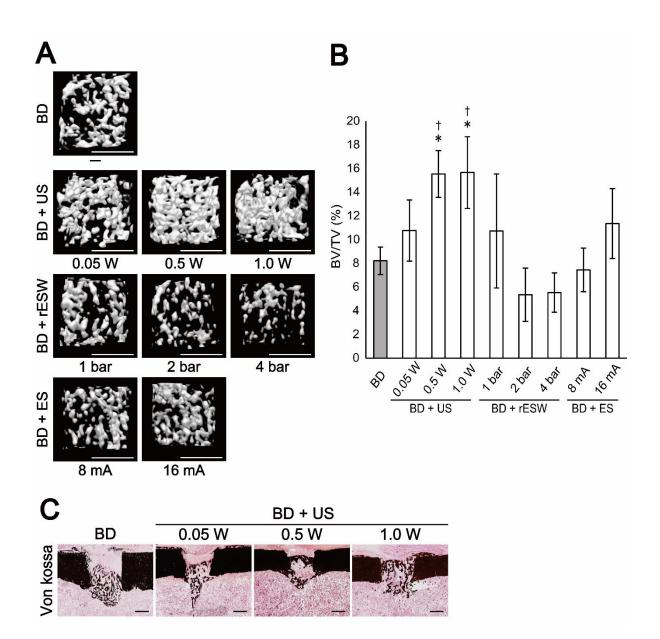


Figure 2

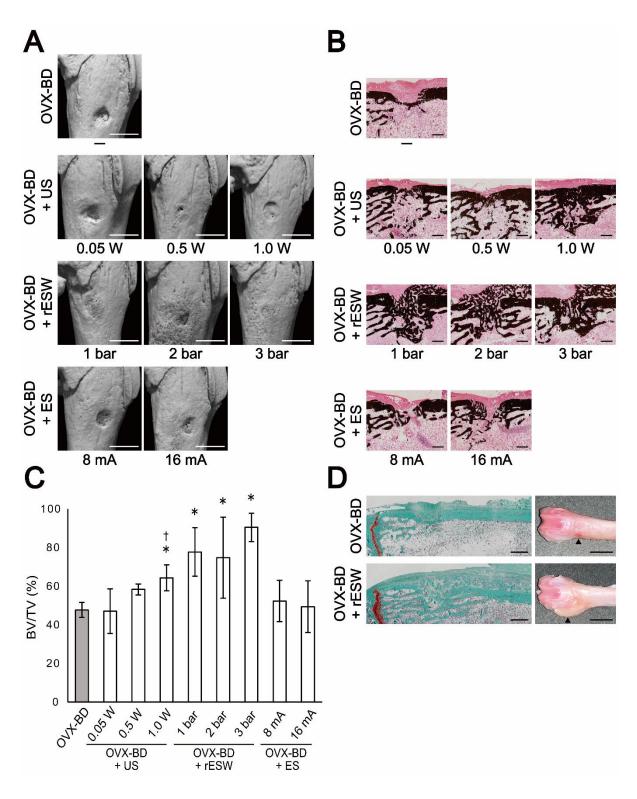


Figure 3

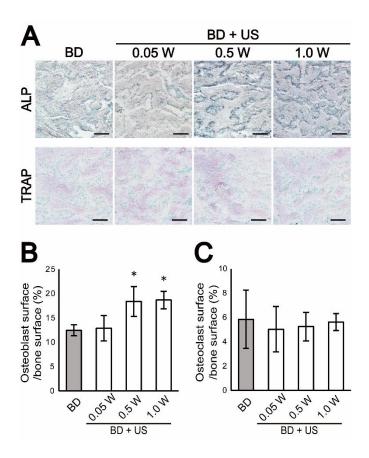


Figure 4

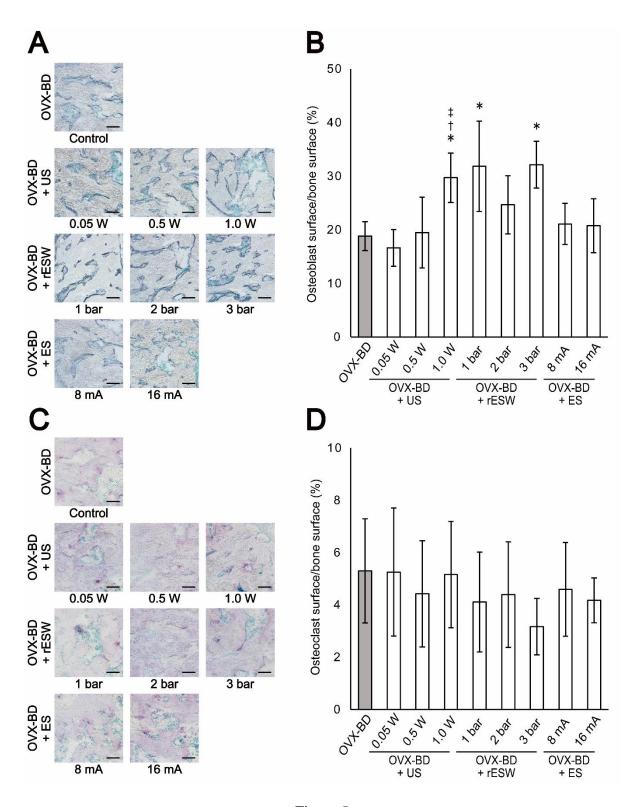


Figure 5

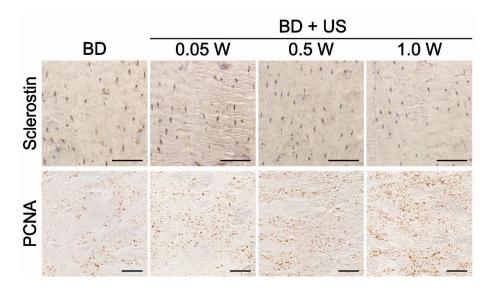


Figure 6

Table 1. Maximum load of newly formed bone in defect sites determined by mechanical testing.

Group	BD	BD + US			OVX-BD + US OVX-BD			OVX-BD + rESW			OVX-BD + ES		
Group		0.05 W/cm ²	0.5 W/cm ²	1.0 W/cm ²	OTA-DD	0.05 W/cm ²	0.5 W/cm ²	1.0 W/cm ²	1 bar	2 bar	3 bar	8 mA	16mA
Maximum load (N)	20.7 ± 5.4	34.9 ± 8.9	27.6 ± 15.0	33.2 ± 7.5	34.0 ± 6.3	31.1 ± 12.0	40.8 ± 9.2	45.2 ± 9.6	—	—	_	29.1 ± 10.6	25.2 ± 10.5
Data are expressed as mean \pm SD. OVX = ovariectomy; BD = bone defect; US = ultrasound; rESW = radial extracorporeal shock wave; ES = electrical													

stimulation

		BD + US				OVX-BD + US			OVX-BD + rESW			OVX-BD + ES	
Group	BD	0.05 W/cm ²	0.5 W/cm ²	1.0 W/cm ²	OVX-BD	0.05 W/cm ²	0.5 W/cm ²	1.0 W/cm ²	1 bar	2 bar	3 bar	8 mA	16mA
Sclerostin-positive osteocytes (%)	74.2 ± 10.6	68.8 ± 9.5	54.2 ± 19.0	47.1 ± 3.9**	60.4 ± 7.8	52.3 ± 11.6	41.7 ± 10.0	$37.4\pm6.8^{\dagger}$	$40.7\pm12.5^\dagger$	$36.8\pm4.8^\dagger$	$40.2 \pm 11.8^{\dagger}$	50.2 ± 12.6	48.9 ± 7.1
PCNA-positive cells (/10 ⁵ µm ²)	17.4 ± 4.1	23.9 ± 5.7	40.2 ± 10.4*	40.6 ± 2.7*	_	_	_	_	_	_	_	_	_

 Table 2. Quantification of immunohistochemistry for sclerostin and PCNA.

Data are expressed as mean \pm SD. **P* < 0.05 vs. BD group; ***P* < 0.10 vs. BD group; †*P* < 0.05 vs. OVX-BD group. OVX = ovariectomy; BD = bone defect;

US = ultrasound; rESW = radial extracorporeal shock wave; ES = electrical stimulation

Group	DD	BD + US				BD + rESW	BD + ES		
	BD	0.05 W/cm ²	0.5 W/cm ²	1.0 W/cm ²	1 bar	2 bar	4 bar	8 mA	16 mA
Tb.N (1/µm)	1.2 ± 0.4	1.7 ± 0.2	$2.0 \pm 0.4*$	1.8 ± 0.2	1.4 ± 0.7	1.0 ± 0.3	1.1 ± 0.3	1.5 ± 0.4	1.8 ± 0.3
Tb.Th (µm)	126.0 ± 46.9	103.8 ± 9.6	100.1 ± 22.2	84.6 ± 15.2	108.2 ± 28.9	121.1 ± 20.8	137.2 ± 9.5	109.4 ± 14.8	101.6 ± 4.0
Tb.Sp (µm)	46.9 ± 1.5	47.8 ± 3.2	50.7 ± 5.3	51.2 ± 4.2	45.9 ± 6.8	45.4 ± 2.1	44.1 ± 3.8	42.2 ± 0.3	45.4 ± 3.6

Supplementary Table 1. Trabecular microarchitecture of the diaphyseal defect in normal rats quantified by µCT.

Data are expressed as mean \pm SD. **P* < 0.05 vs. BD group. BD = bone defect; US = ultrasound; rESW = radial extracorporeal shock wave; ES = electrical

stimulation; Tb.N = trabecular bone number; Tb.Th = trabecular bone thickness; Tb.Sp = trabecular bone separation

Group	OVX-BD	OVX-BD + US			OVX-BD + rESW			OVX-BD + ES	
		0.05 W/cm ²	0.5 W/cm ²	1.0 W/cm ²	1 bar	2 bar	3 bar	8 mA	16 mA
Tb.N (1/µm)	3.5 ± 0.3	3.4 ± 0.3	$4.7\pm0.6^{*\dagger}$	$4.4\pm0.5^{*\dagger}$	4.0 ± 0.2	$4.4 \pm 0.6*$	4.2 ± 0.5	4.5 ± 1.0	4.1 ± 0.8
Tb.Th (µm)	126.7 ± 9.2	141.1 ± 35.6	125.9 ± 8.0	137.6 ± 14.8	196.1 ± 34.5*	170.3 ± 45.7	215.5 ± 18.2*	117.1 ± 19.2	125.0 ± 45.4
Tb.Sp (µm)	142.3 ± 21.1	159.1 ± 37.7	95.7 ± 26.6	108.4 ± 51.4	55.9 ± 31.3*	60.3 ± 52.7*	$23.7 \pm 20.0*$	112.2 ± 44.6	125.9 ± 41.9

Supplementary Table 2. Trabecular microarchitecture of the metaphyseal defect in osteoporotic rats quantified by µCT.

Data are expressed as mean \pm SD. **P* < 0.05 vs. OVX-BD group; †*P* < 0.05 vs. OVX-BD + US at 0.05 W/cm² group. OVX = ovariectomy; BD = bone defect;

US = ultrasound; rESW = radial extracorporeal shock wave; ES = electrical stimulation; Tb.N = trabecular bone number; Tb.Th = trabecular bone thickness; Tb.Sp = trabecular bone separation