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Kosambi-Cartan-Chern analysis of the non-equilibrium singular point in one-dimensional elementary catastrophe

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This paper analyzes the properties of the non-equilibrium singular point in one-dimensional elementary catastrophe. For this analysis, the Kosambi-Cartan-Chern (KCC) theory is applied to characterize the dynamical system based on differential geometrical quantities. When both the nonlinear connection and deviation curvature are zero, that is, when the geometric stability of the KCC theory is neutral, two bifurcation curves are obtained: one is the known curve with an equilibrium singular point, and the other is a new curve with a non-equilibrium singular point. The two singular points are distinguished based on the vanishing condition of the Berwald connection. Applied to the ecosystem described by the Hill function, the absolute value of the cuspidal curvature of the non-equilibrium singular point is larger than that of the equilibrium singular point. The ecological interpretation of this result is that the range of bistability of the ecosystem in the non-equilibrium state is greater than that in the equilibrium state. The type of singular points in equilibrium and non-equilibrium bifurcation curves are not necessarily the same. For instance, there is a combination in which even if the former has one cusp, the latter may show various types, depending on the parametric space. These results demonstrate that there are cases where simply shifting the system from the equilibrium to non-equilibrium state expands the range of bistability and changes the type of singularity. Although singularity analysis is often performed near the equilibrium point, non-equilibrium analysis, i.e., analysis based on the KCC theory, provides a useful perspective for analyzing singularity theory according to the bifurcation phenomenon.

Keywords: singular point; KCC theory; bifurcation theory; non-equilibrium; elementary catastrophe; differential geometry

²¹ 1. Introduction

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The Kosambi-Cartan-Chern (KCC) theory can be used to characterize systems from the properties of ordinary differential equations, such as bifurcation and stability, based on differential geometric quantities (e.g., [Antonelli *et al.*, 1993; Yamasaki & Yajima, 2017; Gupta, & Yadav, 2019; Huang *et al.*, 2019; Chen *et al.*, 2020]). KCC theory has been well studied in the field of differential geometry, especially Finsler geometry [Antonelli & Bucataru, 2001; Balan & Neagu, 2010; Neagu, 2013], and it has been applied to various fields

such as biology [Antonelli et al., 2002] and physics [Boehmer & Harko, 2010; Harko & Sabău, 2008; Harko et 27 al., 2015; Dănilă et al., 2016; Gupta & Yadav, 2017], among others [Liu et al., 2021]. For instance, Yamasaki 28 and Yajima (2020) performed KCC analysis of bifurcation phenomena including catastrophe. Given that 29 catastrophe is closely related to the singularity of the system [Thom, 1972; Zeeman, 1977; Gilmore, 1981; 30 Arnol'd, 2003; Izumiya et al., 2016], this implies that the KCC and singularity theories are related through 31 bifurcation phenomena. The main focus of catastrophe theory is, of course, the catastrophe shift exhibited 32 by singularities, which are in a non-equilibrium state during the shift. Therefore, non-equilibrium stability 33 analysis of the various shifts exhibited by singularities is necessary, but not sufficient. KCC theory has shown 34 utility for non-equilibrium stability analysis. Thus, we performed KCC analysis of the basic singularities 35 of catastrophe theory and analyzed their behavior in the non-equilibrium region. 36

The logistic equation with the Hill function is a typical example of a catastrophe in ecology [Ludwig et 37 al., 1978; Scheffer et al., 2009; Strogatz, 2014]. Applying the KCC theory to this equation, we can derive 38 the differential geometric quantities governing the stability of the system: nonlinear connection N_j^i and 39 deviation curvature P_j^i . When both N_j^i and P_j^i are zero, i.e., the geometrical stability becomes neutral, we can obtain two bifurcation curves with a singular point [Yamasaki & Yajima, 2020]. (Their concrete forms 40 41 are described by Eqs. (36) and (37) in Section 3.) The first is a known bifurcation curve with a singular 42 point; however, the second curve with a singular point has not been fully analyzed. The main purpose of 43 this study is to clarify the reason for the two types of singular points: the well-known equilibrium singular 44 point and the lesser-known non-equilibrium singular point. Catastrophe theory is mainly concerned with 45 singularities at equilibrium. However, as mentioned above, the catastrophe shift contains a non-equilibrium state. Here, we examine whether non-equilibrium singularities exist and their properties. The results are 47 expected to provide a new perspective on singular points in relation to bifurcations. To quantitatively show 48 the difference between equilibrium and non-equilibrium singularities, this study introduces the concept of curvature at a singularity. Calculations at singularities almost always fail; however, recent research has 50 made it possible to calculate the curvature of singularities [Saji et al., 2010; Umehara, 2011]. Comparing 51 the curvature among singularities will reveal a case in which the range of bistable states increases by simply 52 shifting the system from an equilibrium to non-equilibrium state. 53

From the viewpoint of catastrophe theory, there are various types of singular points [Thom, 1972; 54 Arnol'd, 2003; Izumiya et al., 2016]. In the case of the Hill function given above, we see that the singular 55 points in the equilibrium and non-equilibrium states are of the same type, i.e., that of a cusp. However, 56 this does not always hold. Here, we also consider the difference in the types of singular points between 57 the two states based on well-known singular points in elementary catastrophe theory: cusp, swallowtail, 58 and butterfly. This analysis will show, for instance, that there are combinations in which the equilibrium 59 singular point is the cusp, but the non-equilibrium singular point is the swallowtail. This result indicates 60 that the type of singularity changes when the system shifts from an equilibrium to non-equilibrium state, 61 even if the control parameters do not change. Such non-equilibrium analysis will be possible by focusing on 62 the basic geometric quantities in KCC theory, especially the deviation curvature and Berwald connection. 63

The structure of this paper is as follows. In Section 2, we provide a brief review of KCC theory in 64 terms of time-like potential, and apply it to the normal form of elementary catastrophe as an example. In 65 this example, there is one bifurcation curve with a singular point. In Section 3, we consider the logistic 66 equation with the Hill function and show that there are two types of singular points: equilibrium and 67 non-equilibrium ones. This result indicates the geometrical conditions necessary for the existence of non-68 equilibrium singularities. This condition is applied to the elementary catastrophe considered in Section 4, 69 to show the existence and difference in non-equilibrium singular points among various parametric spaces. 70 Section 5 provides our conclusions. 71

⁷² 2. A brief review of KCC theory

⁷³ 2.1. Differential geometrical quantities and stability

The KCC theory was first applied to study the geometric invariance of second-order ordinary differential equations (ODEs) [Kosambi, 1933; Cartan, 1933; Chern, 1939; Antonelli *et al.*, 1993]. Since then, KCC theory has been applied to study the stability of dynamical systems (e.g., [Antonelli *et al.*, 1993; Udriste & Nicola, 2009; Abolghasem, 2013; Yamasaki & Yajima, 2013]). Even more recently, numerous studies have
investigated the geometric aspects of various dynamic structures, including those of physical (e.g., [Kumar et al., 2019; Krylova et al., 2019; Alawadi et al., 2020; Liu et al., 2020; Klën, & Molina, 2020; Wang et al.,
2021]), biological (e.g., [Antonelli et al., 2014; Antonalli et al., 2019; Kolebaja, & Popoola, 2019; Mishra, & Tiwari, 2021]), and general (e.g., [Gupta, & Yadav, 2017; Sulimov et al., 2018; Chen, & Yin, 2019; Feng et al., 2020; Salnikova et al., 2020; Liu et al., 2021]) systems.

Let us consider the second-order ODE:

$$\ddot{x}^{i} + g^{i}(x, \dot{x}) = 0, \tag{1}$$

where $g^i(x, \dot{x})$ is a function. According to KCC theory, a small perturbation in the trajectory of (1) gives the covariant form of the variational equation (e.g., [Antonelli, & Bucataru, 2003]):

$$\frac{D^2 u^i}{Dt^2} = P^i_j u^j,\tag{2}$$

where $D(\dots)/Dt$ is a covariant differential, and the initial conditions are given by u(0) = 0 and $\dot{u}(0) \neq 0$. P_i^i is the geometric object or deviation curvature tensor, defined by the following relation:

$$P_j^i = -\frac{\partial g^i}{\partial x^j} + \frac{\partial N_j^i}{\partial x^k} \dot{x}^k - G_{jk}^i g^k + N_k^i N_j^k, \tag{3}$$

where N_i^i is a nonlinear connection:

$$N_j^i = \frac{1}{2} \frac{\partial g^i}{\partial \dot{x}^j},\tag{4}$$

⁸⁹ and G^i_{jk} is a Berwald connection:

$$G^{i}_{jk} = \frac{\partial N^{i}_{j}}{\partial \dot{x}^{k}}.$$
(5)

From the Berwald connection, the Douglas tensor is defined by $D_{jkl}^i = \partial G_{jk}^i / \partial \dot{x}^l$. Because this paper considers the one-dimensional case, we set $x^1 = x$, $g^1 = g$, $G_{11}^1 = G$, $N_1^1 = N$, $P_{11}^1 = P$, and $D_{11}^1 = D$ for simplicity.

The deviation curvature (3) determines the Jacobi stability of the system, i.e., the robustness of 93 its trajectory [Sabău, 2005a; Harko et al., 2016; Lake & Harko, 2016]. The trajectory of a one-dimensional 94 system is Jacobi-stable when P is negative, and Jacobi-unstable when P is positive [Antonelli, & Bucataru, 95 2003; Sabău, 2005a,b]. For convenience, we refer to the system as being J-stable when P < 0, as J-unstable 96 when P > 0, and as J-neutral when P = 0. Moreover, we consider the nonlinear connection (4), as this 97 is also related to the stability of the system ([Yamasaki & Yajima, 2013, 2016]). The system is considered 98 to be N-stable when N > 0, as N-unstable when N < 0, and as N-neutral when N = 0. Around the 99 equilibrium points, J-stable and J-unstable correspond to a spiral and a node, respectively [Sabău, 2005a]. 100 N-stable and N-unstable correspond to linear stable and linear unstable, respectively ([Yamasaki & Yajima, 101 2013, 2016). For instance, J-stable and N-stable around the equilibrium point correspond to a stable spiral 102 (see [Yamasaki & Yajima, 2013] for more details). 103

¹⁰⁴ 2.2. Time-like potential and elementary catastrophe

The subject of KCC theory is a second-order ODE; thus, KCC theory cannot be applied to a first-order ODE. Antonelli *et al.*, (1993) introduced the production process concept, which enables the application of KCC theory to first-order ODEs such as the logistic equation. This second-order logistic equation has been applied to the real growth data of several species ([Antonelli, 1985; Antonelli *et al.*, 1993]), and to

evolution in biology([Antonalli *et al.*, 2019, 2021]). The similar formulation has been also used to study
transient behaviors during the production process, such as the effects of time feedback ([Hutchinson, 1948;
Wright, 1955]). Moreover, Yamasaki and Yajima (2017) applied this technique to typical one-dimensional
bifurcations described by first-order ODEs, such as saddle-node, transcritical, and pitchfork bifurcations,
to obtain the same bifurcation as the original equation. Yamasaki and Yajima (2020) focused on the jump
phenomenon (bifurcation), referred to as a catastrophic shift based on the time-like potential.

This paper defines x as a time-like potential of variable n. Time-like potentials do not always exist, 115 because their existence essentially depends on properties of n such as integrability. In this paper, the 116 variable n physically exists, whereas the time-like potential x is purely a mathematical construct. Therefore, 117 it would be appropriate to express the analytical results in terms of n, rather than the time-like potential 118 x. Specifically, this paper considers bifurcation stability, so it is appropriate to express the geometric 119 quantities related to stability in terms of n. As we will see in the later section, the nonlinear connection 120 related to N-stability and deviation curvature related to J-stability are expressed in terms of n in the last 121 step. Thus, the time-like potential can be used as part of the analytical process, but is not necessary for 122 the final interpretation of the bifurcation stability (see [Yamasaki & Yajima, 2020] for more details). 123

This paper applies the KCC theory to one-dimensional elementary catastrophe based on the time-like potential. We will show that the previous results can be obtained by an analysis based on the time-like potential. In addition, this approach allows for the analysis of non-equilibrium stability. Let us consider the dynamical system given by

$$\dot{n} = F(n),\tag{6}$$

where F is a function of n. This paper assumes that F and n satisfy the integrability conditions, so there is potential for f_c and x, as follows. In the elementary catastrophe, the potential function of the dynamical system, $f_c(n)$ is introduced as [Thom, 1972; Thompson, 1982]:

$$F = -\frac{\partial f_c}{\partial n} = -\partial_n f_c. \tag{7}$$

¹³¹ This paper uses the time-like potential defined by (e.g., [Antonelli *et al.*, 1993])

$$n = \frac{dx}{dt} = \dot{x}.$$
(8)

¹³² Therefore, this paper considers the equation:

$$\ddot{x} + \partial_n f_c(n) = 0. \tag{9}$$

That is, $g = \partial_n f_c(n)$ in the basic form of the KCC theory (1): $\ddot{x} + g = 0$. Therefore, the concrete forms of $f_c(n)$ give various differential geometrical quantities of the dynamical system. This paper considers the elementary catastrophe of one active variable given by the following, and the normal form [Thom, 1972; Poston, & Stewart, 1978]:

Fold:
$$f_c(n) = \frac{n^3}{3} + an,$$
(10)

Cusp :
$$f_c(n) = \frac{n^4}{4} + \frac{an^2}{2} + bn,$$
 (11)

Swallowtail:
$$f_c(n) = \frac{n^5}{5} + \frac{an^3}{3} + \frac{bn^2}{2} + cn,$$
 (12)

Butterfly:
$$f_c(n) = \frac{n^6}{6} + \frac{an^4}{4} + \frac{bn^3}{3} + \frac{cn^2}{2} + dn,$$
 (13)

where a, b, c and d are parameters. Since the fold, i.e., saddle-node bifurcation, has already been considered [Yamasaki & Yajima, 2017], this paper will consider other types, specifically, the cusp, swallowtail, and butterfly. In the variable dynamical system with the time-like potential, the non-linear connection (4), Berwald connection (5), and deviation curvature (3) can be simplified as follows [Yamasaki & Yajima, 2017]:

$$N = \frac{1}{2}\partial_{\dot{x}}g = \frac{1}{2}\partial_n g,\tag{14}$$

$$G = \partial_{\dot{x}} N = \partial_n N, \tag{15}$$

$$P = -Gg + N^2. ag{16}$$

For elementary catastrophe, the equation of the bifurcation curve is derived from the condition: $\partial_n f_c = 0$ and $\partial_n \partial_n f_c = 0$ [Thom, 1972]. From $g = \partial_n f_c$ and Eq. (14), this condition is expressed geometrically as

$$g = 0, N = 0.$$
 (17)

In this section, the bifurcation curve is derived by this condition: N-stability is neutral (N = 0) in equilibrium state (g = 0). The other quantities G and P are not relevant in this case. However, we derive them here as they are necessary for the non-equilibrium analysis discussed in the following section.

¹⁴⁷ First, we consider the cusp defined by Eq. (11). From $g = \partial_n f_c$, Eqs. (14), (15), and (16) give the ¹⁴⁸ following geometric quantities:

$$N = \frac{1}{2}(3n^2 + a),\tag{18}$$

$$G = 3n,\tag{19}$$

$$P = \frac{1}{4}(-3n^4 - 6an^2 - 12bn + a^2).$$
⁽²⁰⁾

Therefore, Eq. (17) gives the parametric equations of the bifurcation curve: $\gamma(n) = (b, a) = (2n^3, -3n^2)$. The curve has a cusp, as shown in the upper part of Fig. 1. The details of the figure are described in Section 2.3. Let us check the type of singular point with calculations. $d\gamma/dn|_{n=0} = \gamma'(0) = 0$ shows that the point n = 0 is the singular point. At the singular point, we obtain $\det(r'', r''') \neq 0$. This means that the type of singular point is cuspidal [Porteous, 2001; Izumiya *et al.*, 2016].

¹⁵⁴ Next, we consider the swallowtail defined by Eq. (12). The same calculation used for the cusp case ¹⁵⁵ gives

$$N = 2n^3 + an + \frac{1}{2}b,$$
 (21)

$$G = 6n^2 + a, (22)$$

$$P = -ac - 3an^4 + \frac{b^2}{4} - 4bn^3 - 6cn^2 - 2n^6.$$
 (23)

Equation (17) gives $b = -4n^3 - 2an$ and $c = 3n^4 + an^2$. Therefore, the bifurcation curve is a swallowtail type, as shown in the upper part of Fig. 2. Because the curve is recognized as the cross-section of the wave front $f(n, a) = (3n^4 + an^2, -4n^3 - 2an, a)$, we can obtain the identifier of the singularity from $\partial_n f \times \partial_a f$, as follows [Saji & Teramoto, 2020]: $\Lambda = 6n^2 + a$. At the singular point n = 0, we have $\partial_n \Lambda = 0$ and $\partial_n \partial_n \Lambda \neq 0$. This means that the type of singular point is swallowtail [Kokubo *et al.*, 2005; Fujimori *et al.*, 2008; Saji *et al.*, 2009; Izumiya *et al.*, 2010].



Fig. 1. Upper part shows the bifurcation curve with the cusp obtained by the condition g = 0, N = 0, i.e., the N-stability is neutral in the equilibrium state. By fixing the parameter, we obtain the lower part of the figure that shows the stable equilibrium curve (white solid line), the unstable equilibrium curve (white dotted line), and the KCC stability region described by the following gray-scale. The white region shows N-unstable and J-stable parts; the black region shows N-unstable and J-unstable parts; the light-gray region shows N-stable and J-stable parts; and the dark-gray region shows N-stable and J-unstable parts.

Finally, we consider the butterfly defined by Eq. (13). The same calculation used for the cusp case gives

$$N = \frac{1}{2} \left(3an^2 + 2bn + c + 5n^4 \right), \tag{24}$$

$$G = 10n^3 + 3an + b, (25)$$

$$P = \frac{1}{4} \left(3an^2 + 2bn + c + 5n^4 \right)^2 - \left(3an + b + 10n^3 \right) \left(an^3 + bn^2 + cn + d + n^5 \right).$$
(26)

Equation (17) gives $c = -3an^2 - 2bn - 5n^4$ and $d = n^2 (2an + b + 4n^3)$. Therefore, the bifurcation curve is of the butterfly type, as shown in the upper part of Fig. 3. The identifier of the singularity is given by $\Lambda = 10n^3 + b$ when $a \to 0, c \to -c$. This satisfies $\partial_n \Lambda(p) = \partial_n \partial_n \Lambda(p) = 0$ and $\partial_n \partial_n \Lambda(p) \neq 0$ at the singular point p, so the singular point is a butterfly type [Izumiya, & Saji, 2010; Izumiya *et al.*, 2010].

As mentioned above, geometrical quantities related to stability, such as N, G, and P, are expressed 168 in terms of n in the last step, so this paper uses the time-like potential as part of the analytical process. 169 However, this does not mean that the time-like potential is always a purely mathematical concept, even in 170 other analyses. Especially with unusual phenomena in which production processes (history) predominate, 171 it becomes an explicit quantity. For instance, if we consider the number of paleontological species as n_{i} 172 the number of fossils x observed in the stratum that formed during sedimentation is proportional to the 173 time integral of n (e.g., [Raup & Stanley, 1978]). The differential form of this relationship corresponds to 174 Eq. (8). Another example is the free rotation of a rigid body system, in which n corresponds to angular 175 velocities and x corresponds to the Euler angles; here, the general form of Eq. (8) reveals the non-holonomic 176 geometric structures of a rigid body system [Yajima et al., 2018]. 177



KCC analysis of the non-equilibrium singular point 7

Fig. 2. Upper part shows the bifurcation curve with the swallowtail. The lower part is obtained by fixing the parameter and shows the KCC stability.



Fig. 3. Upper part shows the bifurcation curve with the butterfly. The lower part is obtained by fixing the parameter and shows the KCC stability.

178 2.3. KCC stability of elementary catastrophe

The upper parts of Figs. 1-3 show bifurcation curves with singularities in elementary catastrophe. The 179 lower parts show the equilibrium curves (white solid and dotted lines) and the geometric stability in the 180 non-equilibrium region (gray-scale region). The white solid line shows the stable equilibrium, and the white 181 dotted line shows the unstable equilibrium. The white region shows N-unstable and J-stable parts; the black 182 region shows N-unstable and J-unstable parts; the light gray region shows N-stable and J-stable parts; and 183 the dark gray region shows N-stable and J-unstable parts. In the following paragraphs, we will look at the 184 details of each figure. As the equilibrium results are similar, the difference in singularity can be seen by 185 focusing on non-equilibrium stability, i.e., KCC analysis. 186

Figure 1 shows the bifurcation curve given by the set of coefficients (a, b) satisfying Eq. (17), which is confirmed to be cusp. The figure below shows the KCC stability of the cusp based on Eqs. (18) and (20).

Fixing b = -0.1 and crossing the cusp from bottom to top is the left side of the lower part of Fig. 1. This is 189 a typical example of an incomplete bifurcation, i.e., the equilibrium curve (white curve) has separated into 190 two parts. This separated region is the non-equilibrium region because it extends between the equilibrium 191 curves. Equations (18) and (20) allow us to express the stability of this separated region (non-equilibrium 192 region) as the gray scale. As the parameter a increases, a catastrophe occurs on the left side of the cusp 193 (dotted arrow). Fixing a = -1.5 and crossing the cusp from right to left is the right side of the lower part 194 of Fig. 1. Similarly, a catastrophe occurs on the left side of the cusp (dotted arrow). In both cases, the 195 dotted arrow goes from the black region through the dark gray region. This is a typical result of stability 196 change during the catastrophe process, as described in earlier works [Yamasaki & Yajima, 2020]. 197

Figure 2 shows the bifurcation curve given by the set of coefficients (b, c) satisfying Eq. (17), which is confirmed to be swallowtail (a < 0) and fold (a > 0). The figure below shows the KCC stability based on Eqs. (21) and (23). Fixing c = 0.4 and crossing the bifurcation curves, the equilibrium curve (white curve) shows a similar separation pattern for a < 0 (Fig. 2 left) and a > 0 (Fig. 2 right), but the stability of the non-equilibrium region (gray scale) is different.

Figure 3 shows the bifurcation curve given by the set of coefficients (d, c) satisfying Eq. (17), which is 203 confirmed to be butterfly. The figure below shows the KCC stability based on Eqs. (24) and (26). Since 204 b is bias parameter [Bröcker, & Lander, 1975], we set b = 0 for simplicity in Fig. 3. Moreover, since case 205 a > 0 is essentially the same as a cusp, we will only analyze case a < 0. The bending pattern of the 206 equilibrium curve in the lower left of Fig. 3 (c = -0.4) is typical in catastrophe phenomena. For instance, 207 the cusp in the lower right of Fig. 1 (a = -1.5) shows a similar bending pattern. However, the stability of 208 the non-equilibrium region, i.e., during the catastrophe process (dotted arrow), can vary as follows. The 209 arrow on the lower left of Fig. 3 (c = -0.4) passes through the white region. At the bottom center of Fig. 210 3 (c = 0.1), the arrow passes through the light gray region (N-stable and J-stable). It is interesting that 211 the most stable region exists in the non-equilibrium region. The bottom right of Fig. 3 (c = 0.3) shows a 212 pattern unique to butterfly, where two catastrophic shifts occur. This is different from the others, but the 213 non-equilibrium region is a typical pattern, i.e., transition from a black to dark-gray region. 214

215 2.4. Douglas tensor of elementary catastrophe

The analysis in the previous section shows that non-equilibrium stability during the catastrophic shift can vary, even though the pattern of the equilibrium curve is similar. Previous analyses have shown that the Douglas tensor is useful for describing stability changes during catastrophic shifts [Yamasaki & Yajima, 2020]; thus, here we apply the method to elementary catastrophe. We can see that just as KCC stability is represented by the combination of the non-linear connection N and deviation curvature P, changes in KCC stability during the shift are represented by the combination of the Berwald connection G and Douglas tensor D.

The catastrophic shifts shown in Figs. 1-3 occur in the vertical (*n*-axis direction); thus, it is necessary to consider the change in stability along the *n*-axis. Then, we consider the expression of P (J-stability) differentiated into *n* [Yamasaki & Yajima, 2020]:

$$\frac{dP}{dn} = D\frac{dn}{dt},\tag{27}$$

where D is the Douglas tensor [Douglas, 1927]. The Douglas tensor is one of the invariants of KCC theory (Douglas, 1927). In one-dimensional space, it is defined by $D = \partial_n G$; thus, combining Eqs. (1), (3), (4), and (5) gives Eq. (27). Because this contains dn/dt, it is essentially an equation for the non-equilibrium region. Geometrically, this equation shows that the Douglas tensor affects the deviation curvature during a catastrophic shift. In the one-dimensional case, $D = \partial_n G$, so calculating D for each catastrophe from (19), (22), and (25) gives

$$D_{\rm cusp} = 3,\tag{28}$$

$$D_{\rm swallowtail} = 12n,\tag{29}$$

$$D_{\text{butterfly}} = 30n^2 + 3a. \tag{30}$$

 $D_{\text{butterfly}}$ contains the parameter a. When a > 0 i.e., D > 0, it is the same as the cusp. When a < 0, 232 it can be factored as $D = 30(n + \sqrt{-a/10})(n - \sqrt{-a/10})$, such that dP/dn < 0 is valid. Therefore, even 233 if P > 0 at the start of the shift (i.e., equilibrium point), there can be regions where P < 0 during the 234 shift (i.e., non-equilibrium region/s). For instance, we see the shift of the lower left of Fig. 3, in which 235 c = -0.4, $d \approx -0.51$, and dn/dt > 0 (arrow direction). The calculations show that the range dP/dn < 0 is 236 -0.32 < n < 0.32 from $D \propto (n + 0.32)(n - 0.32)$, and the range P < 0 is 0.026 < n < 0.51 from Eq. (26). 237 In a similar fashion, the Berwald connection $G = \partial N / \partial n$ controls N-stability during catastrophic shifts. 238 In the case of butterfly without "bias" (i.e., b = 0), the Berwald connection is given by $G = 3an + 10n^3$. As 239 shown in the center of Fig. 3, when c = 0.1 and there is a shift, dn/dt > 0; thus, from the calculations, the 240 range $\partial N/\partial n > 0$ is -0.55 < n < 0 and n > 0.55 from $G \approx 10(n+0.55)n(n-0.55)$, and the range N > 0 is

thus, the light-gray region (N-stable and J-stable) can exist in the range 0.01 < n < 0.19. 243 As can be seen from Eqs. (28) and (29), the Douglas tensor for cusp and swallowtail are either constant 244 or linear in n, such that the stability pattern during a catastrophic shift is not as complicated as for the 245 butterfly above. 246

-0.19 < n < 0.19 and n > 0.75 from (24). An N-stable region exists in non-equilibrium, -0.19 < n < 0.19;

Non-equilibrium singular point of the Hill function 3. 247

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3.1. Bifurcation curve and differential geometrical quantities 248

In ecology, the logistic equation with the Hill function is a typical example of a catastrophe [Ludwig et 249 al., 1978; Scheffer et al., 2009; Strogatz, 2014]. KCC analysis, however, shows that its bifurcation curve 250 has different characteristics from the elementary catastrophe considered in Section 2, as shown below. As 251 mentioned in Section 2.2, this paper considers the following dynamical system: 252

$$\dot{n} + g = 0, \tag{31}$$

where $g = \partial_n f_c(n)$ with f_c as the potential function. The potential function of the logistic equation is 253 $(n^3 r)/(3K) - (n^2 r)/2$, and that of the Hill function is $n - \tan^{-1}(n)$ from $n^2/(1+n^2) = 1 - 1/(1+n^2)$. 254 Therefore, the potential function f_c of the logistic equation with the Hill function is given by 255

$$f_c = \frac{n^3 r}{3K} - \frac{n^2 r}{2} + n - \tan^{-1}(n)$$

In ecology, n, r, and K are all positive and correspond to biomass, the growth rate, and the carrying 256 capacity, respectively. The potential f_c has the following known form: 257

$$g = \partial_n f_c = -rn + \frac{r}{K}n^2 + \frac{n^2}{1+n^2}.$$
(32)

Therefore, Eqs. (14), (15), and (16) give the differential geometrical quantities of the system: 258

$$N = n\left(\frac{r}{K} + \frac{1}{\left(n^2 + 1\right)^2}\right) - \frac{r}{2},$$
(33)

$$G = \frac{r}{K} + \frac{1 - 3n^2}{\left(n^2 + 1\right)^3},\tag{34}$$

$$P = -\frac{n^3 r \left(4K + n^3 - 3n\right)}{K \left(n^2 + 1\right)^3} + \frac{3n^4}{\left(n^2 + 1\right)^4} + \frac{r^2}{4}.$$
(35)



Fig. 4. Two bifurcation curves with cusps obtained by the condition: N = 0, P = 0, i.e., the stability is neutral. The solid line is the equilibrium curve $(G|_{N_0P_0} \neq 0)$ given by Eq. (36). The dotted line is the non-equilibrium curve $(G|_{N_0P_0} = 0)$ given by Eq. (37).

Yamasaki and Yajima (2020) showed that when N = 0 and P = 0, i.e., the geometrical stability is neutral, we can obtain the parametric equations of the bifurcation curve. Let K, r that satisfies the conditions of N = 0 and P = 0 be K_0, r_0 . In this case, Eqs. (33) and (35) give the following two bifurcation curves:

$$\gamma(K_0, r_0) = \left(\frac{2n^3}{n^2 - 1}, \frac{2n^3}{(n^2 + 1)^2}\right),\tag{36}$$

or

$$\gamma(K_0, r_0) = \left(\frac{8n^3}{3n^2 - 1}, \frac{8n^3}{(n^2 + 1)^3}\right).$$
(37)

Figure 4 shows the parametric plots of Eqs. (36) and (37). The cusp is observed in both cases. The solid line given by Eq. (36) agrees with the known bifurcation curve (e.g., [Strogatz, 2014]). The dotted line given by Eq. (37) is the new bifurcation curve obtained from KCC analysis. Yamasaki and Yajima (2020) did not provide a sufficient reason for the existence of this new solution (37); thus, we consider it here. Based on this consideration, the concept of bifurcation curves in non-equilibrium states will be presented. This bifurcation curve has a singular point, which implies the existence of a singular point in the non-equilibrium state.

270 3.2. Non-equilibrium singular point

As described in Section 2.2, the bifurcation curve of the dynamical system can be derived by (17): g = 0, N = 0. From $\dot{n} + g = 0$, this gives $\dot{n} = 0$, i.e., an equilibrium state. Moreover, Eq. (16): $P = -Gg + N^2$ shows P = 0. Therefore, the bifurcation curve in equilibrium satisfies the following equation:

$$N = 0, P = 0. (38)$$

²⁷⁴ Conversely, let us consider the bifurcation curve starting from condition (38). From $P = -Gg + N^2$, this ²⁷⁵ means Gg = 0. Let the parametric set that satisfies the condition (38) be N_0P_0 . For instance, the compo-²⁷⁶ nents (K_0, r_0) in Eqs. (36) and (37) correspond to this. The equation Gg = 0 means three combinations:

$$g|_{N_0P_0} = 0, \ G|_{N_0P_0} \neq 0,$$
(39)

$$g|_{N_0P_0} \neq 0, \ G|_{N_0P_0} = 0,$$
(40)

$$g|_{N_0P_0} = G|_{N_0P_0} = 0. (41)$$

The relation (39) gives a known equilibrium bifurcation curve, as described earlier. In this case, the Berwald connection G is generally non-zero. When G vanishes, the relation (40) holds and gives another bifurcation curve. Since $g \neq 0$ means $\dot{n} \neq 0$, this is in the non-equilibrium state. The relation (41) does not hold in general, but is valid at the equilibrium singular point. Equations (40) and (42) describe the geometric relation for the usual singularity in the equilibrium state, while Eq. (41) pertains to the non-equilibrium states. We cannot discuss non-equilibrium singularities without considering the Berwald connection introduced by KCC theory.

Let us check the above results using the Hill function. The substitution of the parametric set satisfies (38), i.e., the components of (36) into (32) and (34) gives the relation (39):

$$g|_{K_0,r_0} = 0, \quad G|_{K_0,r_0} = \frac{n^2 (n^2 - 3)}{(n^2 + 1)^3}.$$
 (42)

Since $\dot{n} = 0$ from $\dot{n} + g = 0$, it is confirmed that the known solution (36) is the bifurcation curve in equilibrium. The substitution of the components of (37) into (32) and (34) gives the relation (40):

$$g|_{K_0,r_0} = \frac{n^4 \left(n^2 - 3\right)}{\left(n^2 + 1\right)^3}, \quad G|_{K_0,r_0} = 0.$$
(43)

Since $\dot{n} \neq 0$ in general, the new solution (37) is the bifurcation curve in non-equilibrium. Thus, the condition N = 0, P = 0 in the KCC theory encompasses not only the known equilibrium bifurcation curve (36), but also the non-equilibrium bifurcation curve (37). As can be seen from Fig. 4, the bifurcation curve (36) and (37) are tangent at the equilibrium singular point $n = \sqrt{3}$. At the point, $G|_{K_0,r_0}$ in (42) and $g|_{K_0,r_0}$ in (43) become zero, such that the relation (41) holds.

Let us consider the condition under which two bifurcation curves can be obtained, such as (36) and 293 (37). Two equations for the bifurcation curve require a quadratic equation for the coefficients. Since the 294 nonlinear connection is linear with respect to g from $N = (1/2)\partial_n g$, the equation N = 0 does not correspond 295 to this. On the other hand, from $P = -Gg + N^2$, the deviation curvature contains a nonlinear term, so 296 the equation P = 0 is needed for two bifurcation curves. Moreover, the coefficients of the variables are 297 important because they remain after differentiation by n. In other words, when considering the coefficients 298 of the constant term, only one bifurcation curve is obtained (examples will be given in Section 4). In the 299 case of the Hill function, the relation (40) can be obtained because Eq. (32) shows that all of the coefficients 300 K, r are on the variable n. Usually, if there is a single bifurcation curve, it is an equilibrium one. 301

³⁰² 3.3. Cuspidal curvature of a non-equilibrium singular point

In Fig. 4, both equilibrium and non-equilibrium singular points appear to be cusps. This can be confirmed using the discriminant condition [Porteous, 2001]. The results of this calculation can be used to estimate curvature at the singular point [Umehara, 2011; Saji *et al.*, 2010; Shiba & Umehara, 2012]. This is useful for quantifying the differences between equilibrium and non-equilibrium cusps.

First, we consider the equilibrium bifurcation curve (36). The derivative gives

$$\frac{d\gamma}{dn} = \gamma' = \left\{ \frac{2n^2 \left(n^2 - 3\right)}{\left(n^2 - 1\right)^2}, -\frac{2n^2 \left(n^2 - 3\right)}{\left(n^2 + 1\right)^3} \right\}.$$
(44)

This is zero at $n = \sqrt{3}$ in n > 0, so it is the singular point. Moreover, $det(\gamma'', \gamma''')$ gives

$$-\frac{\frac{4n(n^2+3)}{(n^2-1)^3}}{\frac{12(n^4+6n^2+1)}{(n^2-1)^4}} - \frac{\frac{12(n^6-15n^4+15n^2-1)}{(n^2+1)^5}}{(n^2+1)^5} = \frac{192n^3\left(3n^6-7n^4-27n^2+15\right)}{(n^2-1)^4\left(n^2+1\right)^5}.$$
(45)

This is not zero at $n = \sqrt{3}$. Since $\gamma' = 0$ and $\det(\gamma'', \gamma''') \neq 0$ at the singular point, the discriminant condition shows that the type of equilibrium singular point is cusp [Porteous, 2001; Izumiya *et al.*, 2016]. In a similar fashion, the non-equilibrium bifurcation curve (37) gives γ' and $\det(\gamma'', \gamma''')$ as follows:

$$\left\{\frac{24n^2\left(n^2-1\right)}{\left(1-3n^2\right)^2}, -\frac{24n^2\left(n^2-1\right)}{\left(n^2+1\right)^4}\right\},\tag{46}$$

$$\begin{vmatrix} \frac{48(n^3+n)}{(3n^2-1)^3} & \frac{48(2n^5-5n^3+n)}{(n^2+1)^5} \\ -\frac{48(9n^4+18n^2+1)}{(1-3n^2)^4} & -\frac{48(10n^6-45n^4+24n^2-1)}{(n^2+1)^6} \end{vmatrix} = -\frac{9216n^3\left(3n^6-34n^4+49n^2-10\right)}{\left(1-3n^2\right)^4\left(n^2+1\right)^5}.$$
(47)

At the singular point n = 1 ($\gamma' = 0$), we have det(γ'', γ''') $\neq 0$. Therefore, the non-equilibrium singular point is also a cusp.

From the above calculations, it is confirmed using the Hill function that both the equilibrium and nonequilibrium singular points are cusp type. Of course, this has only been confirmed with the Hill function; in general, it is possible to have different types of singular points (e.g., swallowtail or butterfly). In fact, examples of this are provided in the next section.

Catastrophe theory, which was introduced in the 1960s, has influenced various research areas (e.g., 318 singularity theory). Recently, Umehara (2011) introduced the new concept of singular curvature at the 319 cusp. Saji et al. (2010) considered cuspidal curvature based on the duality between singular points and 320 inflection points. According to recent studies, we can characterize the system in terms of curvature even 321 at the singular point [Umehara, 2011; Saji et al., 2010; Shiba & Umehara, 2012]. Thus, we estimate the 322 curvature of the two singular points in Fig. 4 and show that they are the same in terms of quality (both 323 cusp) but differ in quantity. When the curve $\gamma(n)$ has a cusp at n = c, the cuspidal curvature is defined as 324 [Saji et al., 2010; Shiba & Umehara, 2012] : 325

$$\mu = \frac{\det(\gamma''(c), \gamma'''(c))}{|\gamma''(c)|^{5/2}}.$$
(48)

Since det $(\gamma'', \gamma'') \neq 0$ at the cusp, the cuspidal curvature is always non-zero. If the sign of the curvature is positive (negative), it is called zig (zag), and the bifurcation curve turns to the right (left) of the growth direction at the singular point (Fig. 4.1 in [Saji *et al.*, 2010]). The sign is invariant under an orientation preserving diffeomorphism of the plane [Shiba & Umehara, 2012]. That is, the sign changes when the orientation of the curve is reversed. The magnitude of the curvature indicates the degree of opening of the cusp. The number $(1/\mu)^2$ is called the cuspidal curvature radius, which corresponds to the radius of the best approximating cycloid at the cusps ([Saji *et al.*, 2010; Shiba & Umehara, 2012]).

³³³ Using the results of Eqs. (45) and (47), Eq. (48) indicates that the cuspidal curvature of the equilibrium ³⁴⁴ singular point is about -0.047 and that of the non-equilibrium singular point is about -0.27. The difference ³³⁵ in magnitude of cuspidal curvature reflects the difference in the degree of the opening of the two bifurcation ³⁴⁶ curves, as shown in Fig. 4. This enclosed area is the so-called bistable state, where rapid changes in biomass ³⁴⁷ occur [Ludwig *et al.*, 1978; Scheffer et al., 2009; Strogatz, 2014]. Thus, this phenomenon occurs over a wider ³⁴⁸ range of parameters in the non-equilibrium regime. In this way, calculation of the cuspidal curvature at ³⁴⁹ the singular point is useful for identifying the range of bistable states in parametric space.

As mentioned above, studies of singular curvature are mainly mathematical. Therefore, it is useful to 340 show that singular curvature is also applicable to natural science and gives an important perspective. For 341 instance, the range of bistable states of the ecosystem, and usually changes with the form of the equation. 342 However, the results of this paper show that the cusp curvature of the non-equilibrium state is larger than 343 that of the equilibrium state. Thus, the range of bistable states is increased simply by shifting the system 344 from an equilibrium state to a non-equilibrium state, even if the equation itself does not change. It has 345 long been accepted that actual ecosystems may be in a state of non-equilibrium [Pickett, 1980; Sprugel, 346 1991; Mori, 2011]; thus, singularity curvature is useful for interpreting the diversity of ecosystems. 347

In the above, only cuspidal curvature is considered; however, there are various geometric invariants that characterize a singular point, such as cusp-directional torsion, singular curvature, and so on[Saji *et al.*, 2009; Hasegawa *et al.*, 2015; Martins, & Saji, 2016; Martins *et al.*, 2016]. Based on these geometric quantities, a more detailed analysis of the singular point of the ecosystem is a future research target.

³⁵² 4. Non-equilibrium singular point of elementary catastrophe

In Section 2, we analyzed the singular points of elementary catastrophe from the geometrical expression 353 of known viewpoints as (17): g = 0, N = 0, i.e., the N-stability is neutral in equilibrium. In this section, 354 we reanalyze it from the viewpoint obtained in Section 3 as (38): N = 0, P = 0, i.e., stability is neutral. 355 The latter viewpoint does not include q; thus, it does not matter whether it is in equilibrium or not. 356 Since the bifurcation curve is related to linear stability, the neutral condition for N-stability (N = 0) is 357 necessary for both viewpoints. Moreover, one more equation is needed to obtain two variables describing 358 the bifurcation curve. The previous analysis used the equilibrium state (g = 0), whereas here we use the 350 J-stability (P = 0). Since the deviation curvature P is introduced by KCC theory, the theory is useful for 360 considering the non-equilibrium singular point. 361

362 4.1. Cusp

We will show that the normal form of a cusp contains only an equilibrium singular point. The dynamical system with a cusp is $\dot{n} + g = 0$ with

$$g = n^3 + an + b. \tag{49}$$

Thus, as obtained in Section 2.2, the differential geometric quantities of cusp can be obtained from equations (14), (15), and (16). For convenience, we redescribe the results:

$$N = \frac{1}{2}(3n^2 + a), \quad G = 3n, \quad P = \frac{1}{4}(-3n^4 - 6an^2 - 12bn + a^2). \tag{50}$$

Therefore, the solutions (a_0, b_0) of (38): N = 0, P = 0, give the following bifurcation curve:

$$\gamma(a_0, b_0) = (-3n^2, 2n^3). \tag{51}$$

This is the known equilibrium bifurcation curve of cusp (Fig. 1). In fact, from (51), $g|_{a_0,b_0} = 0$ and G = 3nis generally non-zero, so the relation (39) is satisfied.

370 4.2. Swallowtail

The dynamical system with swallowtail is $\dot{n} + g = 0$ with

$$g = n^4 + an^2 + bn + c. (52)$$

³⁷² We redescribe the differential geometric quantities of swallowtail obtained in Section 2.2:

$$N = 2n^{3} + an + \frac{1}{2}b, \quad G = 6n^{2} + a, \quad P = -ac - 3an^{4} + \frac{b^{2}}{4} - 4bn^{3} - 6cn^{2} - 2n^{6}.$$
 (53)

Therefore, from the solutions (b_0, c_0) of (38),

$$\gamma(b_0, c_0) = (-2(an+2n^3), n^2(a+3n^2)).$$
(54)

This gives the known equilibrium bifurcation curve of swallowtail (Fig. 2). From $g|_{b_0,c_0} = 0, G|_{b_0,c_0} = 6n^2 + a$, the relation (39) is satisfied. In the (b,c)-space of swallowtail, the identifier of singularity Λ is equal to

the Berwald connection G, so the vanishing condition $\Lambda = 0$ at the equilibrium singular point corresponds to the relation (41). In this case, the condition of discrimination (differentiation of Λ) is related to the Douglas tensor.

Next, we consider the solutions (a_0, c_0) of (38):

$$\gamma(a_0, c_0) = \left(\frac{-b - 4n^3}{2n}, \frac{1}{2}\left(2n^4 - bn\right)\right).$$
(55)

Since $g|_{a_0,c_0} = 0, G|_{a_0,c_0} = 4n^2 - b/2n$, this also gives the equilibrium bifurcation curve.

Finally, we consider that the combination does not contain a coefficient of the constant term: c, that is, (a, b). In this case, we can obtain two solutions of Eq. (38):

$$\gamma(a_0, b_0) = \left(-6n^2, 8n^3\right),\tag{56}$$

$$\gamma(a_0, b_0) = \left(\frac{c - 3n^4}{n^2}, \frac{2(n^4 - c)}{n}\right).$$
(57)

The curve (56) gives $g|_{a_0,b_0} = c + 3n^4$, $G|_{a_0,b_0} = 0$, i.e., the relation (40), so it is a non-equilibrium one. Therefore, the point n = 0 is a non-equilibrium cusp. The curve (57) gives $g|_{a_0,b_0} = 0$, $G|_{a_0,b_0} = (c+3n^4)/n^2$, i.e., the relation (39); thus, it is an equilibrium one.

386 4.3. Butterfly

³⁸⁷ The dynamical system with butterfly is $\dot{n} + g = 0$ with

$$g = n^5 + an^3 + bn^2 + cn + d.$$
(58)

³⁸⁸ We redescribe the differential geometric quantities of butterfly obtained in Section 2.2:

$$N = \frac{1}{2} \left(3an^2 + 2bn + c + 5n^4 \right), \tag{59}$$

$$G = 10n^3 + 3an + b, (60)$$

$$P = \frac{1}{4} \left(3an^2 + 2bn + c + 5n^4 \right)^2 - \left(3an + b + 10n^3 \right) \left(an^3 + bn^2 + cn + d + n^5 \right).$$
(61)

Therefore, the solutions (c_0, d_0) of (38) give

$$\gamma(c_0, d_0) = \left(-3an^2 - 2bn - 5n^4, n^2\left(2an + b + 4n^3\right)\right).$$
(62)

This gives the known equilibrium bifurcation curve for a butterfly type (Fig. 3). In fact, this gives $g|_{c_0,d_0} = 0$, $G|_{c_0,d_0} = 10n^3 + 3an + b$. As in the swallowtail case, the identifier of singularity in (c, d)-space is equal to

³⁹² the Berwald connection.

In the following, we consider combinations that do not contain a coefficient of the constant term: d. The solutions (a_0, b_0) of (38) give the equilibrium curve $(g|_{a_0,b_0} = 0, G|_{a_0,b_0} = (3d + cn + 3n^5)/n^2)$:

$$\gamma(a_0, b_0) = \left(\frac{cn + 2d - 3n^5}{n^3}, \frac{-2cn - 3d + 2n^5}{n^2}\right),\tag{63}$$

and the non-equilibrium curve $(g|_{a_0,b_0} = (3d + cn + 3n^5)/3, G|_{a_0,b_0} = 0)$:

$$\gamma(a_0, b_0) = \left(\frac{c - 15n^4}{3n^2}, \frac{5n^4 - c}{n}\right).$$
(64)



Fig. 5. Bifurcation curves for the combination of parameters do not contain a coefficient for the constant term d. The upper part is the parametric space (a_0, b_0) , the middle part is (a_0, c_0) , and the lower part is (b_0, c_0) . In each space, the solid line is the equilibrium bifurcation curve, and the dotted line is the non-equilibrium one.

For instance, if we consider the case of c = -0.4, d = 1 (upper of Fig. 5), the equilibrium curve (solid line) gives one cusp, whereas the non-equilibrium curve (dotted line) gives two cusps. The solutions (a_0, c_0) of (38) give the equilibrium curve $(g|_{a_0,c_0} = 0, G|_{a_0,c_0} = -b/2 + 3d/2n^2 + 4n^3)$:

$$\gamma(a_0, c_0) = \left(\frac{-bn^2 + d - 4n^5}{2n^3}, \frac{-bn^2 - 3d + 2n^5}{2n}\right),\tag{65}$$

and the non-equilibrium curve $(g|_{a_0,c_0} = (2/3)n^2(-b/2 + 3d/2n^2 + 4n^3), G|_{a_0,c_0} = 0)$:

$$\gamma(a_0, c_0) = \left(\frac{-b - 10n^3}{3n}, 5n^4 - bn\right).$$
(66)

For instance, if we consider the case of b = -2, d = 1 (middle of Fig. 5), both curves (solid line and dotted line) have one cusp. The solutions (b_0, c_0) of (38) give the equilibrium curve $(g|_{b_0,c_0} = 0, G|_{b_0,c_0} = 401)$ $d/n^2 + an + 6n^3$):

$$\gamma(b_0, c_0) = \left(\frac{-2an^3 + d - 4n^5}{n^2}, \frac{an^3 - 2d + 3n^5}{n}\right),\tag{67}$$

and the non-equilibrium curve $(g|_{b_0,c_0} = n^2(d/n^2 + an + 6n^3), G|_{b_0,c_0} = 0)$:

$$\gamma(b_0, c_0) = (-3an - 10n^3, 3(an^2 + 5n^4)).$$
(68)

For instance, if we consider the case of a = -1, d = 1 (lower part of Fig. 5), the equilibrium curve (solid line) gives one cusp and the non-equilibrium curve (dotted line) gives swallowtail.

The above results show that although the equilibrium bifurcation curve has one cusp regardless of 405 the parameters, the non-equilibrium bifurcation curve shows singular points that vary depending on the 406 parameters. This implies that the non-equilibrium singular points clarify the properties of catastrophe in 407 each parametric space. Moreover, bifurcation curves with non-equilibrium singular points are expected to 408 produce a diverse range of dynamical phenomena in nature. Usually, qualitative changes in the singularity 409 are accompanied by changes in the parameters. The lower part of Fig. 5 shows that there are cases where 410 the type of singularity changes from cusp to swallowtail, simply by changing from equilibrium to non-411 equilibrium, even if the parameters do not change. Although singularity analysis is often performed near 412 the equilibrium point, this result indicates that non-equilibrium analysis, i.e. KCC theory, provides a useful 413 perspective for analyzing singularity theory. 414

415 5. Conclusions

⁴¹⁶ Our main conclusions are as follows.

- (1) KCC theory is applied to three kinds of singularities in elementary catastrophe theory; these singularities show various stabilities in the non-equilibrium region (Sections 2.2 and 2.3; Figs. 1 3). Although the equilibrium curves of the cusp and butterfly show the same bending type, the change in stability during the shift (i.e., the non-equilibrium state) is different (Section 2.4. Table 1). Therefore, the differences in singularities become clearer when the analysis focuses on non-equilibrium stability, i.e., KCC analysis. Additionally, not only the stabilities, but also their changes during the shift, can be described by the basic geometric quantities in KCC theory (Table 2).
- (2)We have shown that the typical bifurcation curve can be derived from the neutrality of N-stability 424 in equilibrium (Section 2.2). We have also derived new types of bifurcation curves by considering the 425 neutrality of both J-stability and N-stability (Sections 3.1 and 3.2). Because P contains a nonlinear 426 term, its neutral condition is quadratic, so two bifurcation curves can be derived. In this case, a non-427 equilibrium bifurcation curve is derived from the vanishing condition of the Berwald connection (Table 428 3). This curve contains a singularity, suggesting the existence of a non-equilibrium singularity. Given 429 that J-stability is unique to KCC theory, this result implies that KCC theory is useful for extending 430 the singularity theory to non-equilibrium fields. Just as singularities are closely related to ordinary 431 bifurcations, non-equilibrium singularities are closely related to non-equilibrium bifurcations. 432
- (3) In the case of Hill functions, the cuspidal curvature of a non-equilibrium singular point is larger than
 that of an equilibrium singular point (Section 3.3). Biologically, this is interpreted as follows: the range
 of bistability of the ecosystem in the non-equilibrium state is greater than that in the equilibrium
 state. This means that the range of bistable states increases as the system shifts from equilibrium to
 non-equilibrium, even if the equation itself does not change (Fig. 4). Since the ecosystem is sometimes
 in non-equilibrium, calculation of the curvature of each singularity (equilibrium and non-equilibrium)
 is useful for understanding the diversity in nature.
- (4) The singular points in equilibrium and non-equilibrium bifurcation curves are not necessarily the same
 (Section 4, Fig. 5). For instance, in the case of a butterfly type, the former is always a cusp, whereas the

latter varies depending on the parametric space. This means that even if the parameters do not change,
there are cases where the type of singularity changes when the system shifts from an equilibrium to nonequilibrium state (Table 4). Therefore, the existence of non-equilibrium singular points may produce
a diverse range of dynamics.

Table 1. Stabilities of singular points. Abbreviations are defined as follows. WH: N-unstable & J-stable parts; BL: N-unstable & J-unstable parts; LG : N-stable & J-stable parts; and DG: N-stable & J-unstable parts.

	Equilibrium stability	Non-equilibrium stability during the shift
Cusp	Bending type	From BL to DG
Butterfly	Bending type	From BL to DG via WH and LG

Table 2. Stabilities and geometric quantities in KCC theory.

	Stability	Stability change during the shift
N-stability	Non-linear connection N	Berwald connection B
J-stability	Deviation curvature P	Douglas tensor D

Table 3. Types of bifurcation curves and their conditions in KCC theory.

	Equilibrium curve	Non-equilibrium curve
g=0, N=0	Always	NA
N = 0, P = 0	$G_{N_0P_0} \neq 0$	$G_{N_0P_0} = 0$

Table 4. Singular points in equilibrium and non-equilibrium curves considered in this paper.

	Equilibrium singular points	Non-equilibrium singular points
Cusp	Cusp	NA
Swallowtail	Swallowtail, Cusp	Cusp
Butterfly	Butterfly, Cusp	Swallowtail, Cusp

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