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## NOTE

Toxicology

# Elucidation of the neurological effects of clothianidin exposure at the no-observed-adverse-effect level (NOAEL) using two-photon microscopy *in vivo* imaging

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**ABSTRACT.** Neonicotinoid pesticides (NNs) cause behavioral abnormalities in mammals, raising concerns about their effects on neural circuit activity. We herein examined the neurological effects of the NN clothianidin (CLO) by *in vivo* Ca<sup>2+</sup> imaging using two-photon microscopy. Mice were fed the no-observed-adverse-effect-level (NOAEL) dose of CLO for 2 weeks and their neuronal activity in the primary somatosensory cortex (S1) was observed weekly for 2 weeks. CLO exposure caused a sustained influx of Ca<sup>2+</sup> in neurons in the S1 2/3 layers, indicating hyperactivation of neurons. In addition, microarray gene expression analysis suggested the induction of neuroinflammation and changes in synaptic activity. These results demonstrate that exposure to the NOAEL dose of CLO can overactivate neurons and disrupt neuronal homeostasis.

**KEYWORDS:** clothianidin, neonicotinoid, neurotransmission, two-photon calcium imaging

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Neonicotinoid pesticides (NNs) are novel neuroactive insecticides with nicotine-like structures, which were first registered in the early 1990s. There are three main characteristics of NNs. First, NNs have *selective toxicity*: their affinity for insects is tens to hundreds of times higher than that of mammalian nicotinic acetylcholine receptors (nAChRs), and they exert their insecticidal effects by inducing sustained neuronal excitation of insect nAChRs [37]. Second, their effects are *systemic*: NNs are water-soluble and absorbed through the roots and leaves of crops, and thus exert insecticidal effects on the crops themselves [32]. And third, their effects are *persistent*: NNs remain in soil and water for a long time [32]. As a result of these attractive characteristics, and their relative safety and convenience, NNs have been widely used around the world since the beginning of the 21st century as alternatives to organophosphorus pesticides, which are highly toxic to mammals.

In recent years, however, it has become clear that NNs have agonistic effects on mammalian nAChRs [16]. In addition, desnitro-imidacloprid, a metabolite of the insecticide imidacloprid, a type of NN, is highly toxic to mice and potentially other mammals due to a dramatic shift from insect-selective to vertebrate-selective action and its interaction with cerebral  $\alpha 4\beta 2$  nAChRs [4, 36]. Clothianidin (CLO), the NN studied herein, also forms desmethyl-CLO by demethylation. Moreover, CLO forms not only

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desmethyl-CLO but also desnitro-CLO by reduction of the nitro group [25]. Hence, the toxicity of the metabolites of CLO as well as imidacloprid is of concern. More recently, it has been shown to have neurobehavioral effects in mammals even below the no-observed-adverse-effect-level (NOAEL) doses [10–13, 20, 33, 34, 39]. In addition, a correlation has been found between pesticide use and an increase in developmental disorders such as autism and learning disabilities [3, 27]. NNs have been detected in urine of newborns [14, 28], in children living around NNs sprayed areas [15] and in breast milk [5], and the significant increase in NN concentrations in human urine between 1994 and 2011 [38] has raised concerns about the effects of these agents on higher brain functions and neural circuit activity in mammals, including humans.

In the mammalian brain,  $\alpha 4\beta 2$ - and  $\alpha 7$ -type nAChRs are widely present, and these receptors are involved in anxiety and fear [30], learning memory [19], brain development [29], and the development of monoaminergic neural circuits such as those involving dopamine and serotonin [6]. In fact, our previous reports showed that a single administration of CLO to mice increased anxiety-like behavior and the number of c-fos positive cells in the paraventricular nucleus of the thalamus and the hippocampus [10, 11]. In addition, other previous studies have reported that local injection of CLO to the striatum causes transient dopamine release [8] and that exposure to acetamiprid and imidacloprid *in vitro* causes  $\text{Ca}^{2+}$  influx into neurons [16]. These findings suggest that exposure to NNs induces transient neuronal excitation via  $\alpha 4\beta 2$  and  $\alpha 7$  nAChRs, leading to emotional changes. However, it is difficult to investigate dynamic changes in neuronal activity in mice exposed to NNs using conventional methods, and it is not clear what mechanism is responsible for the emotional changes induced by exposure to NNs.

In this study, we examined the dynamic changes of neural activity in the brains of awake mice exposed to CLO for 2 weeks using *in vivo* fluorescence  $\text{Ca}^{2+}$  imaging with a two-photon microscope [22]. This imaging modality is a new tool for observing the inside of living tissues and organs and analyzing the dynamics of living cells and molecules in real time, and in our study we used it for the first time to examine the dynamic changes in neural activity caused by repeated exposure to CLO. We also focused on comprehensive gene expression changes, and performed microarray analysis.

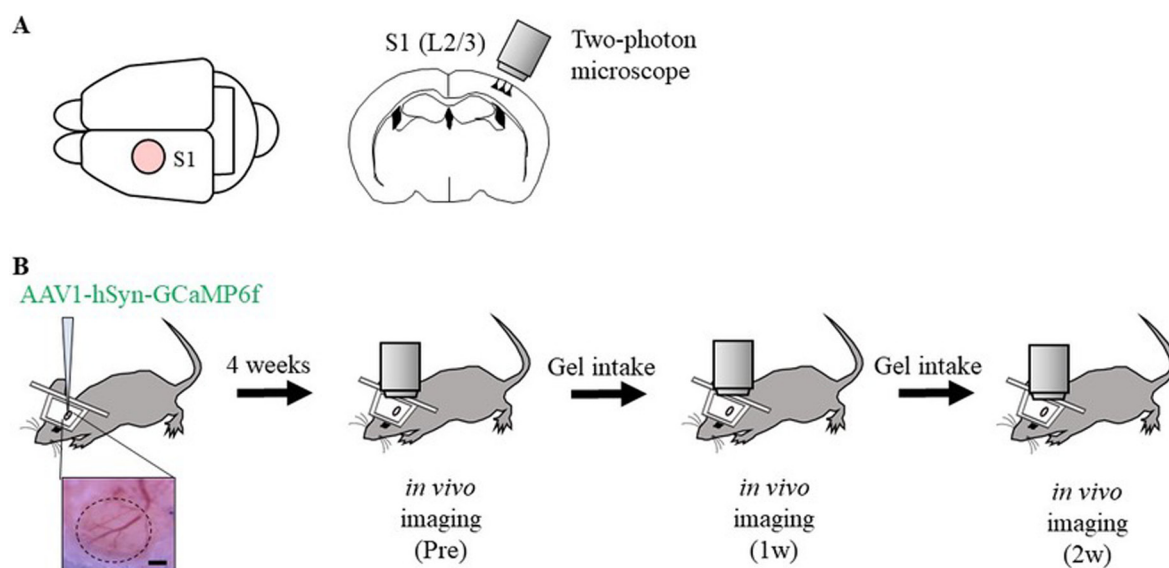
C57BL/6J male mice (6 weeks old) were purchased from Japan SLC (Hamamatsu, Japan) and were maintained in individual ventilated cages (Sealsafe Plus Mouse IVC Green Line 2GM140; Tecniplast, Buguggiate, Italy) under controlled temperature ( $23 \pm 2^\circ\text{C}$ ) and humidity ( $50 \pm 10\%$ ) on a 14-hr light/10-hr dark cycle at the Kobe University Life-Science Laboratory with *ad libitum* access to a pellet diet (DC-8; Clea Japan, Tokyo, Japan). This study was approved by the Institutional Animal Care and Use Committee (Permission #30-01-01) and was carried out according to the Kobe University Animal Experimentation Regulations.

Individuals used for two-photon  $\text{Ca}^{2+}$  imaging underwent brain surgery at 6–7 weeks of age [1, 26]. Under anesthesia with ketamine (74 mg/kg, *i.p.*) and xylazine (10 mg/kg, *i.p.*), the parietal skin was shaved and disinfected with 70% (w/vol) ethanol, and a surface anesthetic (Xylocaine jelly; Sandoz, Tokyo, Japan) was applied to the head. The skin was then cut open to expose the skull and washed. A custom-made head plate was bonded to the skull with dental cement (Fujilute BC; GC, Bistite II; Tokuyama Dental, Tokyo, Japan). The surface of the skull was coded with acrylic dental adhesive resin cement (Super Bond; Sun Medical, Moriyama, Japan) to prevent drying. Mice were allowed to recover for one day before craniotomy and virus injection. The next day, under isoflurane (1%) anesthesia, a circular craniotomy (2 mm in diameter) was performed on the left primary somatosensory cortex (S1) (centered at 1.5 mm posterior and 2.5 mm lateral from the bregma) using a dental drill [21]. After craniotomy, a glass pipette (DGC-1; Narishige, Tokyo, Japan) with a tip diameter of 10  $\mu\text{m}$  was used to inject an adeno-associated virus vector (AAV vector) in the S1 layers 2/3 (L2/3) (Fig. 1A). An AAV vector, AAV1-hSyn-GCaMP6f (Addgene;  $1.0 \times 10^{12}$  vector genomes/ml), which expresses a neuron-specific fluorescent calcium indicator protein (GCaMP6f), was injected at a total pressure of 1  $\mu\text{l}$  at three sites at a depth of approximately 200 to 300  $\mu\text{m}$  from the cortical surface (Fig. 1B). After injection, a custom-made circular cover glasses (Matsunami Glass Co., Osaka, Japan) was placed on the brain surface, bonded with UV-curing adhesive (NOR-61; Norland Products, Cranbury, NJ, USA), and then the edges of the cranial window were sealed with dental cement and acrylic dental adhesive resin cement to create an observation window. The *in vivo* fluorescence  $\text{Ca}^{2+}$  imaging was started about 3–4 weeks after the surgery (9–11 weeks of age).

Two-photon microscopy (water immersion objective:  $\times 10$ , XLPlan, NA 1.0, Zeiss, Tokyo, Japan; microscope: LSM 7 MP, Zeiss; titanium sapphire laser: Mai Tai HP, Spectra-Physics, Santa Clara, CA, USA) was used for  $\text{Ca}^{2+}$  imaging in the L2/3 of the left S1 (Fig. 1A).  $\text{Ca}^{2+}$  imaging of neurons in the L2/3 of the left S1 was performed. The imaging area was  $512 \times 512$  pixels ( $848.54 \mu\text{m} \times 848.54 \mu\text{m}$ ), and the depth was 150–200  $\mu\text{m}$  from the cortical surface. The pixel size was 1.657  $\mu\text{m}$  and the frame time was 390 msec. Three consecutive imagings of 1,000 frames each were performed for each imaging session. A total of three observations were performed every week starting from the day of administration (Fig. 1B).

The analysis of the images was performed using ImageJ (National Institutes of Health, Bethesda, MD, USA) and programs created in MATLAB® R2019b (The MathWorks, Natick, MA, USA). TurboReg, introduced in ImageJ, was used to correct the displacement of the focal plane of the images [35]. To quantify neural activity in S1, regions of interests (ROIs) around cells showing fluorescence changes were visually determined during the imaging phase (Fig. 2A, 2H).  $\text{Ca}^{2+}$  responses were detected when the fluorescence intensity exceeded two standard deviations (SD) from the mean of the reference value.  $\text{Ca}^{2+}$  waveform values were used to measure the frequency and amplitude (Peak-Amplitude) of  $\text{Ca}^{2+}$  transients, the cross-correlation (C.C.) of neuronal populations, and the area under the curve (AUC) (Fig. 2B, 2I).

CLO of 95% purity was generated from Dantotsu (containing 16% CLO; Sumitomo Chemical, Tokyo, Japan) [10]. With reference to the NOAEL dose (C57BL/6J male mice: 47.2 mg/kg/day [9]), the mice were divided into two groups: a control group (0 mg/kg/day,  $n=5$ ) and a CLO group (50 mg/kg/day,  $n=7$ ). To avoid the influence associated with forced oral administration, mice were given a water supply gel (MediGel® Sucralose; ClearH<sub>2</sub>O, Portland, ME, USA) with CLO or vehicle (1% dimethyl sulfoxide: DMSO) for 2 weeks.



**Fig. 1.** A schematic drawing of the experimental procedure for the two-photon microscopy. (A) Schematic of L2/3 of the primary somatosensory cortex (S1) imaged by two-photon microscopy. (B) Schematic of virus injection, administration period, and timing of imaging. In wild-type mice, AAV1-hSyn-GCaMP6f encoding GCaMP6 was injected into L2/3 of S1 to enable *in vivo*  $\text{Ca}^{2+}$  imaging of neurons. Gel administration was started approximately 4 weeks after injection. Responses from the same neurons were followed before (Pre), 1 week (1w), and 2 weeks (2w) after clothianidin injection. Scale bar: 500  $\mu\text{m}$ .

In place of the calcium imaging analysis, 6 animals per group were treated with CLO for 2 weeks and used exclusively for microarray analysis. The brain was removed and sliced into 2 mm thick slices using a brain slicer (Muromachi Kikai, Tokyo, Japan), and the S1 was cut out under visual observation. Total RNA was extracted using a NucleoSpin<sup>®</sup> RNA Plus (Macherey-Nagel GmbH & Co., Düren, Germany) according to the manufacturer's instructions. The final step, RNA extraction from the column, was performed twice with 30  $\mu\text{l}$  of nuclease free water, and the concentration was measured at 260 nm absorbance using a NanoDrop 2000 (Thermo Fisher Scientific, Waltham, MA, USA) and stored at  $-80^{\circ}\text{C}$  until use.

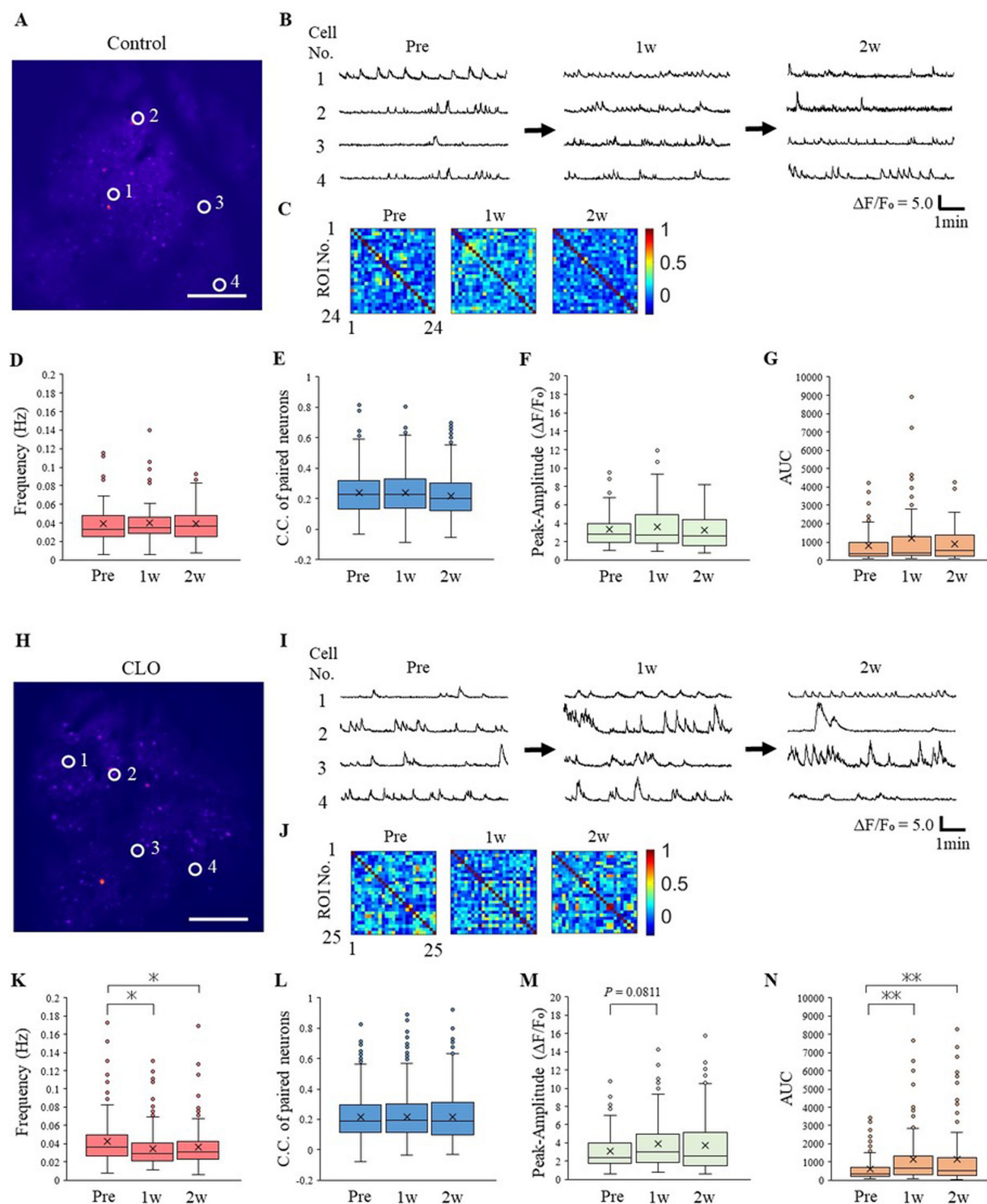
RNA quality was analyzed using a Bioanalyzer 2100 and RNA6000 Nano LabChip kit (Agilent Technologies, Santa Clara, CA, USA), and samples with a RIN (RNA integrity number) value of 7.0 or higher were used for microarray analysis (Control group:  $n=2$ ; CLO group:  $n=2$ ). Total RNA (250  $\mu\text{g}$ ) from each sample was amplified and labeled using a GeneChip WT PLUS Reagent Kit, and hybridized with a Clarion<sup>™</sup> S mouse array (Affymetrix, Santa Clara, CA, USA). All microarrays were washed and stained with a GeneChip Hybridization, Wash, and Stain Kit and GeneChip Fluidics Station 450, and scanned with a GeneChip Scanner 3000 (Affymetrix). The raw data were normalized by the SST-RMA algorithm using Transcriptome Analysis Console software (Thermo Fisher Scientific). The data were analyzed using the Ingenuity Pathways Analysis (IPA) tool (Ingenuity Systems, Mountain View, CA, USA) to investigate the molecular function of the variable expression genes. The microarray data (.CEL files) have been deposited in a public database (Gene Expression Omnibus; accession number: GSE193245).

Statistical analysis was performed using Excel Statistics 2012 for Windows (Version 1.00; SSRI, Tokyo, Japan). For each measurement result, the Friedman test was used; a  $P$ -value of  $<0.05$  was considered significant.

The measured values of  $\text{Ca}^{2+}$  transient frequency, C.C., Peak-Amplitude, and AUC are summarized in Table 1. Two-photon  $\text{Ca}^{2+}$  imaging analysis showed that the frequency of  $\text{Ca}^{2+}$  transients, C.C., Peak-Amplitude, and AUC were not significantly different in the control group at each time point (Fig. 2C–G). In contrast, the frequency of  $\text{Ca}^{2+}$  transients in the CLO group was significantly decreased after 1 and 2 weeks of treatment (Fig. 2K). The Peak-Amplitude showed an increasing trend after 1 week of treatment, and AUC increased significantly after 1 and 2 weeks of treatment (Fig. 2M, 2N). In addition, there was a moderate inverse correlation between the frequency of  $\text{Ca}^{2+}$  transients and AUC in the CLO group, suggesting that the sustained influx of  $\text{Ca}^{2+}$  reduced the frequency of  $\text{Ca}^{2+}$  transients (Fig. 3).

Eighty percent of the neurons in L2/3 of the cortex are pyramidal cells, and nAChRs are present only in interneurons [31]. In addition,  $\alpha 7$  nAChR, for which CLO has affinity, is expressed in somatostatin interneurons and parvalbumin interneurons, and  $\beta 2$  nAChR is only expressed in somatostatin interneurons [17]. In addition, it has been reported that pyramidal cells are activated in  $\alpha 7$  and  $\beta 2$  nAChR-deficient mice and that chronic nicotine exposure desensitizes somatostatin interneurons and activates pyramidal cells [17]. Therefore, the present study showed that CLO exposure at the NOAEL dose may have activated pyramidal cells by desensitizing nAChRs expressed in interneurons. In our previous study, the number of c-fos positive cells, an index of neuronal activity, increased in the paraventricular nucleus of the thalamus and the hippocampus after a single [11] or repeated (data not shown) administration of CLO to mice, and anxiety-like behavior was also observed after a single or chronic administration [10, 11]. Therefore, the overactivity of pyramidal cells in this study may be one of the mechanisms that induce anxiety-like behavior.



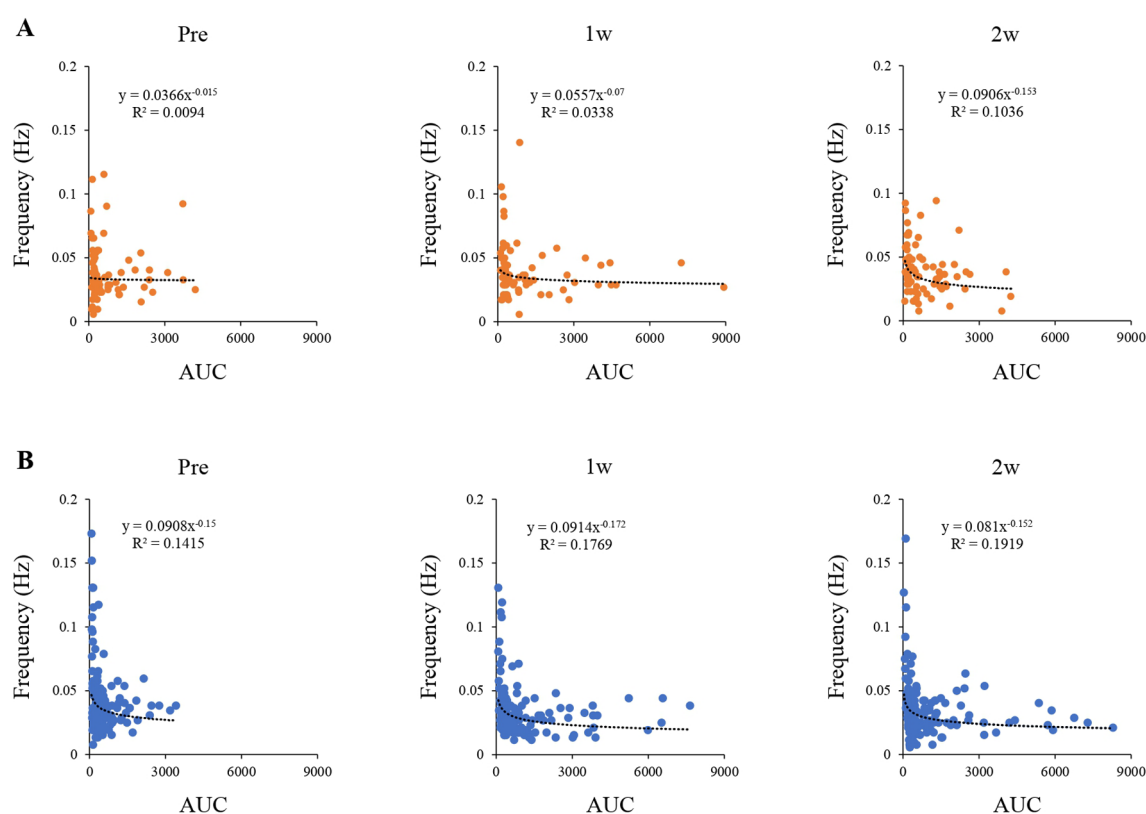


**Fig. 2.** Neuronal activity in the control group and clothianidin (CLO) group. (**A**, **H**) Representative images of GCaMP6f-expressing neurons. Cells showing representative  $\text{Ca}^{2+}$  responses before and after treatment are circled. Scale bar: 100  $\mu\text{m}$ . (**B**, **I**) Calcium waveforms of four representative neurons in the control group at before (Pre), 1 week (1w), and 2 weeks (2w) after administration. (**C**, **J**) Representative results of correlation coefficients of paired  $\text{Ca}^{2+}$  responses from the same neuron. (**D**, **K**) Frequency of  $\text{Ca}^{2+}$  transients before and after administration. (**E**, **L**) Cross-correlation of neuronal populations. (**F**, **M**) Amplitude of the  $\text{Ca}^{2+}$  waveform before and after administration. (**G**, **N**) Area under the  $\text{Ca}^{2+}$  waveform. (Control group:  $n=73$ , 5 animals; CLO group:  $n=129$ , 7 animals) \* $P<0.05$ , \*\* $P<0.01$ .

**Table 1.** Summary of the neuronal activities in the primary somatosensory cortex

	Control			
	Frequency (Hz)	C.C. of paired neuron	Amplitude ( $\Delta F/F_0$ )	AUC
Pre	$0.0384 \pm 0.0030$	$0.238 \pm 0.0054$	$3.35 \pm 0.24$	$795 \pm 109$
1w	$0.0402 \pm 0.0025$	$0.238 \pm 0.0055$	$3.56 \pm 0.27$	$1,188 \pm 194$
2w	$0.0394 \pm 0.0023$	$0.219 \pm 0.0050$	$3.25 \pm 0.23$	$901 \pm 110$
	CLO			
	Frequency (Hz)	C.C. of paired neuron	Amplitude ( $\Delta F/F_0$ )	AUC
Pre	$0.0428 \pm 0.0024$	$0.214 \pm 0.0035$	$3.12 \pm 0.18$	$596 \pm 55$
1w	$0.0344 \pm 0.0018^*$	$0.218 \pm 0.0035$	$3.93 \pm 0.24$	$1,150 \pm 130^{**}$
2w	$0.0359 \pm 0.0020^*$	$0.215 \pm 0.0038$	$3.74 \pm 0.27$	$1,130 \pm 140^{**}$

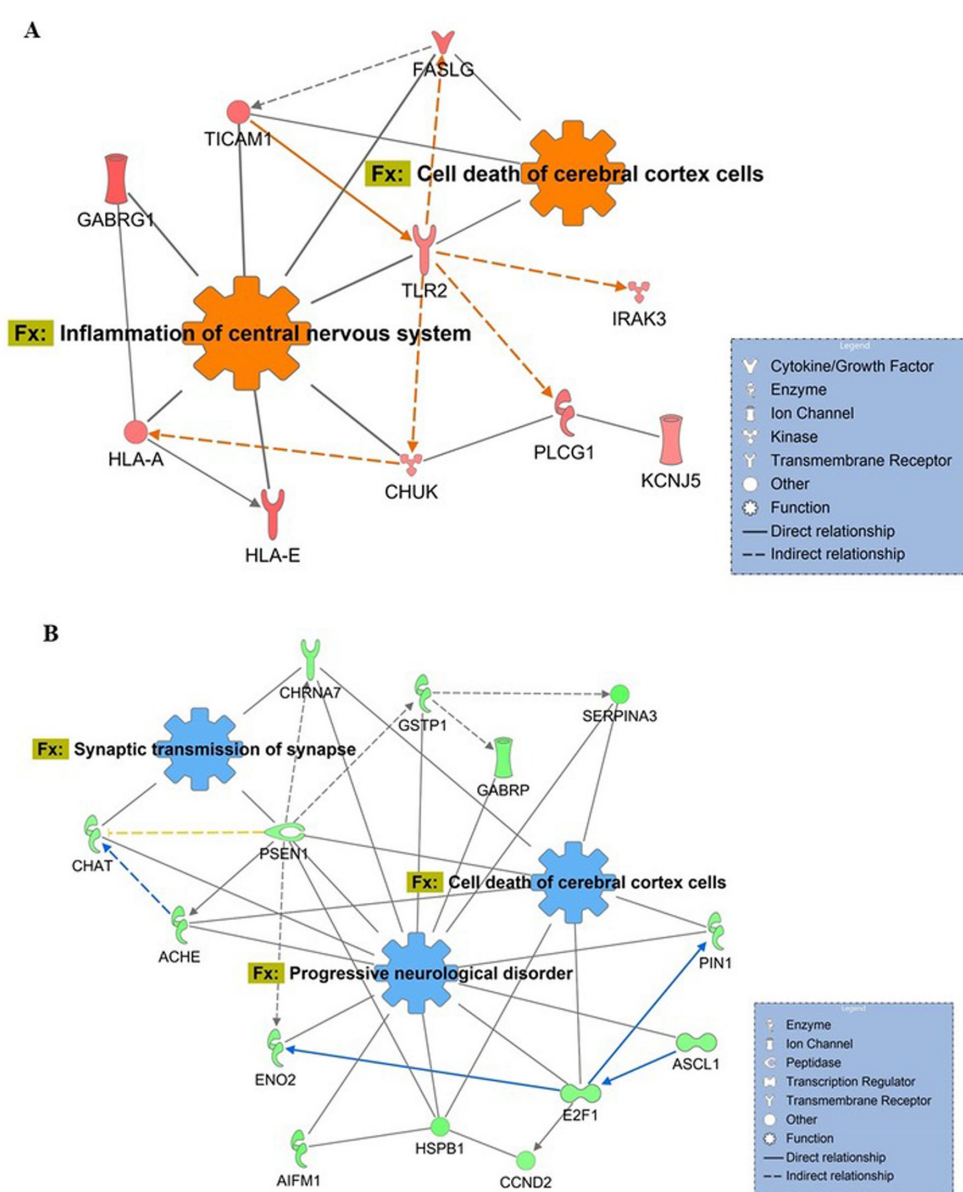
C.C.: cross-correlation, AUC: area under the curve. Mean  $\pm$  SE,  $n=5-7$ . \* $P<0.05$ , \*\* $P<0.01$ : vs. Pre. Responses from the same neurons were followed before (Pre), and 1 week (1w) and 2 weeks (2w) after CLO (clothianidin) administration.

**Fig. 3.** Scatter plot of correlative changes in area under the  $Ca^{2+}$  waveform (AUC) and frequency of  $Ca^{2+}$  transients in the control group (A) and the clothianidin (CLO) group (B).

To further understand the mechanism of the effect of CLO on S1, we performed transcriptome analysis using the Clariom<sup>TM</sup> S mouse array and identified 677 up-regulated and 838 down-regulated genes that were more than 1.5-fold altered in the CLO group compared to the control group. The main genes whose expression increased or decreased are summarized in Table 2. Bioinformatics analysis focusing on neural functions revealed a molecular network associated with “inflammation of the central nervous system” and “cell death of cerebral cortical cells” in the 677 up-regulated genes (Fig. 4A). The increase of *TLR2* in this study is consistent with the results of a previous report in which imidacloprid was administered chronically [7]. The cerebral microglia are responsible for immune function that induces inflammation via *TLR2* expression [24]. CLO exposure may have affected not only neurons but also microglia. Fetal exposure to CLO has been reported to decrease the number of microglia and decrease the ability to eat in 10-day-old mice [23]. However, the effect of CLO on microglia in adult mice is still unknown. Therefore, it will be necessary to investigate the effect of CLO on microglia in the future. On the other hand, molecular networks associated with “cortical cell death”, “progressive neuropathy”, and “synaptic transmission” were found in the 838 down-regulated genes (Fig. 4B). *CHAT*, which is involved in the synthesis of acetylcholine, and *ACHE*, which is involved in its degradation, as

**Table 2.** Major variable genes involved in neural function

Symbol	Entrez gene name	P-value	Expression fold change	Expected
FASLG	Fas ligand	0.0043	1.90	Up
GABRG1	Gamma-aminobutyric acid type A receptor subunit gamma 1	0.0030	2.16	Up
TICAM1	Toll like receptor adaptor molecule 1	0.0081	1.89	Up
TLR2	Toll like receptor 2	0.0155	1.68	Up
CHRNA7	Cholinergic receptor, nicotinic, alpha polypeptide 7	0.0202	-1.73	Down
ACHE	Acetylcholinesterase	0.0273	-1.81	Down
SERPINA3N	Serine (or cysteine) peptidase inhibitor, clade A, member 3N	0.0103	-2.15	Down
CHAT	Choline acetyltransferase	0.0298	-1.55	Down
PSEN1	Presenilin 1	0.0392	-1.57	Down
GABRP	Gamma-aminobutyric acid (GABA) A receptor, pi	0.0119	-1.80	Down


**Fig. 4.** A gene network map illustrating the interactions with a focus on neural functions in the cortex. (A) Interaction network of genes up-regulated by clothianidin (CLO) administration. (B) Interaction network of genes down-regulated by CLO administration.

well as *CHRNA7*, which synthesizes subunit  $\alpha 7$  of nAChR, were decreased, suggesting that repeated exposure to CLO disrupts nAChR-mediated neurotransmission. In L2/3 of S1, only interneurons had  $\alpha 7$  nAChRs, and *GABRP*, which synthesizes GABA receptor subunits, was also decreased, suggesting that repeated exposure to CLO overactivated pyramidal neurons by inhibiting the activity of interneurons. Since genetic variants in *PSEN1* and *SERPINA3* are involved in the pathogenesis of Alzheimer's disease [2, 18], a reduction of *PSEN1* and *SERPINA3* like that observed in this study may cause cognitive decline. In fact, it has been shown that spatial learning memory and object recognition memory are impaired in the Barnes maze test and the novel object recognition test in CLO-treated mice (in preparation for submission). This study is the first report of the neuronal effects of repeated exposure of mice to non-toxic doses of CLO followed over time using two-photon microscopy. The results suggested that CLO inhibited nAChR-bearing interneurons in the L2/3 of S1, leading to overactivation of neural circuits. It was also proposed that repeated exposure to CLO can cause inflammation in the brain. The present results provided new insights for understanding the mechanisms of neurobehavioral effects of NNs.

**CONFLICT OF INTEREST.** The authors declare that there are no conflicts of interest.

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