



# Development of yeast genome-engineering technology and its application to antibody production

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博士論文

Development of yeast genome-engineering technology and  
its application to antibody production

酵母におけるゲノム編集技術の開発と抗体生産への応用

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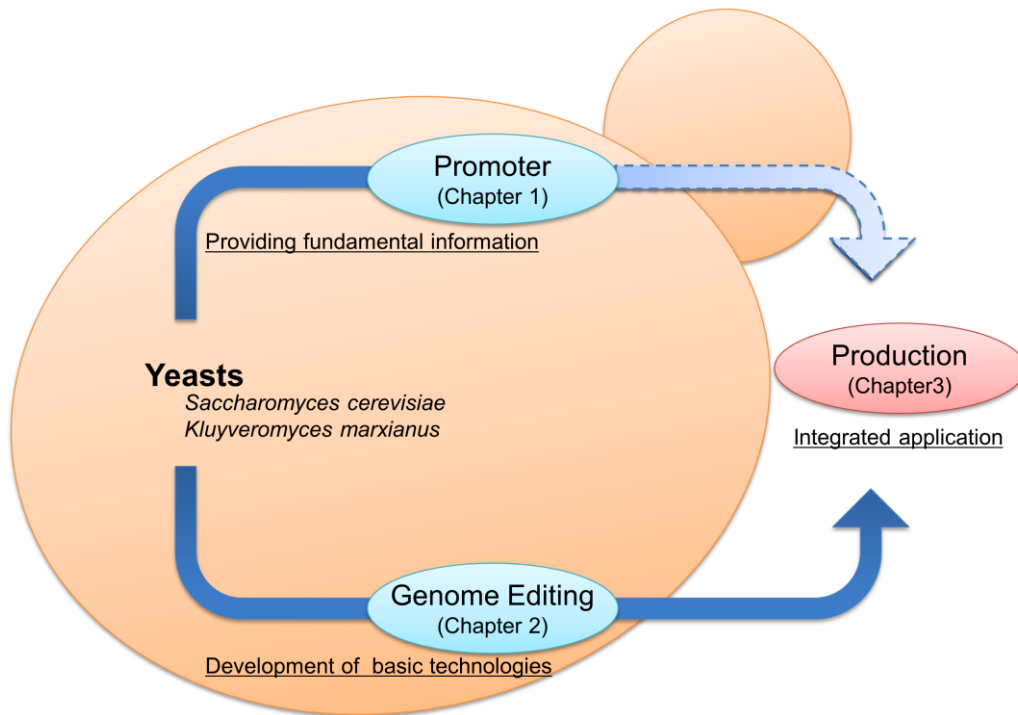
西田 由美子

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## General Introduction

Yeasts are one of the fundamental organisms for fermentation, research use and biotechnology. The purpose of this study is to provide basic technology and information to make most use of yeast for biomass-based production. The outline of this research is depicted in Figure 1. Knowing optimum expression level of each genes is important for both understanding and engineering of the organisms. In chapter 1, characterization of promoters, which dictate downstream gene expression is performed in various media conditions simulating biomass utilization. Providing selection of yeast species with distinct characteristics such as fast growth and thermotolerance may further be beneficial for industrial application. In chapter 2, comprehensive and highly efficient genome engineering tool is developed in unconventional thermotolerant yeast. Finally, by utilizing the genetic engineering tools and the promoter selection, valuable protein production in the unconventional yeast was performed in chapter 3.



**Figure 1 Outline of the research.**

## 1.1 Yeast *Saccharomyces cerevisiae*

The budding yeast *Saccharomyces cerevisiae* has approximately 6000 genes (Lushchak 2006) and serves as eukaryotic model as it shares common cellular functions with higher organisms (Botstein et al. 1997; Schneiter 2007). Usefulness of *S. cerevisiae* is established with efficient genetic transformation tools including homologous recombination and host vector systems (Ishii et al. 2014; Gietz et al. 1992).

Therefore, *S. cerevisiae* is widely used as a model organism for both basic research and industrial bioproduction ranging from ethanol (Katahira et al. 2006; Kondo et al. 2013; Hasunuma et al. 2015) to proteins (Buckholz and Gleeson 1991; (Çelik and Çalık 2012).

Practically, biomass is the major source for bioproduction and needs pretreatment to extract cellulosic and hemicellulosic materials, which are then digested by enzymes to become simple sugars such as glucose and xylose (Hasunuma et al. 2015). As conventional *S. cerevisiae* does not assimilate xylose, genes (*XYL1* and *XYL2*) respectively encoding xylose reductase (XR) and xylitol dehydrogenase (XDH) from *Scheffersomyces stipites* have been introduced to engineer the yeast to assimilate xylose for the highest production from biomass (Eliasson et al. 2000; Johansson et al. 2001). However, the engineered strains grow on xylose much slower than on glucose (Salusjärvi et al. 2008; Matsushika et al. 2014), limiting its practical use. To enhance growth and production by genetic engineering, it is necessary to optimize gene expression network and fine-tune metabolic pathway, for which fundamental study is needed to characterize promoter activity in xylose medium. Detail of the promoter activity is further explained in Section 1.3.

## 1.2 Yeast *Kluyveromyces marxianus*

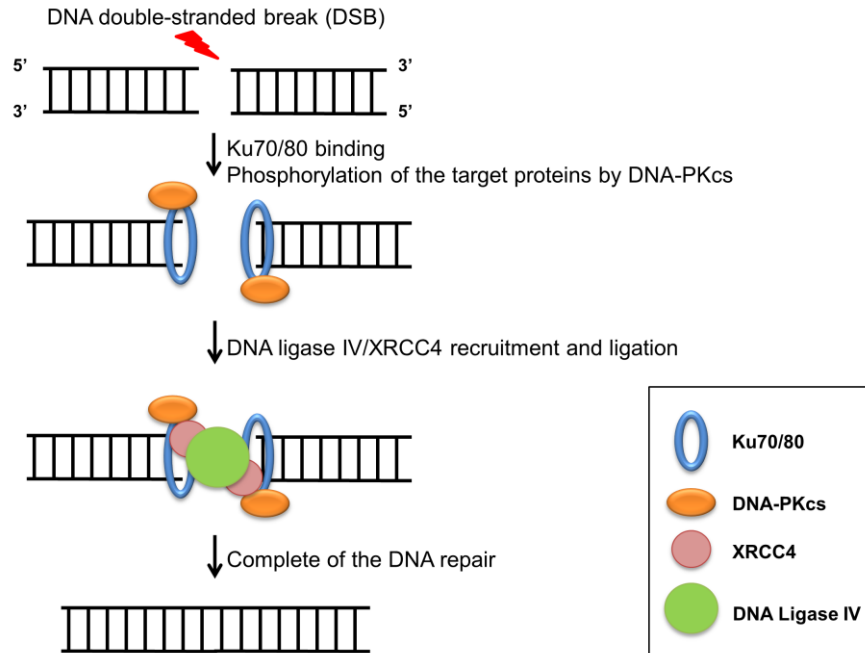
*Kluyveromyces marxianus* is classified as “Crabtree negative” yeast, meaning that it does not convert sugars into ethanol under aerobic condition. *K. marxianus* is also a thermotolerant yeast and can grow fast at 37-42°C (Goshima et al. 2013) Recently, the complete genome sequence of *K. marxianus* becomes publicly available (Jeong et al. 2012; Suzuki et al. 2014; Inokuma et al. 2015). Therefore, *K. marxianus* is potentially suitable for engineering to produce valuable products such as recombinant proteins.

However, *K. marxianus* still lacks efficient genome engineering tools. Unlike *S.*

*cerevisiae*, transformation by homologous recombination (HR) is much less likely to occur and gene fragments tend to be inserted at random chromosomal sites (Nonklang et al. 2008). Supposedly, *K. marxianus* retains highly active non-homologous end joining (NHEJ) pathway (Dudášová et al. 2004), which repair DNA double-strand breaks (DSBs) by tethering DNA ends regardless of the sequences (Figure 2). The pathway facilitates random integration of DNA fragments and thus antagonizes with HR.

Plasmid system is also useful for heterologous gene expression. For a plasmid to be retained in a cell, autonomously replicating sequence (ARS) and centromere are indispensable. ARS initiates replication of plasmid (Newlon and Theis 1993) and centromere is required for transmission to daughter cells (Carbon 1984). Nonetheless, plasmid system is less stable than genomic integration in general. Selection marker such as drug resistance gene is also needed to select and retain plasmid-carrying cells.

In this study, comprehensive genome engineering tools are provided by introducing genome editing technology. The genome editing is described in Section 1.4.

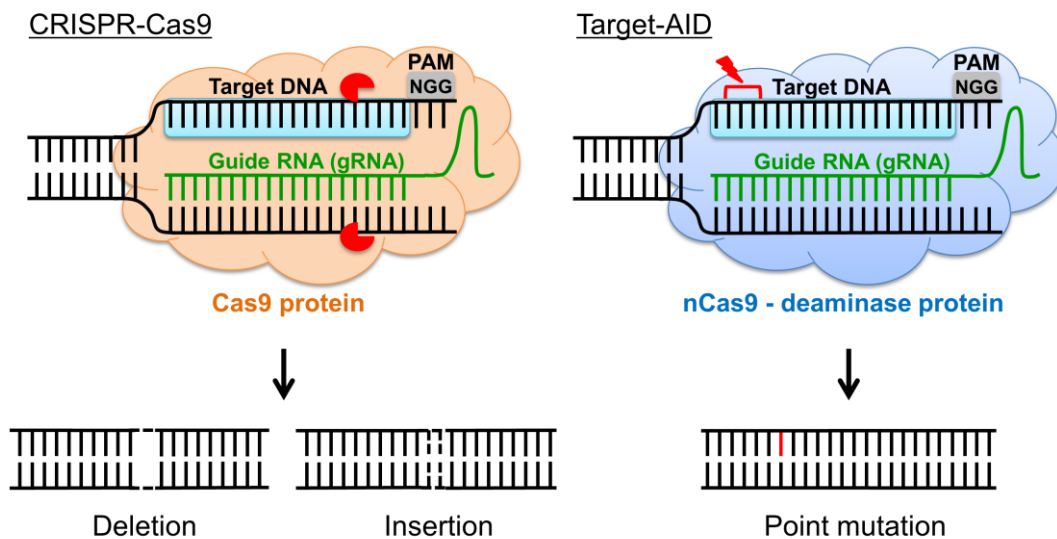


**Figure 2 Mechanisms of DNA double-strand break repair by NHEJ pathway**

### 1.3 Promoter activity and gene expression

Each gene expression level is dependent on its promoter activity, which is responsive to the cellular environment. In yeast,  $P_{TDH3}$  (promoter of *TDH3*) is assumed to be highly active in glucose media (Ishii et al. 2014).  $P_{PDC1}$ ,  $P_{TEF1}$ ,  $P_{TPI1}$ , and  $P_{PGK1}$  are also widely used for engineered gene expression (Sun et al. 2012; Ishii et al. 2014; Guo et al. 2016). However, promoter activity should be dependent on the environment such as carbon source and aeration, which can be changing throughout the culture. To achieve optimal set of gene expression, it is important to know the actual promoter activity under varying conditions. In chapter 1, activity of a set of promoters is quantitatively characterized in glucose or xylose medium under aerobic cultivation or microaerobic fermentation over time.

### 1.4 Genome editing tools



**Figure 3** The principle of genome editing by CRISPR-Cas9 and Target-AID

- CRISPR-Cas9 system

In bacteria and archaea, clustered regularly interspaced short palindromic repeats (CRISPR)/CRISPR-associated protein 9 (CRISPR-Cas9) was discovered as an acquired immune system against viruses and phages through CRISPR RNA (crRNA)-base DNA recognition and Cas9 nuclease-mediated DNA cleavage (Gasiunas et al. 2012). CRISPR-Cas9-mediated genome editing depends on the generation of double-strand break (DSB) and subsequent cellular DNA repair process (Zhang et al. 2014). The CRISPR-Cas9 system is depicted in Figure 3. Target-recognizing sequence of crRNA or guide RNA (gRNA) in general can be customized to a specific sequence, which enables genome editing. When introduced in a cell, Cas9 and the custom gRNA binds to complementary target DNA strand in a protospacer-adjacent motif (PAM)-dependent manner, which appears as the sequence of 5'-NGG-3' directly downstream of the target sequence (Jinek et al. 2012). Cas9 nuclease induces DSB at the target site, which is then repaired by NHEJ pathway in the host cell. Error-prone nature of the NHEJ pathway may induce insertion or deletion (indel) of base pairs, resulting in gene disruption at the target locus.

- Target-AID system

Unlike nuclease-mediated genome editing, deaminase-mediated targeted mutagenesis (Target-AID) directly introduce point mutations (Nishida et al. 2016). The activation-induced cytidine deaminase (AID) is tethered to nuclease-deficient CRISPR-Cas9 to specifically induce cytosine (C) to thymine (T) mutation at -16 to -19 positions upstream of the PAM sequence.

In chapter 2, we introduced the genome editing tools CRISPR-Cas9 and Target-AID in *K. marxianus*, which enabled indel formation, highly efficient homologous recombination and direct introduction of point mutation at the target loci.

## 1.5 Production of biopharmaceutical protein

Biopharmaceutical products are promising targets for industrial production. There has been an increasing demand of biopharmaceutical proteins in the market (Nielsen 2013). Efficient production and safety issues such as endotoxin and virus contamination



are the major challenges for biopharmaceuticals. Yeasts are one of the preferred host cells for production of biopharmaceutical proteins (Huang et al. 2014). As a unicellular microbial organism, it is easy to culture, genetically tractable, grows fast, and adjusts well to industrial processing. Efficient secretion systems of yeasts also reduce the costs of downstream purification (Huang et al. 2014). *S. cerevisiae* and *Pichia pastoris* are the major species on which much effort has been done to engineer for protein production (Miller et al. 2005; Tang et al. 2016). In chapter 3, we engineered *K. marxianus* as a host for producing single-chain Fv antibody (scFv). Genetic and physiological manipulation has been applied to probe important factors for efficient production.

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## Chapter 1

### Selection of yeast *Saccharomyces cerevisiae* promoters available for xylose cultivation and fermentation

#### Introduction

The budding yeast *Saccharomyces cerevisiae* has traditionally been used for making alcohol in the brewing industry (Lodolo et al. 2008). Because the yeast is able to predominantly produce ethanol, it has also been used for making biofuels over the last two decades (Hahn-Hägerdal et al. 2006), and recently it has been adopted as a platform for producing higher alcohols and other chemicals (Steen et al. 2008; Kondo et al. 2012; Kondo et al. 2013). Cellulosic and hemicellulosic materials, containing glucose and xylose as the major sugar components, are regarded as sustainable biomass resources, and various efforts have been made to produce bioethanol and other compounds from these materials using yeasts and other microbes (Hasunuma et al. 2013, 2015).

*S. cerevisiae* grows favorably on glucose, but is naturally unable to grow well on xylose. Engineered yeasts that express heterogenous *XYL1* and *XYL2* genes encoding xylose reductase (XR) and xylitol dehydrogenase (XDH) from *Scheffersomyces stipitis*, and the endogenous *XKS1* gene encoding xylulokinase (XK) (Eliasson et al. 2000; Johansson et al. 2001), can utilize xylose as a carbon source. XR reduces xylose to xylitol by an NADPH-consuming reaction, while XDH oxidizes xylitol to xylulose by an NAD<sup>+</sup>-consuming reaction (Fernandes and Murray 2010). Then, XK phosphorylates xylulose into xylulose-5-phosphate (X5P), which is further metabolized via the pentose phosphate pathway (PPP) and joins glycolysis (Jin et al. 2003).

Even in xylose-utilizing yeast strains expressing XR, XDH, and XK, the consumption rate of xylose is much slower than that of glucose (Salusjärvi et al. 2008; Matsushika et al. 2014). To improve xylose utilization, further engineering of other metabolic pathways, such as the PPP, is required (Johansson and Hahn-Hägerdal 2002). In addition, the ability for biomass degradation and inhibitor tolerance should be conferred to obtain more sophisticated hemicellulose-utilizing yeast strains. For example, xylanase and xylosidase have been secreted outside of cells or displayed on

cell surfaces as hemicellulose degradation enzymes (La Grange et al. 2001; Guirimand et al. 2016). And formate dehydrogenase (FDH) and Haa1 transcription factor have been overexpressed as a detoxification enzyme and a global transcription activator, respectively (Hasunuma et al. 2011b; Sakihama et al. 2015). Thus, there exist varieties of gene targets to be expressed in xylose-utilizing yeast strains, and it is important to properly control their expression levels.

To date, many researchers have investigated the activities of *S. cerevisiae* promoters in the presence of glucose as a sole carbon source (Shen et al. 2012; Partow et al. 2010; Sun et al. 2012). However, there are few reports evaluating promoter activities using xylose as a carbon source. In one example, the activities of 6 promoters were measured in xylose fermentation conditions (Lu and Jeffries 2007). In addition, the shuffling of the promoter activities for gene expression, such as in the PPP, to optimize xylose utilization has been reported (Lu and Jeffries 2007; Latimer et al. 2014).

In the present study, we aimed to expand the options of promoter choice in *S. cerevisiae* for xylose cultivation and fermentation. To choose promoter candidates, comprehensive gene expressions of xylose-utilizing yeast strains were analyzed both in xylose- and glucose-fermenting conditions using DNA microarrays. Based on normalized signals during xylose fermentation, we picked 30 promoter candidates that showed strong, moderate, and weak expressions. Using the *lacZ* reporter gene, the activities of these 30 promoters in the xylose-utilizing strain were measured over time. During aerobic cultivation and microaerobic fermentation, xylose and glucose were used as respective sole carbon sources.

## **Materials and Methods**

### **Media and growth conditions**

The *S. cerevisiae* strains used in this study are listed in Table 1. *Escherichia coli* strain DH5 $\alpha$  (Toyobo, Osaka, Japan) used for cloning was grown in Luria-Bertani medium (10 g/L peptone, 5 g/L yeast extract, and 5 g/L sodium chloride) supplemented with 100 mg/L ampicillin at 37°C. Yeast cells were grown in YPD medium (10 g/L yeast extract, 20 g/L peptone, and 20 g/L glucose) at 30°C. Yeast strains harboring

episomal plasmids were grown in SD (6.7 g/L yeast nitrogen base without amino acid [YNB] and 20 g/L glucose), SX (6.7 g/L YNB and 15 g/L xylose), SDX (6.7 g/L YNB, 14 g/L glucose and 6 g/L xylose), or SDX2 (6.7 g/L YNB, 35 g/L glucose and 15 g/L xylose) selective medium, which contained 20 mg/L histidine and 20 mg/L methionine.

### **Construction of plasmids and yeast transformation**

The plasmids used in this study are listed in Table 1. The open reading frame (ORF) of *lacZ* was amplified from the genomic DNA of *E. coli* K-12 (strain MG1655) as a template by PCR using the primers *lacZ\_Fw* and *lacZ\_Rv*. The SAG1 terminator ( $T_{SAG1}$ ) was amplified from the genomic DNA of *S. cerevisiae* BY4741 (Brachmann et al. 1998) as a template using the primers *SAG1t\_Fw* and *SAG1t\_Rv*. Two fragments of *lacZ* and  $T_{SAG1}$  were connected by overlap extension-PCR using the primers *lacZ\_SAG1t\_Fw* and *lacZ\_SAG1t\_Rv* to yield *lacZ-T<sub>SAG1</sub>* fragment. The episomal plasmid pRS415 (Sikorski and Hieter 1989) was digested with *NotI*. The *lacZ-T<sub>SAG1</sub>* fragment was inserted into *NotI*-digested pRS415 by In-fusion cloning (Takara Bio, Shiga, Japan) to yield pRS415/*lacZ-T<sub>SAG1</sub>*.

Promoters used in this study are listed in Table 2. The promoter fragments were amplified from the genomic DNA of *S. cerevisiae* BY4741 by PCR using the primers listed in Table 3. Promoter fragments were inserted into the *HindIII/BamHI* or *HindIII/SalI* sites of pRS415/*lacZ-T<sub>SAG1</sub>* by ligation or In-fusion cloning to yield plasmids pRS415/ $P_{xxxx}$ -*lacZ-T<sub>SAG1</sub>* (*xxxx* indicates the gene names used as candidate promoters).

A fragment containing *S. stipitis* XR and XDH genes, the *S. cerevisiae* endogenous XK gene, and *URA3* selectable marker were amplified from plasmid pIUX1X2XKN (Ismail et al. 2013) by PCR using the primers *X-I2\_Fw* and *X-I2\_Rv*. The amplified fragment was integrated into the I2 genomic locus (Inokuma et al. 2015) of *S. cerevisiae* strain BY4741 with the lithium acetate method (Gietz et al. 1992). The yielded BY4741/X-I2 strain was selected on SD selective medium additionally containing 60 mg/L leucine. The episomal plasmids pRS415/*lacZ-T<sub>SAG1</sub>* and pRS415/ $P_{xxxx}$ -*lacZ-T<sub>SAG1</sub>* were introduced into BY4741/X-I2 with the lithium acetate method. The yielded strains BY4741/X-I2-C and BY4741/X-I2- $P_{xxxx}$  were selected on SD selective medium.



### **Ethanol fermentations in YP xylose- and glucose-rich media**

The strain BY4741/X-I2 was aerobically cultivated in YPD medium for 48 h at 30°C. The cells were collected by centrifugation at 3,000 rpm for 10 min at 4°C and washed twice with distilled water. Then cells were inoculated into 50 mL of fermentation medium (10 g/L yeast extract, 20 g/L peptone) containing 50 g/L xylose or glucose. Fermentation was performed at 30°C with agitation at 500 rpm in 100 mL closed bottles equipped with a bubbling CO<sub>2</sub> outlet under oxygen limited conditions (microaerobic conditions).

**Table 1 Plasmids and *S. cerevisiae* strains used in this study**

Plasmids and Strains	Genotype	Reference
<u>Plasmids</u>		
pIUX1X2XKN	Genomic integration plasmid with the XR-XDH-XK expression cassette ( $P_{TDH3}$ - <i>XYL1</i> , $P_{CDC19}$ - <i>XYL2</i> and $P_{TPI1}$ - <i>XKS1</i> ) and <i>URA3</i> marker	(Ismail et al. 2013)
pRS415	Single-copy vector ( <i>CEN/ARS</i> origin), <i>LEU2</i> marker	ATCC (Sikorski and Hieter 1989)
pRS415/ <i>lacZ</i> - $T_{SAG1}$	<i>lacZ</i> and $T_{SAG1}$ in pRS415	This study
pRS415/ $P_{xxx}$ - <i>lacZ</i> - $T_{SAG1}$	Candidate promoters in pRS415/ <i>lacZ</i> - $T_{SAG1}$	This study
<u>Strains</u>		
BY4741	<i>MATa his3Δ1 leu2Δ0 met15Δ0 ura3Δ0</i>	ATCC (Brachmann et al. 1998)
BY4741/X-I2	BY4741 integrating pIUX1X2XKN ( $P_{TDH3}$ - <i>XYL1</i> , $P_{CDC19}$ - <i>XYL2</i> and $P_{TPI1}$ - <i>XKS1</i> )	This study
BY4741/X-I2-C	BY4741/X-I2 harboring pRS415/ <i>lacZ</i> - $T_{SAG1}$	This study
BY4741/X-I2- $P_{xxx}$	BY4741/X-I2 harboring pRS415/ $P_{xxx}$ - <i>lacZ</i> - $T_{SAG1}$	This study

**Table 2 List of promoters used in this study**

Gene	Description	Length of the cloned upstream region (bp)
<i>ADH1</i>	Alcohol dehydrogenase	700
<i>ADH3</i>	Mitochondrial alcohol dehydrogenase isozyme III	1021
<i>ALD3</i>	Cytoplasmic aldehyde dehydrogenase	574
<i>ALD4</i>	Mitochondrial aldehyde dehydrogenase	1000
<i>CDC19</i>	Pyruvate kinase	998
<i>FBA1</i>	Fructose 1,6-bisphosphate aldolase	551
<i>GND1</i>	6-phosphogluconate dehydrogenase (decarboxylating);	1000
<i>GND2</i>	6-phosphogluconate dehydrogenase (decarboxylating)	999
<i>HXT2</i>	High-affinity glucose transporter of the major facilitator superfamily	1000
<i>HXT7</i>	High-affinity glucose transporter	587
<i>HXT8</i>	Protein of unknown function with similarity to hexose transporters	1000
<i>LAT1</i>	Dihydrolipoamide acetyltransferase component (E2) of the PDC	1000
<i>LPD1</i>	Dihydrolipoamide dehydrogenase	673
<i>NQM1</i>	Transaldolase of unknown function	1000
<i>PDA1</i>	E1 alpha subunit of the pyruvate dehydrogenase (PDH) complex	190
<i>PDC1</i>	Major of three pyruvate decarboxylase isozymes	793
<i>PDC6</i>	Minor isoform of pyruvate decarboxylase	1002
<i>PGK1</i>	3-phosphoglycerate kinase	538
<i>RKI1</i>	Ribose-5-phosphate ketol-isomerase	1000
<i>SED1a</i>	Major stress-induced structural GPI-cell wall glycoprotein	1063
<i>SED1b</i>	Major stress-induced structural GPI-cell wall glycoprotein	800
<i>SOL4</i>	6-phosphogluconolactonase	997
<i>STB5</i>	Transcription factor	1000
<i>TDH1</i>	Glyceraldehyde-3-phosphate dehydrogenase (GAPDH), isozyme 1	1000
<i>TDH3</i>	Glyceraldehyde-3-phosphate dehydrogenase (GAPDH), isozyme 3	680
<i>TEF1</i>	Translational elongation factor EF-1 alpha	421
<i>TEF2</i>	Translational elongation factor EF-1 alpha	402
<i>TKL2</i>	Transketolase	1000
<i>TPI1</i>	Triose phosphate isomerase, abundant glycolytic enzyme	584
<i>ZWF1</i>	Glucose-6-phosphate dehydrogenase (G6PD)	1000

**Table 3 Primers used in this study**

Primer name	Sequence (5'-3')
lacZ_Fw	ATGACCATGATTACGGATTCACTGG
lacZ_Rv	GTACTAACTGTACAGTACCCGTTCTTATTTTTGACACCAGACCAACTGG
SAG1t_Fw	CCAGTTGGTCTGGTGTCAAAAATAAAACGGGTACTGTACAGTTAGTAC
SAG1t_Rv	TTTGATTATGTTCTTTCTATTTG
lacZ_SAG1t_Fw	AGTTCTAGAGCGGCCGCATGACCATGATTACG
lacZ_SAG1t_Rv	ACCGCGGTGGCGGCCGCTTTGATTATGTTCTTTC
pADH1_Fw	AAAAAAAAAGCTTGGGTGTACAATATGGACTTCCTC
pADH1_Rv	AAAAAAGGATCCGTATATGAGATAGTTGATTGTATGC
pTDH3_Fw	AAAAAAAAAGCTTCAGTTCGAGTTTATCATTATC
pTDH3_Rv	AAAAAAGGATCCTTTGTTTGTATGTGTGTTTATTTCG
pPGK1_Fw	AAAAAAAAAGCTTGCCTCTTATCGAGAAAGAAATTACC
pPGK1_Rv	AAAAAAGGATCCGTTTTATATTTGTTGTAAAAAGTAG
pHXT7_Fw	AAAAAAAAAGCTTCCGTGGAAATGAGGGGTATGCAGG
pHXT7_Rv	AAAAAAGGATCCTTTTTGATTAAAATTAAAAAAAC
pTEF1_Fw	AAAAAAAAAGCTTCCACACACCATAGCTTCAAAATG
pTEF1_Rv	AAAAAAGGATCCTTTGTAATTAAACTTAGATTAGATTGC
pTEF2_Fw	AAAAAAAAAGCTTATTACCATAAGGTTGTTTGTGACG
pTEF2_Rv	AAAAAAGGATCCGTTTAGTTAATTATAGTTCGTTGACCG
pSED1(1063)_Fw	AAAAAAGTCGACgaaaaacgacaacattccacc
pSED1(1063)_Rv	AAAAAAGGATCCtctaataagcggaacgtattttatttgc
pTDH1_Fw	AAAAAAAAAGCTTCTTCCCTTTTACAGTGCTTCGG
pTDH1_Rv	AAAAAAGGATCCTTTGTTTGTGTGTAAATTTAG
pFBA1_Fw	AAAAAAAAAGCTTTGACAGCAGGATTATCGTAATACG
pFBA1_Rv	AAAAAAGGATCCTTTGAATATGTACTTGGTTATG
pTPI1_Fw	AAAAAAAAAGCTTGTGTTTAAAGATTACGGATATTTAAC
pTPI1_Rv	AAAAAAGGATCCTTTTAGTTTATGTATGTGTTTTTTG
pPDC1_Fw	AAAAAAAAAGCTTCTGGGTGAGCATATGTTCCGCTG
pPDC1_Rv	AAAAAAGGATCCTTTGATTGATTTGACTGTGTTATTTTG
pADH3_Fw	AAAAAAAAAGCTTCCACGGCATTGAATCTATTTCTCC
pADH3_Rv	AAAAAAGGATCCTGATGGTGTAACTTATGACTATAC
pPDA1_Fw	AAAAAAAAAGCTTCTTCTGATTCCTCCACCCCTCC
pPDA1_Rv	AAAAAAGGATCCTGGCACAAATGTGGTTTCCTTTC
pLPD1_Fw	AAAAAAAAAGCTTAATATCGTAGCCTCGCAAATTC
pLPD1_Rv	AAAAAAGGATCCTGTGAAGTGTAGTATGTTCTTTG

pLAT1_Fw	CGGTATCGATAAGCTTCTACGAGTTTGACGACTCT
pLAT1_Rv	TAGAACTAGTGGATCCAGTACGCTAATAACTATTAATTT
pALD3_Fw	AAAAAAAAAGCTTAAGCACCATTATTTTCAGCATGCGG
pALD3_Rv	AAAAAAGGATCCTTTTCTTTTGGCTAATTTTCTAAATG
pCDC19_Fw	AAAAAAAAAGCTTAATGCTAGTATTTTGGAGATTAATC
pCDC19_Rv	AAAAAAGGATCCTGTGATGATGTTTTATTTGTTTTG
pHXT2_Fw	AAAAAAAAAGCTTTTCTACCGATGTAATACAAAAATGAATC
pHXT2_Rv	AAAAAAGGATCCTATGTTGCTTTATAAGTCTTTTTG
pHXT8_Fw	AAAAAAGTCGACTAACCCATATCTTCTTGAGGAGAGTC
pHXT8_Rv	AAAAAAGGATCCGGTTTTTGTCTGGCGTTCTGAGCTAAC
pSOL4_Fw	AAAAAAAAAGCTTATAGCATTATGGTATTGTCCACCAC
pSOL4_Rv	AAAAAAGGATCCTTTTCTTGAGGTGGTTGTGGATTATTTG
pGND1_Fw	AAAAAAAAAGCTTGCTATGTCACTAATGATCTCGTCGG
pGND1_Rv	AAAAAAGGATCCTTTATTGTAGTTTTTGTATAGAAAGTGGC
pRKI1_Fw	AAAAAAAAAGCTTGTTTGGGTGTGATAAGGTTTCATTGTG
pRKI1_Rv	AAAAAAGGATCCTTCTTTCCTATGTTGTATTTATATG
pALD4_Fw	CGGTATCGATAAGCTTAGCGCTTCCATCAAAAATAC
pALD4_Rv	TAGAACTAGTGGATCCGCTTATTATTTTTGTTATTGTA
pNQM1_Fw	CGGTATCGATAAGCTTGCCCTGGTCCACAAACC
pNQM1_Rv	TAGAACTAGTGGATCCATTTAATTTCTGTATATATGTGA
pPDC6_Fw	CGGTATCGATAAGCTTCTACCCTATTTTCTCTTACC
pPDC6_Rv	TAGAACTAGTGGATCCTTGTGGCAATATGTTTTTGC
pTKL2_Fw	CGGTATCGATAAGCTTTATAGTTAACTACCGGCTCG
pTKL2_Rv	TAGAACTAGTGGATCCTTTTTTGTCTTTTTTTGATTAGT
pGND2_Fw	CGGTATCGATAAGCTTCCGTCATAACTTTGAATCCT
pGND2_Rv	TAGAACTAGTGGATCCTCTGTCTCGTGTTTTTTTA
pSTB5_Fw	CGGTATCGATAAGCTTGGCAAAACAATCGAGAACC
pSTB5_Rv	TAGAACTAGTGGATCCGTCCTTCTTTTACACCTTTG
pSED1(800)_Fw	CGGTATCGATAAGCTTATTGGATATAGAAAATTAACGTA
pSED1(800)_Rv	TAGAACTAGTGGATCCCTTAATAGAGCGAACGTATTT
pZWF1_Fw	CGGTATCGATAAGCTTCGCCAGCGAGCTTCCGG
pZWF1_Rv	TAGAACTAGTGGATCCCTTGCCTTATGTGGTTTTCT
X-I2_Fw	agatctggatctgaatggcatcattttgtgatgtgtaaagcgggaccttgagagtgccataccacagcttttcaat
	t
X-I2_Rv	cacagcgggaaactccttagttcataaagaatacgcacatcatgtagtaaccgctctccccgcgctggccga
	ttc

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### **Determination of yeast cells, ethanol, glucose, glycerol, xylose, and xylitol**

Cell growth was measured by a microplate reader at OD<sub>600</sub>. Ethanol, glucose, glycerol, xylose, and xylitol in medium were determined by a Shimadzu HPLC system equipped with a Shim-pack SPR-Pb column (7.8 mm × 250 mm; Shimadzu, Kyoto, Japan) and an RID-10A refractive index detector (Shimadzu) after the separation of cells by centrifugation at 14,000 × g for 5 min. The column temperature was 80°C. Water was used at a flow rate of 0.6 mL/min as the mobile phase (Hasunuma et al. 2011a)

### **Microarray analyses of yeast cells in xylose and glucose fermentations**

Total RNA was isolated from yeast cells cultivated for 9 h in fermentation medium containing 50g /L xylose or for 3 h in fermentation medium containing 50 g/L glucose using a Total RNA Isolation Mini Kit (Agilent Technologies, Palo Alto, CA, USA) following the manufacturer's protocol. cDNA was generated by reverse transcription, labelled with Cy3 using a Low Input Quick Amp Labeling Kit (Agilent Technologies), and hybridized to *S. cerevisiae* 4×44k microarrays. Array scans and data analyses were performed with an Agilent Single-Color DNA Microarray Scanner and Genespring GX software, respectively (Agilent Technologies). Normalized signals were calculated by the 75th percentile normalization procedure.

### **Glucose, xylose, or glucose/xylose cultivations under aerobic conditions**

BY4741/X-I2-P<sub>.xxx</sub> strains were grown aerobically in SD selective medium overnight at 30°C by shaking in 96-deep-well plates with breathable sealing film (BMBio, Tokyo, Japan). The cells were inoculated into SD or SDX selective medium (OD<sub>600</sub> ≤ 0.12), or were concentrated by centrifugation at 1,500 × g for 5 min and then inoculated into SX selective medium (OD<sub>600</sub> = 0.1~0.2) in 96-deep-well plates with breathable sealing film. Cells were grown at 30°C by shaking at 1,200 rpm.

### **Glucose, xylose, or glucose/xylose fermentations under microaerobic conditions**

BY4741/X-12-P<sub>xxxx</sub> were grown aerobically in 5-times concentrated SD selective medium supplemented with 2-times concentrated (40 mg/L) histidine and methionine for 72 h at 30°C with shaking in 96-deep-well plates with breathable sealing film. The cells were then concentrated by centrifugation at  $1,500 \times g$  for 5 min, and were inoculated into SD, SX, or SDX2 selective media in 96-deep-well plates with non-porous seals (PCR-SPS, BMBio) ( $OD_{600} = 0.5\sim 0.8$ ). Cells were grown at 30°C by shaking at 1,200 rpm.

### **$\beta$ -galactosidase activity assays**

Promoter activity was measured according to the previously described method (Partow et al. 2010) with slight modification. 0.1 mL of yeast cell culture was mixed with 0.4 mL Z buffer (45 mM  $Na_2HPO_4$ , 35 mM  $NaH_2PO_4$ , 10 mM KCl, 1 mM  $MgSO_4$ ), 25  $\mu$ L 0.1% (w/v) SDS, and 50  $\mu$ L chloroform in a 96-deep-well plate. The reaction was started through the addition of 0.2 mL of 4 mg/mL *o*-nitrophenyl- $\beta$ -D-galactopyranoside (ONPG) solution at 28°C and stopped through the addition of 0.5 mL of 1 M  $NaCO_3$ . After removal of cell debris by centrifugation,  $ABS_{420}$  and  $ABS_{550}$  were measured with a spectrophotometer (UV-mini 1240, Shimadzu).  $\beta$ -galactosidase activity was determined as Miller units according to the following equation:

$$\text{Miller units} = 1000 \times [ABS_{420} - (1.75 \times ABS_{550})] / (t \times V \times ABS_{660}) \quad (1)$$

where  $t$  represents the reaction time in minutes and  $V$  the culture volume (mL) used in the assay.

### **Accession number**

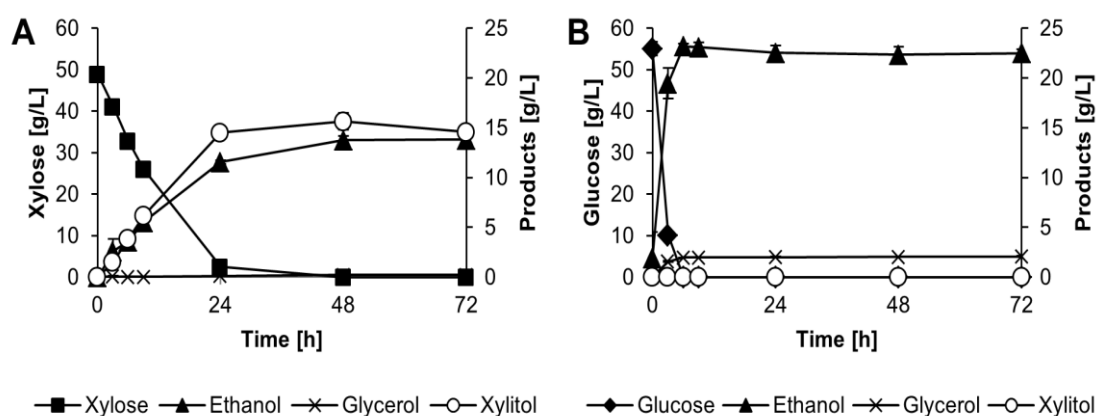
Microarray data analyzed in this study have been deposited in the Gene Expression Omnibus (GEO) (<http://www.ncbi.nlm.nih.gov/geo/>) and were assigned series record GSE97580.

## Results and Discussions

### Microarray analyses in xylose- and glucose-fermentation conditions

The xylose-utilizing *S. cerevisiae* strain BY4741/X-I2 was constructed by introducing the *XYL1* and *XYL2* genes from *S. stipitis* (encoding XR and XDH) and the endogenous *XKS1* gene from *S. cerevisiae* (encoding XK) into the genome of BY4741 (Table 1). Ethanol fermentations under microaerobic (oxygen-limited) conditions were tested using BY4741/X-I2 in YP rich media containing xylose and glucose as respective sole carbon sources (Figure 1). BY4741/X-I2 consumed 49 g/L xylose and produced 12 g/L ethanol and 15 g/L xylitol after a 24 h fermentation (Figure 1A), while it completely consumed 50 g/L glucose and produced 23 g/L ethanol after a 6 h fermentation (Figure 1B). To obtain microarray samples in the representative cell growth condition, the times to consume half the carbon sources were determined as approximately 9 h and 3 h in xylose- and glucose-fermentations, respectively. Microaerobic fermentation condition was selected with applications in mind.

At the above determined time points, total RNAs were extracted from the yeast cells and their gene expressions were analyzed by DNA microarray. The microarray data for the values of normalized signals (at the 75th percentile of raw signal values;  $\log_2$ ) both during xylose and glucose fermentations have been deposited to GEO (Accession No. GSE97580).





**Figure 1 Time-course profiles of ethanol fermentations under microaerobic conditions using the xylose-utilizing BY4741/X-I2 yeast strain.**

Fermentations were performed in YP-rich media containing (A) 50 g/L xylose and (B) 50 g/L glucose. Concentrations of each compound were measured by HPLC. Closed diamonds, glucose; closed squares, xylose; closed triangles; ethanol, open circles, xylose; crosses, glycerol. Data represent three independent experiments  $\pm$  SD.

**Selection of promoter candidates for xylose fermentation**

Table 4 and Figure 2 show some of the normalized values of the microarray data, which are mainly focused on central metabolism. Similar to glucose fermentation, many genes serve as glycolysis factors, ethanol biosynthesis, and translational elongation factors displayed high normalized signal values during xylose fermentation (e.g. *FBA1*, *TDHI*, *PDC1*, *ADHI*, and *TEF1*) (Table 4 and Figure 2; red columns). In contrast, genes serving as PPP factors, hexose transporters, pyruvate dehydrogenases, and aldehyde dehydrogenases showed moderate (e.g. *NQMI*, *GND1*, *HXT7*, and *ALD4*) (Table 4 and Figure 2; orange columns) or weak (e.g. *RKII*, *HXT2*, *HXT8*, and *PDA1*) (Table 4 and Figure 2; yellow columns) normalized signals during xylose fermentation. Interestingly, *NQMI* and *GND2* genes showed substantially higher expression with xylose than glucose. *HXT3*, *HXT4*, and *HXK2* genes showed much lower expression with xylose than glucose (Figure 2).

To assess whether the promoters are available for xylose cultivation and fermentation, 29 genes (30 promoters; includes one gene reported to have two different lengths as promoter regions) that showed various expression levels in xylose fermentation were chosen mainly from central metabolic pathways (Table 4 and Figure 2; red, orange, and yellow columns).

**Measurements of promoter activities with xylose and glucose under aerobic and microaerobic conditions over time**

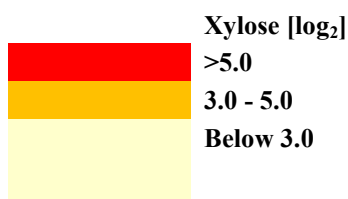
Along with the *SAG1* terminator ( $T_{SAG1}$ ) (Yamanishi et al. 2013), the *lacZ* gene was inserted into the multi-cloning site of a single-copy pRS415 vector, yielding

pRS415/*lacZ*-T<sub>SAG1</sub> control plasmid (Table 1). The 30 selected promoters (Table 2) were then connected to the upstream of *lacZ* gene, generating pRS415/P<sub>xxxx</sub>-*lacZ*-T<sub>SAG1</sub> (P<sub>xxxx</sub>: xxxx promoter) (Table 1). The BY4741/X-I2 yeast strain was transformed with plasmid pRS415/P<sub>xxxx</sub>-*lacZ*-T<sub>SAG1</sub>, yielding BY4741/X-I2-P<sub>xxxx</sub>. A control strain, BY4741/X-I2-C, was obtained by the transformation of BY4741/X-I2 with pRS415/*lacZ*-T<sub>SAG1</sub> (Table 1).

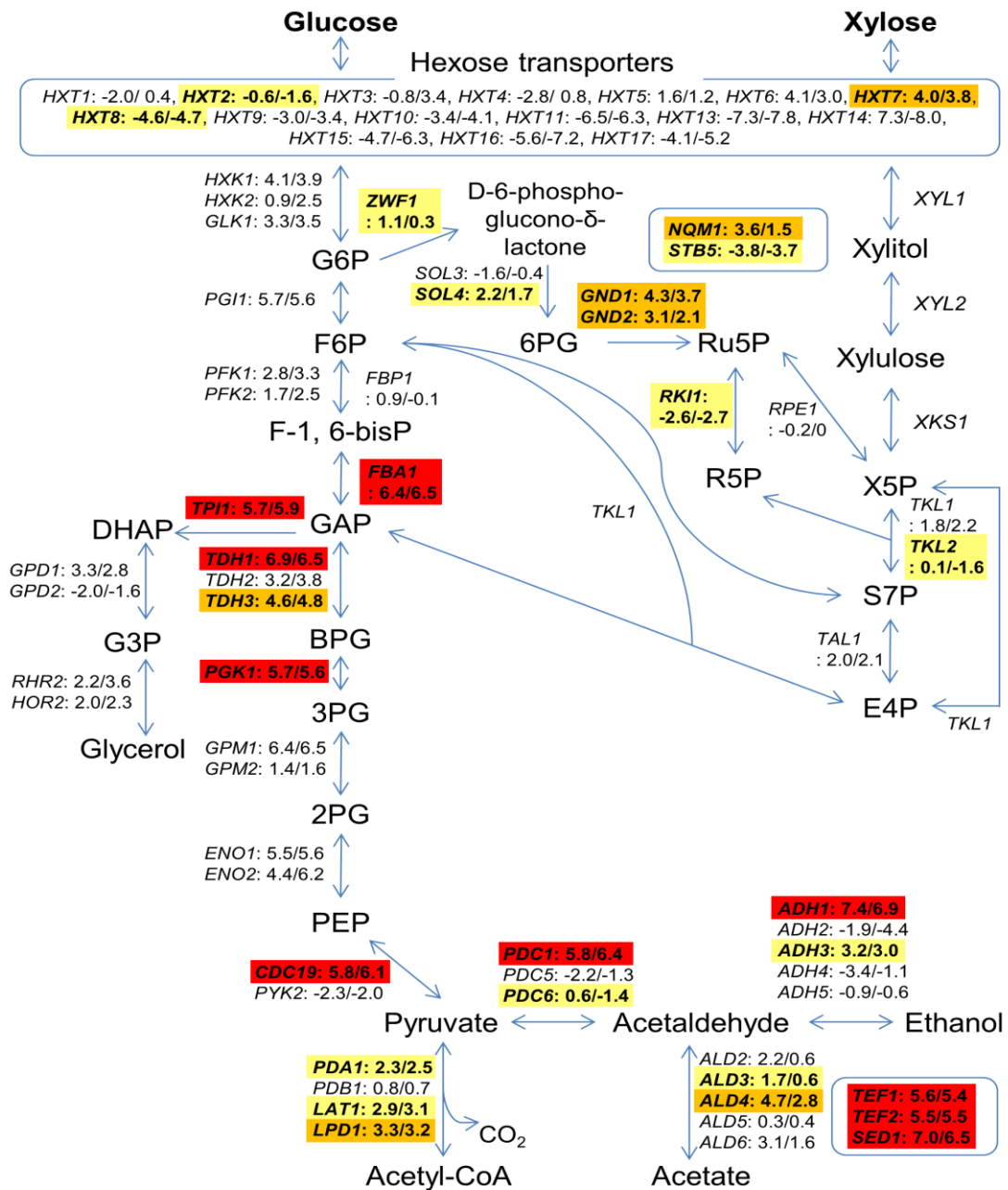
The transformants were cultured respectively in synthetic xylose and glucose media under aerobic and microaerobic conditions. Cells were inoculated into synthetic media in 96-deep-well plates at low turbidity for aerobic cultivations (for glucose: OD<sub>600</sub> ≤ 0.12; for xylose: OD<sub>600</sub>=0.1~0.2) and at moderate turbidity for microaerobic fermentations (for glucose and xylose: OD<sub>600</sub> = 0.5~0.8). To evaluate the activities of candidate promoters, cells were sampled over time and β-galactosidase assays using *lacZ* genes were performed. The promoter activities measured are shown in Table 5 as a heat map. Time-courses of promoter activities under each condition are displayed in Figure 3.

Because each probe of microarray has a different annealing efficiency, the normalized signal intensities obtained using the 75th percentile procedure should be generally unavailable for relative comparison. However, comparing the data shown in Table 4 (microarray data) and Table 5 (promoter activities), the order of promoter activities roughly correlated with that of the normalized signals in the gene expression analysis. This indicated that a combination of microarray data and reporter gene assays is a useful approach to choose candidate promoters that function in desired culture conditions, although the promoter activities must be carefully checked.

**Table 4 Standard deviation of microarray data about pick up promoter**

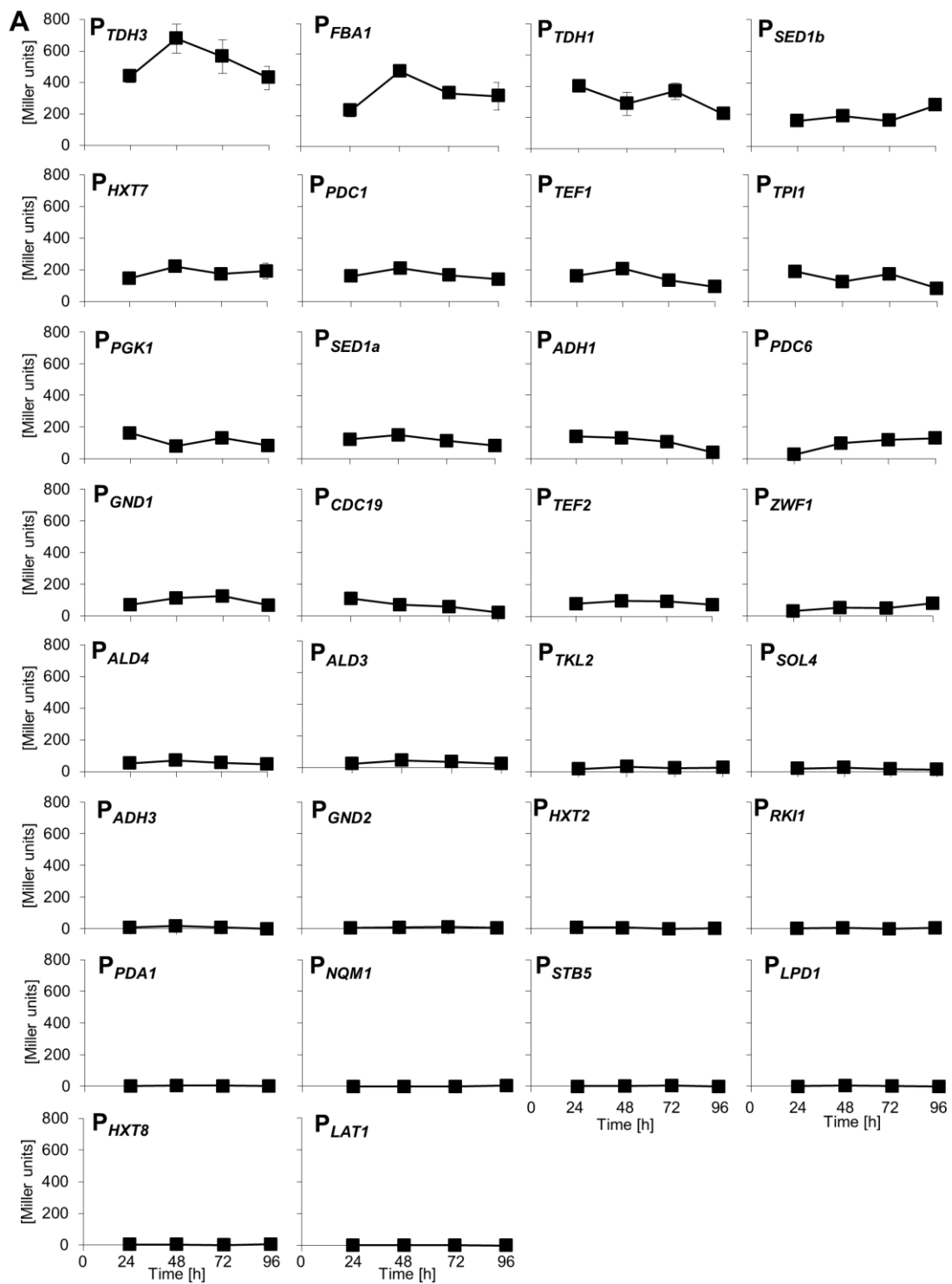


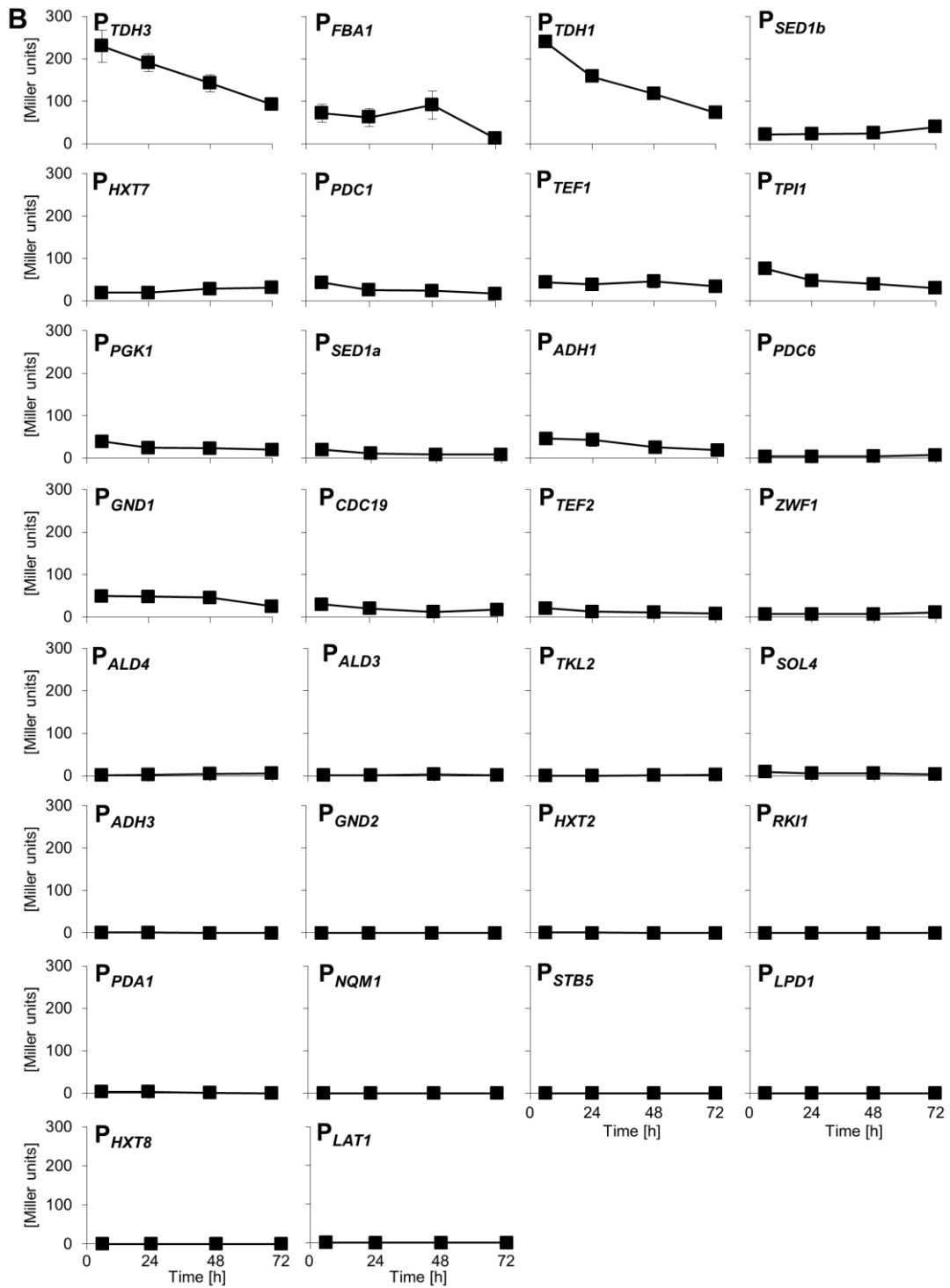
Gene Symbol	Xylose					Glucose					Probe Name
	n=1	n=2	n=3	Average	SD	n=1	n=2	n=3	Average	SD	
<b>ADH1</b>	7.07	7.62	7.41	7.37	0.28	6.71	5.61	8.48	6.93	1.45	A_06_P6278
<b>SED1</b>	6.85	7.08	7.02	6.98	0.12	6.21	6.38	6.86	6.48	0.34	A_06_P6281
<b>TDH1</b>	6.53	6.98	7.05	6.85	0.29	6.45	6.23	6.79	6.49	0.28	A_06_P6280
<b>FBA1</b>	6.31	6.60	6.27	6.39	0.18	6.39	6.42	6.68	6.49	0.16	A_06_P6279
<b>CDC19</b>	5.61	5.68	6.12	5.81	0.28	5.94	6.00	6.46	6.13	0.29	A_06_P1062
<b>PDC1</b>	5.53	5.72	6.04	5.77	0.25	5.95	6.46	6.67	6.36	0.37	A_06_P4856
<b>PGK1</b>	5.44	5.37	6.42	5.74	0.58	5.67	5.00	6.21	5.63	0.61	A_06_P1633
<b>TPI1</b>	5.54	5.51	5.92	5.66	0.23	6.03	5.49	6.22	5.92	0.38	A_06_P2037
<b>TEF1</b>	5.21	5.30	6.27	5.59	0.58	5.13	4.86	6.16	5.39	0.68	A_06_P7127
<b>TEF2</b>	5.07	5.09	6.41	5.52	0.77	5.10	4.68	6.70	5.49	1.06	A_06_P1370
<b>ALD4</b>	4.25	4.65	5.21	4.70	0.48	2.96	2.14	3.31	2.81	0.6	A_06_P6738
<b>TDH3</b>	4.37	4.43	4.96	4.59	0.32	4.65	4.23	5.40	4.76	0.6	A_06_P3413
<b>GND1</b>	4.08	4.04	4.82	4.31	0.44	4.22	2.26	4.67	3.72	1.28	A_06_P3761
<b>HXT7</b>	4.07	4.16	3.90	4.04	0.13	4.81	1.20	5.52	3.84	2.32	A_06_P2333
<b>NQM1</b>	3.34	3.46	3.90	3.56	0.29	1.89	0.83	1.87	1.53	0.61	A_06_P3263
<b>LPD1</b>	3.29	3.36	3.39	3.35	0.05	3.33	2.70	3.44	3.15	0.4	A_06_P2844
<b>ADH3</b>	2.95	2.83	3.85	3.21	0.56	3.04	2.19	3.85	3.03	0.83	A_06_P5511
<b>GND2</b>	2.94	2.98	3.29	3.07	0.19	2.25	1.47	2.60	2.11	0.58	A_06_P3477
<b>LAT1</b>	2.89	2.80	2.86	2.85	0.04	3.46	2.43	3.31	3.07	0.56	A_06_P5842
<b>PDA1</b>	2.36	2.40	2.25	2.34	0.08	2.69	2.34	2.43	2.49	0.18	A_06_P2810
<b>SOL4</b>	2.07	2.12	2.41	2.20	0.18	2.21	0.74	2.16	1.70	0.83	A_06_P3469
<b>ALD3</b>	1.79	1.99	1.39	1.72	0.3	1.15	-0.03	0.75	0.62	0.6	A_06_P5604
<b>ZWF1</b>	1.11	1.31	0.95	1.13	0.18	-0.11	1.75	-0.51	0.38	1.2	A_06_P6013
<b>PDC6</b>	0.55	0.63	0.60	0.59	0.04	-2.07	-0.07	-2.17	-1.44	1.18	A_06_P3307
<b>TKL2</b>	-0.06	0.16	0.12	0.07	0.12	-1.49	-1.97	-1.47	-1.64	0.28	A_06_P1369
<b>HXT2</b>	-1.06	-0.50	-0.23	-0.60	0.42	-1.94	-1.34	-1.59	-1.62	0.3	A_06_P5438
<b>RKI1</b>	-2.70	-2.77	-2.21	-2.56	0.31	-2.68	-3.30	-1.97	-2.65	0.67	A_06_P6457
<b>STB5</b>	-3.60	-3.55	-4.10	-3.75	0.3	-3.91	-2.83	-4.41	-3.72	0.81	A_06_P3756
<b>HXT8</b>	-4.49	-5.07	-4.26	-4.61	0.42	-5.08	-4.56	-4.53	-4.72	0.31	A_06_P4236

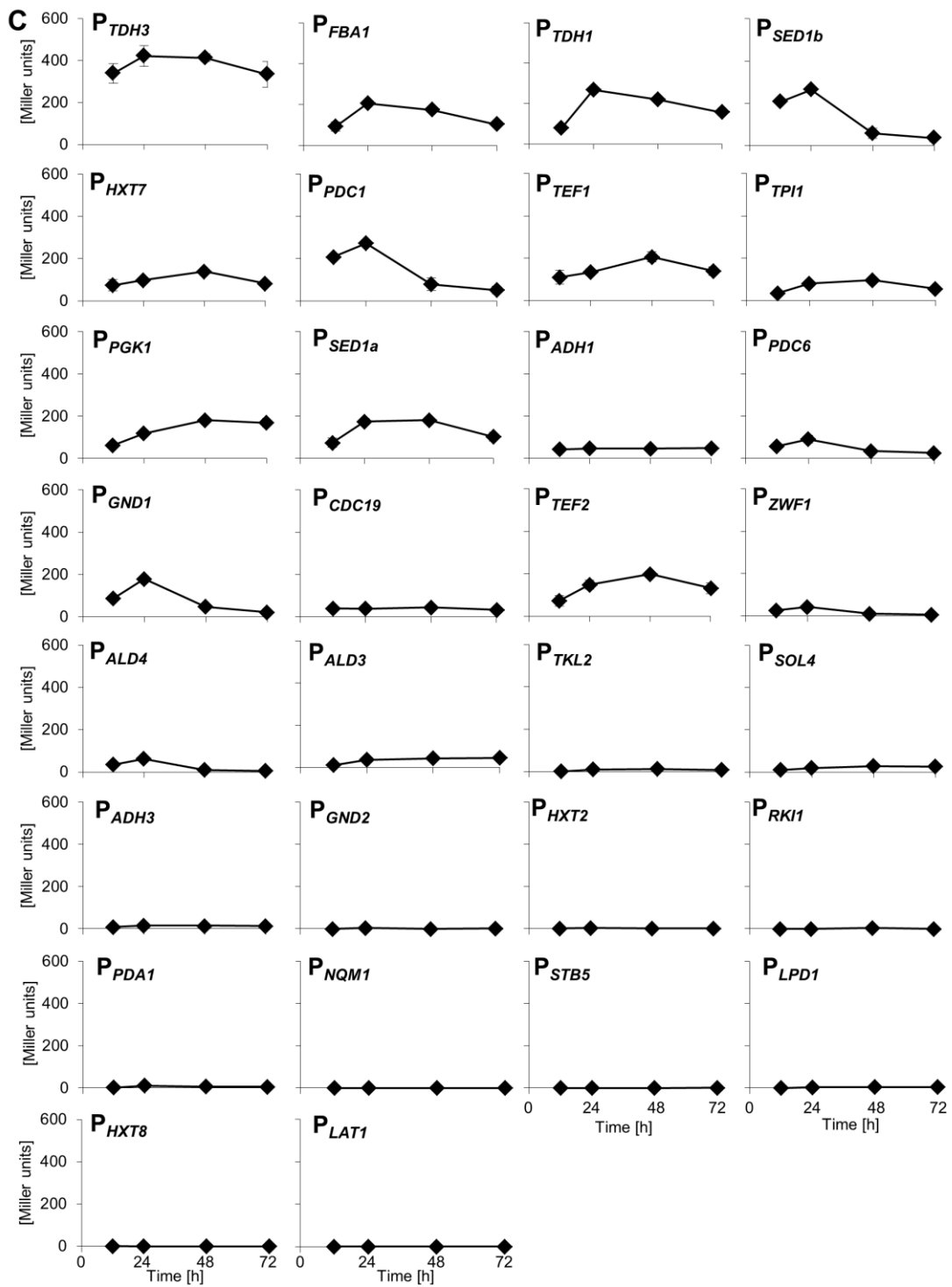


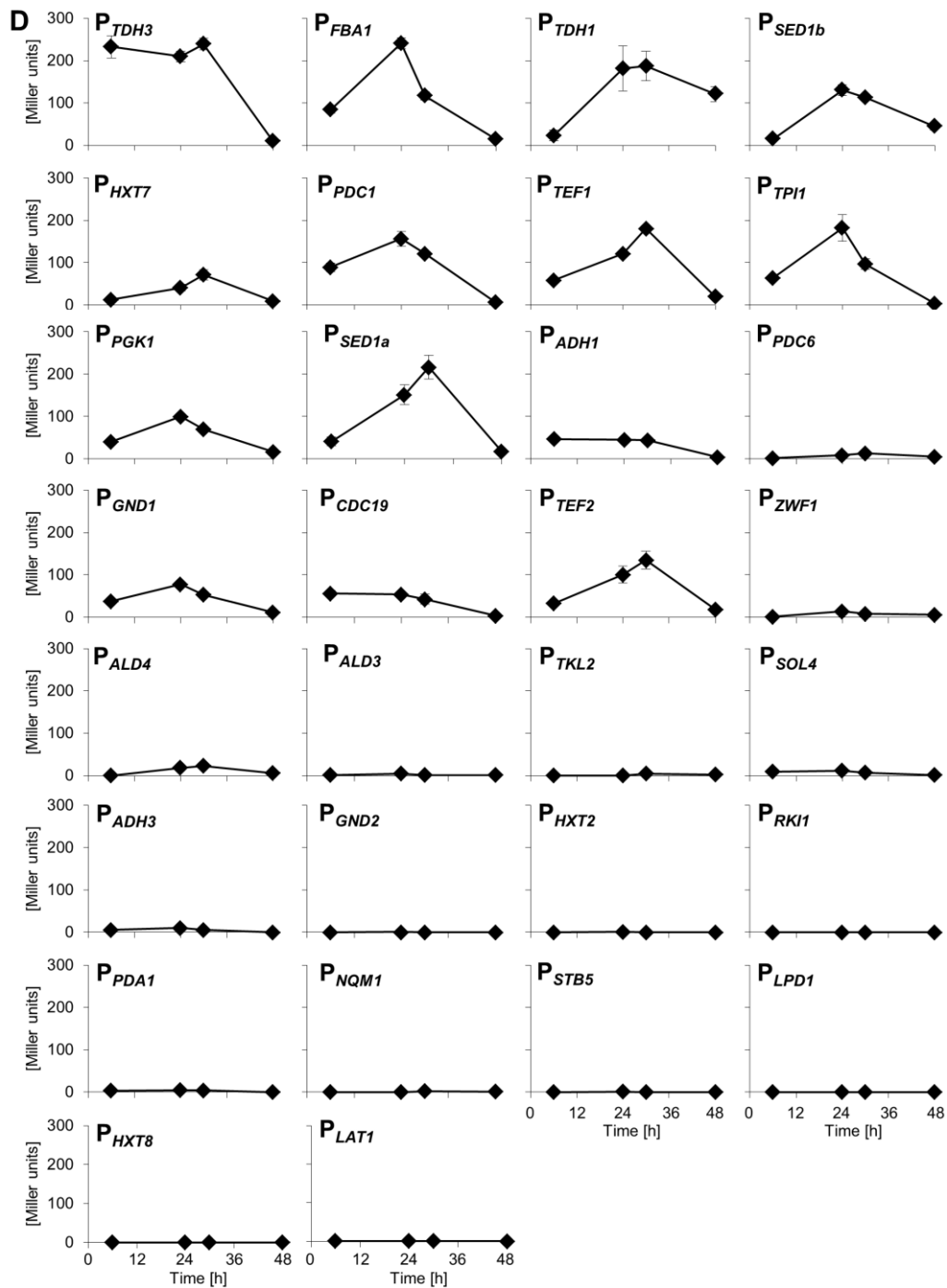
**Figure 2 Normalized signals of gene expression in central carbon metabolism.**

Microarray analyses were performed using the BY4741/X-I2 strain fermented in YP xylose and glucose media. Total RNAs were extracted from the yeast cells at the times at which half of the carbon source was consumed (9 h and 3 h for xylose- and glucose-fermentations, respectively). Normalized signal intensities ( $\log_2$ : xylose vs. glucose) of microarray data using the 75th percentile normalization procedure are indicated. Genes marked in bold were subjected to  $\beta$ -galactosidase assays.







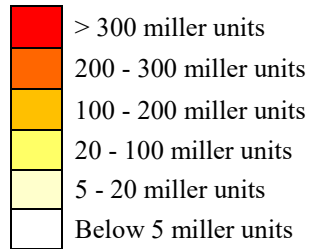


**Figure 3 Time-course profiles of 30 promoter activities measured in different culture conditions.**

(A) Aerobic cultivation and (B) microaerobic fermentation conditions in xylose media. (C) Aerobic cultivation and (D) microaerobic fermentation conditions in glucose media.  $\beta$ -galactosidase activities of BY4741/X-I2-P<sub>xxx</sub> (harboring pRS415/P<sub>xxx</sub>-*lacZ*-T<sub>SAG1</sub>) at each time point are indicated. Data represent three independent experiments  $\pm$  SEM.



**Table 5 Heatmap list of relative promoter activities during aerobic cultivations and microaerobic fermentations in xylose and glucose media**



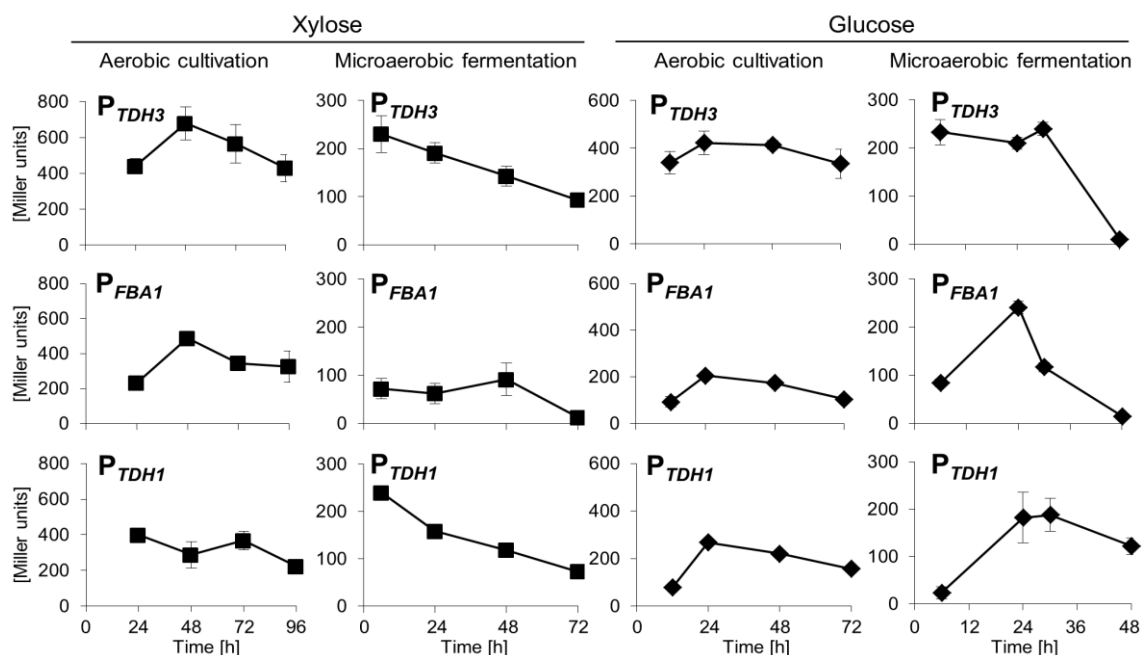
	Xylose								Glucose							
	Aerobic cultivation				Microaerobic fermentation				Aerobic cultivation				Microaerobic fermentation			
	24 h	48 h	72 h	96 h	6 h	24 h	48 h	72 h	12 h	24 h	48 h	72 h	6 h	24 h	30 h	48 h
Control	0.00	0.19	0.01	0.00	0.06	0.00	0.00	0.00	1.95	0.27	2.48	0.64	0.00	0.00	0.01	0.02
<i>TDH3</i>	439.42	<b>679.27</b>	564.89	429.42	<b>230.34</b>	191.18	142.83	93.10	<b>340.00</b>	<b>423.40</b>	413.97	335.33	233.14	210.19	<b>239.56</b>	10.38
<i>FBA1</i>	232.16	<b>488.02</b>	345.99	326.68	72.46	62.44	91.39	12.86	92.71	<b>206.17</b>	174.61	104.12	84.41	<b>241.24</b>	117.14	15.07
<i>TDH1</i>	<b>398.84</b>	287.94	367.94	222.94	<b>239.54</b>	158.52	118.21	73.32	78.84	<b>268.46</b>	220.94	157.36	23.43	181.93	<b>187.87</b>	121.99
<i>SED1b</i>	158.97	190.67	161.03	<b>258.32</b>	22.02	23.10	24.60	39.89	<b>207.29</b>	<b>264.89</b>	58.13	36.09	15.68	<b>130.93</b>	113.05	45.50
<i>HXT7</i>	147.08	<b>220.94</b>	173.21	193.03	19.36	19.67	28.65	31.49	74.59	98.75	<b>139.37</b>	82.02	12.97	40.69	71.63	9.29
<i>PDC1</i>	160.49	<b>211.29</b>	166.53	141.35	43.98	25.79	24.47	17.14	<b>208.23</b>	<b>273.04</b>	79.59	52.00	88.94	<b>156.56</b>	121.06	6.36
<i>TEF1</i>	161.39	<b>207.54</b>	135.36	93.13	44.32	39.24	46.37	34.82	110.11	134.12	<b>206.17</b>	139.03	58.10	121.56	<b>181.02</b>	20.24
<i>TPI1</i>	<b>189.70</b>	126.11	173.70	83.59	76.11	47.89	40.07	30.34	34.57	80.80	95.99	55.82	63.97	<b>182.38</b>	96.78	3.88
<i>PGK1</i>	<b>161.46</b>	80.75	130.79	83.14	38.95	23.99	23.03	19.17	62.58	118.08	<b>180.44</b>	167.99	40.20	99.49	69.31	16.24
<i>SED1a</i>	122.39	<b>151.27</b>	113.69	82.14	19.63	10.91	8.32	8.03	73.50	174.81	<b>180.75</b>	102.51	40.74	150.90	<b>216.27</b>	16.79
<i>ADH1</i>	<b>140.35</b>	131.83	108.11	39.85	46.14	43.05	25.10	18.61	42.40	46.96	45.96	49.09	46.32	44.78	43.99	3.73
<i>PDC6</i>	26.11	98.67	118.86	<b>129.73</b>	3.37	3.23	4.04	6.71	57.02	90.53	35.09	25.17	1.29	8.44	13.01	4.73
<i>GND1</i>	71.28	113.86	<b>124.32</b>	67.24	49.47	47.62	45.53	25.49	87.54	<b>177.75</b>	47.06	20.71	37.40	77.71	53.26	11.28
<i>CDC19</i>	<b>110.53</b>	70.37	58.91	21.59	30.13	20.06	12.14	17.07	39.54	37.98	43.49	32.47	55.98	53.48	42.23	3.35
<i>TEF2</i>	78.76	97.11	92.79	74.05	20.53	13.07	11.02	8.58	72.62	148.89	<b>197.42</b>	131.35	32.62	100.87	<b>135.05</b>	18.63

<i>ZWF1</i>	32.06	51.80	47.82	78.96	6.47	7.06	6.60	10.90	25.19	41.00	8.60	5.15	0.89	13.96	7.68	6.01
<i>ALD4</i>	51.04	69.45	53.96	45.19	1.10	2.39	4.71	6.10	35.10	62.72	9.12	5.05	0.58	18.08	22.83	6.21
<i>ALD3</i>	23.77	45.16	36.77	24.34	1.57	0.98	3.78	0.81	10.96	35.85	42.29	45.01	0.75	5.03	0.96	0.67
<i>TKL2</i>	14.88	29.31	21.20	23.49	0.07	0.52	0.65	1.84	0.17	9.46	12.15	7.18	0.05	0.27	5.08	2.13
<i>SOL4</i>	17.81	24.39	14.26	13.66	8.87	6.04	5.82	3.42	8.79	18.61	28.52	25.74	8.66	11.38	7.29	1.49
<i>ADH3</i>	9.44	17.21	7.73	0.06	1.26	1.24	0.09	0.08	9.22	15.18	14.75	12.76	5.98	10.08	5.98	0.05
<i>GND2</i>	4.84	8.58	11.69	5.87	0.00	0.09	0.00	0.47	0.00	5.10	0.28	1.29	0.00	0.60	0.00	0.41
<i>HXT2</i>	8.74	7.55	0.81	3.28	1.16	0.59	0.34	0.06	2.90	4.92	2.32	1.28	0.33	1.01	0.07	0.31
<i>RKII</i>	3.19	5.66	0.75	7.08	0.00	0.00	0.00	0.00	0.17	0.26	4.10	0.67	0.00	0.02	0.10	0.03
<i>PDA1</i>	3.33	6.90	4.28	1.78	2.94	2.94	0.70	0.00	2.07	11.14	7.47	5.50	3.51	4.23	3.88	0.31
<i>NQMI</i>	0.87	0.20	0.32	6.30	0.00	0.00	0.00	0.00	0.00	0.69	0.05	0.00	0.00	0.00	2.43	1.05
<i>STB5</i>	1.21	4.05	5.93	0.00	0.00	0.00	0.00	0.06	0.00	0.64	0.00	0.80	0.00	0.90	0.00	0.09
<i>LPD1</i>	1.26	4.88	2.55	0.38	0.13	0.38	0.16	0.00	0.29	3.35	3.78	4.09	0.19	0.15	0.23	0.08
<i>HXT8</i>	2.64	3.65	0.59	4.60	0.00	0.00	0.00	0.00	1.16	0.51	0.10	0.53	0.21	0.00	0.00	0.10
<i>LAT1</i>	0.30	0.15	0.47	0.00	0.27	0.16	0.00	0.00	0.12	0.26	0.14	0.39	0.40	0.86	0.24	0.02

### **Promoters for high expression in xylose media both during aerobic and microaerobic conditions**

In xylose media, most of selected promoters showed higher activities under aerobic conditions than microaerobic (oxygen-limited) conditions (Figure 3A and 3B). A similar trend was also observed in glucose media (Figure 3C and 3D). The orders of promoter activities were largely consistent between aerobic and microaerobic conditions both in xylose (Figure 3A and 3B) and glucose media (Figure 3C and 3D).

$P_{TDH3}$ ,  $P_{FBA1}$ , and  $P_{TDHI}$  showed the highest promoter activities both in aerobic and microaerobic conditions in xylose media, although  $P_{FBA1}$  in microaerobic conditions exhibited lower activity compared to the other two promoters (Figure 4). These three promoters also showed extremely high activities in glucose media (Figure 4).  $P_{TDH3}$  is well known as one of the strongest promoters and is widely used in *S. cerevisiae* (Ishii et al. 2014). Although  $P_{FBA1}$  and  $P_{TDHI}$  are less common as promoters for heterologous gene expression in *S. cerevisiae*, they would be user-friendly for use both in glucose and xylose media, similar to  $P_{TDH3}$ . When limited to aerobic conditions, the promoter activities of  $P_{TDH3}$ ,  $P_{FBA1}$ , and  $P_{TDHI}$  cultured in xylose media were interestingly higher than those cultured in glucose media (Figure 4). In particular,  $P_{FBA1}$  showed much higher activity in xylose than in glucose. In a previous study, the *FBA1* promoter showed no significant difference in activity between glucose medium and glycerol/ethanol medium under aerobic cultivation (Compagno et al. 1991). The activity of  $P_{FBA1}$  is thus possibly enhanced by xylose metabolism.



**Figure 4 Time-course profiles of promoter activities (*TDH3*, *FBA1*, and *TDH1*) during aerobic cultivations and microaerobic fermentations in xylose and glucose media.**

These promoters (*TDH3*, *FBA1*, and *TDH1*) showed high expressions both under aerobic and microaerobic conditions in xylose media.  $\beta$ -galactosidase activities of BY4741/X-I2-P<sub>xxx</sub> (harboring pRS415/P<sub>xxx</sub>-*lacZ*-T<sub>SAG1</sub>) at each time point are indicated. Data represent three independent experiments  $\pm$  SEM.

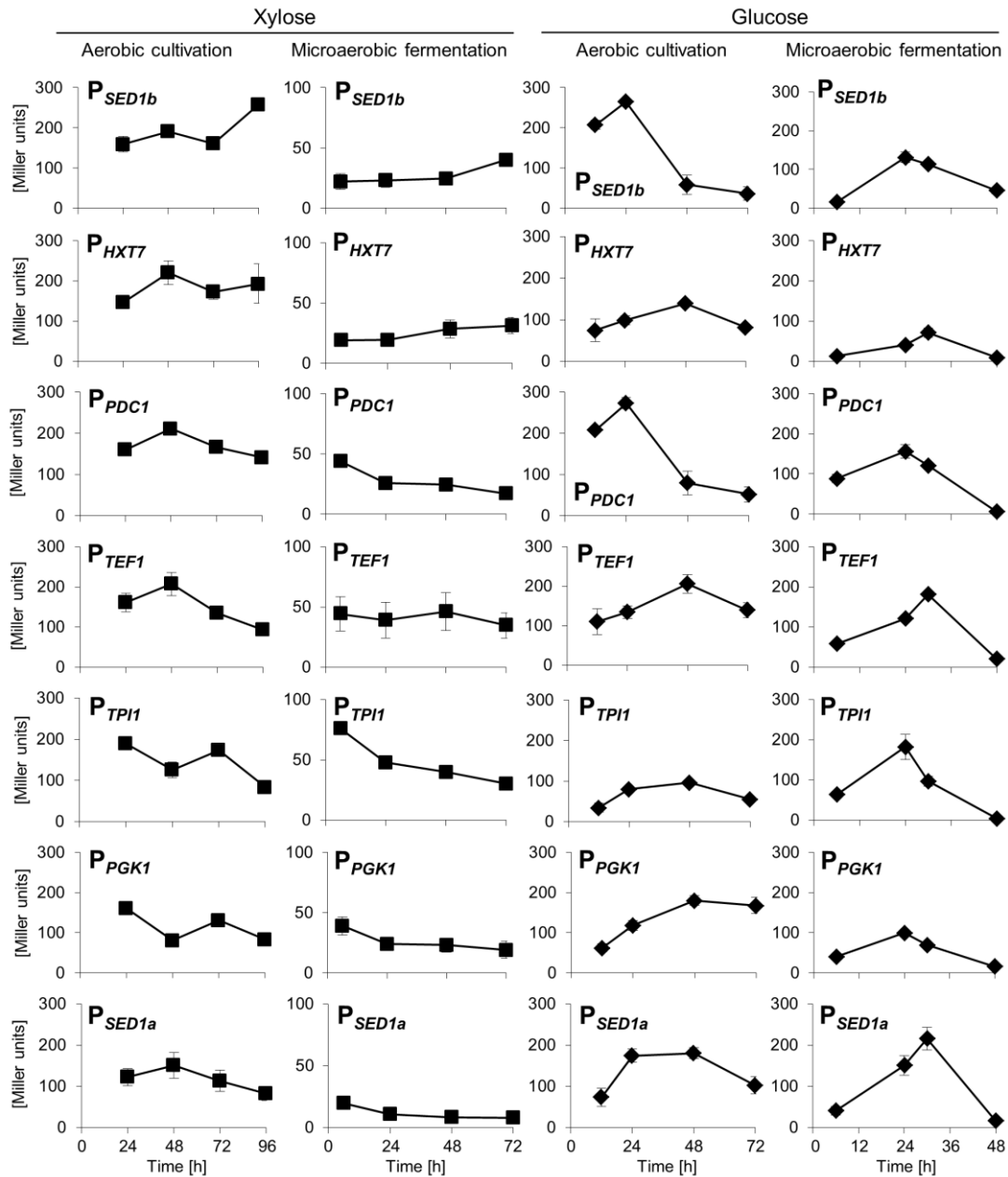
#### **Promoters for medium-high and moderate expressions in xylose media respectively during aerobic and microaerobic conditions**

P<sub>PDC1</sub>, P<sub>TEF1</sub>, P<sub>TPII</sub>, and P<sub>PGK1</sub> serve as promoters for genes for ethanol biosynthesis, translational elongation, and glycolysis, and are also often used for engineered gene expression (Sun et al. 2012; Ishii et al. 2014; Guo et al. 2016). Hxt7p, a high-affinity glucose transporter, has been reported to be an important xylose-transporting protein among the 18 hexose-transporters (Hamacher et al. 2002). Sed1p is a major stress-induced structural glycoprotein that exists abundantly on yeast cell walls (Inokuma et al. 2014). Two different lengths of P<sub>SED1</sub> have been reported as promoter

regions: one 1063 bp and the other 800 bp (designated as  $P_{SEDIa}$  and  $P_{SEDIb}$ , respectively) (Kotaka et al. 2010; Tanino et al. 2007). These seven promoters were available as medium-high expression promoters during aerobic cultivations both in glucose and xylose media (Figure 5). In contrast, the activities of these promoters during microaerobic fermentation in xylose media were modest and weaker than expected, even though most of them showed medium-high expression in glucose fermentation (Figure 5).  $P_{HXT7}$  exhibited comparatively lower promoter activity during glucose fermentation (Figure 5). In comparing different length *SEDI* promoters, the shorter version ( $P_{SEDIb}$ ) showed higher maximum activity compared to the longer version ( $P_{SEDIa}$ ), except in microaerobic glucose fermentation (Table 5 and Figure 5).

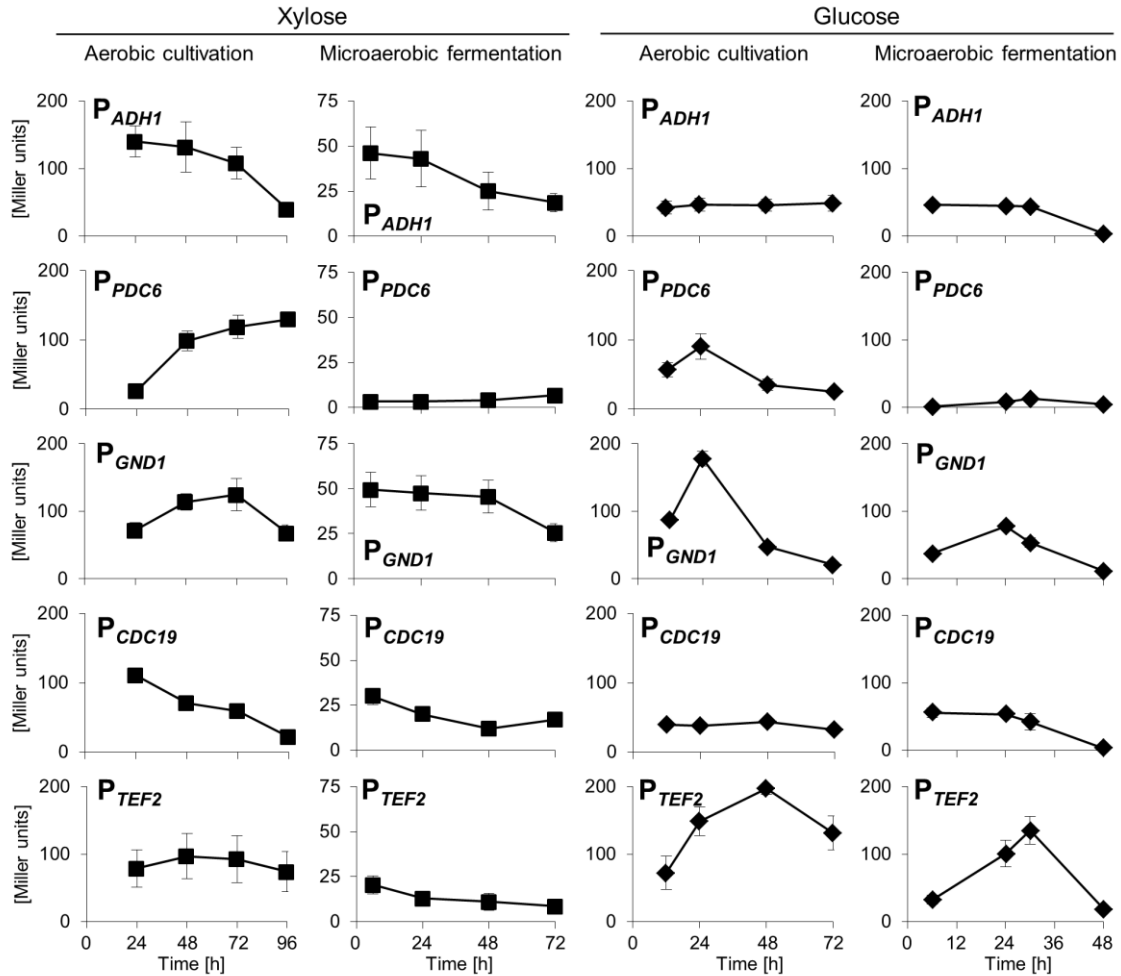
#### **Other promoters for moderate or weak expression in xylose media**

$P_{ADHI}$ ,  $P_{GNDI}$ ,  $P_{PDC6}$ , and  $P_{CDC19}$  showed comparatively high promoter activities during aerobic xylose cultivation (Figure 6). Among them,  $P_{ADHI}$  and  $P_{GNDI}$  showed moderate promoter activities during microaerobic xylose fermentation (Figure 6).  $P_{CDC19}$  and  $P_{PDC6}$  respectively showed weak and almost no activity in *lacZ* reporter assays during microaerobic fermentation both in xylose and glucose media (Figure 6). During both aerobic cultivation and microaerobic fermentation in glucose media,  $P_{GNDI}$  displayed moderate promoter activities during glucose cultivation and fermentation, while the other promoters showed relatively weak activities (Figure 6).  $P_{TEF2}$  showed moderate promoter activity during aerobic xylose cultivation, although it exhibited weak activity during microaerobic xylose fermentation (Figure 6). In contrast,  $P_{TEF2}$  showed medium-high promoter activities both during cultivation and fermentation conditions in glucose media (Figure 6).



**Figure 5** Time-course profiles of promoter activities (*SED1b*, *HXT7*, *PDC1*, *TEF1*, *TPI1*, *PGK1*, and *SED1a*) during aerobic cultivations and microaerobic fermentations in xylose and glucose media.

These promoters (*SED1b*, *HXT7*, *PDC1*, *TEF1*, *TPI1*, *PGK1*, and *SED1a*) showed medium-high and moderate expressions respectively under aerobic and microaerobic conditions in xylose media.  $\beta$ -galactosidase activities of BY4741/X-I2-P<sub>xxx</sub> (harboring pRS415/P<sub>xxx</sub>-*lacZ*-T<sub>SAG1</sub>) at each time point are indicated. Data represent three independent experiments  $\pm$  SEM.

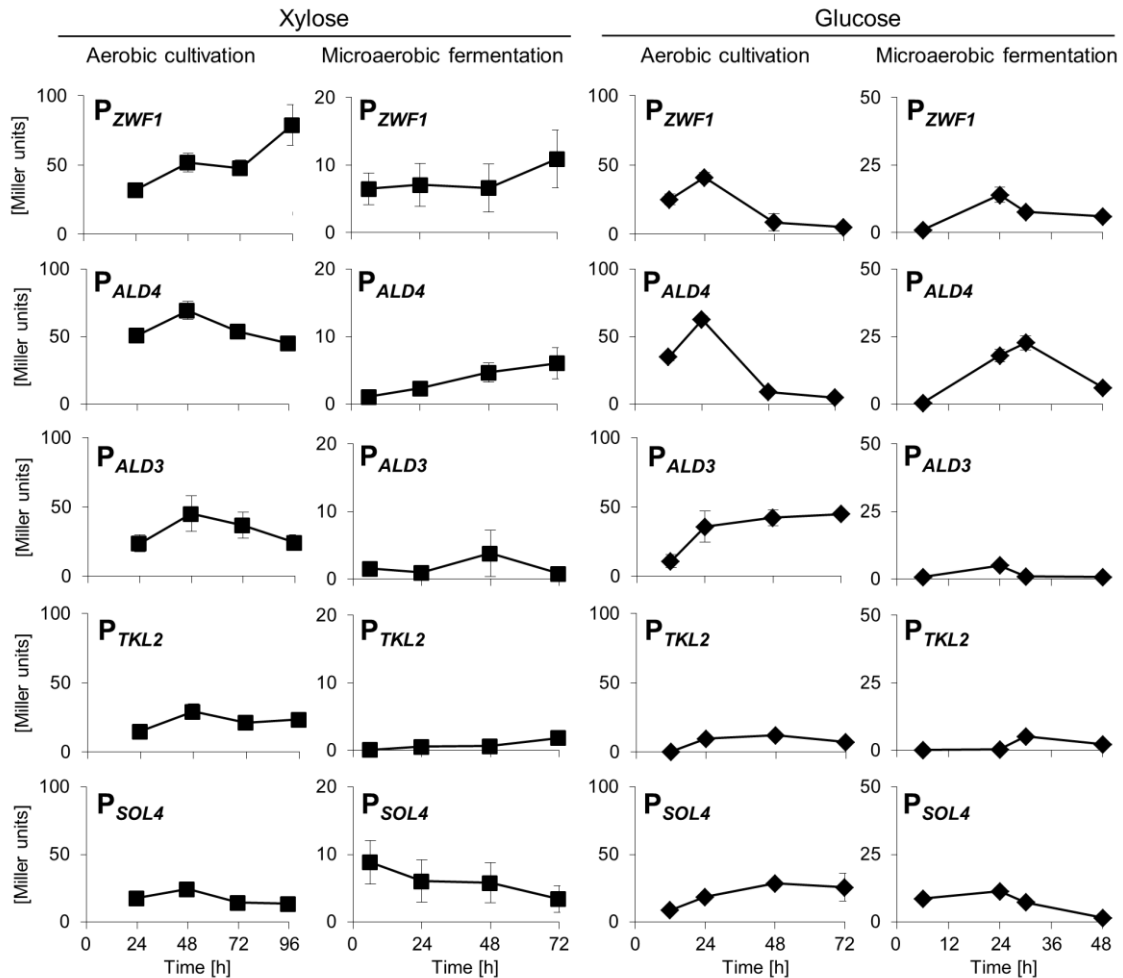


**Figure 6 Time-course profiles of promoter activities (*ADH1*, *PDC6*, *GND1*, *CDC19*, and *TEF2*) during aerobic cultivations and microaerobic fermentations in xylose and glucose media.**

These promoters (*ADH1*, *PDC6*, *GND1*, *CDC19*, and *TEF2*) showed moderate or weak expression under aerobic and microaerobic conditions in xylose media.  $\beta$ -galactosidase activities of BY4741/X-I2- $P_{xxxx}$  (harboring  $pRS415/P_{xxxx}$ -*lacZ*- $T_{SAG1}$ ) at each time point are indicated. Data represent three independent experiments  $\pm$  SEM.

$P_{ZWF1}$  and  $P_{SOL4}$  displayed moderate promoter activities during aerobic cultivations, and considerably weak but definite promoter activities during microaerobic fermentations both in xylose and glucose media (Figure 7).  $P_{ALD4}$ ,  $P_{ALD3}$ , and  $P_{TKL2}$  showed moderate promoter activities during aerobic cultivations in both xylose and glucose media, whereas they showed almost no activities in microaerobic fermentations

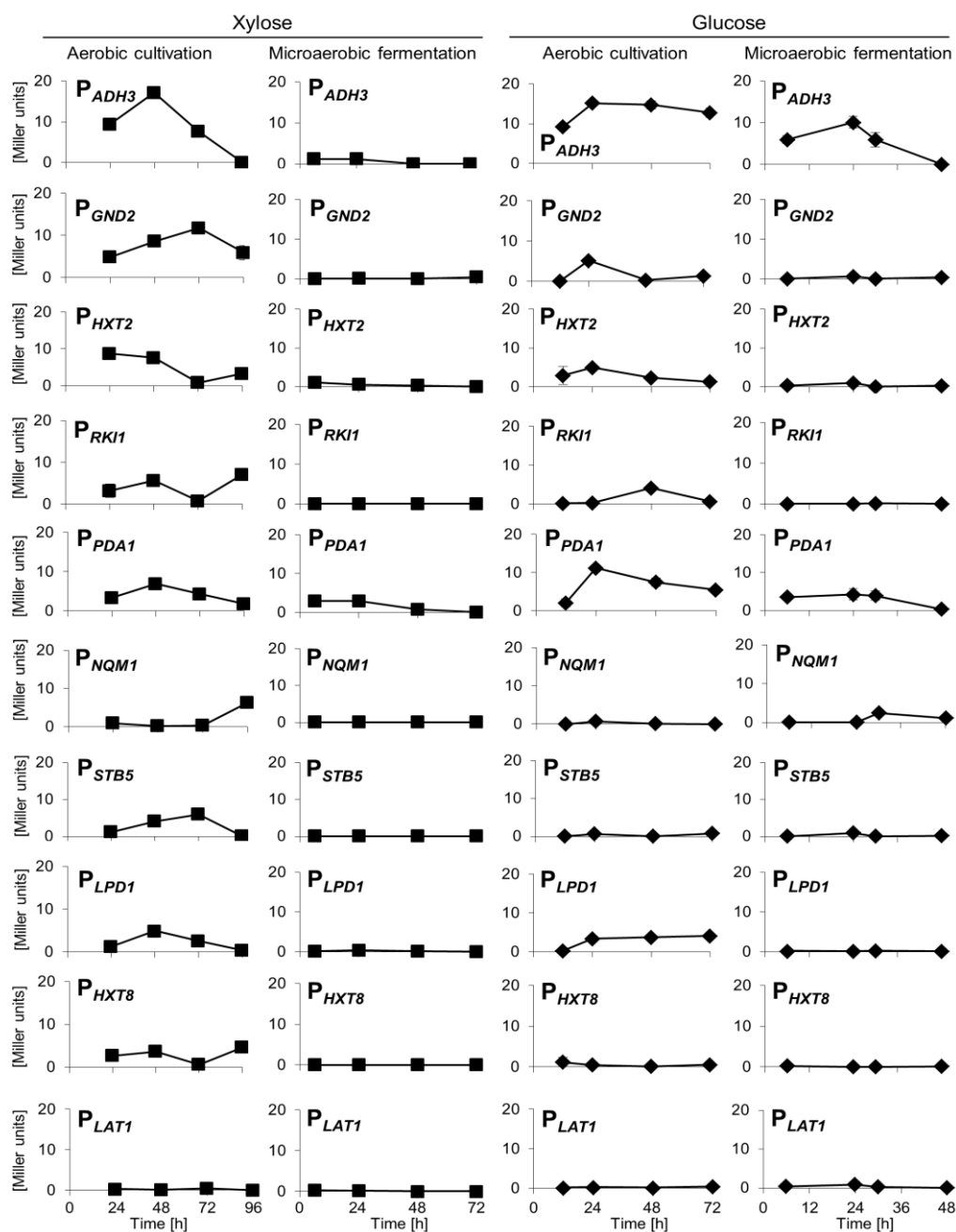
except for  $P_{ALD4}$  in glucose media (Figure 7).  $P_{ADH3}$ ,  $P_{GND2}$ ,  $P_{HXT2}$ ,  $P_{RKII}$ , and  $P_{PDAI}$  showed considerably weak promoter activities during aerobic cultivation both in xylose and glucose media, and they showed almost no activities in microaerobic fermentations (Figure 8). Other promoters showed no activity during either aerobic cultivations or microaerobic fermentations in either xylose or glucose media (Figure 8).



**Figure 7 Time-course profiles of promoter activities ( $ZWF1$ ,  $ALD4$ ,  $ALD3$ ,  $TKL2$ , and  $SOL4$ ) during aerobic cultivations and microaerobic fermentations in xylose and glucose media.**

These promoters ( $ZWF1$ ,  $ALD4$ ,  $ALD3$ ,  $TKL2$ , and  $SOL4$ ) showed moderate or considerably weak expression under aerobic and microaerobic conditions in xylose media.  $\beta$ -galactosidase activities of  $BY4741/X-I2-P_{xxx}$  (harboring  $pRS415/P_{xxx}-lacZ-T_{SAG1}$ ) at each time point are indicated. Data represent three independent experiments  $\pm$  SEM.

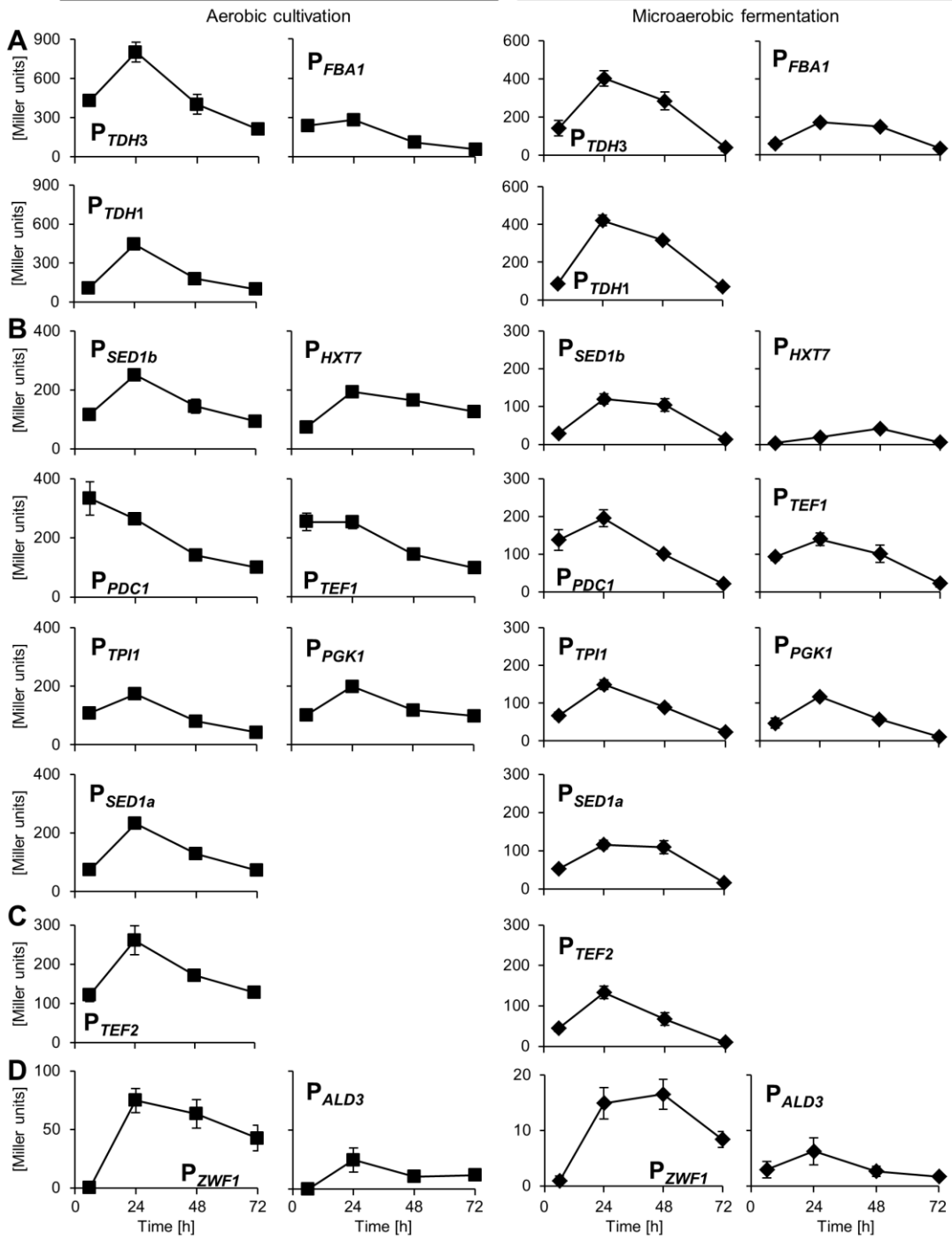




**Figure 8** Time-course profiles of promoter activities (*ADH3*, *GND2*, *HXT2*, *RKI1*, *PDA1*, *NQM1*, *STB5*, *LPD1*, *HXT8*, and *LAT1*) promoters during aerobic cultivations and microaerobic fermentations in xylose and glucose media.

These promoters (*ADH3*, *GND2*, *HXT2*, *RKI1*, *PDA1*, *NQM1*, *STB5*, *LPD1*, *HXT8*, and *LAT1*) showed considerably weak or almost no expression under aerobic and microaerobic conditions in xylose media.  $\beta$ -galactosidase activities of BY4741/X-12-P<sub>xxx</sub> (harboring pRS415/P<sub>xxx</sub>-*lacZ*-T<sub>SAG1</sub>) at each time point are indicated. Data represent three independent experiments  $\pm$  SEM.

Glucose + Xylose



**Figure 9 Time-course profiles of activities of representative promoters during aerobic cultivations and microaerobic fermentations in synthetic media containing both glucose and xylose.**

The promoters, which have showed (A) high expression, (B) moderate expression, (C) weak expression, and (D) considerably weak or almost no expression in xylose media under microaerobic fermentation, were selected for the assays.  $\beta$ -galactosidase activities of BY4741/X-I2-P<sub>xxx</sub> (harboring pRS415/P<sub>xxx</sub>-*lacZ*-T<sub>SAG1</sub>) at each time point are indicated. Data represent three independent experiments  $\pm$  SEM.

**Measurements of activities for representative promoters in media containing both xylose and glucose during aerobic and microaerobic conditions**

The activities of several representative promoters were further investigated in synthetic media containing both glucose and xylose. The transformants were cultured respectively in glucose/xylose mixed media under aerobic and microaerobic conditions, and the  $\beta$ -galactosidase assays were performed (Figure 9). As a consequence of the assays, most of promoters examined basically showed similar trends of the promoter activities to the cultures in xylose or glucose media (Figure 9A~D).

It has demonstrated that the use of high expression promoters for all of genes does not necessarily result in the best efficiency, and the combinatorial expression approaches are helpful to determine optimal expression profiles (Latimer et al. 2014; Ito et al. 2015). For instance, it is important to optimize the expression levels of a series of genes involved in the metabolic pathways such as xylose assimilation (XR, XDH, and XK) and PPP (e.g., RPE, RKI, TKL, and TAL) for effective xylose utilization in *S. cerevisiae*. Whereas high expression promoters were favorable for XR and TAL, medium or low expression promoters were suitable for other genes especially in microaerobic condition (Latimer et al. 2014). As another example, tuning copy numbers for three cellulase genes using different sets of promoters and terminators enabled optimization of the degradation ability for Avicel cellulose, conducting higher ethanol production level than the previous constructed strains (Ito et al. 2015). Thus, the results of promoter activities in glucose/xylose mixed media suggested that accumulating the

knowledge of promoters would be useful to make the engineered strains for cultivation or fermentation using hemicellulosic biomass as the carbon source.

In conclusion, by reference to normalized signal values of microarray data, candidate promoters were selected and their activities were measured using *lacZ* reporter gene assays. The promoter activities were quite different depending on the culture conditions, indicating the need for a great deal of attention in promoter selection. The knowledge of promoter activity in xylose cultivation and fermentation obtained in this study will allow for the control of gene expression in engineered xylose-utilizing yeast strains used in hemicellulose fermentation.

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## Chapter 2

### Development of a comprehensive set of tools for genome engineering in a cold- and thermo-tolerant *Kluyveromyces marxianus* yeast strain

#### Introduction

The non-conventional yeast *Kluyveromyces marxianus* is known to be thermotolerant and Crabtree-negative. The latter term indicates that this species produces ethanol only under anaerobic conditions (Nonklang et al. 2008); in contrast, Crabtree-positive yeasts such as *Saccharomyces cerevisiae* obligately produce ethanol both aerobically and anaerobically. Thus, Crabtree-negative yeasts are more suitable for the generation of various products other than ethanol because these strains do not undergo aerobic alcoholic fermentation, which consumes carbon resources (Wagner and Alper 2016). The thermotolerance of *K. marxianus* also allows faster growth and production at higher temperatures, and some volatile products can be collected during synthesis more efficiently at higher temperature. Another benefit of the faster growth of *K. marxianus* at 37°C is the ability to obtain single colonies after overnight incubation, while generally used *S. cerevisiae* strains take 2 to 3 days at 30°C. Recently, the complete genome sequence for *K. marxianus* has been reported (Jeong et al. 2012; Suzuki et al. 2014; Inokuma et al. 2015).

Unlike *S. cerevisiae*, which demonstrates homologous recombination (HR) of transformed DNA, *K. marxianus* DMKU3-1042 tends to integrate DNA fragments randomly into its genome (Nonklang et al. 2008). Such random integration is attributed to the high activity of the non-homologous end-joining (NHEJ) pathway (Abdel-Banat et al. 2009). *K. marxianus* NBRC1777 reportedly needed relatively large homology arms (641 and 1475 bp) of flanking DNA for insertion by HR (Hong et al. 2007), while *S. cerevisiae* requires only ~50-bp homology arms, sequence lengths that can be added at the 5' ends of PCR primers (Da Silva and Srikrishnan 2012).

Disruption of the NHEJ pathway in yeasts has been shown to suppress random integration events and therefore increase the success rate of HR in these organisms (Abdel-Banat et al. 2009; Valencia et al. 2001). The core NHEJ proteins are Ku70/Ku80, Lig4/Lif1, and Nej1 (Dudášová et al. 2004; Feldmann and Winnacker 1993; Feldmann

et al. 1996; Moore and Haber 1996). In the first step, a stable heterodimer of Ku70/Ku80 binds to broken DNA ends and acts as a bridging complex. Lig4 and Lif1 also form a highly stable complex. Lig4 is an ATP-dependent double-strand break (DSB) repair DNA ligase and Lif1 is Lig4's stabilizing, stimulating, and targeting co-factor (Dudášová et al. 2004). In *S. cerevisiae*, deletion of *NEJ1* reduces NHEJ by 100-fold (Valencia et al. 2001).

Clustered regularly interspaced short palindromic repeats (CRISPR) associated proteins (CRISPR-Cas9) genome-editing tools have been applied in several yeast species, facilitating NHEJ-mediated insertion/deletion formation. HR-mediated integration following CRISPR-Cas9 editing has also been demonstrated, with efficiency varying depending on the yeast species (Wagner and Alper 2016; Jakočiūnas et al. 2016). More recently, deaminase-mediated targeted point mutagenesis (Target-AID) has been performed in *S. cerevisiae*; which is a hybrid system of nuclease-deficient CRISPR-Cas9 and activation-induced cytidine deaminase (AID) (Nishida et al. 2016). When AID ortholog PmCDA1 was fused to C-terminus of nickase Cas9(D10A), C to T/G point mutation was induced within 3-5 bases window at the 5' end of target sequence. Target-AID also appeared to be much less toxic compared to CRISPR-Cas9.

Here, we describe the development of a comprehensive set of genome engineering tools for use with *K. marxianus* NBRC1777, a strain that we show to be both cold- and thermo-tolerant.

## **Materials and Methods**

### **Strains and culture conditions**

*S. cerevisiae* and *K. marxianus* strains used in this study are listed in Table 1. Yeast cells were grown in YPD medium (10 g/L yeast extract, 20 g/L peptone, and 20 g/L glucose) at 30°C unless otherwise noted. *Escherichia coli* strain DH5 $\alpha$  (Toyobo, Osaka, Japan) which was used for cloning, was grown in Luria-Bertani (LB) medium (10 g/L peptone, 5 g/L yeast extract, and 5 g/L sodium chloride) supplemented with 100 mg/L ampicillin at 37°C.

**Table 1 Plasmids and strains used in this study.**

Plasmids and strains	Genotype	Reference
<u>Plasmids</u>		
nCas9-CDA_Base	ScP <sub>PDC1</sub> _nCas9_T <sub>TDH3</sub> , KmARS7, Km CEN D, <i>kanMX</i> , ori, and AmpR	This study
nCas9-CDA_target <i>Nej1</i>	KmP <sub>SNR52</sub> _target_Nej1_sgRNA_T <sub>sup4</sub> cassette in nCas9-CDA_Base	This study
nCas9-CDA_target <i>Dnl4</i>	KmP <sub>SNR52</sub> _target_Dnl4_sgRNA_T <sub>sup4</sub> cassette in nCas9-CDA_Base	This study
Cas9_Base	ScP <sub>PDC1</sub> _Cas9_T <sub>TDH3</sub> , KmARS7, Km CEN D, <i>kanMX</i> , ori, and AmpR	This study
Cas9_Base_target <i>Ura3</i> _Fw	KmP <sub>SNR52</sub> _target_Ura3_Fw_sgRNA_T <sub>sup4</sub> cassette in Cas9_Base	This study
Cas9_Base_target _Ura3_Fw+Rv	KmP <sub>SNR52</sub> _target_Ura3_Fw_sgRNA_T <sub>sup4</sub> cassette and KmP <sub>SNR52</sub> _target_Ura3_Rv_sgRNA_T <sub>sup4</sub> cassette in Cas9_Base	This study
Cas9_Base_target <i>Sed1</i>	KmP <sub>SNR52</sub> _target_Sed1_sgRNA_T <sub>sup4</sub> cassette in Cas9_Base	This study
TOPO/F7	ScP <sub>PDC1</sub> _EGFP_bleomycin on both sides Ura3 homologous sequence cassette in pCR <sup>TM</sup> 4Blunt-TOPO <sup>®</sup>	This study
<u>Strains</u>		
<i>S. cerevisiae</i>		
BY4741	<i>MATa his3Δ1 leu2Δ0 met15Δ0 ura3Δ0</i>	ATCC
<i>K. marxianus</i>		
NBRC1777	Wild-type	NITE Biological Resource Center, Japan
<i>Nej1</i> <sup>°</sup>	<i>Nej1</i> disrupted by C to T point mutation at position 13	This study
<i>Dnl4</i> <sup>°</sup>	<i>Dnl4</i> disrupted by G to A point mutation at position 44	This study

## Growth measurements

*S. cerevisiae* BY4741 and *K. marxianus* NBRC1777 strains were grown in YPD medium overnight at 30°C by shaking in test tubes. The cells were inoculated into 5 mL of YPD medium at a starting optical density at 600 nm (OD<sub>600</sub>) of 0.05, and cultures were cultivated at 5, 10, 20, 30, 37, or 45°C. Cell growth was tracked by measuring OD<sub>600</sub> using a UV mini spectrophotometer (Shimadzu, Kyoto, Japan). The  $\mu_{\max}$  was determined as the slope of the log phase of natural logarithm (ln) transformation of the linear region.

## Construction of basal genome-editing plasmids

The vector sequences are provided in Figure 1 and 2. Vector assembly was performed by PCR using the In-fusion cloning method (Takara Bio, Shiga, Japan). The vector backbone was designed to contain KmARS7, KmCEN-D and selectable marker *kanMX*, which encodes G418 resistance. For CRISPR-Cas9 (Cas9\_Base) and Target-AID (nCas9-CDA\_Base) vectors, a gene encoding human-optimized nCas9-PmCDA1 or Cas9 (Nishida et al. 2016; Dicarlo et al.2013) (respectively) was placed between ScP<sub>PDC1</sub> and the *TDH3* terminator (ScT<sub>TDH3</sub>) on the vector backbone.

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**Figure 1 Sequence of Cas9\_Base plasmid.**

Light green: ScP<sub>PDC1</sub>, Red: Cas9 protein, Gray: ScT<sub>TDH3</sub>, Cyan: KmARS7, Green:  
 KmCEN-D, Yellow: *kanMX*



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GTCTAAAAATGGCTACGCCGGATACATTGACGGCGGAGCAAGCCAGGAGGAATTTACAAATTTATTAAGCCCATCTTGG

AAAAAATGGACGGCACCGAGGAGCTGCTGGTAAAGCTTAACAGAGAAGATCTGTTGCGCAAACAGCGCACTTTTCGACAAT  
GGAAGCATCCCCACCAGATTCACCTGGGCGAACTGCACGCTATCCTCAGGCGGCAAGAGGATTTCTACCCCTTTTTGAA  
AGATAACAGGGAAAAGATTGAGAAAATCCTCACATTTGGGATACCCTACTATGTAGGCCCCCTCGCCCGGGAAAATTCCA  
GATTCGCGTGGATGACTCGCAAATCAGAAGAGACCATCACTCCCTGGAACCTCGAGGAAGTCGTGGATAAGGGGGCTCT  
GCCCAGTCCTTCATCGAAAGGATGACTAACTTTGATAAAAAATCTGCCTAACGAAAAGGTGCTTCCTAAACACTCTCTGCT  
GTACGAGTACTTCACAGTTTATAACGAGCTCACCAAGGTCAAATACGTCACAGAAGGGATGAGAAAAGCCAGCATTCTGT  
CTGGAGAGCAGAAGAAAAGCTATCGTGGACCTCCTCTTCAAGACGAACCGAAAAGTTACCGTGAACAGCTCAAAGAAGAC  
TATTTCAAAAAGATTGAATGTTTCGACTCTGTTGAAATCAGCGGAGTGGAGGATCGCTTCAACGCATCCCTGGGAACGTA  
TCACGATCTCCTGAAAATCATTAAAGACAAGGACTTCCTGGACAATGAGGAGAACGAGGACATTCTTGAGGACATTGTCC  
TCACCCTTACGTTGTTGAAGATAGGGAGATGATTGAAGAACGCTTGA AAACTTACGCTCATCTCTTCGACGACAAAAGTC  
ATGAAACAGCTCAAGAGGCGCCGATATACAGGATGGGGCGGCTGTCAAGAAAACCTGATCAATGGGATCCGAGACAAGCA  
GAGTGGAAAAGACAATCCTGGATTTCTTAAGTCCGATGGATTTGCCAACCGAACTTCATGCAGTTGATCCATGATGACT  
CTCTCACCTTTAAGGAGGACATCCAGAAAAGCACAAGTTTCTGGCCAGGGGGACAGTCTTCACGAGCACATCGCTAATCTT  
GCAGGTAGCCAGCTATCAAAAAGGAATACTGCAGACCGTTAAGGTCGTGGATGAACTCGTCAAAGTAATGGAAAGGCA  
TAAGCCCGAGAATATCGTTATCGAGATGGCCCGAGAGAACCAAACCTACCAGAAGGGACAGAAGAACAGTAGGGAAAAGGA  
TGAAGAGGATTGAAGAGGGTATAAAAAGAACTGGGGTCCCAAATCCTTAAGGAACACCCAGTTGAAAACACCCAGCTTCAG  
AATGAGAAGCTCTACCTGTACTACCTGCAGAACGGCAGGGACATGTACGTGGATCAGGAACTGGACATCAATCGGCTCTC  
CGACTACGACGTGGATCATATCGTGCCCGAGTCTTTCTCAAAGATGATTCTATTGATAATAAAGTGTGACAAGATCCG  
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CCAAGTACGATGAAAATGACAAAACCTGATTCGAGAGGTGAAAGTTATTACTCTGAAGTCTAAGCTGGTCTCAGATTTCAGA  
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GGACAAGGGTAGGGATTTGCGACAGTCCGGAAGGTCTGTCCATGCCGACAGGTGAACATCGTTAAAAAGACCGAAGTAC  
AGACCGGAGGCTTCTCCAAGGAAAGTATCCTCCCGAAAAGGAACAGCGACAAGCTGATCGCACGAAAAAAGATTGGGAC  
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TTCTCGAGGCGAAAGGATATAAAGAGGTCAAAAAGACCTCATCATTAAAGCTTCCAAGTACTCTCTTTGAGCTTGAA  
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TTTCTGTATCTGGCCAGCCACTATGAAAAGCTCAAAGGGTCTCCCGAAGATAATGAGCAGAAGCAGCTGTTCTGTGAAC  
AACACAAACACTACCTTGATGAGATCATCGAGCAAATAAGCGAATTCTCCAAAAGAGTGATCCTCGCCGACGCTAACCTC  
GATAAGGTGCTTTCTGCTTACAATAAGCACAGGGATAAGCCCATCAGGGAGCAGGCAGAAAACATTATCCACTTGTTTAC  
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CCGAAGAACAATGGTGAATGCCGAGGATAGCGAAGGGAAAAGGGGCATGATTCTGGTGCCATATGTGGAGAAATATTCC  
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AGACGCCGAGTACGTGCGCATTATGAGAACTGGATATTTACACCTTCAAGAAGCAGTTCTTCAACAACAAGAAATCTG  
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ATCAGGAACTTCGGGGAAACGGGCACACATTGAAAATCTGGGCTGCAAGCTGTACTACGAGAAGAATGCCCGGAACCAG  
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CCGAGCTGAGCATCATGATCCAGGTCAAGATTCTGCATACCACTAAGTCTCCAGCCGTTGGTCCAAGAAGAAAAGAAAA  
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CTGAACTCCTAGCAGAACCATAACTGCCAAATATTTATTATCTGTGGAGATCTTATATTCTAAAACCAAAAAAATAA  
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ACACTATTAGAACTACCTTATGGGAAAAAGAAAAATAGAGTACAAC TAGAATGGTAAGATCTGTGACCTTTTCTAAACAC  
TTAATCCATATAGACAGTCCACCCACCATAAGGTCACAATTATAATGTCTTTAGAAGACCACTGTCGTTTCATCATCT  
TCCTAAGCCCTCTCTCTAAAGCGGCATATTTCCGTAATTTGTTCTTCTTGCACAGGCAGTGAGATGACTCCGATTATT  
CCCACATGCATATTTAGCCTCTCTAGGGGCTCGAGCTATAGCAAGTCAAGGAAAGAAAACATAATGATCTGGTCACGT  
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ATATTTAAATAAACATACTTTAAAATTTATTTAATAATTTATTATTTTAAATACAATGTTTTATTTAAAACAAAAT  
TATAAGTTAAAAGTTGTTCCGAAAGTAAAATATATTTTAAGGACGTCGACATGGAGGCCAGAATACCCTCCTTGACA  
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GCATCCATACATTTGATGGCCGCACGGCGGAAGCAAAAATTACGGCTCCTCGCTGCAGACCTGCGAGCAGGGAAACGC  
TCCCCTCACAGACGCGTTGAATTGTCCCACGCCGCGCCCTGTAGAGAAATATAAAAGTTAGGATTTGCCACTGAGGT  
TCTTCTTTCATATACTTCTTTTAAAATCTTGCTAGGATACAGTCTCACATCACATCCGAACATAAACAACCATGGGTA  
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AATGTCGGGCAATCAGGTGCGACAATCTATCGATTGTATGGGAAGCCCGATGCGCCAGAGTTGTTTCTGAAACATGGCAA  
 AGGTAGCGTTGCCAATGATGTTACAGATGAGATGGTCAGACTAACTGGCTGACGGAATTTATGCCTCTCCGACCATCA  
 AGCATTTTATCCGTACTCCTGATGATGCATGGTTACTCACCCTGCGATCCCCGGCAAAACAGCATTCCAGGTATTAGAA  
 GAATATCCTGATTCAGGTGAAAATATTGTTGATGCGCTGGCAGTGTTCCCTGCGCCGGTTGCATTGATTCTGTTGTAA  
 TTGTCCTTTTAAACAGCGATCGCGTATTTCTGCTCGCTCAGGCGCAATCACGAATGAATAACGGTTTGGTTGATGCGAGTG  
 ATTTTATGATGACGAGCGTAATGGCTGGCCTGTTGAACAAGTCTGAAAGAAATGCATAAGCTTTTGCATTCTCACCAGAT  
 TCAGTCGTCACCTCATGGTGATTTCTCACTTGATAACCTATTTTTGACGAGGGGAAATTAATAGGTTGATTGATGTTGG  
 ACGAGTCGGAATCGCAGACCGATACCAGGATCTTGCCATCCTATGAACTGCCTCGGTGAGTTTTCTCCTTCATTACAGA  
 AACGGCTTTTTCAAAAATATGGTATTGATAATCCTGATATGAATAAATTGCAGTTTCATTTGATGCTCGATGAGTTTTTC  
 TAATCAGTACTGACAATAAAAAGATTCTTGTTCCTGTTTCAAGAAGTGTGATTTGTATAGTTTTTTTATATTGTAGTTGTTCTA  
 TTTTAATCAAATGTTAGCGTGATTTATTTTTTTTTCGCCTCGACATCATCTGCCAGATGCGAAGTTAAGTGGCAGAA  
 AGTAATATCATGCGTCAATCGTATGTGAATGCTGGTCGCTATACTGCCTAGGCCGGCCATAGGGATAACAGGGTAATGTT  
 AACCGGTTTTTCCATAGGCTCCGCCCCCTGACGAGCATCACAAAATCGACGCTCAAGTCAGAGGTGGCGAAACCCGAC  
 AGGACTATAAAGATACCAGGCGTTCCCCCTGGAAGCTCCCTCGTGGCTCTCCTGTTCCGACCCTGCCGTTACCGGAT  
 ACCTGTCCGCTTTCTCCCTTCGGGAAGCGTGGCGCTTTCTCATAGCTCAGGCTGTAGGTATCTCAGTTCGGTGTAGGTC  
 GTTCGCTCCAAGCTGGGCTGTGTGCACGAACCCCGCTTACGCCGACCGCTGCGCCTTATCCGGTAACTATCGTCTTGA  
 GTCCAACCCGGTAAGACACGACTTATGCCACTGGCAGCAGCCACTGGTAACAGGATTAGCAGAGCGAGGTATGTAGGCG  
 GTGCTACAGAGTTCTTGAAGTGGTGGCCTAACTACGGCTACACTAGAAGGACAGTATTTGGTATCTGCGCTCTGCTGAAG  
 CCAGTTACCTTCGAAAAAGAGTTGGTAGCTCTTGATCCGGCAAACAACCACCGCTGGTAGCGGTGGTTTTTTTGTG  
 CAAGCAGCAGATTACGCGCAGAAAAAAGGATCTCAAGAAGATCCTTTGATCTTTTCTACGGGGTCTGACGCTCAGTGA  
 ACGAAAACCTCACGTTAAGGGATTTTGGTCATGAGATTATCAAAAAGGATCTTCACCTAGATCCTTTTAAATT

**Figure 2 Plasmid nCas9-CDA\_Base sequences.**

Light green: ScP<sub>PDC1</sub>, Red: nCas9 protein, Gray: ScT<sub>TDH3</sub>, Cyan: KmARS7, Green: KmCEN-D, Yellow: *kanMX*

### Construction of target sequence-containing plasmids

To generate the sgRNA expression cassettes, the *K. marxianus* *SNR52* promoter (KmP<sub>SNR52</sub>) (531 bp; identified by alignment with the *S. cerevisiae* gene) was amplified by PCR using the combination of the forward primer (P\_Km01-013) and each reverse primer (5'-CTAGCTCTAAAAC- reverse complement of target sequence-GATTCGAACTGCGGACGTTG-3'). The sgRNA scaffold and the *SUP4* terminator were amplified by PCR from plasmid pRS426-SNR52p-gRNA.CAN1.Y-SUP4t (Dicarlo et al. 2013) using the primers

P\_Km01-014 and P\_Km01-015 (Table 2). The two fragments were fused by overlap extension PCR using the primers P\_Km01-013 and P\_Km01-015 to yield each target-containing sgRNA cassette (Figure 3). Each cassette was digested with restriction enzymes NotI and NheI and ligated into NotI-, SpeI-digested nCas9-CDA\_Base or Cas9\_Base plasmid.

### **Transformation**

*K. marxianus* cells were transformed by the lithium acetate method (Gietz et al. 1992). After transformation, cells were plated on YPD containing appropriate selection reagents (100 µg/mL G418 and/or 50 µg/mL zeocin) and grown for one day. For the 5-FOA test, cells were streaked onto YPD containing 3 mg/mL 5-FOA, incubated overnight, and assessed for growth. Colony PCR was performed to check fragment size and to obtain DNA for Sanger sequencing using a 3130xL Genetic Analyzer (Applied Biosystems, CA, USA).

**Table 2 Primers used in this study**

Primer name	Sequence (5'-3')	Purpose
Universal primers for sgRNA cassette construction		
P_Km01_013(pKmSNR52_Fw)	aagctagctctagaTGCCGCAAATAGGGCAGG	amplification of P <sub>KmSNR52</sub> region
P_Km01_014(tracerRNA_Fw)	GTTTTAGAGCTAGAAATAGCAAG	amplification of tracrRNA_T <sub>sup4</sub> fragment
P_Km01_015(tracerRNA_Rv)	cctgaattcGCGGCCGCatactagtCATAAAAAACAAAAAA GCACCACC	amplification of tracrRNA_T <sub>sup4</sub> fragment
Target-specific primers		
P_Km01_016(single1(NEJ1)_Fw)	CTAGCTCTAAAACCTTATTATCAGTATTTTGTAGATT CGAACTGCGGACGTTG	amplification of target <i>NEJ1</i>
P_Km01_017(single2(DNL4)_Fw)	CTAGCTCTAAAACCTCTCCAGAGTTTAAATGGTTGAT TCGAACTGCGGACGTTG	amplification of target <i>Dnl4</i>
P_Km01_018(deletion1(Ura3)_Fw)	CTAGCTCTAAAACCCCTTTGATTAGTTTATGGGCGATT CGAACTGCGGACGTTG	amplification of target Ura3_Fw
P_Km01_019(deletion2(Ura3)_Rv)	CTAGCTCTAAAACAGAACAAGCAGAGGTTTCGAGGA TTCGAACTGCGGACGTTG	amplification of target Ura3_Rv
P_Km01_059(deletion2(Sed1)_Fw)	CTAGCTCTAAAACCTGGAATAGAACTGGATGTGGAT TCGAACTGCGGACGTTG	amplification of target <i>Sed1-2</i>

Primer pairs for insert donor DNA

P_Km01_039(Ura3(5)_Fw)	ACCTTAAGTAGTCAAACAAATTGTG	amplification of GFP and bleomycin expression donor DNA containing length of 1000 bp <i>Ura3</i> homologous sequence (donor DNA-I)
P_Km01_046(Ura3(3)_Rv)	CAGAGAGTCTGCAACACCGA	
Ura3_up_100F	TGAATCTGAGCTCATCACCAAC	amplification of GFP and bleomycin expression donor DNA containing length of 122/109 bp <i>Ura3</i> homologous sequence (donor DNA-II)
Ura3_down100_R	GTATACAATGTGCGCAATGCC	
P_Km01_052(Ble_GFP_50bp_Rv)	TGGAACAGCAAGAGGAAGTATC	amplification of GFP expression donor DNA containing length of 50 bp <i>Ura3</i> homologous sequence (donor DNA-III)
P_Km01_053(GFP_50bp_Fw)	GATCAACTTGTA ACTTCTGATCCGAGTACACTCGAA CCTCTGCTTGTCTgatccctgtacagctcgtc	
P_Km01_060(GFP_Sed1_Fw)	TATTCGTGGTACCAGTTTTATTTGCATCATCTGCATT TGCTGCTTTCTCTatggtgagcaagggcga	amplification of GFP codin region donor DNA containing length of 50 bp <i>Sed1</i> homologous sequence (donor DNA-IV)
P_Km01_061(GFP_Sed1_Rv)	gaaGATGGGGCAACTGAGGTCACtACTGGAATAGAA CTGGATGTGTCGTTaccctgtacagctcgtcca	
P_Km01_052(Ble_GFP_50bp_Rv)	TGGAACAGCAAGAGGAAGTATC	amplification of $P_{PDC1}$ region donor DNA containing length of 50 bp homologous sequence (donor DNA-V)
P_Km01_064(pPDC1_Fw)	atgggcaccaccccggtgaa	
P_Km01_065(EGFP_Rv)	GCTAGC atggtgagcaaggg	amplification of GFP coding region donor

P_Km01_066(EGFP_Fw)	AACTATACAAATGACAAGTTCTTGAAAACAAGAAT CTTTTATTGTCAGTgatccctgtacagctcgtc	DNA containing length of 50 bp homologous sequence (donor DNA-VI)
P_Km01_051(Ble_GFP_50bp_Fw)	GATCAACTTGTAAGTTCTGATCCG	amplification of $T_{TEF1}$ region donor DNA containing length of 50 bp homologous sequence (donor DNA-VII)
P_Km01_067(TEF1t_Rv)	ACTGACAATAAAAAGATTCTTGT	

Red indicates each target sequence.



AAGCTAGCTCTAGATGCCGCAAATAGGGCAGGCGATATACAAGGCGGTATACAGGGCGGTATACAAGGCGTATGCCAGAC  
GGTATGCCTGGAGGCATTGTCAGGAGGCATTGTCAGGAGGCATTGCCGGGCGAAATCGCCAGCTTGAGTATGGCCCCAGA  
GGGAAGAAAAACAGTTACCCAGGTGTGTGCCCTGTATTACCCTGACTTTGAAATGTACGCGAAGTACACTTCGTGCAG  
GGTGATGATGCTCGTATCAGGTGGACTTGGCGGACACGGTACGGTACGGTCCCCTTTTTTTATTTTTCTTTTGGGAATG  
AGAAGGCCACGTGACGTTACCCTGGCCCTGTGTTCCCCCTCGCATCTTGTACTGCCTTAGGACCCCTCGTAGTGTAGC  
GGTCCGGGCCCCAGATTGCGATGTGTTTCGAGAAAAACAACAAAAAATAAGTTGAAAAGCGCGGATCAGGCGGTCCG  
CCCGGAGTGCAGCGCTGTATTCTGCCTGACAGGGCGGGATTGCAACGTCCGCAGTTCGAATC  
NNNNNNNNNNNNNNNN  
NNNNNGTTTTAGAGCTAGAAATAGCAAGTTAAATAAGGCTAGTCCGTTATCAACTTGAAAAAGTGGCACCAGTCCGGT  
GTGCTTTTTTGTGTTTTATGACTAGTATGCGGCCGGAATTCAGG

**Figure 3 Sequence of target-containing sgRNA cassette.**

“N” indicates target specific sequence. This sequence indicates as follows: Purple: KmP<sub>SNR52</sub>, Gray: sgRNA, Green: Sup4 terminator.

**Donor DNA templates**

Each donor DNA template was constructed by PCR and cloned into the plasmid TOPO/F7 using the Zero Blunt® TOPO® PCR Cloning Kit (Invitrogen, CA, USA) (Figure 4). Each cloned donor DNA was either excised by restriction enzymes or amplified by PCR using the respective primer pair (Table 2), and the resulting fragment was purified by agarose gel electrophoresis before use in transformation.

AGCGCCCAATACGCAAACCGCCTCTCCCGCGGTTGGCCGATTCATTAATGCAGCTGGCAGACAGGTTTCCGACTGG  
AAAGCGGGCAGTGAGCGCAACGCAATTAATGTGAGTTAGCTCACTCATTAGGCACCCAGGCTTTACACTTTATGCTTCC  
GGCTCGTATGTTGTGTGGAATTGTGAGCGGATAACAATTCACACAGGAAACAGCTATGACCATGATTACGCCAAGCTCA  
GAATTAACCCTCACTAAAGGGACTAGTCTGCAGGTTTAAACGAATTCGCCCTTCAGAGAGTCTGCAACACCGATTTATC  
GGTTTGCAGGTAACACAATACCGATTACTTGGTGTCTGAGGAGATCTCAATCTCTCTTTTTCTTCAACTTTTTATTTTC  
TTGGTATGCTTCTTGTGCATTGCGCTATTAATTTATATTAGCGATTCTTATGACATCGGCCATCACTGAGTATAGTGAGT  
AGTAGTGGTTGATATTTGAAATCTATTATAGATAAGTTCCTTTACATATCGTGCAGCACTTGTTTATGTGAATATTAGT  
AGCTATATTGGCTATATACAGAGAGAAAGCTATAACTATTTATATCATAACTGTTTGTATAACTTAACGACCCATGCTTT  
GGTTCAAAGCAGCGTTTTGCTCTTGAAACGTTGTCCAACACGTTCACTATGTTTCAAGAACTTTTCTGAGCATTTCATT  
ATGCAGCTTTGCTCCTTGAAGTTAGCTTAGCAGAGGTAAGTCGTTGACACAGTCACTGAAACATCTTTGACCAAGTT  
GGAGTATAGACGCATGAAGTCCCTCATTGCTTTTGTCCACAATCTTTGGAACCTTGTGTTCCCTTACCATTAGTT  
GATCCATTTTAACTGTGTATTTGATTTTTTGTCTAGCAATCGACGGTCCAAGACTCAATAAAAATTGACTCTTGTAT  
CTTTCTTACACTAGTAGTAAAGCAGAAAGTCTAGCAGAAATGTGTATCTTTTATAACGGTCAATGGTCTAAGAAGCAAG  
GTTTGGTCTTCGCACTTGATTAACCTTTTTCTTTGGTGTGAAATTCACATAATGTACTTCACCAAAAAACAAAA

AAAAAAAAAAAAAAAAAATTTTCGGTGCAAAAAACAGCTTCTAGCACGTGACTAAACTTGTAGCGTATAAATGTGCGC  
AATGCCATTACTCTAACATCTCTGTATAGTTTATATATAAACGATCAACTTGTAACTTCTGATCCGAGTACTCGAA  
CCTCTGCTTGTCTCAGTATAGCGACCAGCATTACATACGATTGACGCATGATATTACTTTCTGCGCACTTAACTTCGC  
ATCTGGGCAGATGATGTCGAGGCGAAAAAATAAATCAGCCTAACATTTGATTAATAAGAACAACTACAATATAAA  
AAACTATACAAATGACAAGTTCTTGAACAAGAATCTTTTTATTGTGACTACTGATCATGAGATGCCTGCAAGCAATT  
CGTTCTGTATCAGGCGCAGGAGCGTCCCGTCCGGTTCGACCAAAGCGGCCATCGTGCCTCCCACTCCTGCAGTTCGGG  
GCATGGATGCGCGGATAGCCGCTGCTGGTTTCTGGATGCCGACGGATTTGCACTGCCGGTAGAACTCCGCGAGGTCGTC  
CAGCCTCAGGCAGCAGCTGAACCAACTCGCGAGGGGATCGAGCCCGGGTGGGCGAAGAACTCCAGCATGAGATCCCGC  
GCTGGAGGATCATCCAGCCGGCTCCCGAAAACGATTCCGAAGCCCAACCTTTCATAGAAGGCGCGGGTGGGATCGAAA  
TCTCGTATGCGAGGTTGGGCGTCGCTTGGTCGGTCATACCCATGCCGGTACCGGATCCCTGTACAGCTCGTCCATGCC  
GAGAGTGATCCCGCGCGGGTCAGAACTCCAGCAGGACCATGTGATCGCGCTTCTCGTTGGGGTCTTTGCTCAGGGCGG  
ACTGGGTGCTCAGGTAGTGGTTGTGGGCAGCAGCAGGGGCCGTCGCCGATGGGGGTCTTCTGCTGGTAGTGGTCGGCG  
AGCTGCACGCTGCCGCTCCTCGATGTTGTGGCGGATCTTGAAGTTCACCTTGATGCCGTTCTTCTGCTTGTGCGCCATGAT  
ATAGACGTTGTGGCTGTTGTAGTTGACTCCAGCTTGTGCCCAGGATGTTGCCGCTCCTTGAAGTCGATGCCCTTCA  
GCTCGATGCGGTTACCAGGGTGTGCCCTCGAACTTACCTCGGCGGGTCTTGTAGTTGCCGTCGTCCTGAAGAAG  
ATGGTGCCTCCTGGACGTAGCCTTGGGCATGGCGACTTGAAGAAGTCGTGCTGCTTTCATGTGGTGGGGTAGCGGCT  
GAAGCACTGCACGCCGTAGGTGAGGGTGGTCAGGAGGGTGGGCCAGGGCACGGGCAGCTTGCCGGTGGTGCAGATGAACT  
TCAGGGTCAGCTTGCCGTAGGTGGCATCGCCCTCGCCCTCGCCGACAGCTGAACTTGTGGCCGTTTACGTCGCCGTC  
AGCTCGACCAGGATGGGCACCACCCGGTGAACAGCTCCTCGCCCTTGTCTCACCATGCTAGCTTTGATTGATTTGACTGT  
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CGATAGCAAGTAGATCAAGACACCGTCTAACAGAAAAAGGGCAGCGGACAATATTATGCAATTATGAAGAAAAGTACTC  
AAAGGGTCGGAAAAATATTCAAACGATATTGCATAAAATCCTCAATTGATTGATTATCCATAGTAAAAATACCGTAACA  
ACACAAAATTGTTCTCAAATTCATAAATTATCATTTTTTCCACGAGCCTCATCACAGAAAAAGTCAGAAGAGCATACAT  
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CAGCCTTTGAAAAATGTTAAAAACGATCACATATATGTAGTCACGTGGTTAGTGATAGAGTCAGGCGATGGTGGTTAGT  
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AGATCTAGAAGCGTATTGTGATGAGCATCCTTGGAAATAAGAAAGGAAGTCACAGGTACAGTAAAAAAGAGCCGTGACA  
GGCACCTAATTTAGTTTTGATTGAGGTATAATGAGCTCAGAAGACCAAGATGCTCTAAAAGTAAAGCAGAATGGTCTTT  
CCAATCAGAATTCGTCACTTGTGGTGTCTTAACTGCTGGTGAAGATCTATGGTTTATCAGATGACTTCATTCTATATG  
AGAACTCCACTAAAAGTGTTCGACCGGCCAGATTTGACTATACGCATTATATTCGAGTACTGTTGACTGGGAGTGATGA

TACAAATAAGGATTCTAAGGTACGACGTTCAAATATAAGTTTTGGAGTCCCAAATATACATACTACTTCGAAAATTCCT  
CCATTGGAATAGTTACTAAGGCACTAAATAAGTATGGGTGGAAAGTTATTCTGATAGAATATTGCCTCCTTTGGTGGCA  
AATCCCTTGCTGGGGTAGTTCTTTATACCACATACTTGACTACCCTGAATAATTTCCAGTCAGATCAGTGGAGCCAGC  
TTTTCAACACAATTTGTTGACTACTTAAGGTAAGGGCGAATTCGCGCCGCTAAATCAATTCGCCCTATAGTGAGTGC  
TATTACAATTCACTGGCCGTCGTTTTACAACGTCGTGACTGGGAAAACCCTGGCGTTACCCAACCTAATCGCCTTGACGC  
ACATCCCCCTTTGCCAGCTGGCGTAATAGCGAAGAGGGCCGACCGATCGCCCTTCCCAACAGTTGGCGAGCCTATACG  
TACGGCAGTTTAAGGTTACACCTATAAAAGAGAGAGCCGTTATCGTCTGTTTGTGGATGTACAGAGTGATATTATTGAC  
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GGTGCATATCGGGGATGAAAGCTGGCGCATGATGACCACCGATATGGCCAGTGTGCCGGTCTCCGTTATCGGGGAAGAAG  
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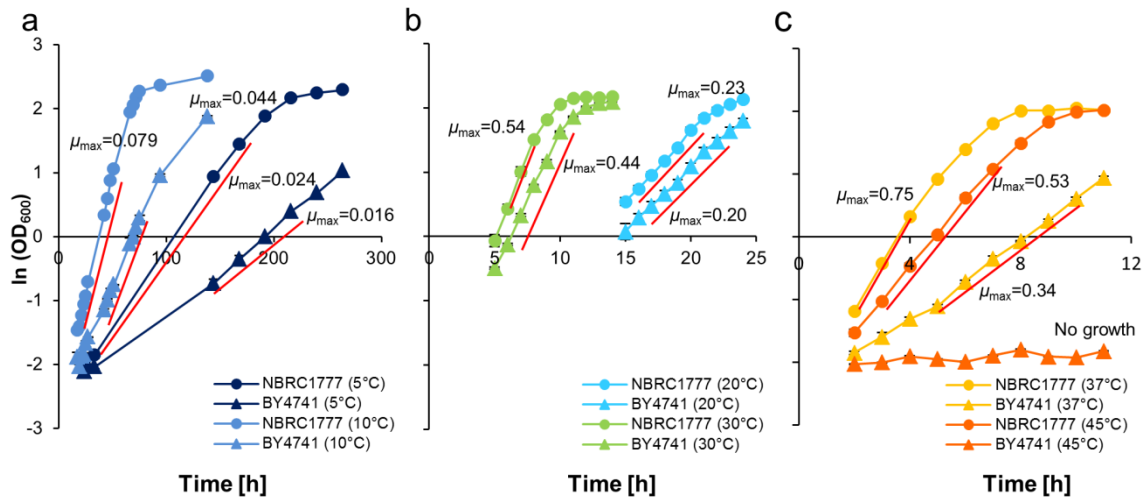
**Figure 4 Plasmid TOPO/F7 sequences.**

**Yellow:** bleomycin gene, **Cyan:** GFP coding sequence, **Green:** ScP<sub>PDC1</sub>

## Results and Discussions

### Cold-tolerant yeast *K. marxianus* strain

To characterize growth rates at various temperatures, *K. marxianus* NBRC1777 and *S. cerevisiae* BY4741 were cultured at 5, 10, 20, 30, 37 and 45°C (Figure 5). The maximum specific growth rate ( $\mu_{\max}$ ) was higher for *K. marxianus* than for *S. cerevisiae* at all temperatures (Figure 5), indicating that the *K. marxianus* is a fast-growing strain. *K. marxianus* showed best growth at 37°C and was able to grow at 45°C. The difference in the  $\mu_{\max}$  was also bigger at 10°C (0.079/0.044) compared to the difference at 20°C (0.23/0.20). Thus, in addition to the known thermotolerance of *K. marxianus* (Hong et al. 2007), strain NBRC1777 exhibited fast and robust growth at a wide range of temperatures, a feature that will be appealing for a variety of industrial applications.



**Figure 5 Cell growth of *K. marxianus* and *S. cerevisiae* at various temperatures.**

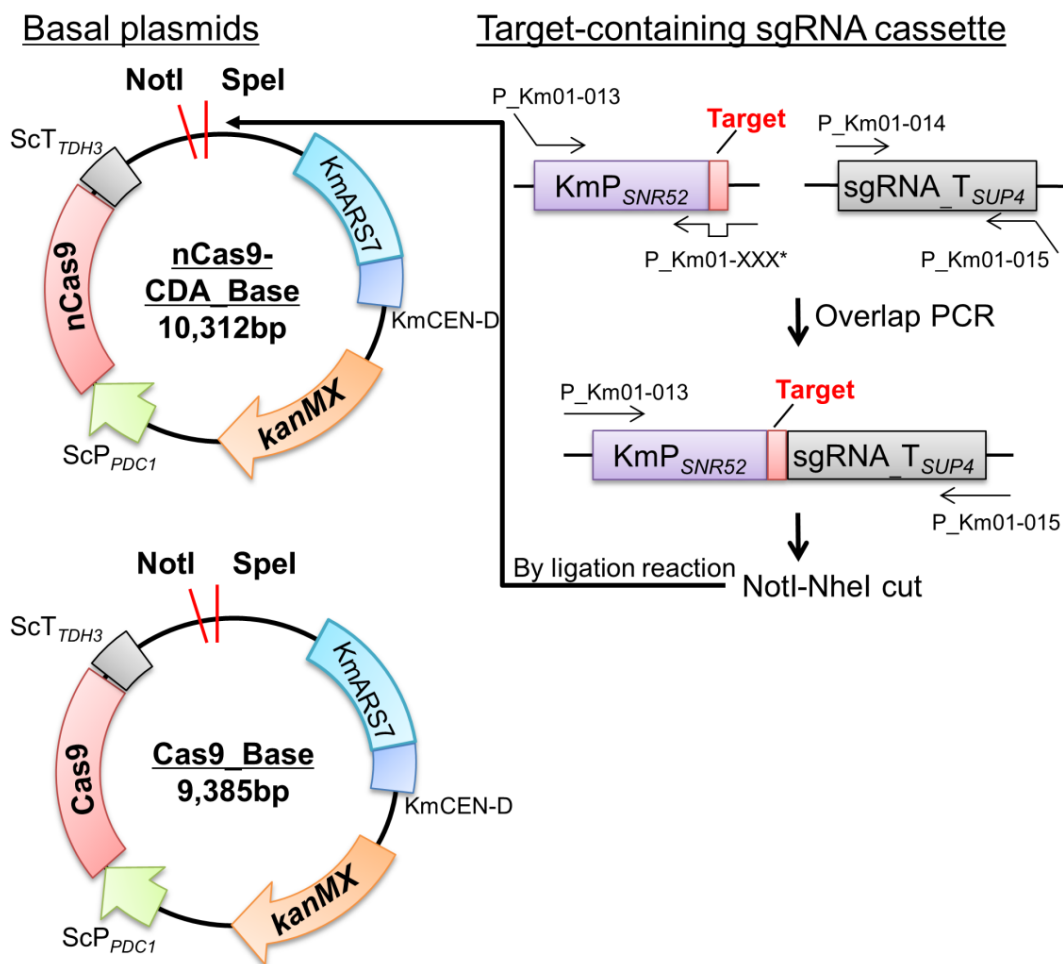
Cell growth was compared between *K. marxianus* NBRC1777 and *S. cerevisiae* BY4741 at 5, 10°C (a), at 20, 30°C (b), and at 37, 45°C (c). The maximum specific growth rate ( $\mu_{\max}$ ) was determined as the slope of the mid-log phase of natural logarithm ( $\ln$ ) ( $OD_{600}$ ). Timeframes for each  $\mu_{\max}$  were as follows: at 5°C from 33 h to 168 h and 144 h to 216 h, at 10°C from 19 h to 48 h and 42 h to 75 h, at 20°C from 16 h to 20 h and 16 h to 22 h, at 30°C from 6 h to 8 h and from 6 h to 10 h, at 37°C from 2 h to 4 h and 5 h to 10 h, 45°C from 3 h to 7 h and no growth for *K. marxianus* and *S. cerevisiae*, respectively.

### Isolation of KmSNR52 promoter for expression of sgRNA for CRISPR-Cas9

To introduce the CRISPR system into *K. marxianus*, an efficient promoter for sgRNA expression was needed. As 5'-capped transcripts are not suitable for use as sgRNAs, PolIII-driven promoters are not favoured. The *SNR52* promoter has been used to express functional sgRNAs in *S. cerevisiae* (Dicarlo et al. 2013), so we obtained the *K. marxianus* *SNR52* promoter (KmP<sub>SNR52</sub>) as follows. The *K. marxianus* *SNR52* transcript sequence was first identified using the BLASTN search program with the *S. cerevisiae* *SNR52* transcript as a query. The region upstream of the putative KmSNR52

transcript was then aligned with the ScSNR52 promoter; a 531-bp fragment was thereby defined as the putative KmP<sub>SNR52</sub> (Figure 3).

We next constructed a vector for use as a shuttle vector by combining a *K. marxianus* autonomously replicating sequence (KmARS7) (Hoshida et al. 2014) with a centromere sequence (KmCEN-D) (Yarimizu et al. 2015) and demonstrated (data not shown) that this plasmid is retained as an episome in *K. marxianus* NBRC1777. A human-optimized *Streptococcus pyogenes* (Sp) *cas9* (Dicarlo et al. 2013) under the control of the constitutive *S. cerevisiae* *PDC1* promoter (ScP<sub>PDC1</sub>) was inserted into the shuttle vector, yielding a basal plasmid (Cas9\_Base; Figure 1 and 6) capable of directing the expression of Cas9 in *K. marxianus*.

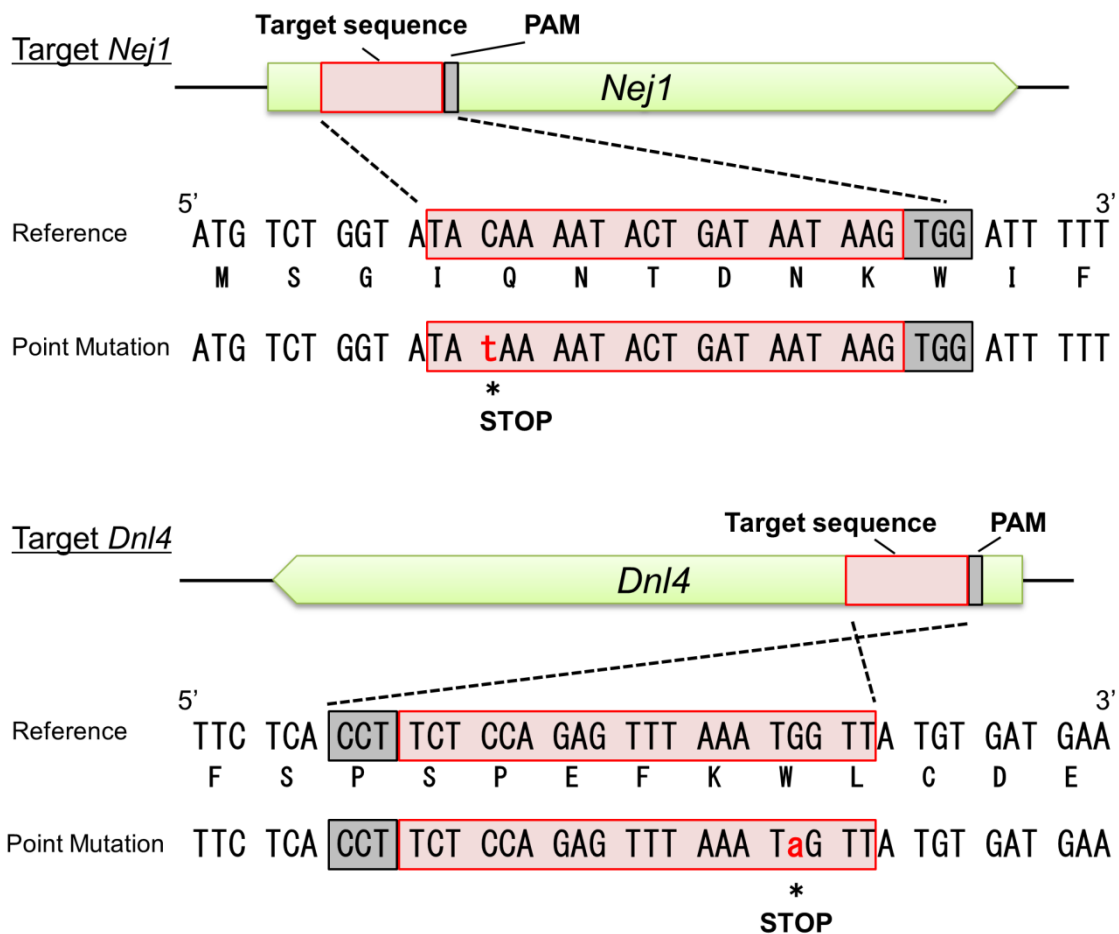


### **Figure 6 Construction of genome-editing plasmids.**

Constructed basal plasmids nCas9-CDA\_Base and Cas9\_Base are shown on the left. Target-containing sgRNA cassettes were constructed by overlap PCR using target sequence-containing primers (P\_Km01-XXX, where XXX was a 3-digit number as shown in the figure) and then inserted into the basal plasmids at NotI and SpeI/NheI restriction enzyme sites.

### **Inactivation of the NHEJ pathway by Target-AID point mutation of NHEJ proteins**

In *S. cerevisiae*, the *NEJ1* and *DNL4* genes encode core components of the proteins mediating NHEJ. By analogy to *S. cerevisiae*, disruption of the *K. marxianus* *Nej1* and *Dnl4* homologs are expected to yield more genetically tractable *K. marxianus* hosts with higher proportions of HR. Mutation of the *K. marxianus* *Nej1* and *Dnl4* genes identified by sequence similarity to the respective budding yeast genes was performed using targeted point mutagenesis by Target-AID in *K. marxianus*. A basal plasmid (nCas9-CDA\_Base) was generated by constructing a variant of the Cas9\_Base vector that encoded a nickase mutant (D10A) SpCas9 protein fused to the *Petromyzon marinus* (Pm)CDA1 cytidine deaminase (Nishida et al. 2016) (Figure 2 and 6). Following a previous study in *S. cerevisiae* (Valencia et al. 2001), target sites in the *Nej1* and *Dnl4* genes of *K. marxianus* NBRC1777 were selected so as to introduce stop codons by causing C-to-T mutagenesis at 16 to 19 bp upstream of the sequences encoding protospacer adjacent motif (PAM) sequences for the target sequences. *K. marxianus* NBRC1777 cells were transformed with plasmids containing each targeting sequence (nCas9-CDA\_target *Nej1* and nCas9-CDA\_target *Dnl4*). G418-resistant colonies were selected; the *Nej1* and *Dnl4* genes of the transformants then were PCR amplified and subjected to sequence analysis to confirm the presence of the targeted lesions (Figure 7). Both plasmids introduced stop codons as expected, providing null alleles of *Nej1* (*Nej1*<sup>o</sup>) and *Dnl4* (*Dnl4*<sup>o</sup>) with 1 of 8 (or 1 of 4) G418-resistant colonies contained the mutant allele, respectively. Thus, Target-AID was efficient enough to introduce targeted point mutation in *K. marxianus*.



**Figure 7 Induction of mutations by Target-AID.**

The *Nej1* and *Dnl4* target sites were selected for the introduction of stop codons by Target-AID. Red boxes indicate the target sites and grey boxes indicate the PAM sequences. Lower-case nucleotide in red font indicates the mutated base. The sequences of the induced mutations are shown in alignment with the reference sequences, with each predicted amino acid sequence below the respective nucleic acid sequence.

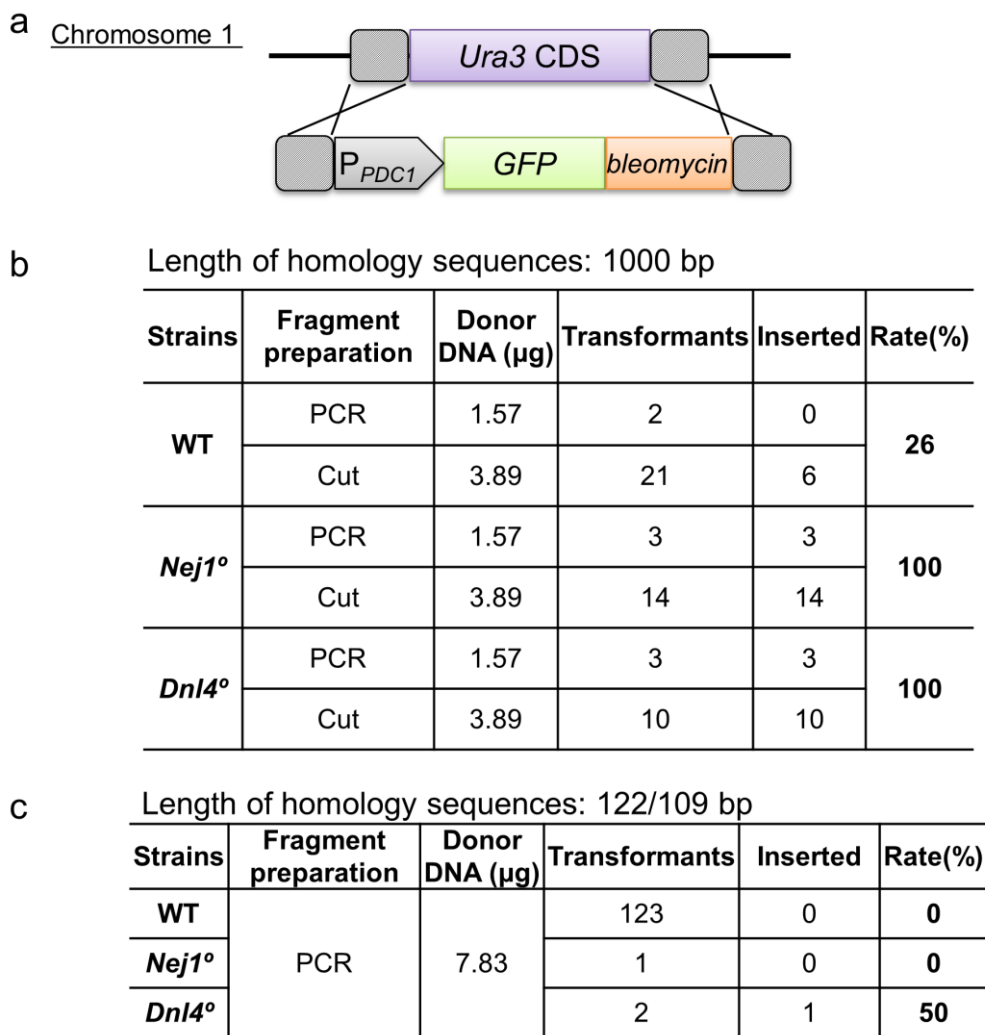
### Conventional HR in NHEJ null mutants

To investigate if these disruptions in genes with putative NHEJ activities increased the rate of HR in *K. marxianus*, cells of the *Nej1*<sup>o</sup> or *Dnl4*<sup>o</sup> mutants were transformed with DNA donor fragments with homology arms. Specifically, we employed a *GFP bleo*



cassette (i.e., encoding green fluorescent protein (GFP) fused to a protein providing a selectable marker for bleomycin/zeocin resistance) flanked by *K. marxianus Ura3* sequences. Two separate constructs were used, one providing extended homology (1000-bp arms at both ends) and the second providing shorter homology (arms of 122 and 109 bp at the two ends). The fragments were expected to replace *Ura3* with the *GFP-bleo* cassette if HR was facilitated by mutation of genes of the NHEJ pathway. The cells were transformed and selected for zeocin-resistance; the resulting transformants were then screened for 5-fluoroorotic acid (5-FOA) resistance, which would be conferred by *Ura3* disruption. When using the construct providing homology arms of 1000 bp, 100% of the Zeo<sup>R</sup> transformants of the *Nej1*<sup>o</sup> or *Dnl4*<sup>o</sup> strains (17/17 and 13/13, respectively) were 5-FOA<sup>R</sup>; in comparison, only 26% (6/23) of the Zeo<sup>R</sup> transformants of the wild-type (WT) strain were 5-FOA<sup>R</sup> (Figure 8). When using equimolar quantities of the construct providing shorter homology arms (122 bp/109 bp), 123 Zeo<sup>R</sup> transformants were obtained from the WT strain but no 5-FOA<sup>R</sup> transformants were obtained. The *Nej1*<sup>o</sup> or *Dnl4*<sup>o</sup> strains yielded one and two Zeo<sup>R</sup> transformants (respectively), of which no and one (respectively) were 5-FOA<sup>R</sup> (i.e., disrupted at the targeted *Ura3* locus) (Figure 8).

Apparently, less number of transformants were obtained from the mutants of genes involved in NHEJ pathway, presumably because random integration was severely suppressed, rather than by increasing the HR frequency. This decrease in NHEJ facilitates reliable HR at the targeted (by homology) locus, although the obtained efficiency may not be sufficient to perform HR with short homology arms (i.e., of the length that can be added by PCR primers).

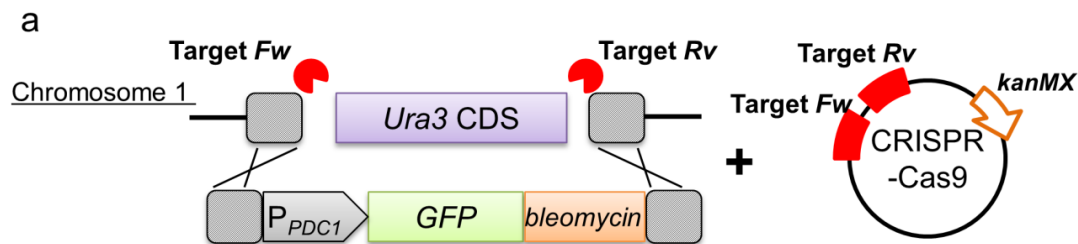


**Figure 8 Effect of *Nej1*<sup>o</sup> and *Dnl4*<sup>o</sup> on homologous recombination.**

(a) Schematic of the transforming donor DNA fragment targeting the *Ura3* locus. Dashed boxes indicate homologous regions. (b), (c) Homologous recombination rates (5-FOA resistant (inserted)/zeocin resistant (transformants)). Fragments were prepared either by PCR or by restriction digestion of plasmid (Cut).

### HR with CRISPR-Cas9

To boost the HR frequency, co-transformation with a construct encoding CRISPR-Cas9 was performed; the CRISPR system was expected to facilitate HR by providing cleavage of the targeted locus. A pair of target sites was selected at just inside of the homologous regions of *Ura3*. WT, *Nej1<sup>o</sup>*, and *Dnl4<sup>o</sup>* cells were transformed with the fragment with homology arms of 122 bp/109 bp (in combination with the Cas9 construct) and transformants were selected using either zeocin, (*Ura3*-targeting fragment), G418 (CRISPR-Cas9 vector), or both. For all three strains, a larger number of antibiotic-resistant transformants were obtained when the transformation mixes included the CRISPR-Cas9 construct. Among the antibiotic-resistant transformants, 41-79% of those derived from WT cells were 5-FOA<sup>R</sup> (i.e., disrupted at *Ura3*), while 95-100% of those derived from the *Nej1<sup>o</sup>* and *Dnl4<sup>o</sup>* strains were 5-FOA<sup>R</sup> (Figure 9). These results indicated that target cleavage by Cas9 enhanced the frequency of HR sufficiently that even without selection by zeocin (i.e., without direct selection for the integration at *Ura3*), disruption of *Ura3* was seen in virtually all transformants.



b Length of homology sequences: 122/109 bp

Strains	Donor DNA (µg)	Plasmid (µg)	Selection	Transformants	Inserted	Rate(%)
WT	1.53	3.33	Zeocin and G418	21	16	76
<i>Nej1</i> <sup>°</sup>				16	16	100
<i>Dnl4</i> <sup>°</sup>				24	24	100
WT	1.53	3.33	Zeocin	61	25	41
<i>Nej1</i> <sup>°</sup>				11	11	100
<i>Dnl4</i> <sup>°</sup>				19	19	100
WT	3.12	5.37	G418	43	34	79
<i>Nej1</i> <sup>°</sup>				19	18	95
<i>Dnl4</i> <sup>°</sup>				20	20	100

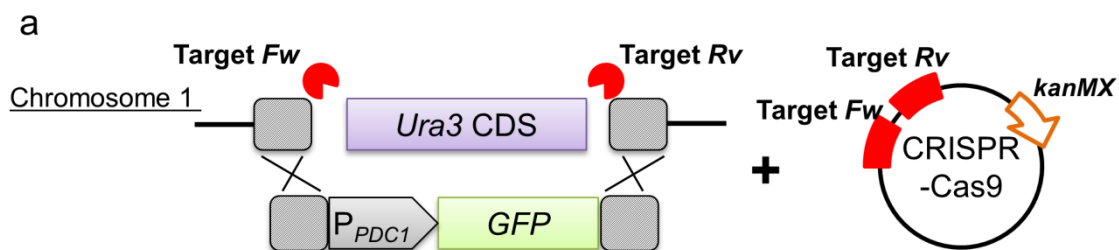
### Figure 9 Homologous recombination facilitated by CRISPR-Cas9.

(a) Schematic of the transforming donor DNA fragment targeting the *Ura3* locus and CRISPR-Cas9 plasmid targeting two sites (indicated by red pie bolts) flanking the *Ura3* coding sequences (CDS). Dashed boxes indicate homologous regions. Bottom panel indicates (b) Homologous recombination rate (5-FOA resistant (inserted)/zeocin and/or G418 resistant (transformants) \* 100).

### Markerless integration with 50-bp homology arms and CRISPR-Cas9

Markerless integration was attempted by co-transformation using the combination of a donor DNA template containing a *GFP* expression cassette flanked by 50-bp homology arms along with a CRISPR-Cas9-encoding construct. Transformants were selected using G418 and then screened by both the 5-FOA test and PCR analysis. We observed successful integration (i.e., disruption of the *Ura3* target locus in G418<sup>R</sup>

transformants) at rates of 28%, 84%, and 92% in the WT, *Nej1*<sup>o</sup>, and *Dnl4*<sup>o</sup> backgrounds, respectively (Figure 10). Taking advantage of the markerless integration, the GFP-encoding sequence was directly inserted into the coding sequence of the endogenous *Sed1* gene so as to encode a GFP-Sed1 fusion protein preceded by the Sed1 localization peptide. A single cleavage site for CRISPR-Cas9 within this target gene was designed in such a way that the site would not be retained after successful integration. Short (50-bp) homology arms flanking the target site were added to either end of the GFP-encoding sequence by PCR. Transformants were selected by G418 and then screened by PCR, revealing gene replacement at the *Sed1* target locus at 38%, 100%, and 100% success rates in the WT, *Nej1*<sup>o</sup>, and *Dnl4*<sup>o</sup> backgrounds, respectively (Figure 11). When viewed by fluorescence microscopy, cells harboring the targeted integration exhibited peripheral localization of GFP-Sed1, consistent with the localization previously reported for the equivalent construct in *S. cerevisiae* (Huh et al. 2003). Thus, this method can be applied for scar-less genome editing in *K. marxianus*.

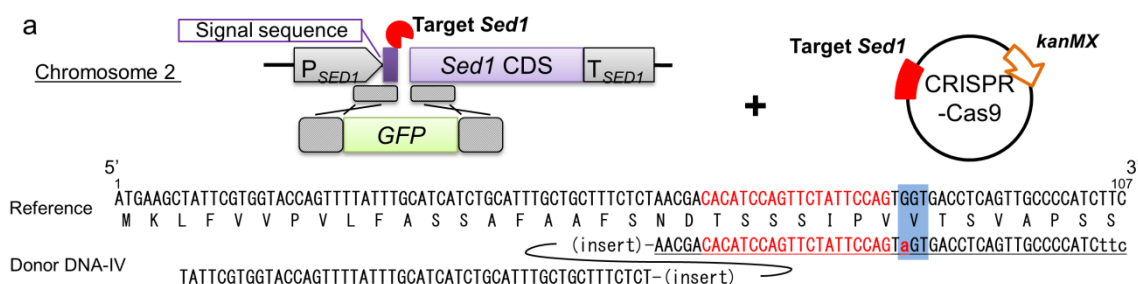


b  
Length of homology sequences: 50 bp

Strains	Donor DNA (μg)	Plasmid (μg)	Transformants (G418 resistant)	5-FOA resistant	PCR check	Rate (%)
WT	1.76	5.33	60	39	3/7	28
<i>Nej1</i> <sup>o</sup>			50	49	6/7	84
<i>Dnl4</i> <sup>o</sup>			50	46	7/7	92

**Figure 10 Markerless integration with short homologous arms.**

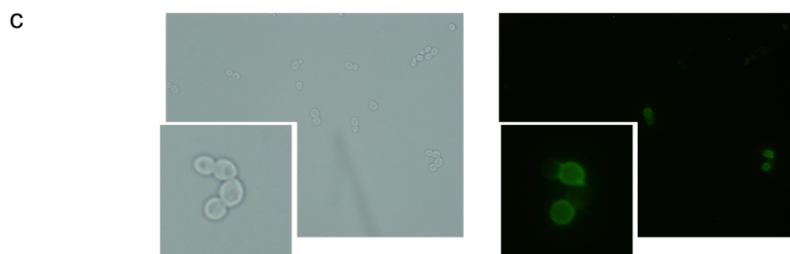
(a) Schematic of the transforming donor DNA fragment targeting the *Ura3* locus and the CRISPR-Cas9 plasmid targeting two sites (indicated by red pie bolts) flanking the *Ura3* CDS. Dashed boxes indicate homologous regions. (b) Homologous recombination rate ((5-FOA resistant\*PCR check)/ transformants (G418 resistant)\*100).



b

Length of homology sequences: 50 bp

Strains	Donor DNA (µg)	Plasmid (µg)	Transformants (G418 resistant)	PCR check	Rate (%)
WT	1.98	3.92	100	3/8	38
<i>Nej1</i> <sup>o</sup>			95	8/8	100
<i>Dnl4</i> <sup>o</sup>			89	8/8	100



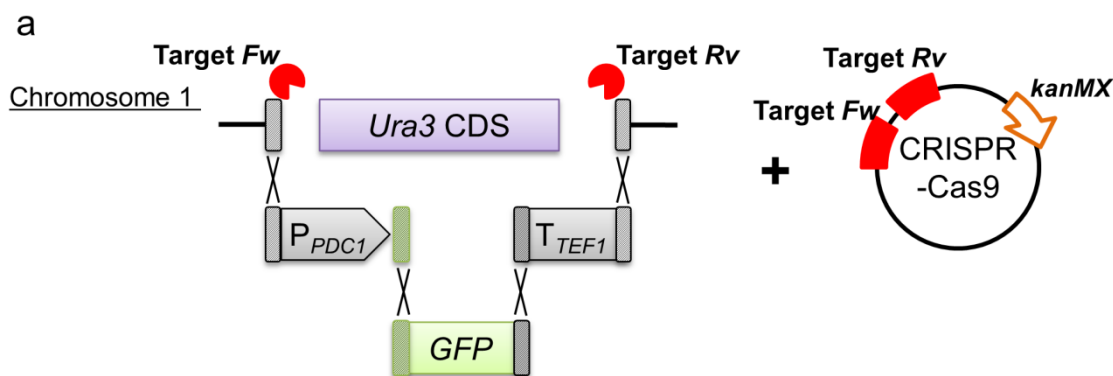
**Figure 11 Direct fusion of GFP-coding sequence into a chromosomal locus.**

(a) Schematic showing integration of the GFP-coding fragment into the *Sed1* CDS. The CRISPR-Cas9 plasmid targeted (indicated by red pie bolts) the end of the signal peptide-coding region of *Sed1*. Dashed boxes indicate homologous regions. Left arm is homologous to the end of to the signal peptide-coding sequence (bp 8 to 57 with respect to the start of the *Sed1* coding sequence). Right arm is homologous to *Sed1* sequence (bp 58 to 107 with respect to the start of the *Sed1* coding sequence) with substitution at

bp 84 (G to A; indicated by lower-case letter in red font) to eliminate a PAM. (b) The successful integration rate was calculated as PCR check\*100. (c) Representative microscopic image of positive transformants (*Nej1*<sup>o</sup>/GFP\_Sed1) under bright-field (left) and fluorescent (right) illumination. Insets show 3-fold magnified images.

### Assembly and integration of multiple fragments without markers

In vivo assembly and integration of multiple fragments was performed using three DNA fragments, each containing 50 bp overlap with the adjacent fragments or target sites, such that the entire construct was designed to replace *Ura3* (Figure 12). Compared to single PCR product donor constructs, a smaller number of transformants was obtained by G418 selection when using the multiple-fragment DNA as the donor. Nonetheless, the WT, *Nej1*<sup>o</sup>, and *Dnl4*<sup>o</sup> strains showed integration efficiencies of 18% (2/11), 100% (3/3), and 100% (4/4), respectively. This assembly and integration method is expected to allow combinatorial integration of gene cassettes using (for example) combinations of various promoters and coding regions.



b

Length of homology sequences: 50 bp

Strains	Donor DNA (µg)	Plasmid (µg)	Transformants (G418 resistant)	5-FOA resistant	PCR check	Rate (%)
WT	2.5	5	11	3	2/3	18
<i>Nej1</i> <sup>o</sup>			3	3	3/3	100
<i>Dnl4</i> <sup>o</sup>			4	4	4/4	100

**Figure 12 In vivo assembly and integration of three DNA fragments with 50 bp overlaps.**

(a) Schematic of the three transforming donor DNA fragments replacing the *Ura3* locus along with the CRISPR-Cas9 plasmid targeting two sites (indicated by red pie bolts) flanking the *Ura3* CDS. Dashed boxes indicate homologous regions. Bottom panel shows (b) Homologous recombination rate (5-FOA resistant \* PCR check)/transformants (G418 resistant)\*100.

In summary, targeted point mutagenesis (by Target-AID) and markerless CRISPR-mediated integration were demonstrated in *K. marxianus* using a fast-growing strain that was shown to exhibit both thermo- and cold-tolerance. Mutation of *Nej1* or *Dnl4*, loci encoding homologs of NHEJ proteins, enhanced the proportion of HR events. These genome-engineering tools are expected to greatly facilitate industrial use of *K. marxianus*, a prototype for the class of underexploited non-conventional yeasts.



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### Chapter 3

## Genetic and physiological basis for antibody production by *Kluyveromyces marxianus*

### Introduction

Production of biopharmaceuticals requires the difficult choice of a host cell capable of generating the desired product in an active and safe form, devoid of unwanted modification or contamination. Additionally, some biopharmaceuticals such as antibodies have proven difficult to express at high levels. Chinese hamster ovary (CHO) cells and yeasts are the major hosts that have been engineered to produce biopharmaceutical products, including antibodies (Maccani et al. 2014). As mammalian cells, CHO cells produce mammalian-derived proteins in an active form, bearing appropriate modifications such as glycosylation. However, the development of stable cell lines takes very long times (6 to 12 months), and the cost of cell culture is very high (Lai et al. 2013). Yeast cells such as *Pichia pastoris* may provide much faster and cheaper ways of production (Çelik and Çalık 2012); while this yeast can be engineered to serve as a suitable hosts, highly complex proteins such as antibodies can be difficult to express efficiently in this system (Nielsen 2013).

Due to difficulties in expression, secretion, and post-translational modification, antibodies intended for clinical use remain a challenge to produce in a cost-effective manner (Buckholz and Gleeson 1991; Huang et al. 2014). Single-chain Fv antibody (scFv) is one of the most useful forms of antibody, consisting of a single polypeptide in which the variable regions of the heavy ( $V_H$ ) and light ( $V_L$ ) chain domains are fused by a short, flexible linker; the resulting product has a molecular weight of approximately 30 kDa (Damasceno et al. 2004). Unlike large immunoglobulins (IgGs), scFv proteins have demonstrated rapid tumor penetration (Yokota et al. 1992). A prototypical scFv is the anti-chicken (anti-hen) egg white lysozyme antibody (HyHEL-10), which has been used for the precise analysis of antigen-antibody interactions (Tsumoto et al. 1997).

The non-conventional yeast *Kluyveromyces marxianus* can grow on various sugars (glucose, xylose, fructose, sucrose, inulin, etc.) (Fonseca et al. 2008; Lane and Morrissey 2010; Lertwattanasakul et al. 2011). *K. marxianus* is known to secrete proteins such as inulinase into the culture medium at high levels (Rouwenhorst et al.

1990; Hu et al. 2012). Engineering of *K. marxianus* for protein production has been reported for both endogenous and heterologous enzymes (Raimondi et al. 2013; Hong et al. 2007). However, there are to date (to our knowledge) no reports on secretory antibody production in *K. marxianus*.

The *K. marxianus* NBRC1777 strain recently has been shown to exhibit rapid growth and adaptability to a wide range of temperatures (from 5°C to 45°C). Additionally, comprehensive genome engineering tools recently have been introduced for use in this strain, including a Clustered Regularly Interspaced Short Palindromic Repeat (CRISPR) -associated protein (CRISPR-Cas9) system and deaminase-mediated base editing Target-AID (Nambu-Nishida et al. 2017). NBRC1777 is expected to be of use for various bio-production applications, including the secretion of high-value proteins.

In the present study, we introduced *K. marxianus* NBRC1777 as a novel host for scFv production. Several parameters were examined, including the type of secretion signal and growth conditions such as temperature, carbon source, and medium. Genetic backgrounds that affect protein production or secretion also were studied.

## **Materials and Methods**

### **Strains and culture conditions**

The *K. marxianus* and *S. cerevisiae* strains used in this study are listed in Table 1. *Escherichia coli* strain DH5 $\alpha$  (Toyobo, Osaka, Japan) and yeast cells were grown as described previously (Nambu-Nishida et al. 2017).

### ***INU1* gene disruption**

The *inu1* gene-disrupted strain and homologous recombination strains were generated using the CRISPR-Cas9 system. The CRISPR-Cas9 vector plasmid (Cas9\_Base) of *K. marxianus*, target sgRNA cassette construction, and methods were as described previously (Nambu-Nishida et al. 2017). The *inu1* deletion strain was generated by using a Cas9 plasmid (E02-026) containing gRNA-1 and gRNA-2 target sequences (Table 2 and Figure 1a).

**Table 1 Plasmids and strains used in this study**

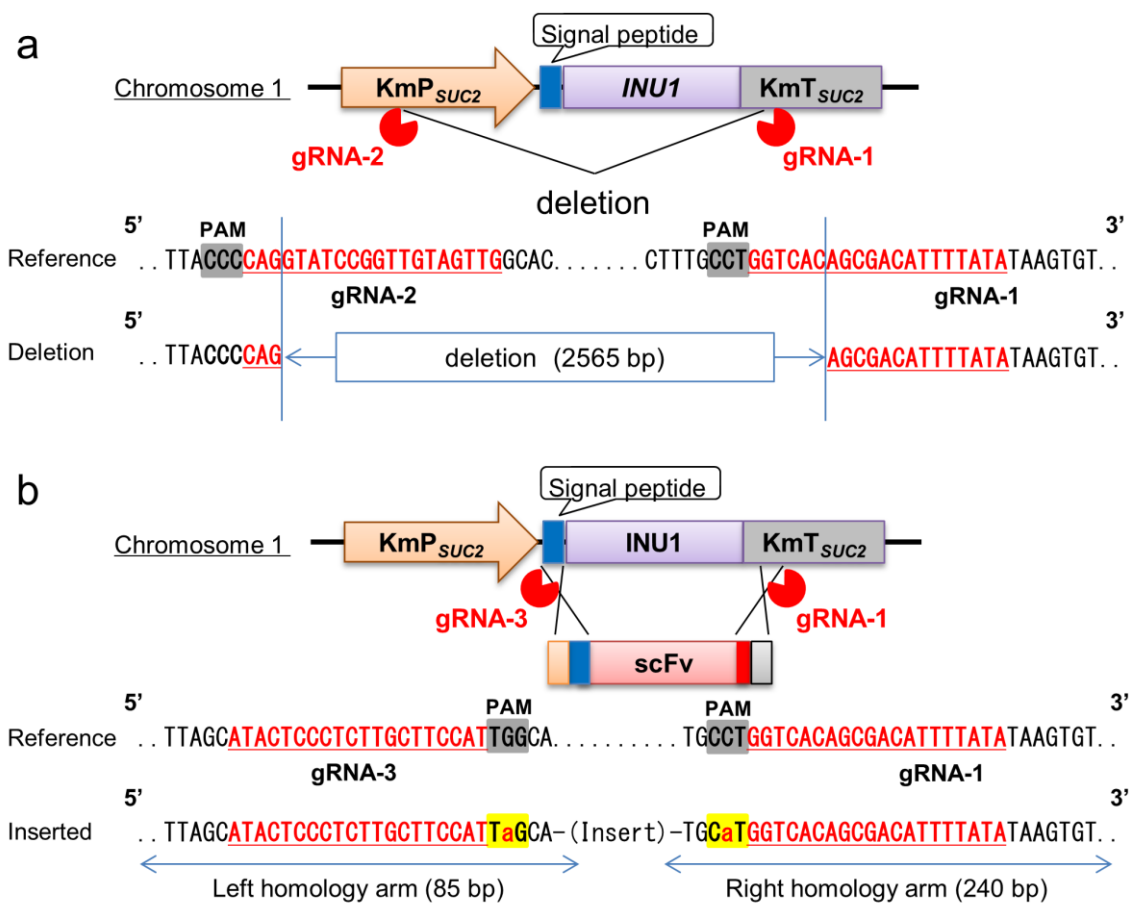
Plasmids and Strains	Genotype	Reference
<u>Plasmids</u>		
E02-012	KmP <sub>MDH1</sub> _KmINU1ss_scFv_T <sub>TDH3</sub> , KmARS7, KmCEN D, kanMX, ori, and AmpR	This study
E02-014	KmP <sub>ACO1</sub> _KmINU1ss_scFv_T <sub>TDH3</sub> , KmARS7, KmCEN D, kanMX, ori, and AmpR	This study
E02-020	KmP <sub>MDH1</sub> _Sc $\alpha$ -MFss_scFv_T <sub>TDH3</sub> , KmARS7, KmCEN D, kanMX, ori, and AmpR	This study
E02-022	KmP <sub>ACO1</sub> _Sc $\alpha$ -MFss_scFv_T <sub>TDH3</sub> , KmARS7, KmCEN D, kanMX, ori, and AmpR	This study
Cas9_Base	ScP <sub>PDC1</sub> _Cas9_T <sub>TDH3</sub> , KmARS7, KmCEN D, <i>kanMX</i> , ori, and AmpR	(Nambu-Nishida et al. 2017)
E02-025	KmP <sub>SNR52</sub> _target_gRNA-1_sgRNA_T <sub>sup4</sub> cassette KmP <sub>SNR52</sub> _target_gRNA-3_sgRNA_T <sub>sup4</sub> cassette in Cas9_Base	and This study
E02-026	KmP <sub>SNR52</sub> _target_gRNA-1_sgRNA_T <sub>sup4</sub> cassette KmP <sub>SNR52</sub> _target_gRNA-2_sgRNA_T <sub>sup4</sub> cassette in Cas9_Base	and This study
<u>Strains</u>		
1 (NBRC1777)	Wild-type (WT)	NITE Biological Resource Center, Japan
<i>Nej1</i> <sup>o</sup>	<i>Nej1</i> disrupted by C to T point mutation at position 13	(Nambu-Nishida et al. 2017)
<i>Dnl4</i> <sup>o</sup>	<i>Dnl4</i> disrupted by G to A point mutation at position 44	(Nambu-Nishida et al. 2017)
2 (Km02-026)	WT/E02-012	This study
3 (Km02-032)	WT/E02-014	This study
4 (Km02-050)	WT/E02-020	This study
5 (Km02-056)	WT/E02-022	This study
$\Delta$ <i>inu1</i> (Km02-063)	<i>Nej1</i> <sup>o</sup> / $\Delta$ <i>inu1</i>	This study

6 (Km02-064)	<i>Nej1<sup>o</sup>/inu1::P<sub>INU1</sub>_scFv</i>	This study
7 (Km02-065)	<i>Dnl4<sup>o</sup>/inu1::P<sub>INU1</sub>_scFv</i>	This study
8 (Km02-066)	<i>Nej1<sup>o</sup>/inu1::E02-020</i>	This study
BY4741	<i>MATa his3Δ1 leu2Δ0 met15Δ0 ura3Δ0</i>	ATCC (Brachmann et al. 1998))

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**Table 2 Target sequence used in this study**

Name	Sequence (5' → 3')
gRNA-1	TATAAAATGTCGCTGTGACC
gRNA-2	CAACTACAACCGGATACCTG
gRNA-3	ATGGAAGCAAGAGGGAGTAT



**Figure 1 Design of *INU1* disruption and replacement**

(a) A schematic of the *INU1* locus and its deletion is shown. Reverse complement sequences of target (red) and PAM (shaded) are shown on the reference sequence. The lower part of the panel shows an alignment of the sequence from the deletion strain with the sequence of the wild-type locus.

(b) A schematic of the *INU1* replacement by a fragment encoding scFv is shown. The mutated PAM sequence and its reverse complement sequence are highlighted in yellow. Mutated bases are indicated as small red letters. The scFv-encoding fragment also encodes the *INU1* secretion signal peptide (blue box) and a 6-histidine tag (red box) in-frame with the expressed ORF.



### **Replacement of *INUI* by integration of a scFv-encoding sequence**

A fragment encoding HyHEL-10 scFv (scFv hereafter) (Acchione et al. 2012) was codon optimized for expression in *K. marxianus* (Figure 2). The homologous recombination strain was generated by transforming the recipient strain by the lithium acetate method (Gietz et al. 1992), using 10  $\mu$ g of Cas9 plasmid (E02-025) containing gRNA-1 and gRNA-3 target sequences (Table 2 and Figure 1b) and 5  $\mu$ g of the sequence-optimized fragment encoding scFv (Figure 3). The transformed cells were plated on YPD containing the appropriate selection reagent (100  $\mu$ g/ml G418).

### **Verification of genome-edited cells**

Transformants generated using the CRISPR-Cas9 system were screened by colony PCR using the primer pair P\_Km01-010 + P\_Km01-010-011. DNA sequence of the resulting amplicon was confirmed by sequencing using a 3130xL Genetic Analyzer (Applied Biosystems, CA, USA). The transformant cells were grown without selection reagents to isolate a clone that dropped the Cas9 plasmid.

### **Construction of scFv expression plasmids**

The constructed plasmids are listed in Table 1. Plasmid E02-014, which includes KmARS7, KmCEN-D, the scFv-encoding fragment, KmP<sub>ACO1</sub>, and the *kanMX* selectable marker (which provides G418 resistance) is shown as an example (Figure 4).

Constructs incorporated either the P<sub>MDHI</sub> (KmP<sub>MDHI</sub>) (Figure 5) or P<sub>ACO1</sub> (KmP<sub>ACO1</sub>) (Figure 6) promoters from *K. marxianus*. Constructs also incorporated sequences encoding either the secretory signal sequence from inulinase (KmINUss) from *K. marxianus* (Bergkamp et al. 1993) or that from  $\alpha$ -MF (Sca- $\alpha$ -MFss) from *S. cerevisiae* (Melorose et al. 1986). Fragments carrying the desired promoter fragment and encoding the desired signal sequence were inserted into the NheI or SbfI/BamHI sites of the E02-014 plasmid using In-fusion cloning (Takara Bio, Shiga, Japan). The resulting scFv expression plasmids were transformed into *K. marxianus* NBRC1777 or the *inu1* deletion strain by the transformation and selection methods noted above.



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GCATCTAGATTCTCCGGTTCTGGTTCCGGTACCGACTTCACITTTGCCATTAACCTCTGTTGAAACCGAAGATTTCCGGTAT  
GTAICTTCTGTCAACAATCTAACTCCTGGCCATACACTTTCCGGTGGTACCAAGTTGGAATACAAGCACCATCACCATC  
ACCATTAA<sup>CCCGGG</sup>actagt

**Figure 4 Sequence of E02-014 plasmid**

Gray: ScT<sub>TDH3</sub>, Cyan: KmARS7, Green: KmCEN-D, Yellow: *kanMX*, Light green: KmP<sub>ACO1</sub>, Red: KmINU1ss, Pink: scFv

GCATGCACCATGTTACCGGGGGGCATCCATGTCTCTCCCTTCTCTTCTCTTTTTTTACTAATTTCCCTGTTGTCTTC  
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CTCTTGCTATCTTATCTTTATCTAACACCCCCCAAGTACATACATACTACTACACA

**Figure 5 Sequence of KmP<sub>MDHI</sub>**

GCTCCGATCCTCGGAGGCAGGGTACCCCAGCCACCTGTTACCCAACCGAAATAGGAATAAAAAAATCCCCAAAAGAACA  
AACAACTTTTCCCCTTTGCCGAACCTCGGAAAAGACCCTTTTCAATGACAACCCTTACTCGGCCCGGTTCCGGGT  
ACGCTTCCGAATACCCTGCACATGCCCCCTCTGCATGGATGTATGGAGAGGCCCTTCTGCTTGCCCCATTGCAAAAAA  
ATATCACAAACATACCCTACACTGCAGCCGTTTCTTAGTGAGTCCAATCACGTGCGTATTTGTTGCGTATCACATAA  
TCACAACTACAGCTCTTTCTAAGCATTGAAACCATGTCTCTTGCATACGCTCCTACGGCCTAACCGCGCTGTTCTCTG  
TCTGGCCCTTGCCTCCAACCATGCCATGCTGCTTCTAGACACACACGCACTCAGCTCACCAGAGAACAACAAAAC  
TCCATTTATTGAGTCCGCTCCGTCACCTCAGAAGAGAAAAATTTTTTCCCATTCTTCTACTTGTGCTTCTGCTGCGCT  
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CAAATTGATGACTTTTTTTTTTTTTTTTTTTTTGGGAGGTAATACGTTGAAAGCTTGTGCTTATTGTAGTTGTATCTC  
TATACAAACGTAAATTTAATATGTACAGTAGTAATTGTAGTAATAATAATAATAATAACACAATTAATTGTAT  
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CAGTTTTTTGGGAGTCCGTATTTTTTAGTTTATTATTAGTTTATAGGCTATTAGTTTGTATAATTGTATACAATTCGTT  
TTAATCGAACAAGAACAACAACCATATCATCTGTTGATA

**Figure 6 Sequence of KmP<sub>ACO1</sub>**

### **SDS-PAGE and immunoblot analysis**

To analyze protein production, soluble proteins in the spent culture medium were separated on a SDS-polyacrylamide 12.5% gel (ATTO, Tokyo, Japan) and stained with Bio-Safe Coomassie Stain (Bio-Rad, Hercules, CA). MagicMark™ XP Western Protein Standard (Thermo Fisher Scientific Inc., MA, USA) was included as the molecular weight standard. For western blotting, proteins were transferred to a polyvinylidene fluoride (PVDF) membrane (EDM Millipore, Billerica, MA, USA) by electroblotting. The membrane then was blocked by incubation for 1 h at room temperature with Blocking One (Nakarai tesque, Kyoto, Japan), followed by washing with TBST (0.1 M Tris-HCl, 0.15 M NaCl, 0.05% Tween 20). The membrane then was incubated for 1 h with the primary antibody, rabbit anti-6-His Antibody Affinity Purified (Bethyl Laboratories, TX, USA) diluted 1:5000, followed by washing with TBST and incubation for 1 h with the secondary antibody, Peroxidase AffiniPure Goat Anti-Rabbit IgG (H+L) (Jackson ImmunoResearch Laboratories, PA, USA) diluted 1:10000. Protein bands were detected by ImmunoStar Zeta (Wako, Osaka, Japan).

### **Enzyme-linked immunosorbent assay (ELISA)**

Strains were cultured in YPD or YPX (10 g/L yeast extract, 20 g/L peptone, and 20 g/L xylose) supplemented with 100 mM sodium phosphate buffer, pH 6.0, and selective agent for plasmid-bearing strains in the absence or presence of 200 mM MgSO<sub>4</sub> selective medium. Culturing was performed in 96-well deep-well plates at 20°C or 30°C with shaking at 1,200 rpm.

For ELISA, a MaxiSorp plate (Thermo Fisher Scientific Inc.) was coated by distribution of 50 µL per well of lysozyme formulated at 1 µM in 1x phosphate-buffered saline (PBS (10x stock), Nacalai Tesque, Kyoto, Japan) followed by overnight incubation at 4°C. The plate then was blocked at 25°C for 1 h with the blocking solution (ImmunoBlock, DS Pharma Biomedical, Japan) diluted 1:5 in water. The plate was washed three times with PBST (1x PBS supplemented with 0.1% Tween-20 and 2% blocking solution). Supernatants (spent medium) from cultures were diluted five-fold in PBST containing 2% ImmunoBlock and distributed at 50 µL/well. All ELISAs included a blank consisting of 70 µL PBST containing 2% ImmunoBlock. Following incubation

at 25°C for 1 h, the plate was washed as above, and antibody (Anti-His-tag mAb-HRP-Direct, MLB, Nagoya, Japan), diluted 1:8000 in PBST, was distributed at 50 µL/well. The plate was incubated at 25°C for 1 h and then washed with PBST as above. Color was developed using TMB 1-Component Microwell Peroxidase Substrate Sure Blue and TMB Stop Solution (KLP Inc, Milford, USA) according to manufacturer's instructions. Activity was then measured as absorbance at 450 nm using a SpectraMax Paradigm Multi-Mode Microplate Reader (Molecular Devices Japan, Tokyo, Japan). Relative activity of scFv was obtained by subtracting the value of blank.

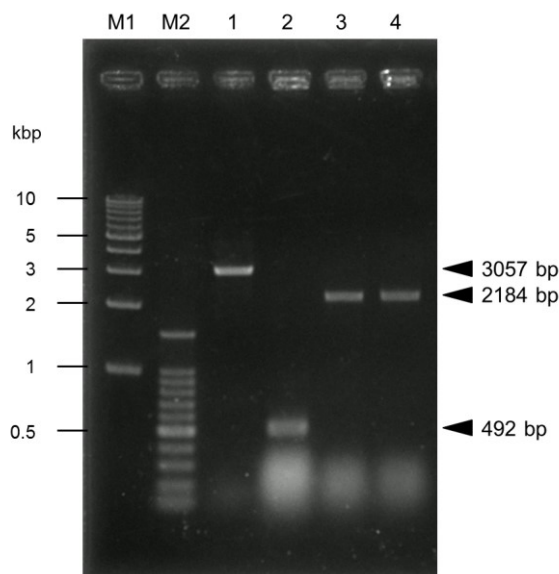
## Results

### Disruption of *INUI* gene by CRISPR-Cas9 system

Wild-type *K. marxianus* predominantly secretes inulinase (Rouwenhorst et al. 1988; Hu et al. 2012). To facilitate the purification of the heterologous protein and re-direct cellular resources for protein production, we deleted the corresponding *INUI* gene. A CRISPR-Cas9 vector for *K. marxianus* (Nambu-Nishida et al. 2017) expressing a pair of guide RNAs (gRNA-1 and gRNA-2) flanking the *INUI* coding region was constructed and used to transform the parent strain; transformants were then screened for the *inuI* mutation (Figure 1a). For integration of the scFv-encoding sequence at the *INUI* locus, we employed strains deficient in the non-homologous end-joining (NHEJ) repair pathway (in this instance, harboring *nej1*<sup>°</sup> or *dnl4*<sup>°</sup> null mutations) (Nambu-Nishida et al. 2017) to facilitate homology-directed integration. Another vector expressing a pair of guide RNAs (gRNA-1 and gRNA-3) flanking the *INUI* coding region (Figure 1b) was designed and transformed in combination with an scFv-encoding fragment. The scFv-encoding fragment was flanked with arms (85 bp and 240 bp for the upstream and downstream sequences, respectively) with homology to the *INUI* ORF. PAM sequences of the targets in the arms were mutated to prevent re-cutting after successful integration. Vector-carrying transformant cells were PCR-amplified and subjected to agarose gel electrophoresis (Figure 7). Sequence analysis of the deletion transformant confirmed that the chromosomal *INUI* locus harbored a 2565-bp deletion between the gRNA-2 and gRNA-1 targeting sites, yielding  $\Delta inuI$  (Km02-063) strain

(Figure 1a). Sequence analysis of the transformants from the gene-replacement experiment confirmed that the *INUI* ORF had been replaced by sequences encoding scFv; two of the resulting constructs were designated strains No. 6 (Km02-064) and No.7 (Km02-065) (Figure 1b and 8b). Note that these two strains include *nej1*<sup>°</sup> or *dnl4*<sup>°</sup>, respectively. Next, the strains were assessed for inulinase secretion.

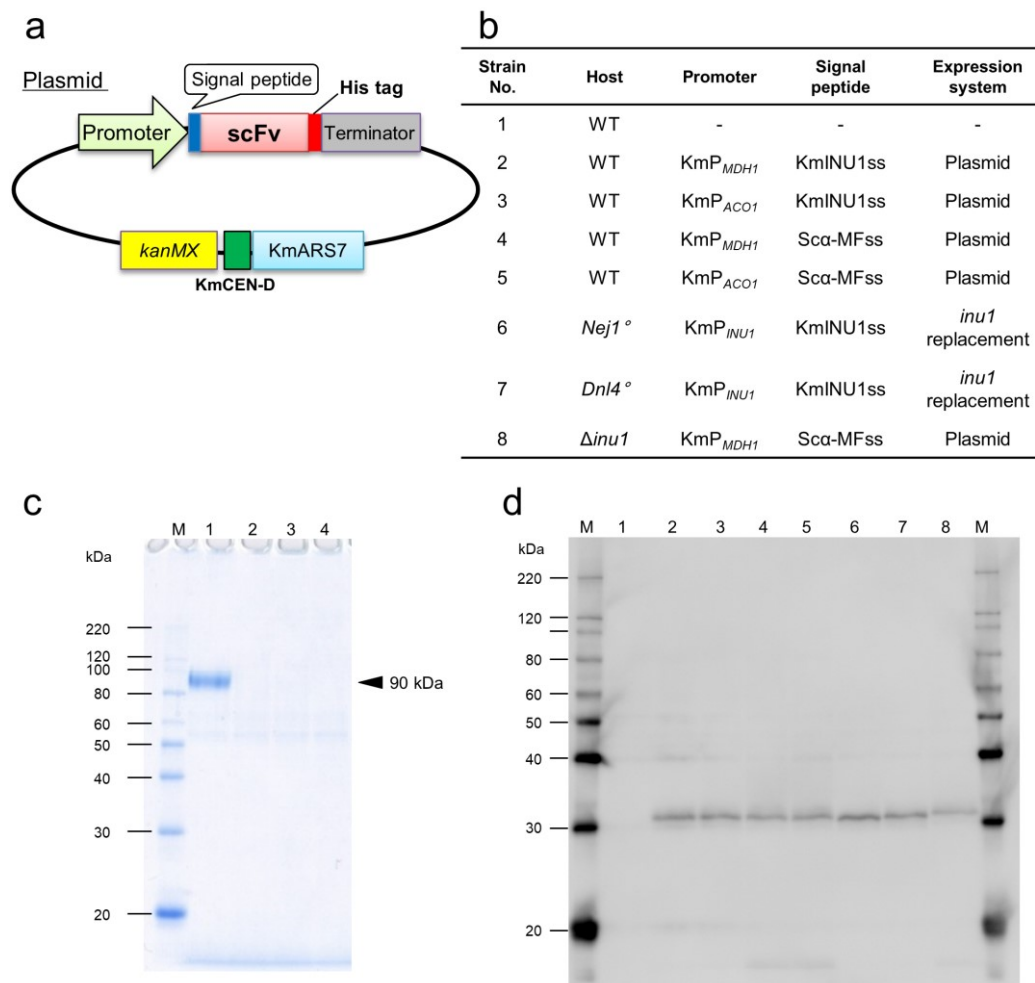
SDS-PAGE analysis of spent culture medium recovered from the wild-type strain revealed a single major band at approximately 90 kDa (Figure 8c), consistent with the expected size of inulinase (Hong et al. 2014). Notably, this band was absent in spent medium from cultures of the *inul* constructs, as expected (Figure 8c).



**Figure 7 *INUI* deletion and replacement analyzed by agarose gel electrophoresis**

Sequences from the transformed cells were PCR-amplified and analyzed by agarose gel electrophoresis. A pair of primers (P\_Km01-010 + P\_Km01-011) flanking the *INUI* locus were used to amplify intervening sequences. The resulting amplicons from the wild-type strain (lane 1), *inul*-deleted strain  $\Delta inul$  (Km02-063) (lane 2), or scFv integration strains No. 6 (Km02-064) (lane 3) and No. 7 (Km02-065) (lane 4) matched the expected fragment sizes of 3057 bp, 492 bp, 2184 bp, and 2184 bp, respectively. DNA ladder markers (1 Kb and 100 bp) are provided as size standards (lanes M1 and M2, respectively).





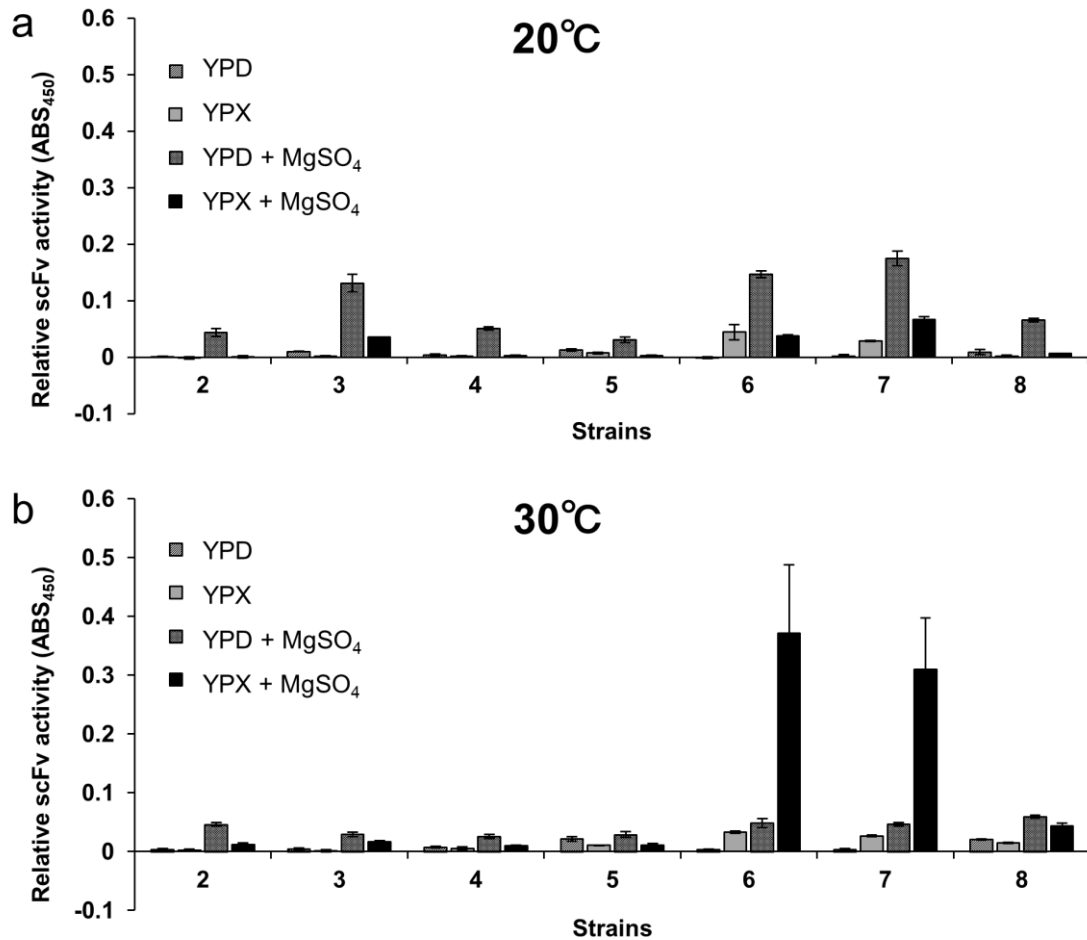
**Figure 8** *INU1* deletion analyzed by SDS-PAGE and secretion of scFv analyzed by immunoblotting

(a) Schematic of the general construction of scFv-expression plasmid. *kanMX*: G418 resistance gene, KmCEN-D: centromere sequence of *K. marxianus*, KmARS7: autonomous replication sequence of *K. marxianus*.

(b) Combinations of promoters and signal peptides tested.

(c) Strains were cultivated for 48 h in YPD with or without G418, and SDS-PAGE was used to analyze the spent culture medium from strains No. 1 (wild-type) (lane 1), No. 6 (Km02-064) (lane 2), No. 7 (Km02-065) (lane 3), and No. 8 (Km02-066) (lane 4). Sizes of the molecular weight marker (lane M) are indicated on the left.

(d) Immunoblot of spent culture medium from strains cultivated for 72 h in YPD with or without G418. Lane numbers correspond to those in panel (b).



**Figure 4 Immunoreactivity of secreted scFv analyzed by ELISA**

Strains were cultured in YPD or YPX in the absence or presence of MgSO<sub>4</sub> at 20°C (a) or 30°C (b). Spent culture medium was harvested at 72 h and subjected to ELISA using lysozyme as an antigen for scFv. Values are presented as mean  $\pm$  SEM from three independent experiments.

### Expression and secretion of scFv antibody

For the expression of scFv, various expression cassettes containing combinations of promoters ( $P_{MDH1}$ ,  $P_{ACO1}$ ) and secretion signal (KminUss, Sca-MFss) -sequences were introduced, either via plasmid or by genomic integration (Figure 8a and b). Protein expression and secretion was assessed by immunoblotting of spent growth medium (Figure 8d). Secretion of scFv was confirmed as the presence of an approximately 30

kDa protein (Damasceno et al. 2004) in the spent growth medium from each of the transformed strains tested (Figure 8d). These results indicated that these promoters and secretion signals functioned in a modular fashion.

### **Activity of scFv antibody and improved production by magnesium sulfate supplementation**

We next sought to identify growth conditions, including the use of various media, that would yield enhanced expression and secretion of the intact scFv protein. Cells were grown, with shaking in 96-well deep-well plates, at temperatures of 20°C or 30°C in YPD or YPX medium in the presence or absence of various supplements and subjected to ELISA to detect the presence of intact secreted scFv. In this context, ELISA measured the immunoreactivity of scFv. MgSO<sub>4</sub> was found to have substantial impact on the antibody production in *K. marxianus* (Figure 9a and b). At 20°C, all strains exhibited increased activity when grown in YPD plus MgSO<sub>4</sub> (Figure 9a). At 30°C, more than 10-fold increased activity was observed for strains No. 6 (Km02-064) and No. 7 (Km02-065), both of which harbor constructs introduced by genomic integration, when grown in xylose medium containing MgSO<sub>4</sub> (Figure 8b and 9b). Deletion of *INUI* yielded about 4.4-fold increase in scFv activity in xylose medium containing MgSO<sub>4</sub>, when comparing strain No. 4 (Km02-050) (harboring a plasmid-borne construct) and strain No. 8 (Km02-066) (*INUI* disruptant harboring a plasmid-borne construction) (Figure 8b and 9b).

### **Discussions**

In this study, we demonstrated that *K. marxianus* NBRC1777 can be engineered to express and secrete a single-chain antibody. We showed that secretion of scFv could be changed substantially by use of various genetic constructs and by modification of the growth conditions.

The recently introduced CRISPR-Cas9 and Target-AID genome editing systems (Nambu-Nishida et al. 2017) permit genetic manipulation of organisms that had previously been underexploited because of a lack of genetic tools. In the present work, a sequence encoding scFv was integrated into the *INUI* locus without an associated selection marker. This construct allowed robust expression of the integrated gene

without a need for continued use of selection reagents. The strains (Nos. 6 and 7) carrying the integrated construct showed dramatic increases in scFv immunoreactivity, which is attributed to either increased expression and secretion or improved quality of the antibody, or both. (compared to strains expressing scFv via plasmid-borne constructs) when grown in YPX plus MgSO<sub>4</sub> at 30°C (Figure 9b). Further work will be needed to determine whether increased expression requires genomic integration in general or at the *INUI* locus specifically. It is formally possible that episomal plasmids are not well retained during outgrowth, especially if protein expression creates stress for the host cell.

In our hands, YPX medium induced increased expression from the *INUI* promoter but not from the other tested promoters (Figure 9a and b). As the *INUI* product inulinase metabolizes inuline to fructose (Rouwenhorst et al. 1988), *INUI* is downregulated in the presence of glucose, the preferred sugar (Jain et al. 2012). Moreover, *INUI* gene expression is known to be up-regulated when fructose replaces glucose as a sugar source (Schabort et al. 2016), and the *INUI* promoter has consensus binding sequences for MIG1, a known repressor of transcription in the presence of glucose (Bergkamp et al. 1993). As inulinase is the predominant protein secreted by *K. marxianus* (Rouwenhorst et al. 1990; Hu et al. 2012), deletion of the encoding locus is expected to permit re-direction of resources for expression and secretion of heterologous proteins. The present work showed that deletion of *INUI* had a positive but limited impact on scFv production in strain No. 8 (Km02-066). This limited effect may have reflected the use of the KmP<sub>MDHI</sub> promoter and the Sc $\alpha$ -MFss signal peptide. In *Kluyveromyces lactis*, the *Trichoderma reesei* CBH1 secretion signal was more efficient than that of the native  $\alpha$ -mating factor for directing the secretion of a reporter, Enhanced Green Fluorescent Protein (EGFP) (Madhavan and Sukumaran 2014). Use of the endogenous INU1 signal peptide in *K. marxianus* may provide more efficient production by directing the heterologous protein into the secretion pathway typically used by inulinase.

In *K. marxianus*, lysine aminopeptidase activity is higher at 30°C than at 20°C (Ramírez-Zavala et al. 2004). It would be valuable to assess the *in vivo* role of various processing enzymes, for instance by suppressing the activity of endogenous proteases by using either protease inhibitors or genetic manipulations.

A positive effect of MgSO<sub>4</sub> was observed (to some extent) in all strains and conditions, indicating that MgSO<sub>4</sub> generally facilitates the production/secretion of intact scFv in *K. marxianus* (Figure 9a and b). Considering the concentration of MgSO<sub>4</sub> in the defined media that typically ranges up to 10 mM or so, 200 mM of MgSO<sub>4</sub> apparently

exceeded the nutritional demands of the cell. The addition of divalent metal ions, including  $Mg^{+2}$ , has been reported to enhance bacterial cell growth and enzyme production (Venkateswarulu et al. 2017; Shahbazmohammadi and Omidinia 2017). The effect of divalent metal ions may result from changes to membrane permeability (Venkateswarulu et al. 2017). In the present study, we observed drastic increases in antibody secretion in the presence of 200 mM  $MgSO_4$ , a concentration that is ten times higher than that tested in bacteria. While fungal protein secretion pathways differ from those of bacteria, high concentrations of  $MgSO_4$  may also affect membrane organization in eukaryotes, facilitating protein secretion and/or stimulating expression of genes that contribute to enhanced protein production and secretion. Although  $MgSO_4$  is expected to be involved in a wide range of fungal cellular and biochemical processes, the mechanism of this  $MgSO_4$ -mediated enhancement of scFv production remains unclear. Nonetheless, our study demonstrated that there is potential for further enhancing fungal protein production by both genetic and physiological manipulations.

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## General Conclusion

In this thesis, I aimed at providing basic information and technology for yeast genetic engineering and cost-effective culture for the sake of industrial production from biomass.

In chapter 1, gene expression profile of *S. cerevisiae* producing ethanol was studied as a model biomass-based production. Detailed time-course changes in the activity of major 30 promoters were quantitatively analyzed in various conditions including xylose utilization, which simulates biomass-derived resource. A few promoters were found to be active in microaerobic xylose fermentation, while most others showed poor activity. These will provide useful information for optimization of gene expression to improve growth and production from biomass in yeast.

In chapter 2, *K. marxianus* was selected to explore its industrial potential as it exhibited good proliferative property and thermotolerance. Comprehensive genetic engineering tools were provided by applying cutting edge genome editing technology for *K. marxianus*. Efficient gene knock-out and knock-in was achieved without use of selection marker. This approach will facilitate engineering of underexploited yeasts and other microbes for industrial applications.

Antibody production as high value-added product was performed using *K. marxianus* in chapter 3. Genetic engineering, promoter selection and optimization of media condition were together proved to be effective in the improvement of production.

The research on *S. cerevisiae* as eukaryotic model provides highly integrated and comprehensive understanding with the aid of highly accumulated previous researches. Genome editing technology now allows easy genetic manipulation of minor yeasts that have useful characteristics. This thesis demonstrated an approach in which studying model organism as reference and establishing new host strain with practical advantages coordinately facilitate industrial application for bio-based material production.

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