



# Congruence properties of Apéry numbers, binomial coefficients and Fourier coefficients of certain $\eta$ -products

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# 博士論文

Congruence properties of Apéry numbers , binomial coefficients  
and Fourier coefficients of certain  $\eta$ -products

(アペリー数、二項係数とあるエータ積の  
フーリエ係数の合同の性質について)

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Congruence properties of Apéry numbers , binomial coefficients  
and Fourier coefficients of certain  $\eta$ -products

Tsuneo Ishikawa

§1. Introduction.

Let, for any  $n \geq 0$ ,

$$a(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2, \quad u(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2.$$

R. Apéry's proof of the irrationality of  $\zeta(2)$  and  $\zeta(3)$  made use of these numbers, respectively (see van-der-Poorten [23]). So we call these numbers *Apéry numbers*. The first few values are given by  $a(0)=1, a(1)=3, a(2)=19, a(3)=147, a(4)=1251$  and  $u(0)=1, u(1)=5, u(2)=73, u(3)=1445, u(4)=33001$ .

So far, many properties of  $a(n)$  and  $u(n)$  were discovered by several people. Chowla-Cowles-Cowles[7] first considered congruences for  $u(n)$ , and some elementary congruences were proved by Gessel[11], Mimura[22] and Beukers[4].

Moreover, these numbers are concerned with the theory of differential equations, algebraic geometry, automorphic forms and formal groups. Stienstra-Beukers[24] showed that Apéry numbers were related to Picard-Fuchs equations associated to certain algebraic

variety (see Beukers-Peters[6], too), and they proved some congruences using the theory of formal groups. Recently, Koike[20] showed some relations between Apéry numbers and hypergeometric series over finite fields.

At first, in Section 2, we will collect the results for the Apéry numbers in Beukers [2],[5] by way of preparation.

In Section 3, we shall study about *super congruences* for the Apéry numbers. These are congruences modulo  $p^r$  ( $r > 1$ ) which we can not prove using the usual method in the theory of formal groups. We shall prove the following congruences conjectured by Beukers[5]. Let  $p \geq 3$  be a prime, and write

$$\sum_{n=1}^{\infty} \xi_n q^n = q \prod_{n=0}^{\infty} (1-q^{2n})^4 (1-q^{4n})^4 .$$

If  $u(\frac{p-1}{2}) \not\equiv 0 \pmod p$  then

$$u(\frac{p-1}{2}) \equiv \xi_p \pmod{p^2} .$$

And, let  $p \geq 5$  be a prime, and write

$$\sum_{n=1}^{\infty} \alpha_n q^n = q \prod_{n=0}^{\infty} (1-q^{4n})^6 .$$

Then

$$a(\frac{p-1}{2}) \equiv \alpha_p \pmod{p^2} .$$

For the more general statements see Theorem 3 and Theorem 4 of this paper. The most general statements conjectured by Beukers are still

open. Our method is applicable to the mod  $p^2$  determination of other

numbers such as  $v(n) = \sum_{k=0}^{\infty} \binom{n}{k}^3 (-1)^n$ .

In Section 4, we shall study about the congruences between Fourier coefficients of certain modular forms and binomial coefficients  $\binom{2f}{f}$  where  $f = \frac{p-l}{k}$  is a integer,  $l$  and  $k$  are positive integers with  $(k, l)=1$  and  $p$  is a prime  $p \equiv l \pmod{k}$ . The main result is the following congruence (see Theorem 6 of this paper). Let  $k$  and  $l$  be the above and put  $m = 4l/k$ . Write

$$\sum_{n=1}^{\infty} \gamma_n^{(k, l)} q^n = \eta(k\tau)^2 \eta(2k\tau)^{1+m} \eta(4k\tau)^{3-3m} \eta(8k\tau)^{2m-2}.$$

where  $\eta(\tau) = q^{1/24} \prod_{n=0}^{\infty} (1-q^n)$  is the Dedekind  $\eta$ -function with  $q = e^{2\pi i \tau}$  and

$\text{Im } \tau > 0$ . Then

$$\binom{2f}{f} \equiv (-1)^f \gamma_p^{(k, l)} \pmod{p}.$$

The numbers  $\binom{2f}{f}$  are related to formal groups as the special case of the congruences of Atkin-Swinnerton-Dyer type. Some modular forms which appear in this section are non-holomorphic, so we can not use the theory of Hecke operators and we do not know about the properties of the coefficients  $\gamma_n^{(k, l)}$ . But we prove the new congruences of the Fourier coefficients of certain modular forms in Corollaries 1 and 2. For example,

$$l \gamma_p^{(k, l)} \equiv -2(2l+k) \gamma_p^{(k, k+l)} \pmod{p}.$$

In Section 5, we shall prove the following congruences of  $u(\frac{p-l}{k})$  applying to arguments in Section 4. Let  $k, l$  be positive integers with  $(k, l)=1$  and write

$$\sum_{n=1}^{\infty} \xi_n^{(k, l)} q^n = \eta(k\tau)^{m-2} \eta(2k\tau)^{10-m} \eta(3k\tau)^{6-m} \eta(6k\tau)^{m-6} \\ - 9 \eta(k\tau)^{m-6} \eta(2k\tau)^{6-m} \eta(3k\tau)^{10-m} \eta(6k\tau)^{m-2} .$$

with  $m=12l/k$ . Then, for any prime  $p \equiv l \pmod k$ ,

$$u(\frac{p-l}{k}) \equiv \xi_p^{(k, l)} \pmod p$$

(see Theorem 8). But, we do not know the details of the properties of  $\xi_p^{(k, l)}$ .

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## §2. Some facts.

In this section, we mainly describe the results obtained by Beukers[2],[3] and [5] by way of preparation of Sections 3,4 and 5. We may state about the numbers  $u(n)$  as we can take the same method for the numbers  $a(n)$ .

Let

$$\mathfrak{U}(t) = \sum_{n=0}^{\infty} u(n)t^n$$

be the generating function of  $u(n)$ . The function  $\mathfrak{U}(t)$  is the holomorphic solution around  $t=0$  of the 3rd order linear differential equation

$$(2-1) \quad (t^4 - 34t^3 + t^2) \frac{d^3 y}{dt^3} + (2t^3 - 153t^2 + 3t) \frac{d^2 y}{dt^2} + (7t^2 - 112t + 1) \frac{dy}{dt} + (t - 5) y = 0 ,$$

because the numbers  $u(n)$  satisfy the recurrence

$$(n+1)^3 u(n+1) - (34n^3 + 51n^2 + 27n + 5)u(n) + n^3 u(n-1) = 0 .$$

Let  $y_0 = \mathfrak{U}(t)$ ,  $y_1$  and  $y_2$  be solutions of (2-1). Then we see

$$(2-2) \quad y_0 = \Phi_0^2 , \quad y_1 = \Phi_0 \Phi_1 , \quad y_2 = \Phi_1^2 .$$

where  $\Phi_0$  and  $\Phi_1$  are some solutions of the differential equation

$$(t^3 - 34t^2 + 1) \frac{d^2 \Phi}{dt^2} + (2t^2 - 51t + 1) \frac{d\Phi}{dt} + \frac{1}{4}(t-10)\Phi = 0 .$$

By transformations  $t = \frac{x(1-9x)}{1-x}$  and  $\varphi = \sqrt{1-x} \Phi$ , we have

$$(2-3) \quad x(x-1)(9x-1) \frac{d^2 \varphi}{dx^2} + (27x^2 - 20x - 1) \frac{d\varphi}{dx} + 3(3x-1)\varphi = 0 .$$



This is the Picard-Fuchs equation associated to the family of the elliptic curves

$$(2-4) \quad Y^2 + (1+x)XY - x(x-1)Y = X^3 - x(x-1)X^2.$$

Beukers and Stienstra[24] studied about the relations between the Picard-Fuchs equations and the modular forms.

**Proposition 1.**(Beukers and Stienstra) *Let  $f(x)$  be a holomorphic solution of (2-3) around  $x=0$  with  $f(0)=1$  and put*

$$x(\tau) = \eta(\tau)^4 \eta(2\tau)^{-8} \eta(3\tau)^{-4} \eta(6\tau)^8.$$

where  $\eta(\tau) = q^{1/24} \prod_{n=1}^{\infty} (1-q^n)$  is the Dedekind  $\eta$ -function with  $q=e^{2\pi i\tau}$

and  $\text{Im}(\tau)>0$ . Then

$$f(x(\tau)) = 1 + 3 \sum_{k=1}^{\infty} \frac{\chi(k)q^k}{1-q^k} = E_1(\tau, \chi),$$

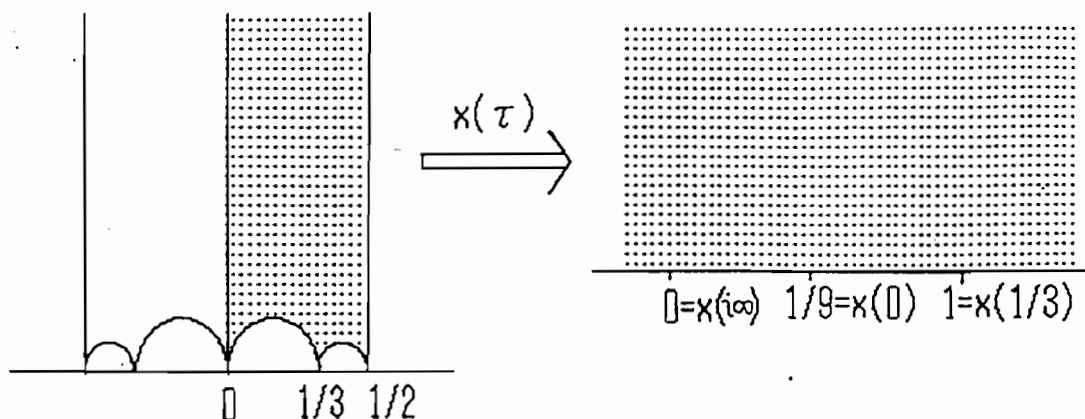
where  $E_1(\tau, \chi)$  denotes the Eisenstein series of weight 1 and  $\chi(k)$  is the Diriclet charcter of modulo 6 with  $\chi(-1)=-1$ .

We give a sketch of the proof of Proposition 1. Elliptic curves (2-4) are the Tate normal forms with a point(0,0) of order 6, and they are parametrized by the modular curve  $\mathbb{H}/\Gamma_1(6)$  where

$$\Gamma_1(6) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z}) \mid \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \pmod{6} \right\}.$$

The function  $x(\tau)$  is the generator of the function fields on  $\Gamma_1(6)$

and maps the shaded open area in the picture below univalently onto the upper half plane and satisfies  $x(i\infty)=0$ ,  $x(0)=1/9$ ,  $x(1/3)=1$ ,  $x(1/2)=\infty$ .



Now, put  $\omega_1(\tau) = E_1(\tau, x)$  and  $\omega_2(\tau) = \tau E_1(\tau, x)$ . We can consider  $\omega_1$  and  $\omega_2$  as multivalued function on the  $x$ -plane via the mapping  $\tau \rightarrow x(\tau)$ . We denote them by  $\omega_1(x)$  and  $\omega_2(x)$ . After an analytic continuation along a closed path  $\gamma$  in  $\mathbb{C} - \{0, 1/9, 1\}$  corresponding to

$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_1(6)$ ,  $\omega_1$  and  $\omega_2$  are changed by the transformation

$$(2-5) \quad \begin{pmatrix} \omega_2(x) \\ \omega_1(x) \end{pmatrix} \xrightarrow{\gamma} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \omega_2(x) \\ \omega_1(x) \end{pmatrix}.$$

Now  $\omega_1(x)$  and  $\omega_2(x)$  satisfy the equation

$$(2-6) \quad \begin{vmatrix} \omega_1 & \omega_1' \\ \omega_2 & \omega_2' \end{vmatrix} F'' - \begin{vmatrix} \omega_1 & \omega_1'' \\ \omega_2 & \omega_2'' \end{vmatrix} F' + \begin{vmatrix} \omega_1' & \omega_1''' \\ \omega_2' & \omega_2''' \end{vmatrix} F = 0$$

It is straightforward to see that

$$\begin{vmatrix} \omega_1 & \omega_1' \\ \omega_2 & \omega_2' \end{vmatrix} = \frac{(\omega_1)^2}{(dx/d\tau)}, \quad \begin{vmatrix} \omega_1 & \omega_1'' \\ \omega_2 & \omega_2'' \end{vmatrix} = \frac{d}{dx} \begin{vmatrix} \omega_1 & \omega_1' \\ \omega_2 & \omega_2' \end{vmatrix}$$

and

$$\begin{vmatrix} \omega_1' & \omega_1'' \\ \omega_2' & \omega_2'' \end{vmatrix} = \left(\frac{dx}{d\tau}\right)^{-3} \{ 2(d\omega_1/d\tau)^2 - \omega_1(d/d\tau)^2 \omega_1 \} ,$$

and these determinants are rational functions of  $x$  by (2-5).

Here we can check that  $x^{-1}dx/d\tau$  is a modular form of weight 2 for  $\Gamma_1(6)$  and

$$\frac{(\omega_1)^2}{(dx/d\tau)} = x^{-1} \frac{(\omega_1)^2}{x^{-1}dx/d\tau}$$

has simple poles at  $\tau=i\infty$ , 0 and  $1/3$ , and a zero of order 3 at  $\tau=1/2$ .

Hence, we obtain

$$\begin{vmatrix} \omega_1 & \omega_1' \\ \omega_2 & \omega_2' \end{vmatrix} = \frac{(\omega_1)^2}{dx/d\tau} = \frac{c_1}{x(x-1)(9x-1)}$$

for some constant  $c_1$ , and

$$\begin{vmatrix} \omega_1' & \omega_1'' \\ \omega_2' & \omega_2'' \end{vmatrix} = \frac{c_2 x + c_3}{x^2(x-1)^2(9x-1)^2}$$

in the same way. We can determine the constants  $c_1$ ,  $c_2$  and  $c_3$  by comparing with

$$\omega(x) = 1 + 3x + 15x^2 + 93x^3 + 639x^4 + \dots$$

Hence we see that (2-3) equals (2-6). See Stienstra-Beukers[24], Beukers[2],[5] and Stiller[25].  $\square$

The following proposition is the direct consequence of the above (see Beukers[5]).

**Proposition 2.**(Beukers) *Let*

$$t(\tau) = \eta(\tau)^{12} \eta(2\tau)^{-12} \eta(3\tau)^{-12} \eta(6\tau)^{12} .$$

*Then*

$$\mathfrak{U}(t(\tau)) = \frac{1}{24} \{2E_2(2\tau) - 3E_2(3\tau) - 5E_2(\tau) + 30E_2(6\tau)\}$$

where  $E_2(\tau) = 1 + 24 \sum_{k=1}^{\infty} \sigma_1(k) q^k$  is the Eisenstein series of weight 2

with  $\sigma_1(k) = \sum_{d|k} d$  .

Moreover, let  $\lambda(\tau) = \sqrt{t(2\tau)}$ . Then we have

$$(2-7) \quad \mathfrak{U}(\lambda^2) d\lambda = \{\eta(2\tau)^4 \eta(4\tau)^4 - 9\eta(6\tau)^4 \eta(12\tau)^4\} dq/q .$$

The following lemma is convenient for the proof of the congruences that is related to the theory of formal groups( see Beukers[5] and Stienstra-Beukers[24] ).

**Lemma 1.** *Let  $p$  be a prime and*

$$\omega(t) = \sum_{n=1}^{\infty} b_n t^{n-1} dt$$

be a differential form with  $b_n \in \mathbb{Z}_p$  . Let  $t(u) = \sum_{n=1}^{\infty} c_n u^n$  with  $c_n \in \mathbb{Z}_p$  ,

$c_1$  is a  $p$ -adic unit , and suppose

$$\omega(t(u)) = \sum_{n=1}^{\infty} d_n u^{n-1} du .$$

Then (2-8) is equivalent to (2-9) for  $m, r \in \mathbb{N}$  and  $\alpha_p, \beta_p \in \mathbb{Z}_p$  ,  $p | \beta_p$  :

$$(2-8) \quad b(\mathfrak{m}p^r) - \alpha_p b(\mathfrak{m}p^{r-1}) + \beta_p b(\mathfrak{m}p^{r-2}) \equiv 0 \pmod{p^r}.$$

$$(2-9) \quad d(\mathfrak{m}p^r) - \alpha_p d(\mathfrak{m}p^{r-1}) + \beta_p d(\mathfrak{m}p^{r-2}) \equiv 0 \pmod{p^r}.$$

*Proof.* Note that the congruences (2-8) are equivalent to

$$\omega(t) - \frac{\alpha_p}{p} \omega(t^p) + \frac{\beta_p}{p^2} \omega(t^{p^2}) = dF_1(t), \quad F_1(t) \in \mathbb{Z}_p[[t]].$$

Since

$$t(u)^{np} = t(u^p)^n + np G_n(u), \quad G_n(u) \in \mathbb{Z}_p[[u]]$$

and

$$\frac{1}{p} \omega(t(u)^p) = \sum_{n=1}^{\infty} \frac{b_n}{np} d(t^{pn}),$$

we see

$$\begin{aligned} \frac{1}{p} \omega(t(u)^p) &= \sum_{n=1}^{\infty} \frac{b_n}{np} d(t(u^p)^n) + b_n dG_n(u) \\ &= \frac{1}{p} \omega(t(u^p)) + dF_2(u), \quad F_2(u) \in \mathbb{Z}[[u]]. \end{aligned}$$

Similarly

$$\frac{1}{p} \omega(t(u)^{p^2}) = \frac{1}{p} \omega(t(u^{p^2})) + dF_3(u), \quad F_3(u) \in \mathbb{Z}_p[[u]].$$

Hence (2-9) implies

$$\omega(t(u)) - \frac{\alpha_p}{p} \omega(t(u^p)) + \frac{\beta_p}{p^2} \omega(t(u^{p^2})) = dF_4(u), \quad F_4(u) \in \mathbb{Z}_p[[u]].$$

Conversely, since  $c_1$  is a  $p$ -adic unit, we can write

$$u(t) = \sum_{n=1}^{\infty} \tilde{c}_n t^n, \quad \tilde{c}_n \in \mathbb{Z}_p.$$

Thus we have completed the proof.  $\square$

Now, since  $\eta(2\tau)^4 \eta(4\tau)^4 = \sum_{n=1}^{\infty} \xi_n q^n = q \prod_{n=0}^{\infty} (1-q^{2n})^4 (1-q^{4n})^4$  is an unique cusp form of weight 4 for  $\Gamma_0(8)$ , its corresponding Dirichlet series has Euler product

$$\sum_{n=1}^{\infty} \frac{\xi_n}{n^s} = \prod_{p:\text{odd}} (1 - \xi_p p^{-s} + p^{3-2s})^{-1}.$$

Let

$$\eta(2\tau)^4 \eta(4\tau)^4 - 9\eta(6\tau)^4 \eta(12\tau)^4 = \sum_{n=1}^{\infty} \xi(n) q^n.$$

Then

$$\begin{aligned} \sum_{n=1}^{\infty} \frac{\xi(n)}{n^s} &= \sum_{n=1}^{\infty} \frac{\xi_n}{n^s} - 3^{2-s} \sum_{n=1}^{\infty} \frac{\xi_n}{n^s} \\ &= (1-3^{2-s}) \prod_{p:\text{odd}} (1 - \xi_p p^{-s} + p^{3-2s})^{-1}. \end{aligned}$$

Hence, for all odd prime  $p$ ,

$$\xi(mp^r) - \xi_p \xi(mp^{r-1}) + p^3 \xi(mp^{r-2}) \equiv 0 \pmod{p^r}.$$

Combining Lemma 1 and Proposition 2 (2-7), we can obtain the following theorem (see Beukers[5]).

**Theorem 1. (Beukers)** *Let  $p \geq 3$  be a prime, and write*

$$(2-10) \quad \sum_{n=1}^{\infty} \xi_n q^n = q \prod_{n=0}^{\infty} (1-q^{2n})^4 (1-q^{4n})^4.$$

*Let  $m, r \in \mathbb{N}$ ,  $m$  odd, then we have*

$$(2-11) \quad u\left(\frac{mp^r-1}{2}\right) - \xi_p u\left(\frac{mp^{r-1}-1}{2}\right) + p^3 u\left(\frac{mp^{r-2}-1}{2}\right) \equiv 0 \pmod{p^r}.$$

In the case of the numbers  $a(n)$ , the generating function

$$A(t) = \sum_{n=0}^{\infty} a(n)t^n$$

is a holomorphic solution of the Picard-Fuchs equation

$$t(t^2-11t-1)\frac{d^2F}{dt^2} + (3t^2-22t-1)\frac{dF}{dt} + (t-3)F = 0$$

associated to the family of elliptic curves

$$(2-12) \quad Y^2 = X^3 + (t^2+6t+1)X^2 + 8t(t+1)X + 16t^2.$$

Therefore, we can prove the following theorem in the same way.

See Beukers[2] and Stienstra-Beukers[24].

**Theorem 2.** (Beukers and Stienstra) *Let  $p \geq 3$  be a prime, and write*

$$(2-13) \quad \sum_{n=1}^{\infty} \alpha_n q^n = q \prod_{n=0}^{\infty} (1-q^{4n})^6.$$

*Let  $m, r \in \mathbb{N}$ ,  $m$  odd, then we have*

$$(2-14) \quad a\left(\frac{mp^r-1}{2}\right) - \alpha_p a\left(\frac{mp^{r-1}-1}{2}\right) + (-1)^{\frac{p-1}{2}} p^2 a\left(\frac{mp^{r-2}-1}{2}\right) \equiv 0 \pmod{p^r}.$$

### §3. Super Congruence for the Apéry Numbers.

Let  $\{w(n)\}_{n=1}^{\infty}$  be a sequence of rational or  $p$ -adic integers. We will consider the congruences

$$w(mp^r) \equiv a w(mp^{r-1}) \pmod{p^{\kappa r}}$$

where  $\kappa, m$  and  $r$  are positive integers and  $a$  is a  $p$ -adic integer. If  $\kappa=1$  then these congruences arise from the theory of formal groups (see Hazewinkel[13], Stienstra-Beukers[24]). In the cases of  $\kappa>1$ , we call these congruences *super congruences* (see Coster[10]). In this section, we will treat the super congruences for the Apéry numbers  $a(n)$  and  $u(n)$ , i.e., we shall prove that the congruences in Theorem 1 and Theorem 2 hold mod  $p^{\kappa r}$  in the case of  $\kappa=2>1$  and  $r=1$ .

**Theorem 3.** *Let  $p \geq 5$  be a prime and  $m \in \mathbb{N}$ ,  $m$  odd, and write*

$$\sum_{n=1}^{\infty} \alpha_n q^n = q \prod_{n=0}^{\infty} (1-q^{4n})^6.$$

*Then we have*

$$a\left(\frac{mp-1}{2}\right) - \alpha_p a\left(\frac{m-1}{2}\right) \equiv 0 \pmod{p^2}.$$

**Theorem 4.** *Let  $p \geq 3$  be a prime and  $m \in \mathbb{N}$ ,  $m$  odd, and write*

$$\sum_{n=1}^{\infty} \xi_n q^n = q \prod_{n=0}^{\infty} (1-q^{2n})^4 (1-q^{4n})^4.$$

*If  $u\left(\frac{p-1}{2}\right) \not\equiv 0 \pmod{p}$  then*



$$u\left(\frac{mp-1}{2}\right) - \xi_p u\left(\frac{m-1}{2}\right) \equiv 0 \pmod{p^2}.$$

F.Beukers informed me that Theorem 3 is proved by L.Van Hamme[12] in the cases of  $p \equiv 1 \pmod{4}$  using properties of the  $p$ -adic gamma function. We prove the general case involving  $p \equiv 3 \pmod{4}$  by entirely different method.

In Theorem 4,  $u\left(\frac{p-1}{2}\right) \equiv 0 \pmod{p}$  for  $p=11, 3137$  if  $p < 100000$ . But these cases hold, too.

However, in the cases of  $r > 2$ , these super congruences are still open.

### 3-1. Congruences of $a(n)$ .

The numbers  $a(n)$  satisfy the recurrence

$$(3-1) \quad (n+1)^2 a(n+1) = (11n^2 + 11n + 3)a(n) + n^2 a(n-1) \quad n \geq 1.$$

We know the following result. Let  $p$  be an odd prime, and  $m \geq 0$ , then

$$(3-2) \quad a(mp) \equiv a(m) \pmod{p^2},$$

$$(3-3) \quad a(p-1) \equiv 1 \pmod{p^2}.$$

By (3-1), (3-2) and (3-3), we have  $a(p-2) \equiv -3+5p \pmod{p^2}$  and  $a(p+1) \equiv 9+15p \pmod{p^2}$ .

**Proposition 3.** *Let  $m \geq 0$ ,  $n \geq 0$  and  $m+n=p-1$ . Then*

$$a(m) \equiv (-1)^m a(n) \pmod{p}.$$

*Proof.* We proceed by induction on  $m$  to show that  $a(m) \equiv (-1)^m a(p-m-1) \pmod{p}$ . From the above result,  $a(0) \equiv a(p-1) \equiv 1 \pmod{p}$  and  $a(1) \equiv -a(p-2) \equiv 3 \pmod{p}$ . Let  $0 < m < p-1$ . From the recurrence (3-1),

$$\begin{aligned} (m+1)^2 a(m+1) &= (11m^2 + 11m + 3)a(m) + m^2 a(m-1) \\ &\equiv \{11(p-m)^2 - 11(p-m) + 3\}a(m) + (p-m)^2 a(m-1) \\ &\equiv \begin{cases} -\{11(p-m)^2 - 11(p-m) + 3\}a(p-m-1) + (p-m)^2 a(p-m) & \text{if } m : \text{ odd} \\ \{11(p-m)^2 - 11(p-m) + 3\}a(p-m-1) - (p-m)^2 a(p-m) & \text{if } m : \text{ even} \end{cases} \\ &\equiv \begin{cases} (m+1)^2 a(p-m-2) & \text{if } m : \text{ odd} \\ -(m+1)^2 a(p-m-2) & \text{if } m : \text{ even} \end{cases} \pmod{p}. \quad \square \end{aligned}$$

**Proposition 4.** For all primes  $p$ ,  $n \geq 0$  and  $0 \leq m \leq p-1$ , we have

$$a(np+m) \equiv a(m)a(n) \pmod{p}.$$

*Proof.* We shall need Lucas' congruence

$$\binom{a+pb}{c+pd} \equiv \binom{a}{c} \binom{b}{d} \pmod{p}$$

for  $0 \leq a, c < p$ , and

$$\binom{(a+pb)+(c+pd)}{c+pd} \equiv \binom{a+c}{c} \binom{b+d}{d} \pmod{p}.$$

Then for  $0 \leq m < p$  we have

$$a(m+pn) = \sum_{k=0}^{m+pn} \binom{m+pn}{k}^2 \binom{m+pn+k}{k}$$

$$\begin{aligned}
&= \sum_{i=0}^{p-1} \sum_{j=0}^n \binom{m+pn}{i+pj}^2 \binom{m+pn+i+pj}{i+pj} \\
&\equiv \sum_{i=0}^{p-1} \sum_{j=0}^n \binom{m}{i}^2 \binom{n}{j}^2 \binom{m+i}{i} \binom{n+j}{j} \pmod{p} \\
&= \left\{ \sum_{i=0}^m \binom{m}{i}^2 \binom{m+i}{i} \right\} \left\{ \sum_{j=0}^n \binom{n}{j}^2 \binom{n+j}{j} \right\} \\
&= a(m)a(n) \quad . \quad \square
\end{aligned}$$

### 3-2. Congruences of $b(n)$ .

Let  $b(0)=0$  and, for any  $n \geq 1$ ,

$$b(n) = \sum_{k=1}^n \binom{n}{k}^2 \binom{n+k}{k} \left[ \frac{2}{n-k+1} + \cdots + \frac{2}{n} + \frac{1}{n+1} + \cdots + \frac{1}{n+k} \right] .$$

These numbers are (differential) of  $a(n)$  and they take important parts in the congruence of mod  $p^2$  as shown in Gessel[11, Theorem 4].

**Proposition 5.** *The numbers  $b(n)$  satisfy the recurrence*

$$\begin{aligned}
(3-4) \quad (n+1)^2 b(n+1) &= (11n^2 + 11n + 3)b(n) + n^2 b(n-1) \\
&\quad - 2(n+1)a(n+1) + 11(2n+1)a(n) + 2na(n-1) ,
\end{aligned}$$

and for all primes  $p \geq 3$ ,  $n \geq 0$  and  $0 \leq m \leq p-1$ , we have

$$a(np+m) \equiv \{a(m) + pnb(m)\}a(n) \pmod{p^2} .$$

*Proof.* Let

$$B_{n,k} = (k^2 + 3(2n+1)k - 11n^2 - 9n - 2) \binom{n}{k}^2 \binom{n+k}{k} H_{n,k} + (6k - 22n - 9) \binom{n}{k}^2 \binom{n+k}{k} ,$$

and 
$$H_{n,k} = \frac{2}{n-k+1} + \cdots + \frac{2}{n} + \frac{1}{n+1} + \cdots + \frac{1}{n+k} ,$$

then we have

$$\begin{aligned}
 B_{n,k} - B_{n,k-1} = & (n+1)^2 \binom{n+1}{k}^2 \binom{n+1+k}{k} H_{n+1,k} - (11n^2 + 11n + 3) \binom{n}{k}^2 \binom{n+k}{k} H_{n,k} \\
 & - n^2 \binom{n-1}{k}^2 \binom{n-1+k}{k} H_{n-1,k} + 2(n+1) \binom{n+1}{k}^2 \binom{n+1+k}{k} \\
 & - 11(2n+1) \binom{n}{k}^2 \binom{n+k}{k} - 2n \binom{n-1}{k}^2 \binom{n-1+k}{k} .
 \end{aligned}$$

Taking summation from 1 to  $n+1$  on  $k$ , recurrence(3-4) follows.

Next, we see that by Proposition 4 for fixed  $n$  and  $p$ , there exist numbers  $\tilde{b}(k)$ , with  $\tilde{b}(0)=0$ , such that

$$(3-5) \quad a(k+pn) \equiv a(k)a(n) + p \tilde{b}(k) \pmod{p^2},$$

for  $0 \leq k < p$ . Let us write the recurrence(3-1) in the form

$$\sum_{i=0}^2 r_i(n) a(n-i) = 0.$$

Note that this congruence holds for  $n \geq 1$  if  $a(-1)$  assigned any arbitrary value. Substituting  $k+pn$  for  $n$ , and using (3-5) and Taylor's expansion, we have

$$\begin{aligned}
 0 &= \sum_{i=0}^2 r_i(k+pn) a(k+pn-i) \\
 &\equiv \sum_{i=0}^2 \{r_i(k) + p n r'_i(k)\} \{a(k-i)a(n) + p \tilde{b}(k-i)\} \pmod{p^2} \\
 &\equiv p \sum_{i=0}^2 \{r_i(k) \tilde{b}(k-i) + n r'_i(k) a(k-i)a(n)\} \pmod{p^2}
 \end{aligned}$$

for  $0 < k < p$ . Multiplying (3-4) by  $na(n)$ , we see

$$\sum_{i=0}^2 \{r_i(k) n \tilde{b}(k-i)a(n) + n r'_i(k) a(k-i)a(n)\} = 0$$

with  $b(0)=0$ . Then since  $r_0(k)=k^2$  is not divisible by  $p$  for  $0 < k < p$ , we have  $b(k) \equiv nb(k)a(n) \pmod{p}$  for  $0 \leq k < p$ .  $\square$

**Proposition 6.** Let  $m \geq 0$ ,  $n \geq 0$  and  $m+n=p-1$ . Then

$$b(m) \equiv (-1)^{m-1} b(n) \pmod{p}.$$

*Proof.* From the congruence (3-2), (3-3) and Proposition 5,  $b(0) \equiv -b(p-1) \equiv 0 \pmod{p}$ . And by the definition of  $b(n)$ ,  $\text{ord}_p b(p) \geq 0$ . Then  $b(1) \equiv b(p-2) \equiv 5 \pmod{p}$  by the recurrence (3-4). By induction on  $m$ , similarly in Proposition 3, we can prove it.  $\square$

**Theorem 5.** Let  $m \geq 0$ ,  $n \geq 0$  and  $m+n=p-1$ . Then

$$a(m) \equiv (-1)^m \{ a(n) - pb(n) \} \pmod{p^2}.$$

*Proof.* It is clear from (3-2), (3-3) and Proposition 6 in the case of  $m=0,1$ . From the recurrences (3-1), (3-4) and the congruence

$$\begin{aligned} (m+1)^2 a(m+1) &\equiv \{ 11(p-m)^2 - 11(p-m) + 3 \} a(m) + (p-m)^2 a(m-1) \\ &\quad - 11p \{ 2(p-m) - 1 \} a(m) - 2p(p-m) a(m-1) \pmod{p^2}, \end{aligned}$$

it can be also shown by inductive method.  $\square$

### 3-3. Congruences of $c(n)$ .

If  $p \equiv 3 \pmod{4}$ , we can not obtain the congruence of  $b(\frac{p-1}{2})$  from

Proposition 6. Therefore we prepare the numbers  $c(n)$ .

Let, for all odd numbers  $n \geq 1$ ,

$$c(n) = \sum_{k=1}^n \binom{n}{k}^3 (-1)^k \left[ \frac{3}{n-k+1} + \dots + \frac{3}{n} \right].$$

Let  $p$  be an odd prime. From the congruences  $\binom{\frac{p-1}{2}+k}{k} \equiv (-1)^k \binom{\frac{p-1}{2}}{k} \pmod{p}$

and 
$$\frac{1}{\frac{p-1}{2}-k+1} + \dots + \frac{1}{\frac{p-1}{2}} + \frac{1}{\frac{p+1}{2}} + \dots + \frac{1}{\frac{p-1}{2}+k} \equiv 0 \pmod{p}$$

where  $1 \leq k \leq \frac{p-1}{2}$ , we have  $3b(\frac{p-1}{2}) \equiv c(\frac{p-1}{2}) \pmod{p}$  if  $p \equiv 3 \pmod{4}$ .

Proposition 7. The numbers  $c(n)$  satisfy the recurrence

$$(3-6) \quad n^2 c(n) = -3\{9(n-1)^2 - 1\}c(n-2)$$

for all odd numbers  $n \geq 3$ .

*Proof.* Let

$$f_n(k) = 2(14n^2 + n - 1) - 3(26n^2 - n - 3)k/n + 3(29n^2 - 3)k^2/n^2 - 3(15n^2 + 2n - 1)k^3/n^3 + 3(3n + 1)k^4/n^3,$$

$$g_n(k) = 2(28n + 1) - 3(26n^2 + 3)k/n^2 + 18k^2/n^3 + 3(15n^2 + 14n - 3)k^3/n^4 - 9(2n + 1)k^4/n^4,$$

and 
$$C_{n,k} = \frac{3}{n-k+1} + \dots + \frac{3}{n}.$$

Then we have

$$\begin{aligned} & (n+1)^2 \binom{n+1}{k}^3 C_{n+1,k} + 3(9n^2 - 1) \binom{n-1}{k}^3 C_{n-1,k} \\ & + 2(n+1) \binom{n+1}{k}^3 + 54n \binom{n-1}{k}^3 \end{aligned}$$

$$= f_n(k) \binom{n}{k}^3 c_{n,k} + f_n(k-1) \binom{n}{k-1}^3 c_{n,k-1} + g_n(k) \binom{n}{k}^3 + g_n(k-1) \binom{n}{k-1}^3 .$$

We multiply both sides by  $(-1)^k$ . Taking summation from 1 to  $n+1$  on  $k$ ,

$$(3-7) \quad (n+1)^2 c(n+1) + 3(9n^2-1)c(n-1) \\ + 2(n+1) \sum_{k=0}^{n+1} \binom{n+1}{k}^3 (-1)^k + 54n \sum_{k=0}^{n-1} \binom{n-1}{k}^3 (-1)^k = 0 .$$

If  $n \equiv 0 \pmod{2}$ , two latter summations are equal to 0.  $\square$

The numbers  $c(n)$  satisfy the recurrence (3-7) if  $n \equiv 1 \pmod{2}$ .

**Proposition 8.** *Let  $p \equiv 3 \pmod{4}$  be a prime, then we have*

$$c\left(\frac{p-1}{2}\right) \equiv 0 \pmod{p} .$$

*Proof.* It is trivial if  $p=3$ . If  $p \equiv 7 \pmod{12}$  then  $\frac{p+2}{3}$  is odd.

By (3-6), we have

$$\left(\frac{p+2}{3}\right)^2 c\left(\frac{p+2}{3}\right) + 3\{9\left(\frac{p-1}{3}\right)^2 - 1\} c\left(\frac{p-4}{3}\right) = 0 .$$

Then  $c\left(\frac{p+2}{3}\right) \equiv 0 \pmod{p}$ . Hence,  $c(n) \equiv 0 \pmod{p}$  for  $\frac{p+2}{3} \leq n \leq p-2$  and  $n$  odd.

If  $p \equiv 11 \pmod{12}$  then  $\frac{p+4}{3}$  is odd. Therefore it can be proved in the same way.  $\square$

### 3-4. Proof of Theorem 3.

Beukers and Stienstra showed that the generating function of  $a(n)$  is a holomorphic solution of the Picard-Fuchs equation associated to

the family of elliptic curves(2-12). From this argument and the  $\xi$ -function of a certain K3-surface, they proved Theorem 2 (see Beukers[2] and Stienstra-Beukers[24]). Moreover, we know that the right hand side of (2-13) is equal to  $\eta(4z)^6$  with  $q=e^{2\pi iz}$ ,  $\text{Im}(z)>0$  (where  $\eta(z) = q^{1/24} \prod_{n=1}^{\infty} (1-q^n)$  is the Dedekind  $\eta$ -function). From the Jacobi-Macdonald formula, we see

$$\alpha_p = \begin{cases} 4a^2-2p & \text{if } p \equiv 1 \pmod{4} \text{ and } p=a^2+b^2, \quad a \equiv 1 \pmod{2} \\ 0 & \text{if } p \equiv 3 \pmod{4} . \end{cases}$$

Hence if  $p \equiv 1 \pmod{4}$  then  $\alpha_p \not\equiv 0 \pmod{p}$ . According to Theorem 2, if  $m=1$  and  $r=1$  then  $a(\frac{p-1}{2}) \equiv \alpha_p \not\equiv 0 \pmod{p}$ .

Let us prove Theorem 3 using congruences of  $a(n)$ ,  $b(n)$ ,  $c(n)$ , and Theorem 2.

If  $p \equiv 1 \pmod{4}$  then  $\frac{p-1}{2}$  is even. From Proposition 6,  $b(\frac{p-1}{2}) \equiv -b(\frac{p-1}{2}) \pmod{p}$ . Hence  $b(\frac{p-1}{2}) \equiv 0 \pmod{p}$ . Then  $a(\frac{mp^2-1}{2}) \equiv a(\frac{mp-1}{2})a(\frac{p-1}{2}) \pmod{p^2}$  and  $a(\frac{mp-1}{2}) \equiv a(\frac{m-1}{2})a(\frac{p-1}{2}) \pmod{p^2}$ . Putting  $r=2$  in Theorem 2,  $a(\frac{mp^2-1}{2}) \equiv \alpha_p a(\frac{mp-1}{2}) \pmod{p^2}$ . Since  $a(\frac{p-1}{2}) \not\equiv 0 \pmod{p}$ , it is reduced to  $a(\frac{mp-1}{2}) \equiv \alpha_p a(\frac{m-1}{2}) \pmod{p^2}$ .

If  $p \equiv 3 \pmod{4}$  and  $p \neq 3$  then  $a(\frac{p-1}{2}) \equiv -\frac{p}{2}b(\frac{p-1}{2}) \equiv -\frac{p}{6}c(\frac{p-1}{2}) \pmod{p^2}$  by Theorem 5. From Proposition 8, We have  $a(\frac{p-1}{2}) \equiv 0 \pmod{p^2}$ . Hence  $a(\frac{mp-1}{2}) \equiv a(\frac{p-1}{2})a(\frac{m-1}{2}) \equiv 0 \pmod{p^2}$ . Thus we have completed the proof.



### 3-5. Proof of Theorem 4.

The proof of super congruences for the numbers  $u(n)$  is easy using Gessel's result in the same way.

**Proposition 9** (Gessel) . Let  $d(0)=0$  and

$$d(n) = 2(2n+1) \sum_{k=1}^n \binom{n}{k}^2 \binom{n+k}{k}^2 \left\{ \sum_{i=1}^k \frac{1}{(n-i+1)(n+i)} \right\} , \quad n \geq 1 .$$

Then for any prime  $p$  , and  $0 \leq k < p$  , we have

$$u(k+pn) \equiv \{ u(k) + pnd(k) \} u(n) \pmod{p^2} .$$

*Proof.* The congruence can be proved in similar method of the proof of Proposition 4 of this paper. See Gessel[11].  $\square$

By the explicit formula of  $d(n)$ , we have  $d(\frac{p-1}{2}) \equiv 0 \pmod{p}$  .

Then it follows that

$$u(\frac{p^2-1}{2}) \equiv \{ u(\frac{p-1}{2}) \}^2 \pmod{p^2} .$$

Hence by putting  $r=2$  and  $m=1$  in Theorem 1, we have

$$u(\frac{p^2-1}{2}) \equiv \xi_p u(\frac{p-1}{2}) \pmod{p^2} .$$

Thus

$$\{ u(\frac{p-1}{2}) \}^2 \equiv \xi_p u(\frac{p-1}{2}) \pmod{p^2} .$$

Now since  $u(\frac{p-1}{2}) \not\equiv 0 \pmod{p}$ , it is reduced to  $u(\frac{p-1}{2}) \equiv \xi_p \pmod{p^2}$ .

Hence we have completed the proof of Theorem 4 .

### 3-6. Applications to other numbers.

Above method is applicable to other numbers which satisfy the relations such as (2-11) and (2-14), and we can use the mod  $p^2$  determinations of the certain numbers. For example. Let, for any  $n \geq 0$ ,

$$v(n) = (-1)^n \sum_{k=0}^n \binom{n}{k}^3 .$$

F.Beukers and J.Stienstra[24] showed the following congruence. Let  $p \geq 3$ , and write

$$\sum_{n=1}^{\infty} \gamma_n q^n = q \prod_{n=1}^{\infty} (1-q^n)^2 (1-q^{2n}) (1-q^{4n}) (1-q^{8n})^2 .$$

Then, for  $m, r \in \mathbb{N}$ ,  $m$  odd,

$$v\left(\frac{mp^{r-1}}{2}\right) - \gamma_p v\left(\frac{mp^{r-1}-1}{2}\right) + \left(\frac{-2}{p}\right) p^2 v\left(\frac{mp^{r-2}-1}{2}\right) \equiv 0 \pmod{p^r} ,$$

where  $\left(\frac{\cdot}{\cdot}\right)$  is the Jacobi-Legendre symbol.

The numbers  $\tilde{v}(n)$  which are (differential) of  $v(n)$  can be formulated to

$$\tilde{v}(n) = 3(-1)^n \sum_{k=1}^n \binom{n}{k}^3 \left[ \frac{1}{n-k+1} + \cdots + \frac{1}{n} \right] .$$

And for all primes  $p \geq 3$ ,  $n \geq 0$  and  $0 \leq m \leq p-1$  , we have

$$v(np+m) \equiv \{ v(m) + pn\tilde{v}(m) \} v(n) \pmod{p^2} .$$

Then  $v\left(\frac{p-1}{2}\right)$  of mod  $p^2$  is determined by our method if  $\left(\frac{-2}{p}\right)=1$ , that is

$$v\left(\frac{p-1}{2}\right) \equiv \gamma_p + \frac{p}{2} \tilde{v}\left(\frac{p-1}{2}\right) \pmod{p^2} .$$

#### §4. Congruences of binomial coefficients $\binom{2f}{f}$ .

Let  $k$  and  $l$  be positive integers with  $(k, l) = 1$ . Let  $p$  be a prime,  $p \equiv 1 \pmod{k}$  and the integer  $f$  is defined by  $p = kf + l$ . We consider the congruences modulo  $p$  of binomial coefficients of the form  $\binom{2f}{f}$ .

In the classical results, for  $k=4$  and  $l=1$ , Gauss proved that

$$\binom{2f}{f} \equiv 2a \pmod{p},$$

where  $p = a^2 + b^2 = 4f + 1$  and  $a \equiv 1 \pmod{4}$ . For  $k=3$  and  $l=1$ , Jacobi proved that

$$\binom{2f}{f} \equiv -a \pmod{p},$$

where  $4p = a^2 + 27b^2$  and  $a \equiv 1 \pmod{3}$ . Moreover, the number  $2a$  (resp.  $-a$ ) can be regarded as the  $p$ -th Fourier coefficient of the cusp form of CM-type associated with the Hecke character of  $\mathbb{Q}(\sqrt{-1})$  (resp.  $\mathbb{Q}(\sqrt{-3})$ ). In the recent results, for  $l=1$  and  $k \leq 24$ , these were studied by Hudson and Williams [15] using Jacobi sums.

In this section, we shall prove the congruence properties between binomial coefficients  $\binom{2f}{f}$  and Fourier coefficients of certain  $\eta$ -products :

**Theorem 6.** *Let  $k$  and  $l$  be the above and put  $m = 4l/k$ . Write*

$$\sum_{n=1}^{\infty} \gamma_n^{(k, l)} q^n = \eta(k\tau)^2 \eta(2k\tau)^{1+m} \eta(4k\tau)^{3-3m} \eta(8k\tau)^{2m-2}.$$

where  $\eta(\tau) = q^{1/24} \prod_{n=0}^{\infty} (1 - q^n)$  is the Dedekind  $\eta$ -function with  $q = e^{2\pi i \tau}$  and

Im  $\tau > 0$  . Then , for  $p \equiv l \pmod k$  and  $p = kf + l$  ,

$$\binom{2f}{f} \equiv (-1)^f \gamma_p^{(k, l)} \pmod p .$$

For some  $k$  and  $l$ ,  $\eta$ -products in Theorem 6 are non-holomorphic automorphic forms of weight 2, so they were not very studied for details. But we can obtain the congruence relations like Corollary 1 for the family of these functions .

#### 4-1. Proof of Theorem 6.

We consider the generating function  $F(t) = \sum_{n=0}^{\infty} (-1)^n \binom{2n}{n} t^n$  .

Since the numbers  $(-1)^n \binom{2n}{n}$  satisfy the recurrence

$$(4-1) \quad (n+1)(-1)^{n+1} \binom{2(n+1)}{n+1} = -(2n+1)(-1)^n \binom{2n}{n} , \quad n \geq 0 ,$$

we have

$$F(t) = (1+4t)^{-1/2} .$$

**Proposition 10.** Let  $k$  and  $l$  be positive integers with  $(k, l) = 1$  and  $m = 4l / k$  . Write

$$(4-2) \quad \lambda(\tau) = \left( \eta(2k\tau) \eta(4k\tau)^{-3} \eta(8k\tau)^2 \right)^{4/k} = \sum_{n=1}^{\infty} A_n q^n \quad (A_1 = 1) .$$

Then

$$(4-3) \quad F(\lambda^k) d(\lambda^l) = l \{ \eta(k\tau)^2 \eta(2k\tau)^{m+1} \eta(4k\tau)^{3-3m} \eta(8k\tau)^{2m-2} \} \frac{dq}{q} .$$

**Remark 1.** We may use the branch of  $k$ -th roots  $x^{1/k}$  so that it takes positive real values on the positive real axis, i.e., the leading coefficients  $\gamma_l^{(k,l)}$  and  $A_1$  in the  $\eta$ -product of Theorem 6 and Proposition 10 are equal to 1 respectively.

*Proof.* First we prove the case of  $k=4$  and  $l=1$ . We consider the following congruence modular subgroup

$$\Gamma_0(8) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) \mid c \equiv 0 \pmod{8} \right\}.$$

It has no elliptic elements, and a set of representatives of inequivalent cusps is  $\{ i\infty, 0, \frac{1}{4}, \frac{1}{2} \}$ .  $\mathbb{H}^* / \Gamma_0(8)$  is a curve of genus 0. Putting

$$t(\tau) = \eta(2\tau)^4 \eta(4\tau)^{-12} \eta(8\tau)^8,$$

it is a modular function with respect to  $\Gamma_0(8)$ , and the values at the cusps are given by  $t(i\infty)=0$  (simple),  $t(0)=\frac{1}{4}$ ,  $t(\frac{1}{4})=\infty$  (simple), and  $t(\frac{1}{2})=-\frac{1}{4}$ . Hence  $t(\tau)$  generates the function field of modular functions with respect to  $\Gamma_0(8)$ . Therefore we see that  $F^2(t(\tau)) = \frac{1}{1+4t(\tau)}$  has a simple pole at  $\tau=\frac{1}{2}$  and a simple zero at  $\tau=\frac{1}{4}$ .

$M_k(\Gamma_0(8))$  (resp.  $S_k(\Gamma_0(8))$ ) denotes the space of modular forms (resp. cusp forms) of weight  $k$ . It is not hard to check that  $t^{-1} \frac{dt}{d\tau}$  is in  $M_2(\Gamma_0(8))$  and it has a simple zero at  $\tau=0, \frac{1}{2}$ . Hence the function

$$\begin{aligned}
 (4-4) \quad \Psi(\tau) &= \left(\frac{1}{2\pi i}\right)^4 F^4(t(\tau)) \left(t^{-1} \frac{dt}{d\tau}\right)^4 t(\tau) \\
 &= q - 8 q^2 + 12 q^3 - 64 q^4 + 210 q^5 - 96 q^6 + \dots
 \end{aligned}$$

is an element of  $S_8(\Gamma_0(8))$ . We choose

$$\eta(\tau)^8 \eta(2\tau)^8 = q - 8 q^2 + 12 q^3 - 64 q^4 + 210 q^5 - \dots$$

as another form (this is an old form) in  $S_8(\Gamma_0(8))$ . Since

$\dim S_8(\Gamma_0(8)) = 5$ , comparing with the coefficients, we have

$$(4-5) \quad \Psi(\tau) = \eta(\tau)^8 \eta(2\tau)^8.$$

Taking 4-th roots with Remark 1 and replacing  $\tau$  by  $4\tau$ , we have

$$(4-6) \quad F(\lambda^4) d\lambda = \eta(4\tau)^2 \eta(8\tau)^2 dq/q.$$

In the general case, from (4-4) and (4-5), we see

$$\begin{aligned}
 \Psi_{k,l}(\tau) &= \left(\frac{1}{2\pi i}\right)^k F^k(t(\tau)) \left(t^{-1} \frac{dt}{d\tau}\right)^k t(\tau)^l \\
 &= \eta(\tau)^{2k} \eta(2\tau)^{4l+k} \eta(4\tau)^{3k-12l} \eta(8\tau)^{8l-2k}.
 \end{aligned}$$

Hence our proposition follows from taking  $k$ -th roots and replacing  $\tau$  by  $k\tau$ .  $\square$

**Remark 2.** When  $k=4$  and  $l=1$ , since the function

$$\sum_{n=1}^{\infty} \gamma_n q^n = \eta(4\tau)^2 \eta(8\tau)^2$$

is the unique cusp form in  $S_2(\Gamma_0(32))$ , applying Beukers[5, Prop.3]

to (4-3), for any  $m, r \in \mathbb{N}$ ,  $m \equiv 1 \pmod{4}$  and any prime  $p \equiv 1 \pmod{4}$ , we have

$$\left(\frac{(mp^{r-1}-1)/2}{(mp^{r-1}-1)/4}\right) (-1)^{(mp^{r-1}-1)/4} = \gamma_p \left(\frac{(mp^{r-1}-1)/2}{(mp^{r-1}-1)/4}\right) (-1)^{(mp^{r-1}-1)/4}$$

$$+ p \left( \frac{(\mp p^{r-2}-1)/2}{(\mp p^{r-2}-1)/4} \right) (-1)^{(\mp p^{r-2}-1)/4} \equiv 0 \pmod{p^r}.$$

These congruences are quite Atkin-Swinnerton-Dyer type associated to the elliptic curve:  $y^2 = x^3 + 2x$  (see Atkin-Swinnerton-Dyer[1]).

In our case, we can not use directly the method of Beukers[5] or Stienstra-Beukers[24,Th.A9] because the non-holomorphy of  $\eta$ -products of the right hand of Proposition obstructs that we apply the theory of Hecke operators to them. But the following lemma is useful.

**Lemma 2.** *Let  $p$  be a prime and*

$$\omega(t) = \sum_{n=1}^{\infty} b_n t^{n-1} dt$$

*be a differential form with  $b_n \in \mathbb{Z}_p$ . Let  $t(u) = \sum_{n=1}^{\infty} c_n u^n$  with  $c_n \in \mathbb{Z}_p$ ,*

*$c_1$  is a  $p$ -adic unit, and suppose*

$$\omega(t(u)) = \sum_{n=1}^{\infty} d_n u^{n-1} du.$$

*Then  $d_p \equiv c_1 b_p \pmod{p}$ .*

*Proof.* It is clear that

$$\omega(t) - b_p t^{p-1} dt = t^p G_1(t) dt + dG_2(t), \quad G_1(t), G_2(t) \in \mathbb{Z}_p[[t]].$$

It is straightforward to see that

$$t^{p-1} dt = c_1^p u^{p-1} du + u^p G_3(u) du, \quad G_3(u) \in \mathbb{Z}_p[[u]].$$

Then we can write

$$\omega(t(u)) - b_p c_1^p u^{p-1} du = u^p G_4(u) du + dG_5(u) ,$$

$$G_4(u), G_5(u) \in \mathbb{Z}_p[[u]] .$$

Hence

$$d_p - b_p c_1^p \equiv d_p - b_p c_1 \equiv 0 \pmod{p} . \quad \square$$

Now, (4-2) and (4-3) satisfy the condition of Lemma 2 because the denominators of the coefficients of  $q$ -expansion do not divide  $p$  .

Comparing with the equation

$$\frac{1}{l} F(\lambda^k) d(\lambda^l) = \sum_{n=1}^{\infty} (-1)^n \binom{2n}{n} \lambda^{kn+l-1} d\lambda = \sum_{n=0}^{\infty} \gamma_n^{(k,l)} q^{n-1} dq ,$$

we have proof of our Theorem 6.

The following corollary is obtained by applying the consequence of our theorem to the recurrence (4-1) .

**Corollary 1.** Let  $k$  ,  $l$  and  $\gamma_n^{(k,l)}$  be the above .

Then , for  $p \equiv l \pmod{k}$  ,

$$l \gamma_p^{(k,l)} \equiv -2(2l+k) \gamma_p^{(k,k+l)} \pmod{p} .$$

#### 4-2. Examples.

Let  $k=4$  and  $l=3$  . Then



$$\sum_{n=1}^{\infty} \gamma_n^{(4,3)} q^n = \eta(4\tau)^2 \eta(8\tau)^4 \eta(16\tau)^{-6} \eta(32\tau)^4$$

$$= q^3 - 2 q^7 - 5 q^{11} + 10 q^{15} + 13 q^{19} + \dots$$

If  $p=11$  then  $\binom{2f}{f} = \binom{4}{2} = 6 \equiv -2 = \gamma_{11}^{(4,3)} \pmod{11}$  .

If  $p=19$  then  $\binom{2f}{f} = \binom{8}{4} = 70 \equiv 13 = \gamma_{19}^{(4,3)} \pmod{19}$  .

This form is the non-holomorphic automorphic form of weight 2 with respect to  $\Gamma_0(32)$ , but we do not know about the properties of  $\gamma_p^{(4,3)}$ .

Let  $k=5$  and  $l=2$  . Then

$$\sum_{n=1}^{\infty} \gamma_n^{(5,2)} q^n = \eta(5\tau)^2 \eta(10\tau)^{13/5} \eta(20\tau)^{-9/5} \eta(40\tau)^{6/5}$$

$$= q^2 - 2 q^7 - \frac{18}{5} q^{12} + \frac{36}{5} q^{17} + \frac{122}{25} q^{22} - \dots$$

If  $p=7$  then  $\binom{2f}{f} = \binom{2}{1} = 2 \equiv -(-2) = (-1) \gamma_7^{(5,2)} \pmod{7}$  .

If  $p=17$  then  $\binom{2f}{f} = \binom{6}{3} = 20 \equiv -(\frac{36}{5}) = (-1)^3 \gamma_{17}^{(5,2)} \pmod{17}$  .

#### 4-3. Applications.

We can try to apply our method to other numbers of which the generating function satisfies the differential equation of the form

$$F(\lambda(\tau)^k) d\lambda(\tau) = G(\tau) \frac{dq}{q}$$

and several examples can be seen in Beukers[5] and Stienstra-Beukers [24].

For the numbers  $\binom{2n}{n}^2$  ,  $n \geq 0$  , Steinstra and Beukers[24] proved that the generating function

$$F_1(t) = \sum_{n=0}^{\infty} \binom{2n}{n}^2 t^n$$

satisfies

$$F_1(\lambda^4) d\lambda = \eta(4\tau)^6 \frac{dq}{q},$$

where  $\lambda(\tau) = \eta(4\tau)^2 \eta(8\tau)^{-6} \eta(16\tau)^4$ .

Extending this by the same method, we have

$$F_1(\lambda^k) d(\lambda^l) = l \eta(k\tau)^{m+2} \eta(2k\tau)^{6-3m} \eta(4k\tau)^{2m-8} \frac{dq}{q},$$

where  $\lambda(\tau) = \{ \eta(k\tau) \eta(2k\tau)^{-3} \eta(4k\tau)^2 \}^{8/k}$  and  $m = 8l/k$ .

Consequently,

**Theorem 7.** *Let  $k, l$  be positive integers with  $(k, l) = 1$  and write for  $m = 8l/k$ ,*

$$\sum_{n=1}^{\infty} \alpha_n^{(k, l)} q^n = \eta(k\tau)^{m+2} \eta(2k\tau)^{6-2m} \eta(4k\tau)^{2m-8}$$

*Then, for any prime  $p \equiv 1 \pmod k$  and  $p = kf + l$ ,*

$$\left( \frac{2f}{f} \right)^2 \equiv \alpha_p^{(k, l)} \pmod p.$$

**Remark 3.** If  $k=4$  and  $l=1$  then  $\alpha_n^{(4, 1)} = \alpha_n$ . These are the Fourier coefficients of the cusp form  $\eta(4\tau)^6$  of CM-type.

Combining this with Theorem 6, we can obtain the congruences of Fourier coefficients of the automorphic forms of the different

weights.

Corollary 2. Let  $k$  ,  $l$  ,  $\gamma_n^{(k,l)}$  and  $\alpha_n^{(k,l)}$  be the above .

Then , for  $p \equiv l \pmod k$  ,

$$\alpha_p^{(k,l)} \equiv \{ \gamma_p^{(k,l)} \}^2 \pmod p .$$

# §5. Congruences of $u(\frac{p-1}{k})$ .

Let

$$u(n) = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2, \quad n > 0$$

be Apéry numbers with the proof of irrationality of  $\zeta(3)$ .

Beukers[5, Proposition 1] proved that the generating function

$$u(t) = \sum_{n=0}^{\infty} u(n) t^n$$

satisfies

$$u(\lambda^2) d\lambda = \{ \eta(2\tau)^4 \eta(4\tau)^4 - 9 \eta(6\tau)^4 \eta(12\tau)^4 \} \frac{dq}{q},$$

where  $\lambda(\tau) = \eta(2\tau)^6 \eta(4\tau)^{-6} \eta(6\tau)^{-6} \eta(12\tau)^6$  (see Proposition 2 of this paper). Extending of this in the same method of Proposition 10, we have

$$\begin{aligned} u(\lambda^k) d(\lambda^l) = & \quad l \{ \eta(k\tau)^{m-2} \eta(2k\tau)^{10-m} \eta(3k\tau)^{6-m} \eta(6k\tau)^{m-6} \\ & - 9 \eta(k\tau)^{m-6} \eta(2k\tau)^{6-m} \eta(3k\tau)^{10-m} \eta(6k\tau)^{m-2} \} \frac{dq}{q}, \end{aligned}$$

where  $\lambda(\tau) = \{ \eta(k\tau) \eta(2k\tau) \eta(3k\tau) \eta(6k\tau) \}^{12/k}$  and  $m = 12l/k$  .

Consequently , by Lemma 2, we have

**Theorem 8.** *Let  $k, l$  be positive integers with  $(k, l)=1$  and write for  $m = 12l/k$  ,*

$$\begin{aligned} \sum_{n=1}^{\infty} \xi_n^{(k, l)} q^n = & \quad \eta(k\tau)^{m-2} \eta(2k\tau)^{10-m} \eta(3k\tau)^{6-m} \eta(6k\tau)^{m-6} \\ & - 9 \eta(k\tau)^{m-6} \eta(2k\tau)^{6-m} \eta(3k\tau)^{10-m} \eta(6k\tau)^{m-2} . \end{aligned}$$

Then , for any prime  $p \equiv l \pmod k$  ,

$$u\left(\frac{p-l}{k}\right) \equiv \xi_p^{(k,l)} \pmod p .$$

Since the Apéry numbers  $u(n)$  satisfy the recurrence

$$(n+1)^3 u(n+1) - (34n^3 + 51n^2 + 27n + 5)u(n) + n^3 u(n-1) = 0 , \quad n > 1 ,$$

the following corollary is an easy consequence .

**Corollary 3.** Let  $k$ ,  $l$  and  $\xi_p^{(k,l)}$  be the above . Then

for any prime  $p \equiv l \pmod k$  ,

$$\begin{aligned} l^3 \xi_p^{(k,l)} + (k+l)^3 \xi_p^{(k,l+2k)} \\ \equiv (34l^3 + 51l^2k + 27lk^2 + 5k^3) \xi_p^{(k,l+k)} \pmod p . \end{aligned}$$

**Example.** Let  $k=3$  and  $l=1$  . Then

$$\begin{aligned} \sum_{n=1}^{\infty} \xi_n^{(3,1)} q^n &= \eta(3\tau)^2 \eta(6\tau)^6 \eta(9\tau)^2 \eta(18\tau)^{-2} \\ &\quad - 9\eta(3\tau)^{-2} \eta(6\tau)^2 \eta(9\tau)^6 \eta(18\tau)^2 . \\ &= q - 11 q^4 - 25 q^7 + 15 q^{10} + 20 q^{13} + \dots . \end{aligned}$$

$$\text{If } p=7 \text{ then } u\left(\frac{7-1}{3}\right) = u(2) = 73 \equiv -25 = \xi_7^{(3,1)} \pmod 7 .$$

$$\text{If } p=13 \text{ then } u\left(\frac{13-1}{3}\right) = u(4) = 33001 \equiv 20 = \xi_{13}^{(3,1)} \pmod{13} .$$

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