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Effects of ultrasound, radial extracorporeal shock wave, and electrical stimulation on rat bone defect healing

Short title: Effects of Physical Agents on bone defect healing

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1 Abstract

2 Fractures associated with osteoporosis are major public health concerns. Current treatments
3 for fractures are limited to surgery or fixation, leading to long-term bedrest which is linked
4 to increased mortality. Alternatively, utilization of physical agents has been suggested as a
5 promising therapeutic approach for fractures. Here, we examined the effects of ultrasound,
6 radial extracorporeal shock waves, and electrical stimulation on normal or osteoporotic
7 fracture healing. Femoral bone defects were created in normal or ovariectomized rats. Rats
8 were divided into four groups: untreated, and treated with ultrasound, shock wave, or
9 electrical stimulation after surgery. Samples were collected at 2 or 4 weeks after surgery, and
10 the healing process was evaluated with micro-CT, histological, and immunohistochemical
11 analyses. Ultrasound at intensities of 0.5 and 1.0 W/cm², but not 0.05 W/cm², accelerated the
12 new bone formation. Shock wave exposure also increased the newly formed bone, but
13 formed the abnormal periosteal callus around the defect site. Conversely, electrical
14 stimulation did not affect the healing process. Ultrasound exposure increased osteoblast
15 activity and cell proliferation and decreased sclerostin-positive osteocytes. We demonstrated
16 that higher intensity ultrasound and radial extracorporeal shock wave accelerate fracture
17 healing, but shock wave treatment may increase risk of periosteal callus formation.

18

19 **Keywords** Ultrasound; Radial extracorporeal shock wave; Electrical stimulation; Fracture

20 healing

21 **Introduction**

22 Osteoporosis is characterized by low bone mass and poor bone microarchitecture, leading to
23 a higher fracture susceptibility.¹ Current treatments for fractures involve surgery or fixation,
24 resulting in prolonged bedrest; however, the long-term bedrest following fractures is linked
25 to increased incidences of pulmonary embolism and heart failure,^{2,3} and even mortality.⁴
26 Therefore, novel treatment strategies are needed to accelerate fracture healing. We believe
27 that one such promising treatment might be physical agents: ultrasound, radial extracorporeal
28 shock wave, and electrical stimulation.

29 Among of the physical agents, low-intensity pulsed ultrasound (LIPUS) is the most
30 widely prescribed for fracture healing.⁵ However, accumulating recent evidence has shown
31 the lack of LIPUS efficacy,⁶⁻⁸ justifying the search for more effective physical agents and for
32 optimal stimulation parameters to maximize the potential of physical agents for accelerating
33 fracture healing.

34 To our current knowledge, no *in vivo* studies have investigated the biological effects
35 of different physical agents on fracture healing. Furthermore, although it has been generally
36 accepted that postmenopausal estrogen deficiency affects fracture healing process,⁹⁻¹¹ most
37 *in vivo* studies of physical agents have focused on a single stimulation intensity or animal
38 model.¹²⁻²² We hypothesized that physical agents accelerate fracture healing and the
39 responses to the physical agents can differ by its type and stimulation intensity. Hence, the
40 present study aimed to verify the effects of three physical agents (ultrasound, radial
41 extracorporeal shock waves, and electrical stimulation) with various intensities on fracture
42 healing processes in normal or osteoporotic rats. The goal of our study was to develop novel
43 and more effective therapeutic approaches for fractures utilizing physical agents, as an
44 alternative to conventional treatments.

45

46 **Materials and Methods**

47 *Experimental design and animal care*

48 The protocols for the experiments were approved by our institutional animal care and use
49 committee and according to the Kobe University Animal Experimentation Regulations
50 (approval number: P160607). Male (n = 56, 5-6 months old, 500-600 g) and female (n = 36,
51 5-6 months old, 250-350 g) Wistar retired breeder rats were purchased from Japan SLC
52 (Shizuoka, Japan). The animals were housed in pairs in polycarbonate cages with bedding
53 and were maintained under artificial conditions at a constant temperature of 22 ± 1 °C with
54 constant humidity of $55\% \pm 5\%$ and a 12-hour light-dark cycle. They were allowed free
55 access to standard food and water 24 hours a day.

56 We investigated the effects of the physical agents on fracture repair in two
57 experiments (normal and osteoporotic fracture models). In the study of the normal fracture
58 healing, male rats were anesthetized by intraperitoneal administration of 40 mg/kg sodium
59 pentobarbital, and bone defects 1.2 mm in diameter was created in mid-diaphysis region of
60 the bilateral femur as a reproducible and stable model of bone healing.²³⁻²⁵ The defects
61 penetrated the cortex to the medullary cavity but did not penetrate the opposite cortex. Male
62 rats were chosen to avoid the effects of estrogen on bone turnover.⁹ Then, the animals were
63 randomly divided into four groups: untreated after the bone defect creation (BD group) and
64 treated with ultrasound (BD + US group), radial extracorporeal shock wave (BD + rESW
65 group), or electrical stimulation (BD + ES group) after surgery (Fig. 1A). The physical agent
66 treatments for 1 week or 2 weeks with different stimulation intensity (0.05, 0.5, or 1.0
67 W/cm² for US group; 1, 2, or 4 bar for rESW group; 8 or 16 mA for ES group) were started
68 one day after surgery.

69 In the study of the osteoporotic fracture repair, female rats received bilateral
70 ovariectomy to simulate postmenopausal osteoporosis. After 8 weeks of ovariectomy, bone

71 defects 1.2 mm in diameter and 2.5 mm deep were created in the metaphysis of the bilateral
72 femurs (about 2 mm from the growth plate) of the rats as previously described.^{26,27} Then, the
73 animals were randomly divided into four groups: untreated after the bone defect creation
74 (OVX-BD group) and treated with ultrasound (OVX-BD + US group), radial extracorporeal
75 shock wave (OVX-BD + rESW group), or electrical stimulation (OVX-BD + ES group) after
76 surgery (Fig. 1B). Starting from one day after the bone defect creation, the rats were treated
77 for 4 weeks with each physical agent with different stimulation intensity: 0.05, 0.5, or 1.0
78 W/cm² for US group; 1, 2, or 3 bar for rESW group; 8 or 16 mA for ES group.

79 All animals were euthanized by exsanguination under general anesthesia and
80 analgesia at the end of the experimental period. For the BD groups, the bilateral femurs were
81 harvested at 1 week for histological analyses (n = 3 limbs from 3 rats per group) or 2 weeks
82 for micro-computed tomography (μ CT) and histological analyses (n = 4 limbs from 4 rats
83 per group). We used the left femurs for μ CT analyses and the right femurs for histological
84 analyses. The histological and biomechanical changes were assessed only in the BD and BD
85 + US groups. For the OVX-BD group, the bilateral femurs were harvested at 12 weeks, and
86 we used the left femurs for μ CT and biomechanical analyses (n = 4 limbs from 4 rats per
87 group) and the right femurs for histological analyses (n = 4 limbs from 4 rats per group).

88

89 *Ultrasound*

90 The animals received daily 20-min ultrasound exposure for 1 week or 2 weeks (BD + US
91 group) or 4 weeks (OVX-BD + US group) after the bone defect creation. Bilateral hindlimbs
92 of each rat were shaved and the ultrasound gel was applied. A plane circular transducer, 3.7
93 cm in diameter, with ultrasound device (SONICCTIZER, MINATO Medical Science Co.,
94 Ltd., Osaka, Japan) was then positioned over the experimental wound of each hindlimb,
95 while the animals were under general anesthesia. The device work at 20% duty cycle from 1

96 kHz of a pulse repetition frequency and generates a sine wave at 1.0 MHz with the LIPUS
97 intensity (spatial-averaged temporal-averaged intensity [I_{SATA}] = 0.05 W/cm²) or the higher
98 intensity than LIPUS which minimizes thermal effects (I_{SATA} = 0.5 or 1.0 W/cm²).²⁸

99

100 *Radial extracorporeal shock wave*

101 The rats were treated with radial extracorporeal shock wave only once (BD + rESW group)
102 or four times of one weekly session (OVX-BD + rESW group). Both hind legs of the rats
103 were shaved, and a 15 mm-diameter probe was used and positioned over the experimental
104 wound of each hindlimb which applied an ultrasonic gel, while the animals were under
105 general anesthesia. The probe was connected to the radial shock wave device (Physio-
106 ShockMaster, SAKAI Medical Co., Ltd., Tokyo, Japan) and each femur was exposed to
107 radial pressure waves which consisted in a total of 2,000 shock waves per one session, at 5
108 Hz with three different intensities of 1, 2, or 4 bar for the BD + rESW group or 1, 2, or 3 bar
109 for the OVX-BD + rESW group.

110

111 *Electrical stimulation*

112 The rats received electrical stimulation daily for 10 min per day, for 2 weeks (BD + ES
113 group) or 4 weeks (OVX-BD + ES group) after the bone defect creation. Both hind legs of
114 the rats were shaved, and the rats were anesthetized. The bilateral quadriceps were then
115 electrically stimulated by paired gold surface electrodes 7 mm in diameter. The electrodes
116 were connected to an electrical stimulator (ASPIA TS-1000; Nihon Medix, Chiba, Japan) to
117 transmit a square pulse at a frequency of 10 Hz and a rest-insertion period of 1 s contraction
118 followed by 4 s rest with two different intensities of 8 or 16 mA.

119

120 *Micro-computed tomography (μ CT)*

121 Cross-sectional scans were made at the drilled sites in each femur sample using micro three-
122 dimensional (3D) X-ray CT system (R_mCT2; Rigaku, Tokyo, Japan) with an isotropic
123 voxel resolution of 20 μm was employed at a voltage 90 kV and current 160 μA . The
124 scanned data were reconstructed by image analysis software (TRI/3D-BON; Ratoc, Tokyo,
125 Japan). For the quantification of the newly formed bone, the regions of interest (ROI) with a
126 cube ($750 \times 750 \times 750 \mu\text{m}^3$) were placed into the central bone defect area. Thresholds value
127 of 690 HA/mg^3 for the diaphyseal defects in the BD groups to define cortical bone²⁹ or 184
128 HA/mg^3 for the metaphyseal defects in the OVX-BD groups to define total bone including
129 cortical and trabecular bone³⁰ were used to define the newly formed bone which
130 characterized by bone volume fraction (BV/TV), trabecular number (Tb.N), trabecular
131 thickness (Tb.Th), and trabecular separation (Tb.Sp).

132

133 *Biomechanical testing*

134 The mechanical properties of the bone defect sites were assessed by an indentation test as
135 previously described.³¹ The femurs were placed on the base fixed in a mechanical testing
136 machine (AUTOGRAPH, Shimadzu, Kyoto, Japan). A cylindrical indenter of 1.0 mm in
137 diameter was applied to the center of the bone defect at a constant displacement velocity of 1
138 mm/min. The indenter was allowed to penetrate the medullary cavity. The maximum load
139 was obtained from the load-deflection curve and determined as the strength of the newly
140 formed bone in the defect area. The biomechanical test in the OVX-BD + rESW group could
141 not be carried out because of the difficulty of visual confirmation of the metaphyseal defect
142 site due to the presence of diffuse fracture callus around the defects.

143

144 *Histology*

145 *Histological preparation*

146 Non-demineralized frozen sections were prepared according to the method described by
147 Kawamoto.³² Briefly, the femur was freeze-embedded with super cryoembedding medium
148 (SCEM, Leica Microsystems, Tokyo, Japan) in isopentane at -75 °C. Cross-sections of the
149 femur in the coronal plane (5 µm thick) were cut from each sample and were then used for
150 histological or immunohistochemical analyses.

151

152 *Histological analysis*

153 For general histological studies, frozen sections were stained with von Kossa, safranin O/fast
154 greens, alkaline phosphatase (ALP), or tartrate-resistant acid phosphatase (TRAP)
155 (TRAP/ALP stain kit[®]; FUJIFILM Wako Pure Chemical, Tokyo, Japan), according to the
156 manufacturers' instructions. For histomorphometric analysis of ALP and TRAP staining,
157 two random field of view per sample were randomly taken from the bone defect regions with
158 a light microscope (BX53; Olympus, Tokyo, Japan) and a camera (DP73; Olympus) at a
159 magnification of 20X. Osteoblast surface was measured manually using Image J 1.50
160 (National Institutes of Health, Bethesda, MD, USA) as the total length of ALP-positive
161 surface divided by bone surface. Osteoclast surface was similarly analyzed following TRAP
162 staining.

163

164 *Immunohistochemistry*

165 Following the protocols in our laboratory,³³ the tissue sections were immunostained using
166 against sclerostin (diluted 1:800; AF1589, R&D Systems, Minneapolis, MN, USA) or
167 proliferating cell nuclear antigen (PCNA; 1:1500, D3H8P, Cell Signaling Technology,
168 Danvers, MA, UA). Immunoreactivity was visualized with diaminobenzidine
169 tetrahydrochloride reagent (ImmPACT[™] DAB peroxidase substrate kit, SK-410, Vector
170 Lab., Burlingame, CA, USA). Then, the sections were counterstained Mayer's hematoxylin

171 for sclerostin or hematoxylin for PCNA. The immunolabeled sections were captured with the
172 light microscope (BX-53; Olympus) and the camera (DP73; Olympus) at a magnification of
173 20X. For sclerostin, the number of sclerostin-positive and total osteocytes were manually
174 counted in two random regions of the cortical bone around the bone defect area per sample.
175 For PCNA, the number of immune-positive cells was manually counted in one random fields
176 of view in the bone defect regions per sample.

177

178 *Statistical analysis*

179 Statistical analyses were conducted with EZR (Saitama Medical Center, Jichi Medical
180 University, Saitama, Japan), which is a graphical user inter face for R (The R Foundation for
181 Statistical Computing, Vienna, Austria).³⁴ First, all data were checked for normality with the
182 Shapiro-Wilk test. Normality was observed in all analyses, and the results were compared
183 among groups with the one-way ANOVA test followed by the Tukey HSD test. All values
184 are presented here as mean \pm standard deviation (SD). *P* values less than 0.05 were
185 considered significant. A post hoc power analysis was used to confirm that sufficient number
186 of animals had been used.

187

188 **Results**

189 *Morphologic changes in diaphyseal defect*

190 3D reconstructions of the newly formed bone in the diaphyseal defects showed that the rats
191 treated with ultrasound at 0.5 and 1.0 W/cm² had more new bone than untreated rats (Fig.
192 2A). This was confirmed by increased BV/TV and Tb.N in the 0.5 and 1.0 W/cm² US-
193 treated groups compared to the BD group (*P* < 0.05, power = 1.00) (Fig. 2B and
194 Supplementary Table 1). Furthermore, representative histological evidence of bone
195 mineralization supported the findings obtained by μ CT analysis (Fig. 2C). The newly formed

196 bone across the cortical gap was thicker and denser in the 0.5 and 1.0 W/cm² US-treated
197 groups compared to the untreated group.

198

199 *Morphologic changes in metaphyseal defect*

200 Representative images in the femoral metaphyseal defect sites revealed that the defects in the
201 OVX-BD + US and rESW groups, except for the US group at 0.05 W/cm², were filled with
202 the newly formed bone compared to the OVX-BD group (Fig. 3A). Von Kossa staining
203 showed that these groups had the more abundant bone in the defect sites than the OVX-BD
204 group (Fig. 3B). Quantitative measurements of the newly formed bone with μ CT analyses
205 revealed that BV/TV increased in the rats treated with ultrasound at 1.0 W/cm² or
206 extracorporeal shock wave at 1, 2, and 3 bar when compared to the untreated rats ($P < 0.05$,
207 power = 1.00) (Fig. 3C). Furthermore, in the 1.0 W/cm² US-treated group, BV/TV was
208 larger than in the 0.05 W/cm² US-treated group ($P < 0.05$). These findings were reinforced
209 by the other structural parameters by μ CT analyses (Supplementary Table 2). On the other
210 hand, in the rats that received radial extracorporeal shock wave, the periosteal callus was
211 observed near the defect site in the 3D images by μ CT (Fig. 3A), histological sections (Fig.
212 3B and 3D, left), and macroscopic observation (Fig. 3D, right).

213

214 *Biomechanical properties*

215 The biomechanical strength of the new bone in the defect sites which was determined by the
216 maximum load showed no differences between the untreated and treated groups both in the
217 normal and osteoporotic rats.

218

219 *Osteoblast and osteoclast activity*

220 In the diaphyseal defects, ALP-positive regions increased in the 0.5 and 1.0 W/cm² US-
221 treated groups compared to the untreated ($P < 0.05$, power = 0.96) (Fig. 4A, top and B).
222 TRAP staining revealed the localization of osteoclast in the diaphyseal defects at 7 days after
223 surgery (Fig. 4A, bottom). There was no significant change in the percentage of osteoclast
224 surface among all groups (power = 0.95) (Fig. 4C).

225 In the metaphyseal defects, ALP-stained bone surfaces in the OVX-BD + rESW and
226 US at 1.0 W/cm² were higher than OVX-BD group ($P < 0.05$, power = 1.00) (Fig. 5A and
227 B). TRAP-positive regions were observed in all groups (Fig. 5C), but there were no
228 significant differences in the percentage of osteoclast surface among all groups (power =
229 1.00) (Fig. 5D).

230

231 *Immunohistochemical pattern of sclerostin and PCNA*

232 The sclerostin-positive osteocytes around the defect area were tended to decreased in the 1.0
233 W/cm² US-treated group (versus untreated, $P = 0.09$, power = 0.96) (Fig. 6A, top and Table
234 2). In the metaphyseal defects, the percentage of sclerostin-positive osteocytes decreased in
235 the OVX-BD + US at 1.0 W/cm² and rESW groups when compared to the OVX-BD group
236 ($P < 0.05$, power = 1.00).

237 In the BD + US at 0.5 and 1.0 W/cm² groups, PCNA-positive cells were densely
238 distributed in the bone marrow at the defect area when compared to the untreated group at 7
239 days after the surgery (Fig. 6, bottom). The number of PCNA-positive cells increased in the
240 0.5 and 1.0 W/cm² US-treated group ($P < 0.05$, power = 0.97) (Table 2).

241

242 **Discussion**

243 This study investigated the effects of ultrasound, radial extracorporeal shock wave, and
244 electrical stimulation on normal or osteoporotic fracture healing in rat bone defect models.

245 As a result, ultrasound at higher intensity (0.5 and 1.0 W/cm²) accelerated normal fracture
246 healing, but not radial extracorporeal shock wave and electrical stimulation. We found that
247 high intensity ultrasound exposure increased cell proliferation and osteoblast activity at the
248 healing site. The results in the osteoporotic fracture model showed that ultrasound at higher
249 intensity (1.0 W/cm²) and radial extracorporeal shock wave accelerate fracture healing under
250 estrogen-deficient conditions. However, we also found that shock wave treatment may
251 increase risk of the abnormal periosteal callus formation.

252 Based on the μ CT analyses, ultrasound at intensity 0.05 W/cm² did not affect the
253 new bone formation in the bone defect both in the normal and osteoporotic rats. This accords
254 with previous clinical^{7,8} and animal reports,³⁵⁻³⁷ showing no stimulatory effect of LIPUS at
255 intensity less than 0.1 W/cm² on fracture healing processes. Meanwhile, ultrasound at higher
256 intensity (0.5 or 1.0 W/cm²) than LIPUS accelerated bone formation at the bone defect site
257 both in the normal and osteoporotic rats. These are similar to the report that ultrasound at
258 intensity 0.3 W/cm² accelerated bone formation in the bone defect, but not at intensity 0.1
259 W/cm².³⁵ Moreover, ultrasound exposure at various intensities ranging from 0.015 to 0.15
260 W/cm²³⁸ or 0.005 to 0.1 W/cm²³⁹ improved estrogen-deficient bone loss in an intensity-
261 dependent manner. In line with these previous findings, our results indicate that ultrasound
262 exposure at higher intensity than LIPUS enhances bone formation both in normal and
263 osteoporotic fracture healing. Although high intensity ultrasound can induce some side
264 effects such as skin necrosis at 2.5 W/cm²⁴⁰ and osteonecrosis with increased bone
265 resorption at 2.2 W/cm²⁴¹, the rats treated with ultrasound at 0.5 and 1.0 W/cm² had no
266 gross, physical, or histological abnormalities. Consequently, these findings suggest the
267 possibility that higher intensity ultrasound than LIPUS is a promising noninvasive treatment
268 for fracture healing.

269 Consistent with previous reports showing the effectiveness of focused
270 extracorporeal shock wave in fracture healing,^{15,16,42,43} radial extracorporeal shock wave
271 increased the newly formed bone in the osteoporotic fracture model. Furthermore, the shock
272 wave at all three intensities (1, 2, and 3 bar) increased the newly formed bone, indicating that
273 this treatment can accelerate osteoporotic fracture healing, irrespective of the stimulation
274 intensity. Although radial shock waves have the advantage of being lower energy and less
275 pain for patients than focused shock waves, in this study, its exposure also induced the
276 diffuse callus formation around the bone defect. In the metaphyseal defect model, a small
277 amount of the periosteal callus is observed during the healing process, and its formation
278 peaks at day 14 and is completely resorbed at day 28 to 35 after the defect creation.⁴⁴
279 Meanwhile, the callus induced by the shock wave still remained in the defect site at 4 weeks
280 after surgery and was greater than that of the untreated rats, implying the abnormal bone
281 healing process. Taken together, these findings indicate that radial extracorporeal shock
282 wave in one weekly session accelerate osteoporotic fracture healing, but its treatment may
283 potentially increase the abnormal callus formation.

284 Ultrasound and radial extracorporeal shock wave accelerated fracture healing, while
285 muscle contraction induced by electrical stimulation did not affect the new bone formation in
286 both normal and osteoporotic fractures. This is inconsistent with a previous animal study
287 showing that the electrically-induced muscular contraction enhances fracture healing in
288 rabbits.²² Although the defect area is filled with the hematoma and fibrous tissue rapidly
289 after surgery,²⁴ the bone defect may be less likely to respond to longitudinal stress induced
290 by muscular contraction than the transverse fracture. Thus, muscle contraction induced by
291 electrical stimulation may be insufficient to affect bone defect healing.

292 We evaluated the mechanical strength of the newly formed bone at the defect sites
293 using the indentation test, which has been widely used in measuring the biomechanical

294 properties of bone in different experimental conditions.³¹ As a consequence, ultrasound
295 exposure at higher intensity had no effect on maximum load in normal and osteoporotic rats,
296 despite increased new bone mass at the defect site. The bone strength is determined not only
297 by the quantity of bone tissue but also by its quality, which is characterized by the trabecular
298 microarchitecture, the mineral and collagen, and the shape of bones.⁴⁵ Whether ultrasound
299 exposure affects the bone quality is unclear in this study, but our results indicate that its
300 exposure for 2 or 4 weeks does not affect the bone strength at the healing site.

301 Bone defect healing occurs mainly through intramembranous ossification via direct
302 differentiation of osteoblasts from mesenchymal cells in the initial phase of the healing
303 process.²⁴ Ultrasound at 0.5 and 1.0 W/cm² increased ALP activity, a differentiation marker
304 of osteoblasts,⁴⁶ in the normal fracture model at the initial phase of defect healing (7 days
305 after surgery). In the osteoporotic rats, ultrasound at 1.0 W/cm² and radial extracorporeal
306 shock waves at 1 and 3 bar also enhanced its activity at the later phase (4 weeks after
307 surgery), implying that these treatments could activate osteoblasts both in the initial and later
308 phases of healing processes. In addition, high intensity ultrasound and shock wave were
309 tended to decrease sclerostin-positive osteocytes, paralleled by increased osteoblast activity.
310 Sclerostin inhibits the osteoblast differentiation and activity by antagonizing Wnt/ β catenin
311 signaling.⁴⁷ Additionally, sclerostin deficient mice enhances intramembranous ossification of
312 bone defects by increasing the β -catenin expression and osteoblast number.⁴⁸ Thus, these
313 findings suggest that higher intensity ultrasound and radial extracorporeal shock wave
314 activate osteoblasts, at least in part, via downregulation of sclerostin in osteocytes, thereby
315 accelerating bone healing.

316 Cell proliferation is essential for fracture healing processes, particularly in the early
317 stages of the healing.^{49,50} Consistent with the previous reports,^{14,51-53} ultrasound at intensity
318 0.05 W/cm² did not affect the number of PCNA-positive cells, a marker of cell

319 proliferation⁵⁴, at the early phase of defect healing (7 days after surgery). In contrast,
320 ultrasound at 0.5 and 1.0 W/cm² enhanced cell proliferation at the same time point, as
321 indicated by increased PCNA-positive cells at the bone defect site. This corresponds to the
322 report that high magnitude strain in the physiological range stimulates cell proliferation of
323 bone marrow stromal cells.⁵⁵ Collectively, these findings suggest that higher intensity
324 ultrasound enhanced cell proliferation, in addition to osteoblast differentiation, leading to
325 accelerated fracture healing.

326 This study had several limitations. The differences in sex, age, and the defect site
327 make it difficult to compare the results between normal and osteoporotic rats. Therefore, we
328 cannot conclude from the present study whether the response of physical agents differed
329 with or without estrogen. In addition, we could not examine the effects of physical agents on
330 endochondral ossification. The bone defect model has been used in many studies of fracture
331 healing as a reproducible and stable model.²³⁻²⁷ This model is healed only by
332 intramembranous ossification,⁵⁶ while transverse fracture healing in humans occurs through
333 not only intramembranous but also endochondral ossification.⁵⁷ Our study showed that the
334 physical agents enhanced intramembranous ossification, but their stimulatory effect on
335 endochondral ossification cannot at present be answered. Therefore, further research should
336 explore the safety and efficacy of physical agents in clinical trials and animal studies with
337 transverse fractures.

338 In conclusion, we demonstrated that higher intensity ultrasound than LIPUS
339 accelerates both normal and osteoporotic fracture healing. Our findings also showed that
340 radial extracorporeal shock wave enhances osteoporotic fracture healing, but its treatment
341 may increase risk of the abnormal periosteal callus formation. Future studies are needed to
342 determine if our findings are clinically applicable.

343

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353

354 **Competing interests**

355 The authors declare no competing interests.

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511 **Figure legends**

512 **Fig. 1 (A)** A Diagram of the experimental design for the studies of the normal fracture
513 healing is shown. The bilateral femurs were harvested at 1 week for histological analyses (n
514 = 3 limbs from 3 rats per group) or 2 weeks for μ CT and histological analyses (n = 4 limbs
515 from 4 rats per group) and biomechanical testing (n = 4 limbs from 2 rats per group). We
516 used the right femurs for μ CT analyses and the left femurs for histological analyses. **(B)** A
517 Diagram of the experimental design for the studies of the osteoporotic fracture healing is
518 shown. The bilateral femurs were harvested at 12 weeks, and we used the left femurs for
519 μ CT and biomechanical analyses (n = 4 limbs from 4 rats per group) and the right femurs for
520 histological analyses (n = 4 limbs from 4 rats per group). OVX = ovariectomy; BD = bone
521 defect; US = ultrasound; rESW = radial extracorporeal shock wave; ES = electrical
522 stimulation

523
524 **Fig. 2** Morphologic changes in the diaphyseal defect of normal rats after 2 weeks of the
525 defect creation. **(A)** Representative 3D images show the newly formed bone at the
526 diaphyseal defect site obtained by μ CT analysis. Scale bar = 500 μ m. **(B)** The graph shows
527 quantification of the bone volume in the defect by μ CT analysis (n = 4 femurs per group).
528 Data are expressed as mean \pm SD. * P < 0.05 vs. BD group; † P < 0.10 vs. BD + US at 0.05
529 W/cm² group. **(C)** Representative histological images in the defect area stained with von
530 Kossa are shown. Scale bars = 500 μ m. BD = bone defect; US = ultrasound; rESW = radial
531 extracorporeal shock wave; ES = electrical stimulation; BV/TV = bone volume/tissue
532 volume

533
534 **Fig. 3** Morphologic changes in the metaphyseal defect of osteoporotic rats after 4 weeks of
535 the defect creation. **(A)** Representative 3D images show the metaphyseal defect site obtained

536 by μ CT analysis. Scale bar = 2 mm. **(B)** Representative histological images in the defect
537 stained with von Kossa are shown. Scale bars = 500 μ m. **(C)** The graph shows quantification
538 of the bone volume in the defect by μ CT analysis (n = 4 femurs per group). Data are
539 expressed as mean \pm SD. * P < 0.05 vs. OVX-BD group; † P < 0.05 vs. OVX-BD + US at
540 0.05 W/cm² group. **(D)** Representative histological images in the distal femur stained with
541 safranin O/fast green (left) and macroscopic observations of the femur (right) are shown.
542 Arrowheads indicate the site of the defect creation. Scale bars = 1 mm (left) and 5 mm
543 (right). OVX = ovariectomy; BD = bone defect; US = ultrasound; rESW = radial
544 extracorporeal shock wave; ES = electrical stimulation; BV/TV = bone volume/tissue
545 volume

546

547 **Fig. 4 (A)** Representative histological images in the diaphyseal defect sites of normal rats
548 after 1 week of the defect creation stained with ALP (top) and TRAP (bottom) are shown.
549 Scale bars = 100 μ m. **(B)** The graphs show quantification of ALP staining by osteoblast
550 surface per bone surface **(C)** and TRAP staining by osteoclast surface per bone surface (n =
551 3 femurs per group). Data are expressed as mean \pm SD. * P < 0.05 vs. BD group. BD = bone
552 defect; US = ultrasound

553

554 **Fig. 5 (A)** Representative histological images in the metaphyseal defect sites of osteoporotic
555 rats after 4 weeks of the defect creation stained with ALP are shown. Scale bars = 100 μ m.
556 **(B)** The graph shows quantification of ALP staining by osteoblast surface per bone surface
557 (n = 4 femurs per group). **(C)** Representative histological images in the metaphyseal defect
558 sites stained with TRAP are shown. Scale bars = 100 μ m. **(D)** The graph shows
559 quantification of TRAP staining by osteoclast surface per bone surface (n = 4 femurs per
560 group). Data are expressed as mean \pm SD. * P < 0.05 vs. OVX-BD group; † P < 0.05 vs.

561 OVX-BD + US at 0.05 W/cm² group; ‡*P* < 0.05 vs. OVX-BD + US at 0.5 W/cm² group.
562 OVX = ovariectomy; BD = bone defect; US = ultrasound; rESW = radial extracorporeal
563 shock wave; ES = electrical stimulation

564

565 **Fig. 6** Representative photomicrographs show the distribution of sclerostin in the cortical
566 bone around the diaphyseal defect area of normal rats after 2 weeks of the defect creation
567 (top). Representative photomicrographs show the distribution of PCNA in the diaphyseal
568 bone defect sites of normal rats after 1 week of the defect creation (bottom). Scale bars =
569 100 μm. BD = bone defect; US = ultrasound

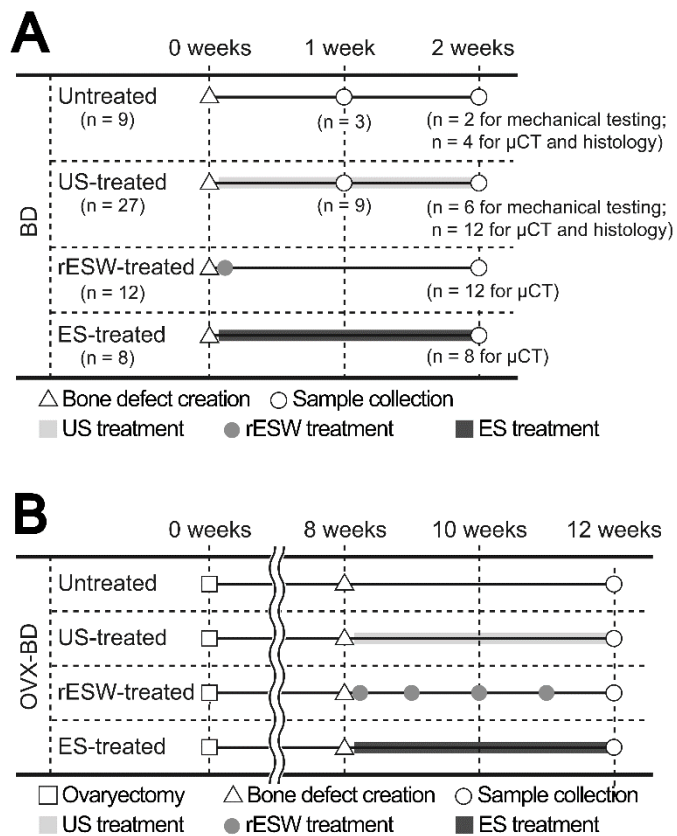


Figure 1

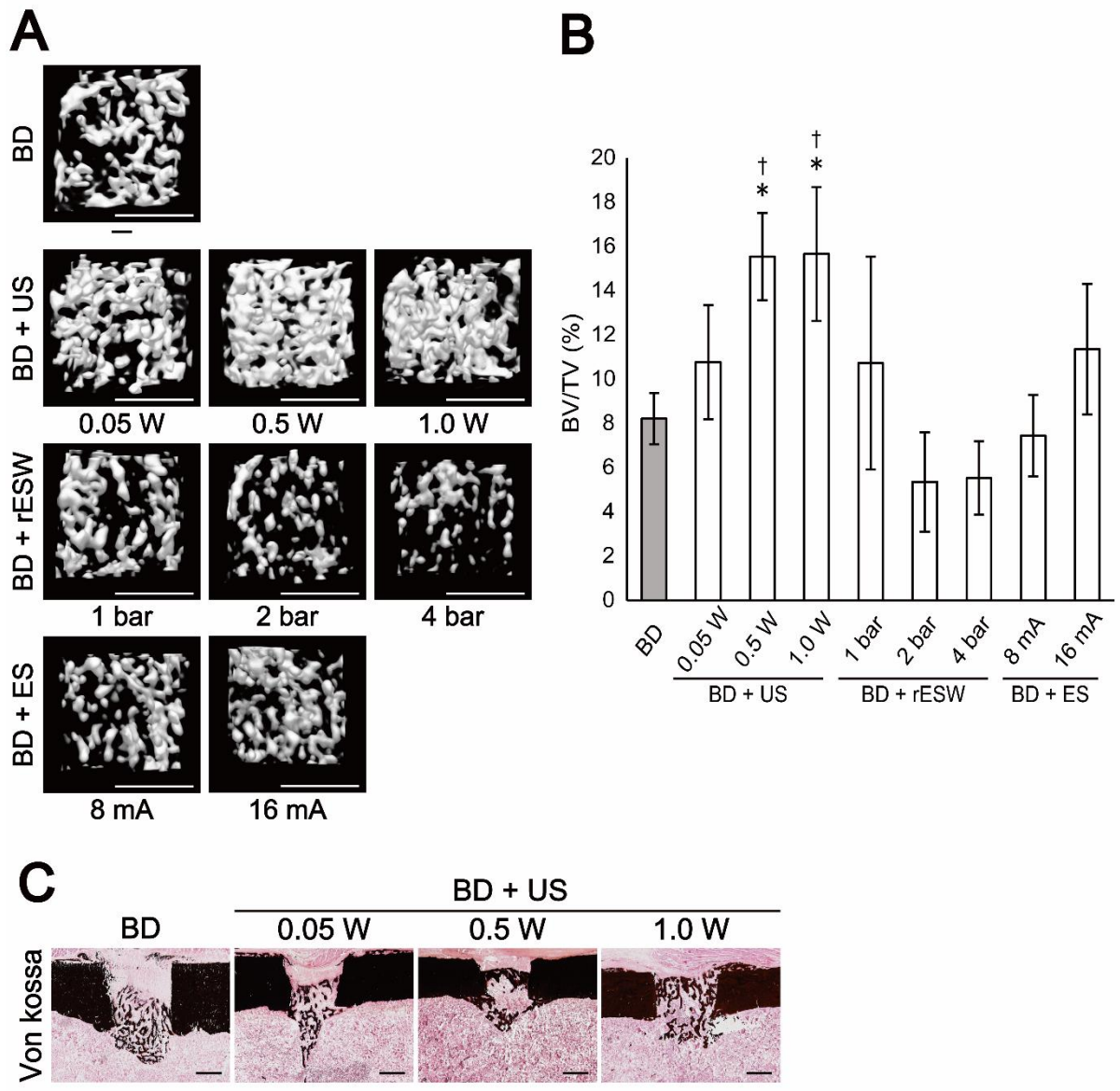


Figure 2

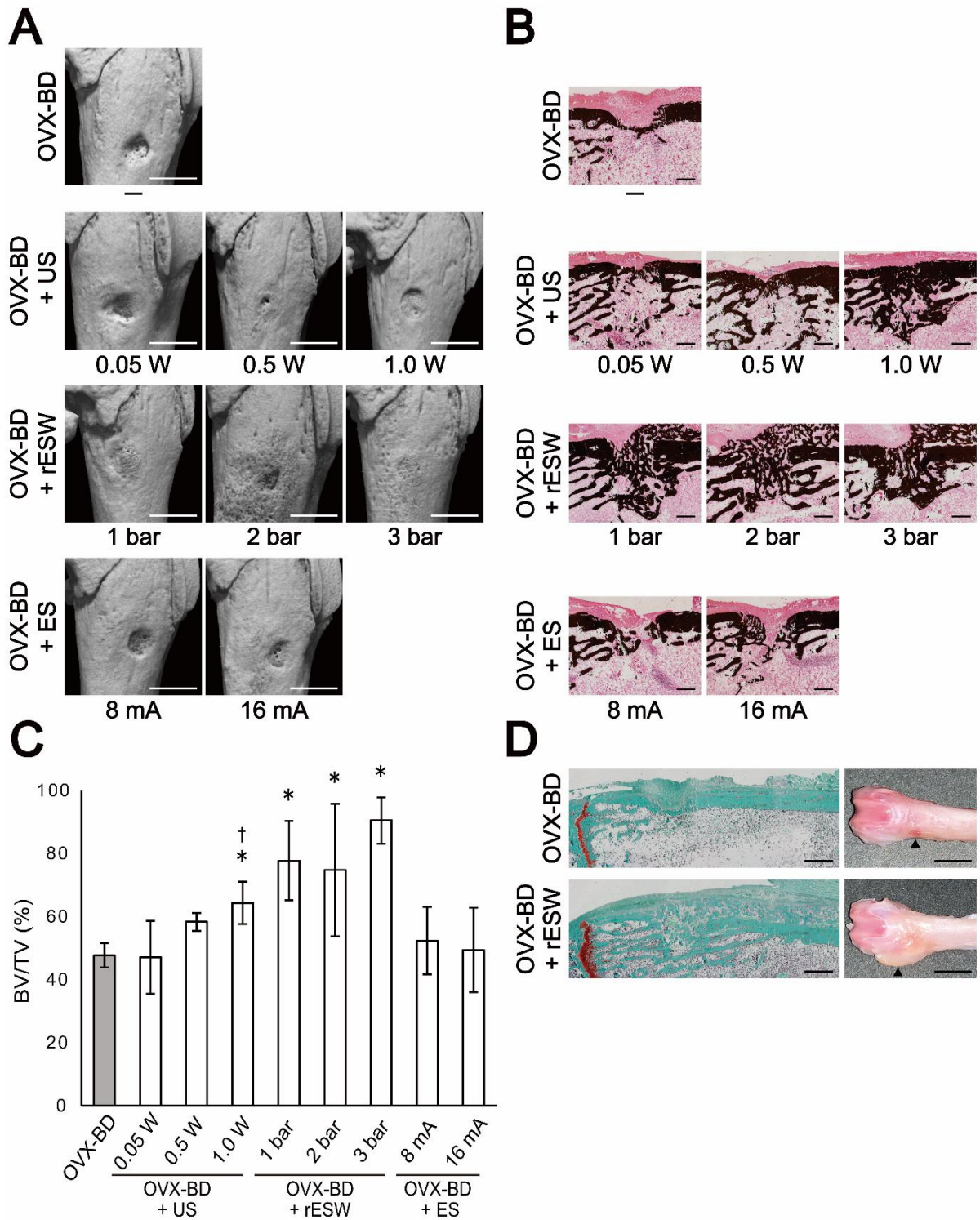


Figure 3

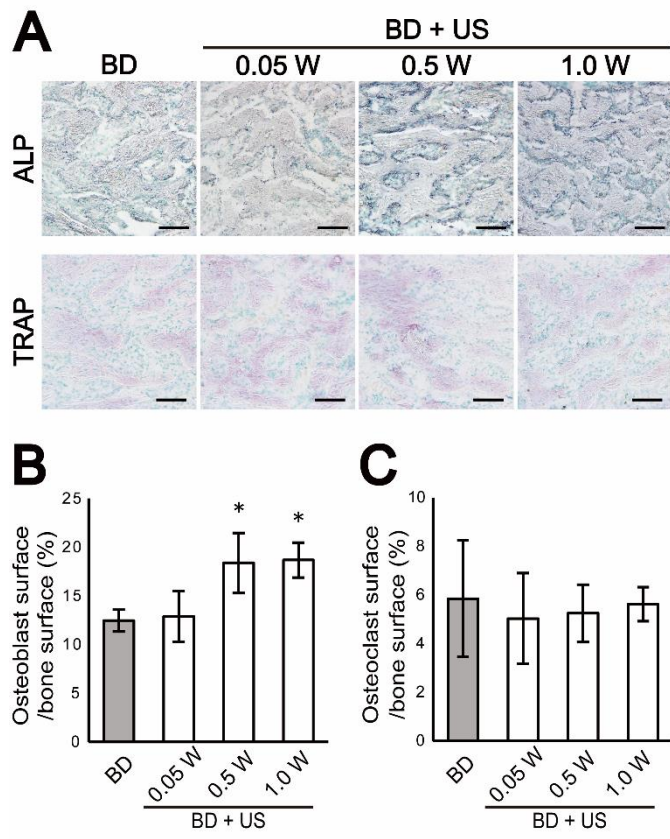


Figure 4

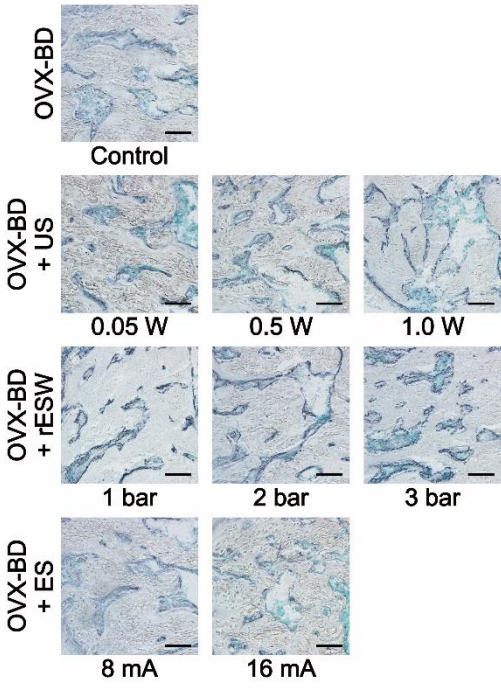
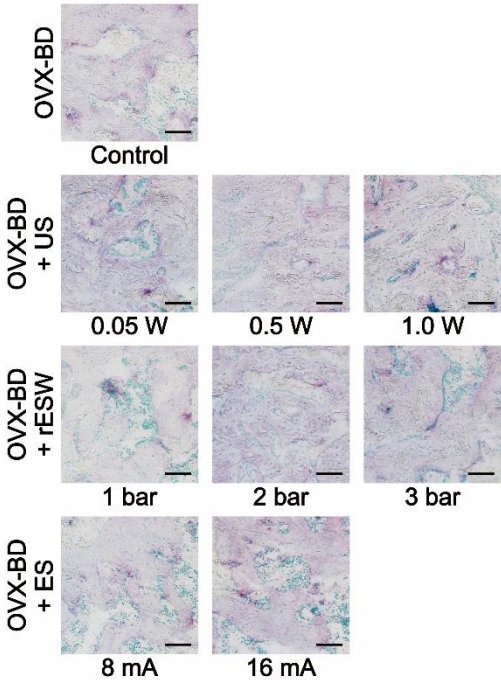
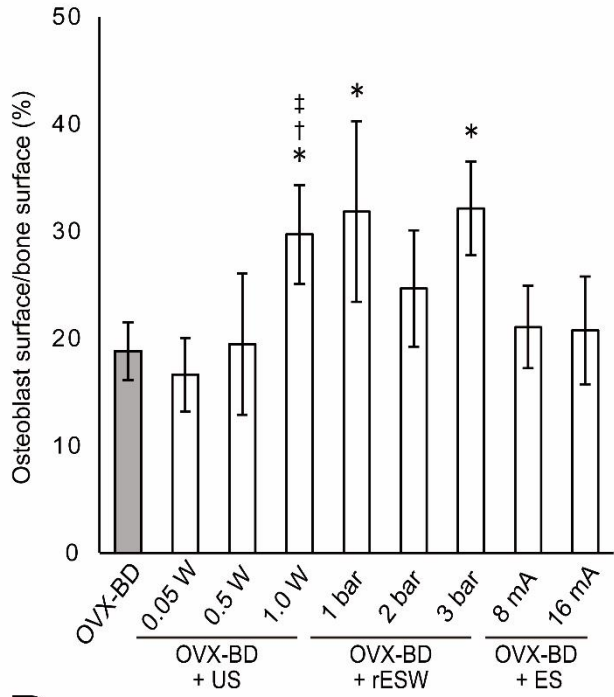
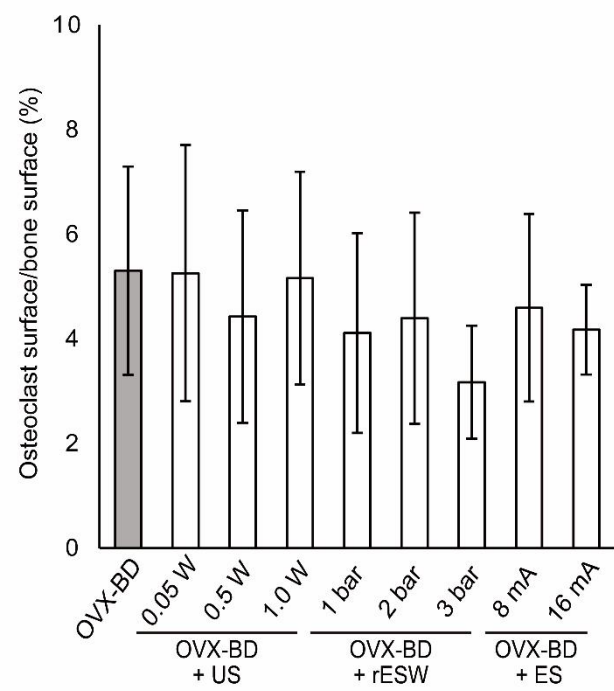
A**C****B****D**

Figure 5

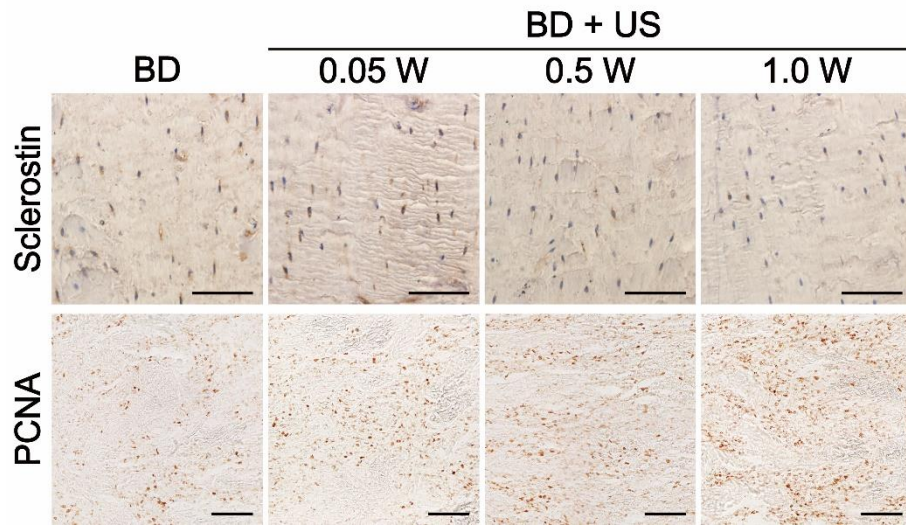


Figure 6

Table 1. Maximum load of newly formed bone in defect sites determined by mechanical testing.

Group	BD	BD + US			OVX-BD	OVX-BD + US			OVX-BD + rESW			OVX-BD + ES	
		0.05 W/cm ²	0.5 W/cm ²	1.0 W/cm ²		0.05 W/cm ²	0.5 W/cm ²	1.0 W/cm ²	1 bar	2 bar	3 bar	8 mA	16mA
Maximum load (N)	20.7 ± 5.4	34.9 ± 8.9	27.6 ± 15.0	33.2 ± 7.5	34.0 ± 6.3	31.1 ± 12.0	40.8 ± 9.2	45.2 ± 9.6	—	—	—	29.1 ± 10.6	25.2 ± 10.5

Data are expressed as mean ± SD. OVX = ovariectomy; BD = bone defect; US = ultrasound; rESW = radial extracorporeal shock wave; ES = electrical stimulation

Table 2. Quantification of immunohistochemistry for sclerostin and PCNA.

Group	BD	BD + US			OVX-BD	OVX-BD + US			OVX-BD + rESW			OVX-BD + ES	
		0.05 W/cm ²	0.5 W/cm ²	1.0 W/cm ²		0.05 W/cm ²	0.5 W/cm ²	1.0 W/cm ²	1 bar	2 bar	3 bar	8 mA	16mA
Sclerostin-positive osteocytes (%)	74.2 ± 10.6	68.8 ± 9.5	54.2 ± 19.0	47.1 ± 3.9**	60.4 ± 7.8	52.3 ± 11.6	41.7 ± 10.0	37.4 ± 6.8†	40.7 ± 12.5†	36.8 ± 4.8†	40.2 ± 11.8†	50.2 ± 12.6	48.9 ± 7.1
PCNA-positive cells (/10 ⁵ μm ²)	17.4 ± 4.1	23.9 ± 5.7	40.2 ± 10.4*	40.6 ± 2.7*	—	—	—	—	—	—	—	—	—

Data are expressed as mean ± SD. * $P < 0.05$ vs. BD group; ** $P < 0.10$ vs. BD group; † $P < 0.05$ vs. OVX-BD group. OVX = ovariectomy; BD = bone defect;

US = ultrasound; rESW = radial extracorporeal shock wave; ES = electrical stimulation

Supplementary Table 1. Trabecular microarchitecture of the diaphyseal defect in normal rats quantified by μ CT.

Group	BD	BD + US			BD + rESW			BD + ES	
		0.05 W/cm ²	0.5 W/cm ²	1.0 W/cm ²	1 bar	2 bar	4 bar	8 mA	16 mA
Tb.N (1/ μ m)	1.2 \pm 0.4	1.7 \pm 0.2	2.0 \pm 0.4*	1.8 \pm 0.2	1.4 \pm 0.7	1.0 \pm 0.3	1.1 \pm 0.3	1.5 \pm 0.4	1.8 \pm 0.3
Tb.Th (μ m)	126.0 \pm 46.9	103.8 \pm 9.6	100.1 \pm 22.2	84.6 \pm 15.2	108.2 \pm 28.9	121.1 \pm 20.8	137.2 \pm 9.5	109.4 \pm 14.8	101.6 \pm 4.0
Tb.Sp (μ m)	46.9 \pm 1.5	47.8 \pm 3.2	50.7 \pm 5.3	51.2 \pm 4.2	45.9 \pm 6.8	45.4 \pm 2.1	44.1 \pm 3.8	42.2 \pm 0.3	45.4 \pm 3.6

Data are expressed as mean \pm SD. * $P < 0.05$ vs. BD group. BD = bone defect; US = ultrasound; rESW = radial extracorporeal shock wave; ES = electrical stimulation; Tb.N = trabecular bone number; Tb.Th = trabecular bone thickness; Tb.Sp = trabecular bone separation

Supplementary Table 2. Trabecular microarchitecture of the metaphyseal defect in osteoporotic rats quantified by μ CT.

Group	OVX-BD	OVX-BD + US			OVX-BD + rESW			OVX-BD + ES	
		0.05 W/cm ²	0.5 W/cm ²	1.0 W/cm ²	1 bar	2 bar	3 bar	8 mA	16 mA
Tb.N (1/ μ m)	3.5 \pm 0.3	3.4 \pm 0.3	4.7 \pm 0.6* [†]	4.4 \pm 0.5* [†]	4.0 \pm 0.2	4.4 \pm 0.6*	4.2 \pm 0.5	4.5 \pm 1.0	4.1 \pm 0.8
Tb.Th (μ m)	126.7 \pm 9.2	141.1 \pm 35.6	125.9 \pm 8.0	137.6 \pm 14.8	196.1 \pm 34.5*	170.3 \pm 45.7	215.5 \pm 18.2*	117.1 \pm 19.2	125.0 \pm 45.4
Tb.Sp (μ m)	142.3 \pm 21.1	159.1 \pm 37.7	95.7 \pm 26.6	108.4 \pm 51.4	55.9 \pm 31.3*	60.3 \pm 52.7*	23.7 \pm 20.0*	112.2 \pm 44.6	125.9 \pm 41.9

Data are expressed as mean \pm SD. * $P < 0.05$ vs. OVX-BD group; [†] $P < 0.05$ vs. OVX-BD + US at 0.05 W/cm² group. OVX = ovariectomy; BD = bone defect;

US = ultrasound; rESW = radial extracorporeal shock wave; ES = electrical stimulation; Tb.N = trabecular bone number; Tb.Th = trabecular bone thickness;

Tb.Sp = trabecular bone separation