



Albumin-Derived NLR Score is a Novel Prognostic Marker for Esophageal Squamous Cell Carcinoma

Abe, Tomoki ; Oshikiri, Taro ; Goto, Hironobu ; Kato, Takashi ; Horikawa, Manabu ; Sawada, Ryuichiro ; Harada, Hitoshi ; Urakawa, Naok...

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1 Albumin-derived NLR score is a novel prognostic marker for esophageal squamous cell
2 carcinoma

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4 **Short running head:** Alb-dNLR for esophageal carcinoma

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6 Tomoki Abe, MD¹, Taro Oshikiri, MD¹, Hironobu Goto, MD¹, Takashi Kato, MD¹,

7 Manabu Horikawa, MD¹, Ryuichiro Sawada, MD¹, Hitoshi Harada, MD¹, Naoki

8 Urakawa, MD¹, Hiroshi Hasegawa, MD¹, Shingo Kanaji, MD¹, Kimihiro Yamashita,

9 MD¹, Takeru Matsuda, MD², and Yoshihiro Kakeji, MD¹

10

11 ¹Division of Gastrointestinal Surgery, Department of Surgery, Graduate School of

12 Medicine, Kobe University, 7-5-2, Kusunoki-cho, Chuo-ku, Kobe, Hyogo, 650-0017,

13 Japan

14 ² Division of Minimally Invasive Surgery, Department of Surgery, Graduate School of

15 Medicine, Kobe University, 7-5-2, Kusunoki-cho, Chuo-ku, Kobe, Hyogo, 650-0017,

16 Japan

17

18 **Address correspondence and reprint requests to:**

19 Taro Oshikiri, MD, Division of Gastrointestinal Surgery, Department of Surgery,

20 Graduate School of Medicine, Kobe University, 7-5-2, Kusunoki-cho, Chuo-ku, Kobe,

21 Hyogo, 650-0017, Japan

22 Telephone: 81-78-382-5925

23 Fax: 81-78-382-5939

24 E-mail: oshikiri@med.kobe-u.ac.jp

1

2 Co-authors:

3 Taro Oshikiri MD oshikiri@med.kobe-u.ac.jp

4 Hironobu Goto MD hirogoto@med.kobe-u.ac.jp

5 Takashi Kato MD kato0215@med.kobe-u.ac.jp

6 Manabu Horikawa MD horikawa@med.kobe-u.ac.jp

7 Ryuichiro Sawada MD rsawada@med.kobe-u.ac.jp

8 Hitoshi Harada MD htharada@med.kobe-u.ac.jp

9 Naoki Urakawa MD urakawa@med.kobe-u.ac.jp

10 Hiroshi Hasegawa MD hasega@med.kobe-u.ac.jp

11 Shingo Kanaji MD, PhD kanashin@med.kobe-u.ac.jp

12 Kimihiro Yamashita MD, PhD kiyama@med.kobe-u.ac.jp

13 Takeru Matsuda MD, PhD tmatsuda@med.kobe-u.ac.jp

14 Yoshihiro Kakeji MD, PhD kakeji@med.kobe-u.ac.jp

15

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18

1 **Synopsis**

2 The albumin-dNLR score is a combination of nutritional and inflammatory status.

3 We found that the albumin-dNLR score was an independent poor prognostic factor for
4 overall survival and cancer-specific survival in esophageal squamous cell carcinoma
5 patients.

6

1 Abstract

2 Background

3 Multidisciplinary treatment for esophageal squamous cell carcinoma (ESCC) has
4 improved outcomes, but the prognosis for ESCC remains poor. Nutritional and inflammatory
5 indicators were reported to be associated with cancer prognosis. The combination of albumin
6 and the derived neutrophil-to-lymphocyte ratio (Alb-dNLR) score was established for
7 measuring the immune system and nutritional status. We hypothesized that the Alb-dNLR
8 score could be a new reliable prognostic factor for ESCC patients.

9 Methods

10 We evaluated 269 patients who underwent esophagectomy between April 2010 and
11 March 2018, including 185 patients who received neoadjuvant chemotherapy. The Alb-dNLR
12 score was calculated using serum albumin and the dNLR. The dNLR was calculated as
13 neutrophils to (leukocyte-neutrophil count). The cutoff value of the albumin and dNLR for
14 overall survival (OS) were determined using receiver operating characteristic curve. Patients
15 were divided into “high” and “low” groups according to the Alb-dNLR score.

16 Results

17 A high Alb-dNLR score was found in 61 cases (22.7%). The 5-year OS was 34.0%
18 in the high Alb-dNLR group and 66.2% in the low Alb-dNLR group ($p < 0.0001$). The 5-
19 year cause-specific survival (CSS) was 51.5% in the high Alb-dNLR group and 74.7% in
20 the low Alb-dNLR group ($p < 0.0001$). Multivariate analyses demonstrated that the Alb-
21 dNLR score was an independent prognostic factor for OS (hazard ratio [HR], 2.198; 95%
22 confidence interval [CI], 1.460–3.263; $p = 0.0002$) and CSS (HR, 1.733; 95% CI, 1.035–
23 2.835; $p = 0.0371$).

24 Conclusions

1 The Alb-dNLR score is an extremely useful, easy-to-use parameter to predict OS
2 and CSS in ESCC patients.
3

1 **Introduction**

2 Esophageal cancer ranks 10th in incidence and is the 6th most common cause of
3 cancer-related deaths.¹ In East Asian countries, the major histologic type is esophageal
4 squamous cell carcinoma (ESCC). Although multidisciplinary treatment has improved
5 outcomes, the prognosis for ESCC remains poor. Identification of prognostic factors in
6 ESCC patients is necessary to define better treatment strategies.

7 Nutrition and inflammation play an important role in cancer progression and
8 prognosis. Previous reports have revealed that various biomarkers targeting nutrition and
9 inflammation were associated with prognosis for ESCC patients. Systemic inflammatory
10 response biomarkers, such as the neutrophil-to-lymphocyte ratio (NLR), platelet-to-
11 lymphocyte ratio (PLR), lymphocyte-to-monocyte ratio (LMR),²⁻⁵ and systemic immune-
12 inflammation index (SII),⁶ have been used to evaluate the systemic inflammatory response
13 and predict cancer prognoses in esophageal cancer. Nutritional status has also been a
14 prognostic biomarker for esophageal cancer. Indicators that combine inflammation and
15 nutrition markers (e.g., serum albumin and total cholesterol levels) have been reported
16 useful for predicting cancer prognosis. The prognostic nutritional index (PNI),^{6,7} modified
17 Glasgow prognostic score (mGPS),⁸⁻¹⁰ controlling nutritional status (CONUT) score,¹¹ C-
18 reactive protein-to-albumin ratio (CAR),¹² and fibrinogen and albumin score (FA score),¹³
19 although all useful, are complicated to calculate. The derived NLR (dNLR) was reported
20 as a simpler indicator than the NLR, calculated with the total leukocyte and neutrophil
21 count. Like the NLR, the dNLR has been reported to be a prognostic biomarker for various
22 cancers.¹⁴ The albumin-dNLR (Alb-dNLR) score, consisting of the serum albumin value
23 and the dNLR, was established for measuring the immune system and nutritional status.¹⁴
24 The Alb-dNLR score was reported as a useful marker to estimate disease activity in

1 rheumatoid arthritis.¹⁵ However, the potential diagnostic value of the Alb-dNLR score for
2 ESCC patients remains unclear.

3 This study aimed to evaluate whether the Alb-dNLR score is associated with patient
4 prognosis in ESCC.

5 6 **Methods**

7 ***Patients***

8 A total of 319 patients who underwent esophagectomy for thoracic ESCC
9 between April 2010 and March 2018 at our institute were evaluated. Patients with distant
10 metastases, neoadjuvant chemoradiation therapy, salvage surgery, and missing records
11 were excluded. Finally, 269 patients were analyzed. The diagnosis of esophageal cancer
12 was based on a biopsy analysis before surgery or neoadjuvant chemotherapy (nCT). All
13 cases were staged according to the 8th version of the TNM staging system for ESCC of
14 the American Joint Committee on Cancer and the Union for International Cancer
15 Control.¹⁶

16

17 ***Treatment strategy***

18 At our institute, a cisplatin/5-fluorouracil (CF) nCT regimen was administered for
19 patients, excluding clinical T1, N0, M0 status. The CF regimen consisted of 800 mg/m²
20 of 5-fluorouracil provided as a continuous 24-h intravenous infusion and 80 mg/m² of
21 intravenous cisplatin on days 1–5. Esophagectomies were performed after two cycles of
22 nCT.

23

24 ***Data collection and definition***

1 Blood samples for total white blood cell count, neutrophil count, albumin levels,
2 and others were obtained at the first doctor visit. Clinicopathological data (age, gender,
3 postoperative complications, etc.) were obtained from the patients' medical records.
4 Complications, such as pneumonia and anastomotic leakage, were evaluated according to
5 the Clavien–Dindo classification system.¹⁷ All data were extracted from a registered
6 database. This study was approved by the Institutional Review Board and Ethics
7 Committee of Kobe University.

8

9 *dNLR and Alb-dNLR score*

10 The dNLR was calculated using the formula: neutrophil count/(leukocyte count –
11 neutrophil count), as previously reported.¹⁴ The serum albumin and dNLR cutoff values
12 were determined using receiver operating characteristics (ROC) analysis.^{18,19} The Alb-
13 dNLR score was classified into three groups: Alb-dNLR score 2 for patients with both
14 low albumin and high dNLR, score 1 for patients with either of the two abnormalities,
15 and score 0 for patients with a high albumin level and low dNLR.¹⁵

16

17 *Statistical analysis*

18 Categorical variables were compared using the chi-square test. Continuous
19 variables were compared using Student's t-test or the Kruskal–Wallis H nonparametric
20 test, as appropriate. We generated survival curves based on the Alb-dNLR score using the
21 Kaplan–Meier method and compared the results with the log-rank test. Univariate and
22 multivariate analyses using Cox proportional hazards regression models were performed
23 to identify independent prognostic factors for overall survival (OS) and cause-specific
24 survival (CSS). The optimal cutoff values of the continuous variables were determined

1 using ROC analysis, if necessary. All analyses were conducted with the JMP 13 software
2 program (SAS Institute, Cary, NC, USA). Any variable deemed significant ($p < 0.05$) in
3 the univariate analysis was a candidate for multivariate analysis. The statistical
4 significance was defined as a p -value < 0.05 .

5 6 **Results**

7 *Patient characteristics*

8 A total of 269 patients from our database were included; 233 patients were men
9 and 36 were women with a median age of 67 years (range, 27–82). Preoperative therapy
10 was performed in 185 cases (68.8%). On the basis of the ROC analysis, the cutoff value
11 of serum albumin was 4.0 g/dL, and the area under the curve (AUC) was 0.65 for OS (p
12 < 0.0001 , Figure 1a). The cutoff value of the dNLR was 1.48, and the AUC was 0.57 for
13 OS ($p = 0.1210$, Figure 1b). The cutoff value of the Alb-dNLR score was 1, and the AUC
14 was 0.66 for OS ($p < 0.0001$, Figure 1c). Thus, patients were divided into two groups
15 based on the Alb-dNLR score classification (score 2 vs. 0 or 1). We designated an Alb-
16 dNLR score of 2 as the “high Alb-dNLR score group” and an Alb-dNLR score of 0 or 1
17 as the “low Alb-dNLR score group.” A high Alb-dNLR score was found in 61 cases
18 (22.7%). The clinical characteristics of the two patient groups are summarized in Table 1.
19 There was a significant difference between the two groups in age ($p = 0.0015$),
20 pathological T ($p = 0.0004$), and pathological N ($p = 0.0337$) (Table 1).

21

22 *Correlations between the Alb-dNLR score, OS, and CSS*

23 Kaplan–Meier curves of OS and CSS between patients with high and low Alb-
24 dNLR scores were compared. All pathological stages, including patients with

1 pathological stage 0–II (n = 178, 66.2%) and pathological stage III (n = 91, 33.8%)
2 ESCC, were evaluated. The median follow-up period was 49 (range, 1–130) months.
3 Among all patients, the 1-, 3-, and 5-year OS rates in the high Alb-dNLR score group
4 were 73.3%, 36.2%, and 34.0%, respectively. The 1-, 3-, and 5-year OS rates in the low
5 Alb-dNLR score group were 91.3%, 73.2%, and 66.2%, respectively. Significant
6 differences were observed across groups ($p < 0.0001$) (Figure 2). Among patients with
7 pathological stage 0–II ESCC, the 5-year OS rate in the high Alb-dNLR score group was
8 significantly lower than that in the low Alb-dNLR score group (43.1% vs. 82.0%; $p <$
9 0.0001). Among patients with pathological stage III ESCC, there was no significant
10 difference in the 5-year OS rate between the high and low Alb-dNLR score groups
11 (25.1% vs. 26.0%; $p = 0.4250$).

12 Among all patients, the 1-, 3-, and 5-year CSS rates in the high Alb-dNLR score
13 group were 79.2%, 51.5%, and 51.5%, respectively. The 1-, 3-, and 5-year CSS rates in
14 the low Alb-dNLR score group were 92.2%, 78.4%, and 74.7%, respectively. Significant
15 differences were observed across groups ($p < 0.0001$) (Figure 3). Among patients with
16 pathological stage 0–II ESCC, the 5-year CSS rate in the high Alb-dNLR score group
17 was significantly lower than that in the low Alb-dNLR score group (63.6% vs. 91.1%; p
18 < 0.0001). Among patients with pathological stage III ESCC, there was no significant
19 difference in the 5-year CSS rate between the high and low Alb-dNLR score groups
20 (37.9% vs. 32.4%; $p = 0.9914$).

21

22 *Evaluation of the Alb-dNLR score as an independent poor prognostic factor*

23 The different parameters were analyzed to determine independent prognostic
24 factors. Univariate and multivariate analyses using the Cox proportional hazard model in

1 269 patients were performed. According to the ROC curve, the cutoff values of age,
2 operative time, and estimated blood loss were 73 years, 721 min, and 240 mL,
3 respectively, for OS. Table 2 shows that the estimated blood loss (HR = 1.689; 95% CI:
4 1.116–2.571; $p = 0.0131$), pathological T stage (HR = 2.915; 95% CI: 1.910–4.511; $p <$
5 0.0001), resection margin (HR = 0.434; 95% CI: 0.271–0.709; $p = 0.0011$), and Alb-
6 dNLR score (HR = 2.198; 95% CI: 1.460–3.263; $p = 0.0002$) were independent poor
7 prognostic factors in the multivariate analysis for OS. The estimated blood loss (HR =
8 1.757; 95% CI: 1.060–2.931; $p = 0.0288$), pathological T stage (HR = 6.117; 95% CI:
9 3.416–11.610; $p < 0.0001$), pathological N stage (HR = 2.240; 95% CI: 1.252–4.237; $p =$
10 0.0059), resection margin (HR = 0.404; 95% CI: 0.241–0.692; $p = 0.0013$), and Alb-
11 dNLR score (HR = 2.282; 95% CI: 1.390–3.648; $p = 0.0014$) were independent poor
12 prognostic factors in the multivariate analysis for CSS (Table 3).

13

14 **Discussion**

15 We demonstrated that the Alb-dNLR score is an independent prognostic marker for
16 OS in patients with ESCC. Regarding clinicopathological characteristics, Alb-dNLR
17 status was strongly associated with pT and pN, which means that the Alb-dNLR reflects
18 tumor progression. However, the Alb-dNLR has a significantly greater influence on OS
19 than pN. OS reflects tumor-related death and death from other illnesses, including
20 pneumonia, malnutrition, and others related to nutritional status. The Alb-dNLR includes
21 Alb, which is a definitive marker to evaluate nutritional status. Thus, the fact that the
22 Alb-dNLR surpasses pN as a prognostic factor in OS is reasonable.

23 On the other hand, in CSS, the Alb-dNLR is also an independent prognostic factor
24 similar to blood loss, pT, pN, and resection margin. CSS reflects only tumor-related

1 survival. Thus, pN can be an independent prognostic marker, although not in OS.
2 However, even in CSS, the Alb-dNLR is also an independent prognostic marker. It means
3 that the Alb-dNLR strongly reflects both tumor and nutritional status.

4 Accumulated studies have revealed that systemic inflammation closely correlates
5 with cancer progression and prognosis.²⁰ Tumor-infiltrating neutrophils play an important
6 role in tumor progression by promoting angiogenesis, cell mobility, and migration.
7 Associations between tumor-infiltrating neutrophils and poor prognosis have been
8 described for several types of cancer, including esophageal cancer.²¹ Lymphocytes play
9 an important role in the immune response against tumors. The decrease in lymphocyte
10 numbers could weaken the immune response against tumors and could worsen survival.²²
11 The NLR is an inflammatory index and has been reported to be associated with prognosis
12 in cancer patients.^{23,24} Previous reports have shown that the NLR is associated with
13 prognosis and treatment response in esophageal cancer and other cancers.^{2,3,25-30} The
14 NLR can be easily calculated from laboratory parameters (neutrophil counts and
15 lymphocyte counts) routinely performed before surgery.

16 Proctor et al.¹⁴ first reported the utility of the dNLR. They showed that the NLR
17 and dNLR had similar prognostic values in various kinds of cancers. In trial database
18 registration, it is customary to register the total white blood cell count and absolute
19 neutrophil count without lymphocytes, and the dNLR can be used in such a situation. It
20 means that the dNLR is more convenient than the NLR. Subsequent reports showed that
21 the dNLR could be a prognostic factor for colorectal cancer,^{31,32} pancreatic cancer,³³
22 breast cancer,³⁴ diffuse large B-cell lymphoma,³⁵ and ovarian cancer.³⁶ However, there
23 are a few reports on the dNLR for esophageal cancer. Cox et al.³⁷ reported that an
24 elevated pretreatment dNLR was an independent prognostic biomarker in patients with

1 esophageal cancer treated with definitive chemoradiotherapy.

2 In esophageal cancer patients, some have poor oral intake due to tumor invasion.³⁸
3 These patients are likely to have weight loss and malnutrition at the time of diagnosis,
4 leading to a poor prognosis.^{39,40} Additionally, as mentioned above, systemic inflammation
5 has been closely correlated with cancer progression and prognosis.²⁰ Albumin, commonly
6 used in daily practice, is a well-known nutritional index and is related to inflammation.
7 Indeed, previous reports showed that the serum albumin level is a useful predictor of
8 prognosis of malignant tumors in terms of nutrition and inflammation.⁴¹⁻⁴³

9 Biomarkers that combine the nutritional and inflammatory index, such as mGPS,
10 the CONUT score, CAR, and the FA score, are also reported as prognostic factors for
11 ESCC patients.^{8,12,13,44} However, these markers are complicated to calculate. The Alb-
12 dNLR score is a relatively new and simple index that combines the nutritional index Alb
13 and the inflammation index dNLR. Chen et al.¹⁵ reported that the Alb-dNLR is associated
14 with DAS28, a measure of rheumatoid arthritis activity, and inflammatory biomarkers
15 such as C-reactive protein, erythrocyte sedimentation rate, and IgA. They also showed
16 that a combination of albumin and dNLR could be superior to albumin and dNLR alone
17 in the diagnostic effectiveness of rheumatoid arthritis. On the other hand, two
18 retrospective studies recently reported the association of the combined Alb and dNLR
19 index with pancreatic and gastric cancers.^{45,46} They suggested that the Alb-NLR might be
20 a better systemic inflammatory and nutritional marker than the NLR, GPS, and PLR
21 scores.

22 When Alb, dNLR, and Alb-dNLR were analyzed in multiple variables at the same
23 time, Alb-dNLR did not to be a prognostic factor (data not shown). It was because that
24 these factors are strong confounding factors each other. When albumin and Alb-dNLR

1 were added to multivariate analysis for OS at the same time, Alb-dNLR tended to be a
2 prognostic factor, while albumin was not. Similarly, when dNLR and Alb-dNLR were
3 added to multivariate analysis for OS at the same time, Alb-dNLR was an independent
4 prognostic factor, while albumin was not. Additionally, Alb-dNLR showed a higher AUC
5 value than Alb and dNLR alone (Figure 1a, b, c), suggesting that Alb-dNLR may be a
6 better prognostic predictor for patients with ESCC. To the best of our knowledge, this is
7 the first study to assess the usefulness of Alb-dNLR in patients who underwent
8 esophagectomy for ESCC.

9 The Alb-dNLR score may be used to stratify patients according to their prognostic
10 risk, and high-risk patients may opt for closer follow-up or more aggressive adjuvant
11 chemotherapy. Among frail, older people and patients with sarcopenia, exercise and
12 nutritional intervention (whey protein, branched-chain amino acids, vitamin D, etc.) were
13 reported to improve inflammatory markers and nutritional indicators.^{47,48} Among patients
14 with cancer, previous reports showed that preoperative nutritional intervention improved
15 prealbumin level, which is a nutritional indicator for gastric cancer,⁴⁹ and prehabilitation
16 improved the disease-free survival of patients with colorectal cancer.⁵⁰ Similarly, among
17 patients with ESCC, proper exercise and nutritional interventions are expected to
18 improve prognosis, particularly in patients with malnutrition and hyperinflammatory
19 conditions, such as cases with high Alb-dNLR scores.

20 We demonstrated that Alb-dNLR is a useful prognostic factor of both OS and CSS.
21 We also separately assessed the utility of Alb-dNLR in patients with early- and advanced-
22 stage ESCC. Alb-dNLR was a useful predictor of prognosis in the early stage, but not in
23 the advanced stage. As aforementioned, tumor progression is strongly correlated with the
24 nutritional and inflammatory statuses. In patients with advanced-stage ESCC, tumor

1 factors (T and N) and Alb-dNLR were strong confounding factors of each other, and
2 these factors may not have been prognostic factors.

3 Our study has several limitations. This was a single-center retrospective study, and
4 the sample size was relatively small. Owing to these limitations, the optimal cutoff value
5 of albumin and dNLR would be changeable. Therefore, further multicenter prospective
6 studies including a large sample are expected to confirm the clinical value of Alb-dNLR
7 in ESCC patients.

8 ***Conclusion***

9 In conclusion, the Alb-dNLR score is an extremely useful, easy-to-use parameter to
10 predict OS and CSS of ESCC patients.

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10

1 **Figure legends**

2 **Figure 1.** ROC analysis of Alb and dNLR for overall survival.

3 The ROC analysis of the dNLR, albumin, and Alb-dNLR values is depicted. (a) The
4 cutoff value of serum albumin was 4.0 g/dL, which yielded sensitivity of 67% and
5 specificity of 57%. The AUC value for OS was 0.65. (b) The cutoff value of dNLR was
6 1.77, which yielded sensitivity of 68% and specificity of 49%. The AUC value for OS
7 was 0.58. (c) The cutoff value of Alb-dNLR was 1, which yielded sensitivity of 88% and
8 specificity of 36%. The AUC value of OS was 0.66.

9 ROC, receiver operating characteristic; dNLR, derived neutrophil-to-lymphocyte ratio;
10 Alb, the serum albumin value; OS, overall survival.

11

12 **Figure 2.** Kaplan–Meier survival curves for OS according to the Alb-dNLR score in
13 ESCC patients. Among all patients, the 5-year OS rate of patients in the high Alb-dNLR
14 score group was significantly worse than that in the low Alb-dNLR score group (34.0%
15 vs. 66.2%; $p < 0.001$). Among patients with pathological stage 0–II ESCC, the 5-year OS
16 rate in the high Alb-dNLR score group was significantly lower than that in the low Alb-
17 dNLR score group (43.1% vs. 82.0%; $p < 0.0001$). Among patients with pathological
18 stage III ESCC, there was no significant difference in the 5-year OS rate between the
19 high and low Alb-dNLR score groups (25.1% vs. 26.0%; $p = 0.4250$).

20 OS, overall survival; Alb, the serum albumin value; dNLR, derived neutrophil-to-
21 lymphocyte ratio; ESCC, esophageal squamous cell carcinoma.

22

23 **Figure 3.** Kaplan–Meier survival curves for CSS according to the Alb-dNLR score in
24 ESCC patients. The 5-year CSS rate of patients in the high Alb-dNLR score group was

1 significantly worse than that in the low Alb-dNLR score group (51.5% vs. 74.7%; $p <$
2 0.001). Among patients with pathological stage 0–II ESCC, the 5-year CSS rate in the
3 high Alb-dNLR score group was significantly lower than that in the low Alb-dNLR score
4 group (63.6% vs. 91.1%; $p < 0.0001$). Among patients with pathological stage III ESCC,
5 there was no significant difference in the 5-year CSS rate between the high and low Alb-
6 dNLR score groups (37.9% vs. 32.4%; $p = 0.9914$).
7 CSS, cancer-specific survival; Alb, the serum albumin value; dNLR, derived neutrophil-
8 to-lymphocyte ratio; ESCC, esophageal squamous cell carcinoma.

9
10

1 **Table 1.** Patient characteristics

Characteristic	High Alb-dNLR score group (n = 61)	Low Alb-dNLR score group (n = 208)	p-value
Age, years	69 (43–82)	67 (27–82)	0.0015 ^{a)}
Sex (M/F)	52/9	181/27	0.7232 ^{b)}
Neoadjuvant chemotherapy (+/-)	48/13	137/71	0.0512 ^{b)}
Tumor location (Ut/Mt/Lt)	7/31/23	37/106/65	0.4010 ^{b)}
Thoracic procedure (thoracoscopy/open)	57/4	201/7	0.2941 ^{b)}
Lymph node dissection (3- field/2-field/others)	25/35/1	78/129/1	0.2841 ^{b)}
Operative time (min)	690.5 (302–1215)	680 (354–1361)	0.4706 ^{c)}
Estimated blood loss (mL)	295 (0–3269)	220 (0–10000)	0.2698 ^{c)}
Anastomotic leakage (CD ≥ 2/<2)	8/53	41/167	0.2265 ^{b)}
Pneumonia (CD ≥ 2/<2)	17/44	38/170	0.1114 ^{b)}
pT (0/is/1/2/3/4)	0/1/17/6/36/1	2/5/120/17/63/1	0.0008 ^{b)}
pN (0/1/2/3)	24/15/13/9	102/69/20/17	0.0337 ^{b)}
Resection (R0/R1/R2)	49/9/3	187/18/3	0.1208 ^{b)}

2 ^{a)} Student's test; ^{b)} kai; ^{c)} Kruskal–Wallis

3 dNLR, derived neutrophil-to-lymphocyte ratio; Alb, the serum albumin value; CD,

4 Clavien–Dindo classification grade; pT, pathological T stage; pN, pathological N stage.

5

1 **Table 2.** Univariate and multivariate Cox proportional hazards regression models for
 2 overall survival in patients with ESCC

	Univariate, HR (95% CI)	<i>p</i>-value	Multivariate, HR (95% CI)	<i>p</i>-value
Age ($\geq 73 / < 73$)	1.386 (0.865–2.135)	0.1686		
Sex (M/F)	1.112 (0.659–2.031)	0.7067		
NAC (+/–)	2.785 (1.758–4.641)	<0.0001	1.440 (0.861–2.521)	0.170
Tumor location				
Ut	1.000	-		
Mt	1.007 (0.611–1.846)	0.9789		
Lt	1.044 (0.612–1.846)	0.8758		
Thoracic procedure				
Thoracoscopy/open	1.342 (0.524–2.802)	0.5029		
Lymph node dissection (3-field/2-field or less)	1.255 (0.864–1.812)	0.2301		
Operative time (>721 min/ ≤ 721 min)	1.754 (1.208–2.533)	0.0034	1.332 (0.892–1.978)	0.1597
Estimated blood loss (>240 mL/ ≤ 240 mL)	1.737 (1.197–2.547)	0.0036	1.689 (1.116–2.571)	0.0131
Anastomotic leakage (CD $\geq 2 / < 2$)	0.885 (0.531–1.401)	0.6152		
Pneumonia (CD $\geq 2 / <$ 2)	1.670 (1.095–2.484)	0.0182	1.312 (0.836–2.014)	0.2315
pT ($\geq 3 / < 3$)	4.583 (3.146–6.747)	<0.0001	2.915 (1.910–4.511)	<0.0001

pN (+/-)	2.723 (1.850–4.087)	<0.0001	1.521 (0.986–2.379)	0.0583
Resection (R0/R1 or R2)	0.189 (0.125–0.294)	<0.0001	0.434 (0.271–0.709)	0.0011
Alb-dNLR score (high/low)	2.936 (1.989–4.280)	<0.0001	2.198 (1.460–3.263)	0.0002

-
- 1 dNLR, derived neutrophil-to-lymphocyte ratio; Alb, the serum albumin value; CD,
 - 2 Clavien–Dindo classification grade; pT, pathological T stage; pN, pathological N stage;
 - 3 HR, hazard ratio; CI, confidence interval.
 - 4

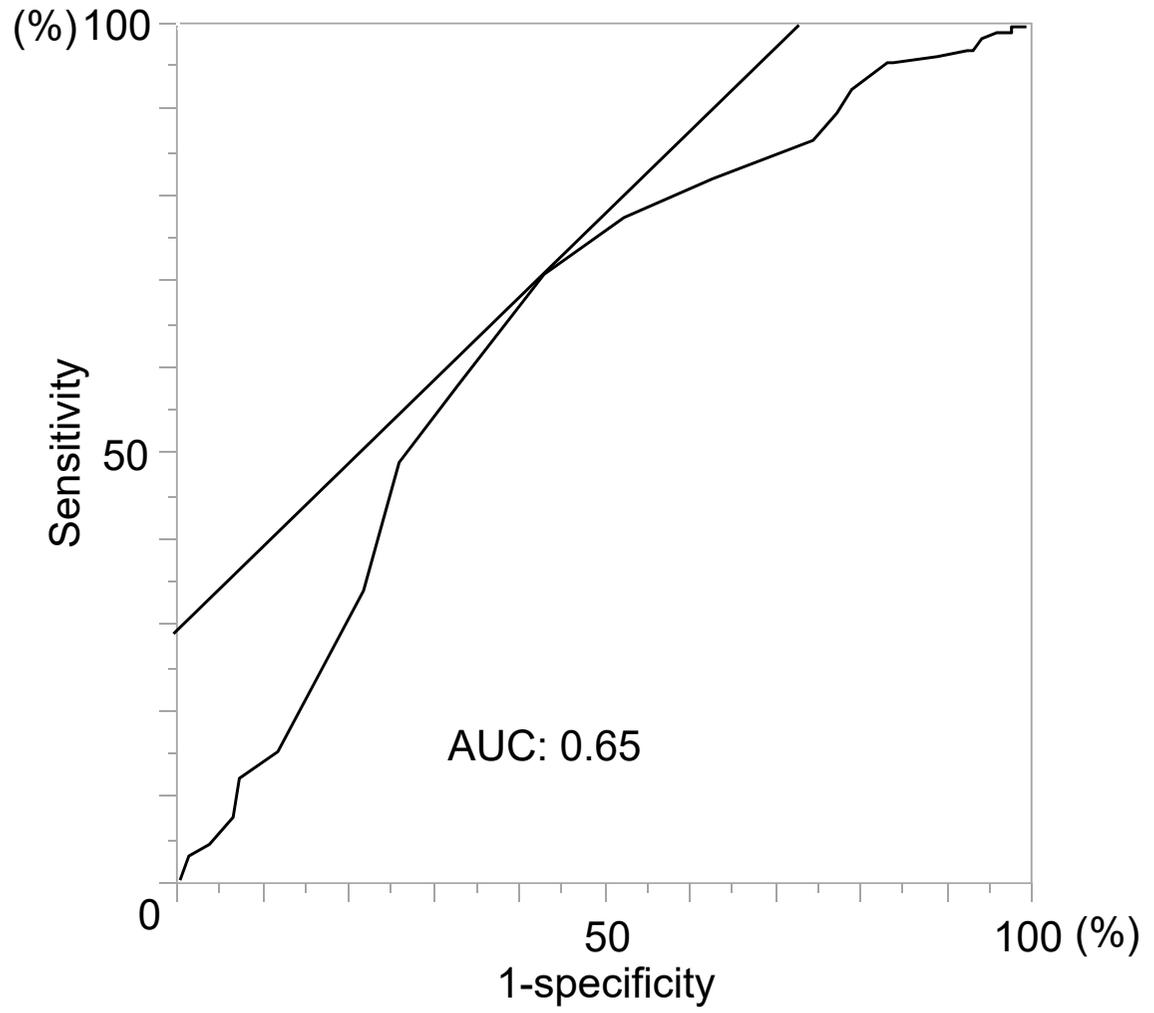
1 Table 3. Univariate and multivariate Cox proportional hazards regression models for
 2 cancer-specific survival in patients with ESCC.

Variable	Univariate, HR		Multivariate, HR	
	(95% CI)	<i>p</i> -value	(95% CI)	<i>p</i> -value
Age (≥ 73 / <73)	1.152 (0.620–1.994)	0.6366		
Sex (M/F)	0.921 (0.508–1.843)	0.8023		
NAC (+/-)	3.751 (2.022–7.762)	<0.0001	1.356 (0.674–3.039)	0.4095
Tumor location				
Ut	1.000	-		
Mt	0.941 (0.512–1.843)	0.8521		
Lt	1.117 (0.590–2.229)	0.7401		
Thoracic procedure				
Thoracoscopy/open	1.828 (0.641–4.089)	0.2313		
Lymph node dissection (3-field/2-field or less)	1.415 (0.898–2.214)	0.1333		
Operative time (>721 min/ ≤ 721 min)	1.648 (1.037–2.591)	0.0349	1.276 (0.777–2.074)	0.3320
Estimated blood loss (>240 mL/ ≤ 240 mL)	1.737 (1.106–2.765)	0.0164	1.757 (1.060–2.931)	0.0288
Anastomotic leakage (CD ≥ 2 / <2)	0.579 (0.269–1.099)	0.0987		
Pneumonia (CD ≥ 2 / <2)	1.175 (0.655–1.986)	0.5730		
pT (≥ 3 / <3)	10.064 (5.927–18.121)	<0.0001	6.117 (3.416–11.610)	<0.0001

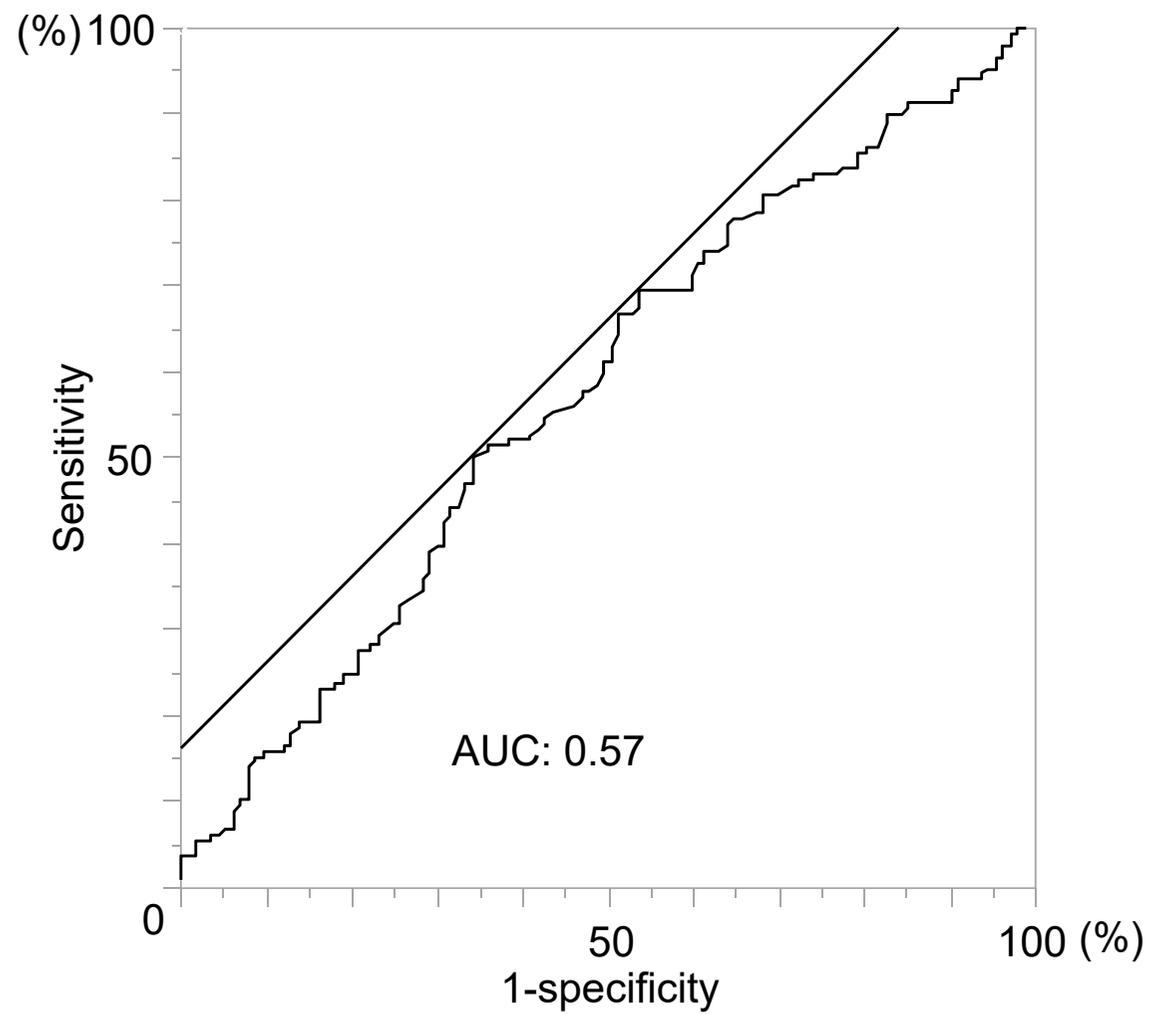
pN (+/-)	4.827 (2.822–8.814)	<0.0001	2.240 (1.252–4.237)	0.0059
Resection (R0/R1 or R2)	0.158 (0.098–0.264)	<0.0001	0.404 (0.241–0.692)	0.0013
Alb-dNLR score (high/low)	2.453 (1.504–3.906)	0.0005	1.733 (1.035–2.835)	0.0371

-
- 1 dNLR, derived neutrophil-to-lymphocyte ratio; Alb, the serum albumin value; CD,
 - 2 Clavien–Dindo classification grade; pT, pathological T stage; pN, pathological N stage;
 - 3 HR, hazard ratio; CI, confidence interval.

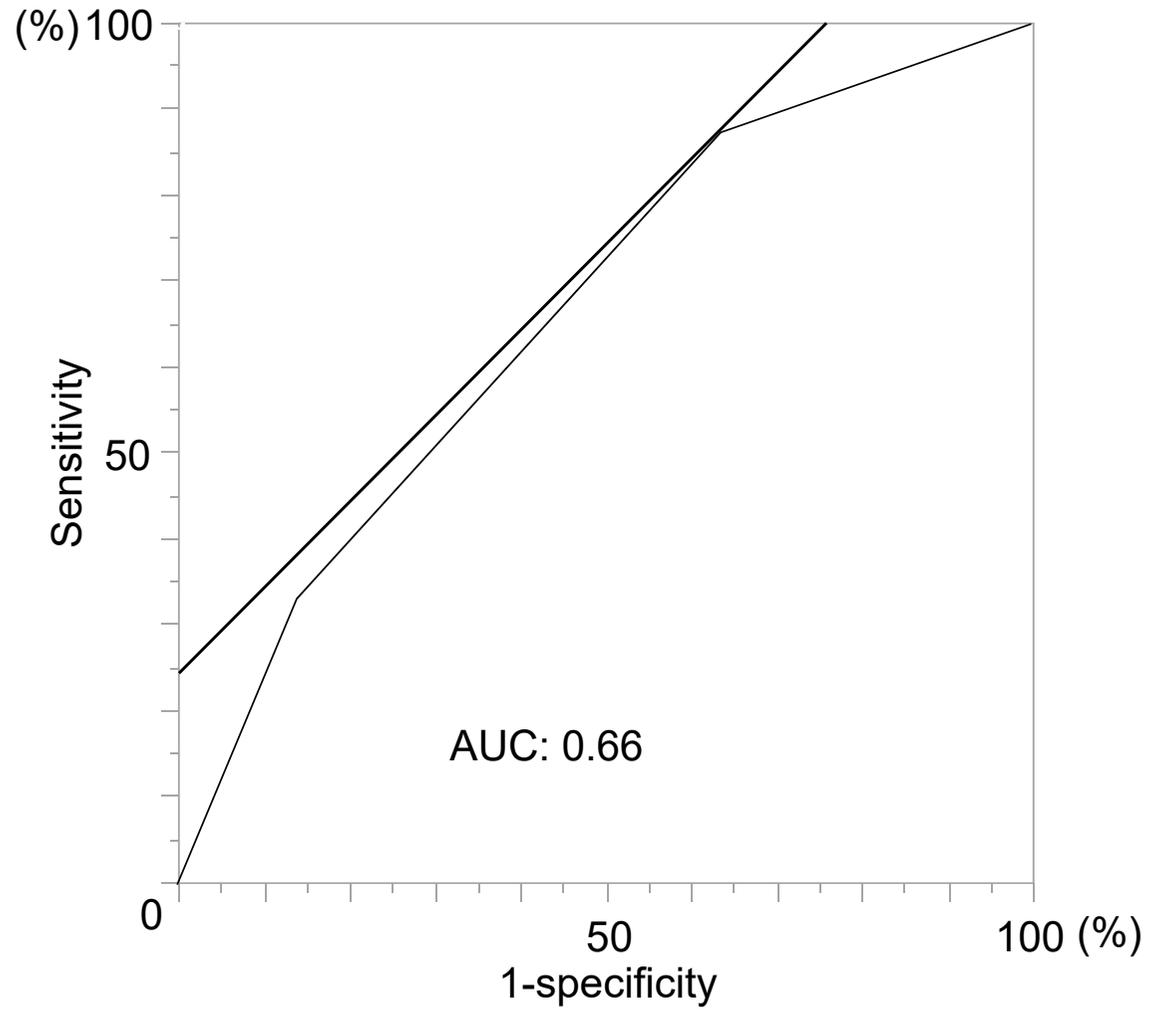
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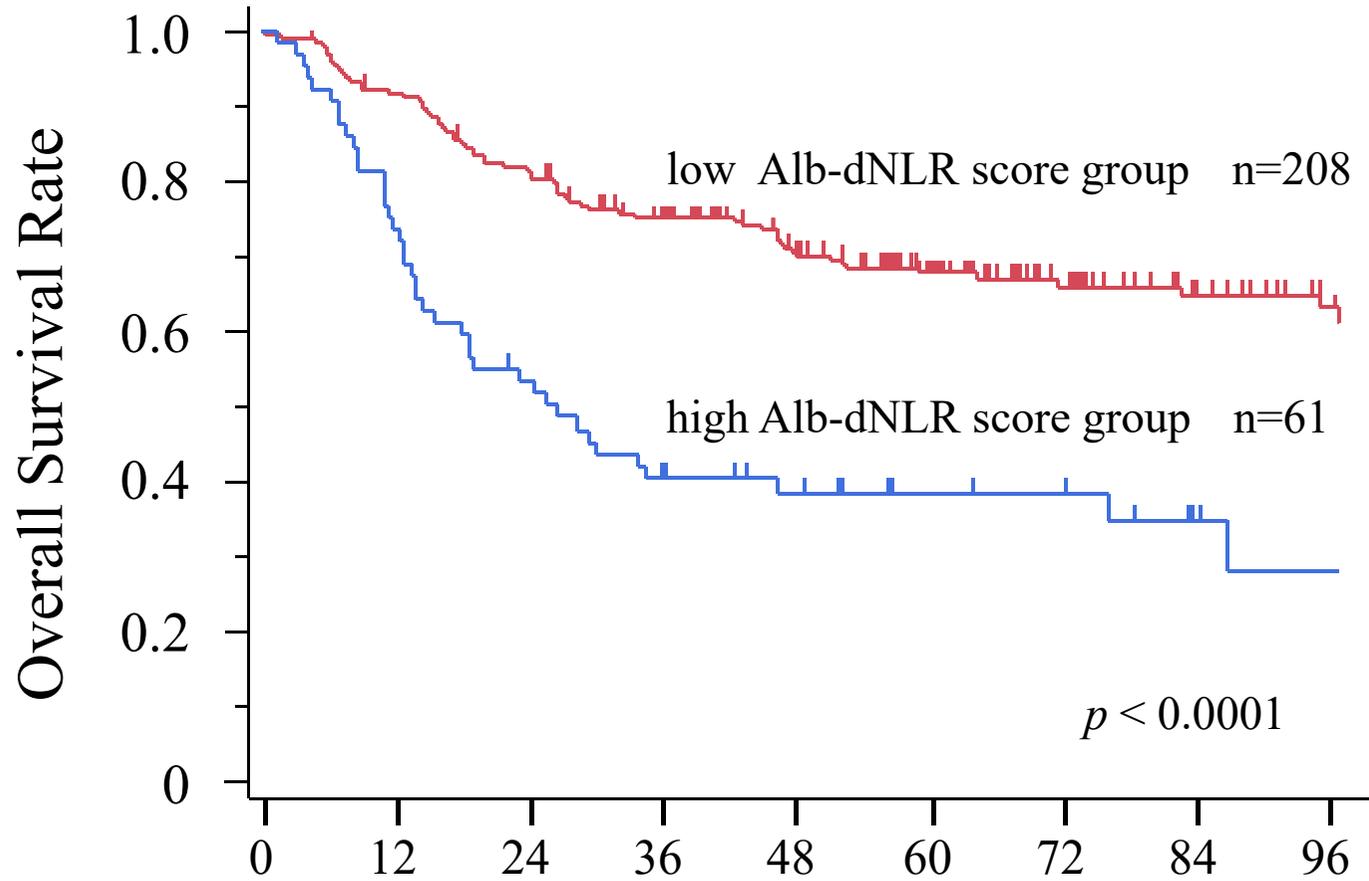
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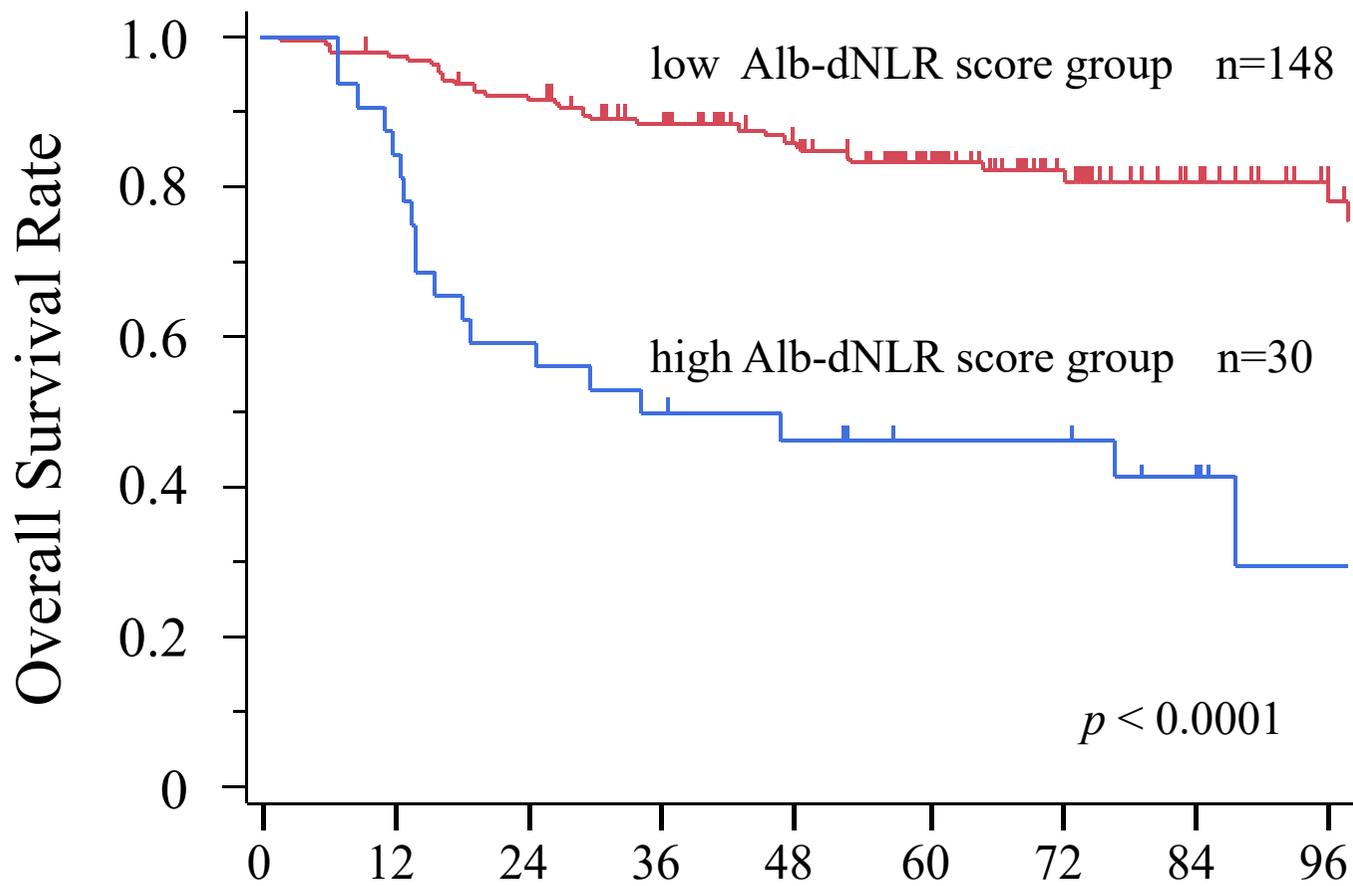
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Number at risk

	0	12	24	36	48	60	72	84	96
low Alb-dNLR score group	208	189	166	144	123	96	65	50	37
high Alb-dNLR score group	61	45	30	22	17	12	11	8	4

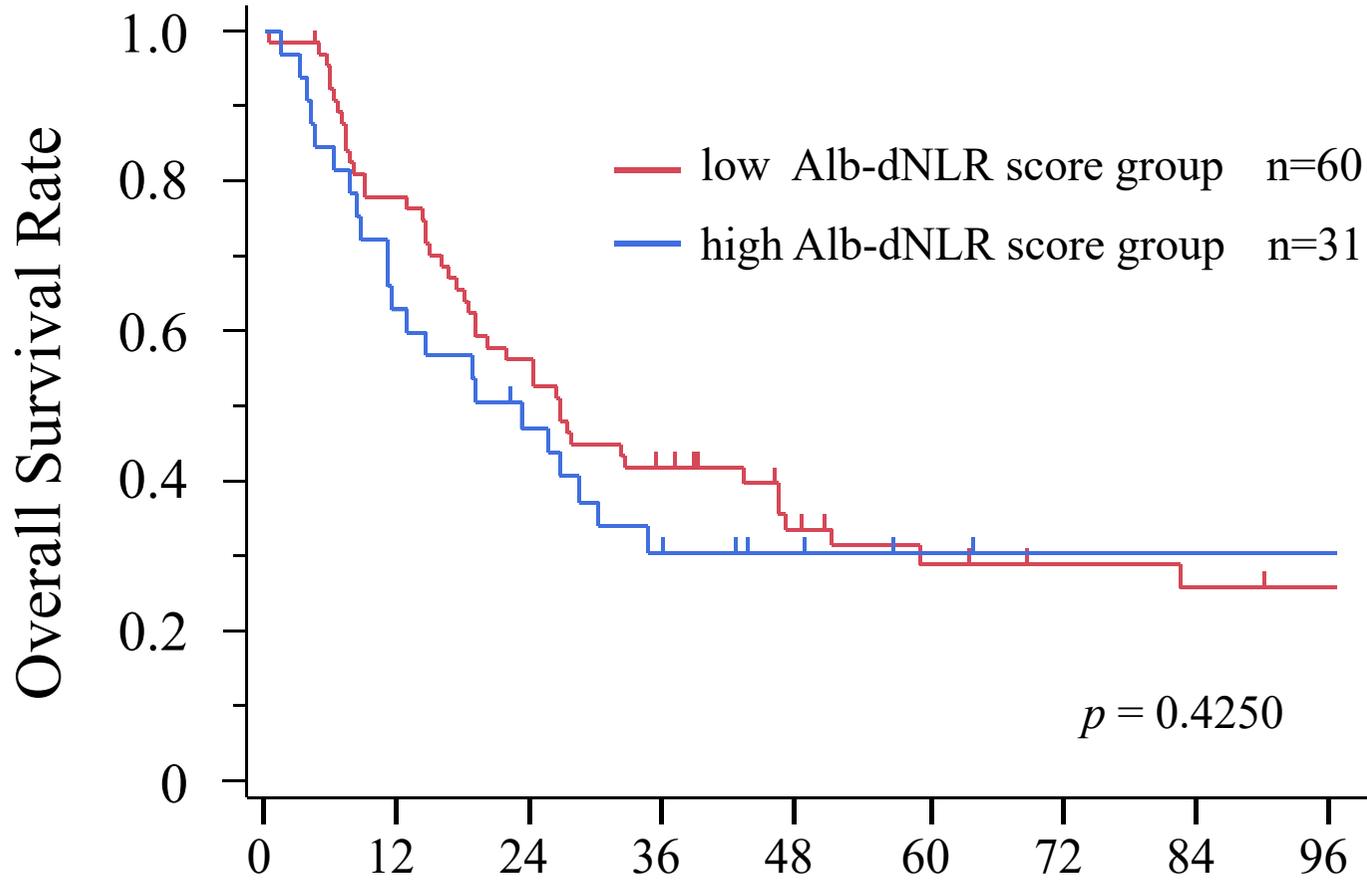
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Number at risk

	0	12	24	36	48	60	72	84	96
low Alb-dNLR score group	148	144	135	122	109	86	58	43	32
high Alb-dNLR score group	30	27	18	15	13	10	10	7	3

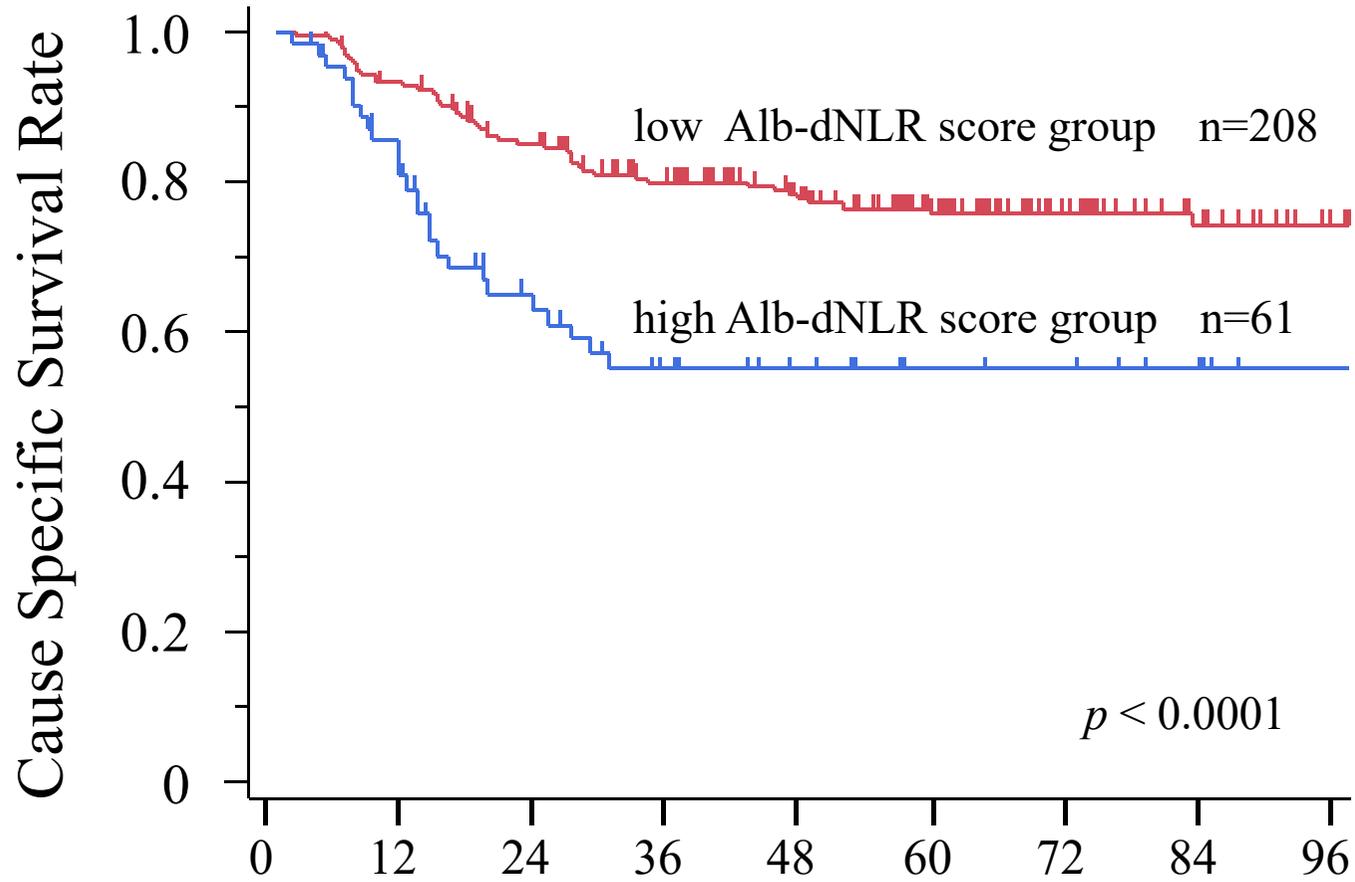
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Number at risk

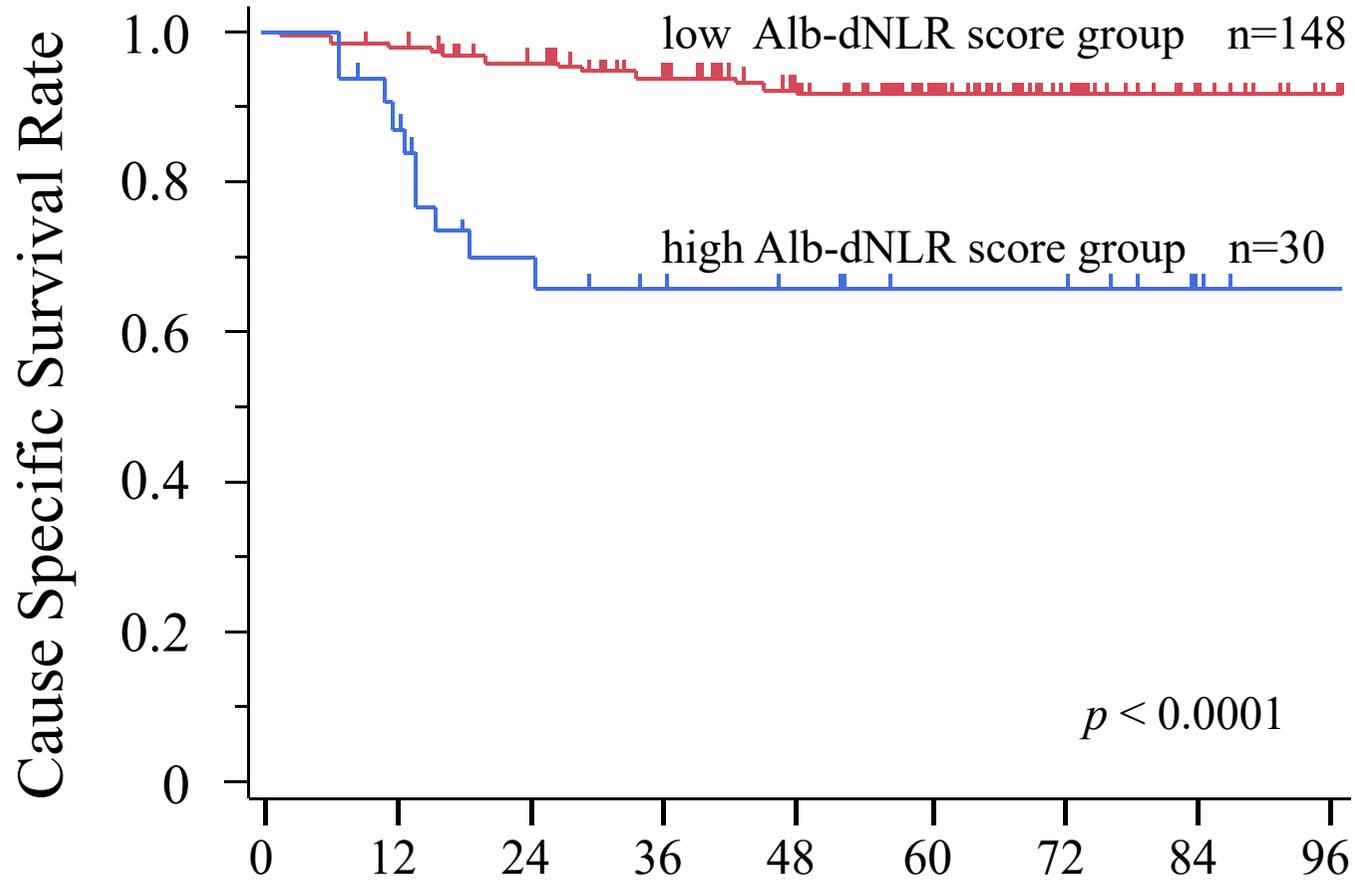
	0	12	24	36	48	60	72	84	96
low Alb-dNLR score group	60	47	32	23	15	11	8	8	6
high Alb-dNLR score group	31	19	13	8	5	3	2	2	2

a



Number at risk	Survival Period (months)									
	0	12	24	36	48	60	72	84	96	
low Alb-dNLR score group	208	189	166	144	123	96	65	50	37	
high Alb-dNLR score group	61	45	30	22	17	12	11	8	4	

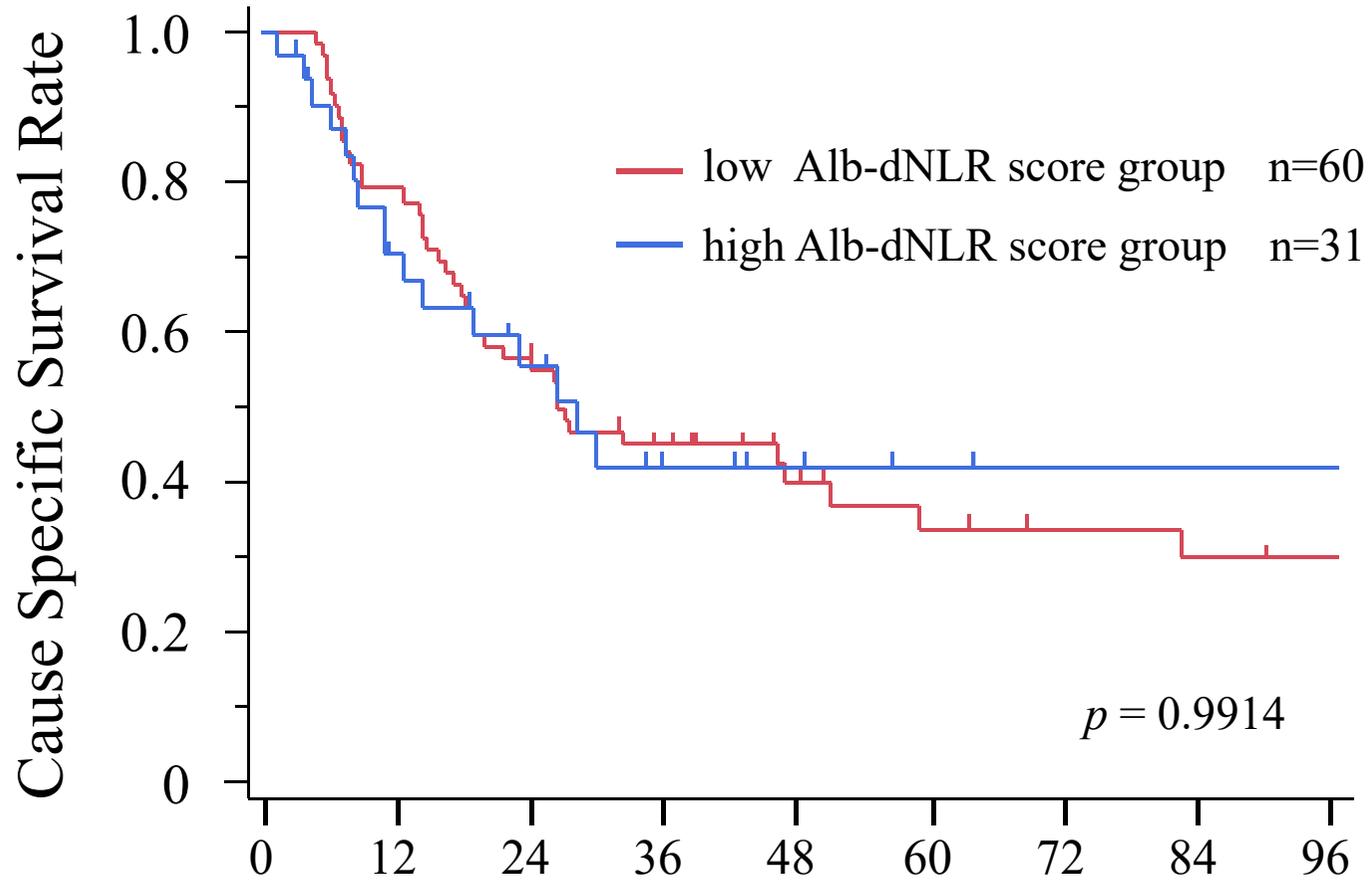
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Number at risk

	0	12	24	36	48	60	72	84	96
low Alb-dNLR score group	148	144	135	122	109	86	58	43	32
high Alb-dNLR score group	30	27	18	15	13	10	10	7	3

C



	Survival Period (months)								
Number at risk	0	12	24	36	48	60	72	84	96
low Alb-dNLR score group	60	47	32	23	15	11	8	8	6
high Alb-dNLR score group	31	19	13	8	5	3	2	2	2