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**BENEFITS OF INDUCED SPUTUM FOR THE EVALUATION OF
THERAPEUTIC EFFICACY IN PATIENTS WITH
BRONCHIAL ASTHMA**

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INDEXING WORDS

hypertonic saline; beclomethason dipropionate (BDP); bronchial hyperresponsiveness; eosinophil

SYNOPSIS

Airway inflammation is a major factor in the pathogenesis of asthma. Inducing sputum by hypertonic saline is a noninvasive method of assessment of the airway inflammation in asthmatic patients. To investigate sputum induction as a method for assessing airway inflammation and to evaluate the effect of inhaled beclomethasone dipropionate (BDP) in asthmatic patients, we examined the bronchial hyperresponsibility (BHR), pulmonary function and differential cell counts in induced sputum of the patients before and after BDP therapy. In asthmatic patients, the percentage of eosinophils in induced sputum was significantly higher than that in non-asthmatic subjects. Ten patients with atopic asthma (four men and six women; mean [\pm SD] age, 30.5 \pm 12.4 years) participated. Their mean percentage of eosinophils (%eosinophils) in induced sputum fell from 22.9 \pm 7.2 % to 13.9 \pm 8.3 % ($p < 0.05$) by 3 months of BDP treatment. The percentage of eosinophils in induced sputum before BDP treatment was significantly correlated with the ratio of the forced expiratory

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volume in one second to the forced vital capacity (FEV1%) at baseline ($r = -0.75$, $p < 0.05$), but not with log Dmin at baseline ($p = 0.18$). The change in FEV1% between at baseline and post-treatment correlated significantly with the change in the sputum eosinophil percentage ($r = -0.79$, $p < 0.01$). In addition, there was a significant correlation between the change of log Dmin and the change of the sputum eosinophil percentage ($r = -0.64$, $p < 0.05$).

In conclusion, analysis of induced sputum is a safe, noninvasive, repeatable and useful method to assess the clinical condition of bronchial inflammation in patients with bronchial asthma.

Introduction

Airway inflammation is a major factor in the pathogenesis of asthma. Although bronchial biopsy and bronchial lavage studies have contributed to elucidation of eosinophilic airway inflammation,²⁾ the assessment of bronchial inflammation in patients with asthma by bronchoscopy is potentially hazardous. The existence of eosinophils in the sputum of asthmatic patients has been known for a long time.^{3,18)} Though during exacerbations asthmatic patients often expectorate sputum, they have little phlegm during stable periods. Sputum induction by inhaled hypertonic saline was recently introduced as a noninvasive method for the assessment of airway inflammation in asthmatic patients.^{4,7,9,14,15)} We confirmed in a preliminary study that the method of sputum induction by inhaled hypertonic saline was safe. In the present study, to further investigate sputum induction as a method for assessing the airway inflammation and to assess the effect of inhaled beclomethasone dipropionate (BDP) in asthmatic patients, we examined the bronchial hyperresponsiveness (BHR), pulmonary function and the percentage of eosinophils in induced sputum of patients before and after BDP therapy.

Materials and Methods

Subjects

Ten subjects with mild to moderate asthma (four men and six women; mean [\pm SD] age, 30.5 ± 12.4 years) (Nos.1-5; mild asthma, Nos.6-10; moderate asthma) and eight normal healthy subjects (five

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men and three women; mean [\pm SD] age, 26.5 ± 2.3 years) participated in this study. No healthy subjects had chest symptoms and history of atopic diseases. All asthmatics had specific IgE antibody to more than one positive antigen judged by radioallergosorbent test. None had had spontaneous expectorated sputum or respiratory tract infection for at least four weeks. Two subjects were current smokers, others were non-smokers. Patients were excluded from the study if they had taken systemic corticosteroids in any form during the previous two months. On study days, all patients withheld their usual medications for 24 hours except for inhaled procaterol as needed.

Informed consent was obtained from each patient for our protocol.

Study Design

Analysis of the percentage of eosinophils in complete blood and IgE, spirometry, and a methacholine inhalation test were performed before starting the BDP therapy. Sputum induction followed the methacholine provocation test. Eight patients had not taken medication of inhaled corticosteroid, and the other two patients (Nos.1 and 10) had used inhaled corticosteroid of $400 \mu\text{g}/\text{day}$ for 10 weeks before this study. Beginning on the day after their first visit, all patients started inhaled corticosteroid (BDP; $400\text{-}800 \mu\text{g}/\text{day}$) medication in proportion to the severity of their disease state. At their second visit, 3 months later, the same examinations were repeated for all patients. The number of inhalations of procaterol taken as required had been recorded for study period. No patient dropped out of the study during inhalation therapy.

Induction of sputum

Induction of sputum was performed by the method of Pin et al.¹⁴⁾ After the methacholine inhalation test, each subject inhaled salbutamol ($2.5\text{mg}/\text{ml}$, 2 min). After the respiratory resistance (Rrs) recovered to the baseline value, the subjects inhaled 3% hypertonic saline using an ultrasonic nebulizer for about 10 min, and then coughed up and expectorated sputum into a container. In our preliminary study, breathing deeply and rinsing the mouth were necessary to gather adequate sputum. After the sputum was

expectorated or if troublesome symptoms occurred, the inhalation of hypertonic saline was stopped.

Sputum processing

The sputum was transferred to a Petri dish, and the macroscopic characteristics of the sputum were assessed. After an equal volume of 2% N-acetylcysteine (NAC) was added to the induced sputum sample, it was mixed gently by vortex mixer. The homogenized sputum was centrifuged at 1500 rpm for 10 min. The cell pellets were mounted on slides and fixed by dry air. The smears were stained with Diff-Quik (International Reagents Co., Kobe, Japan). Differential cell counts were performed by two investigators who did not know the clinical details of the subjects. The investigators counted at least 500 nucleated cells, and the percentages of each cell count of the two investigators were averaged. When the cell counts varied widely between the investigators, we discarded the samples as inadequate and inhomogenated sputum.

Methacholine inhalation test

The methacholine inhalation test was performed by the method of Takishima et al. with an Astograph (TCK-6000M, Chest Co., Tokyo, Japan),¹⁶⁾ which can write dose-response curves of respiratory resistance (Rrs) with tidal breathing during continuous inhalation. The methacholine was administered in twofold incremental concentrations from 0.049 to 25 mg/ml every minute. Dmin, the minimum cumulative dose required to start to decrease respiratory conductance from the baseline, was evaluated as an indicator for the bronchial sensitivity. Bronchodilators, xanthine derivatives, and inhaled corticosteroid were withheld from the subjects for 24 hours before this test.

Statistical analysis

Data are presented as mean values \pm SD. The analysis of Dmin values was performed on logarithmically transformed data, because it showed logarithmic normal distribution. The evaluation of differences in FEV1%, Dmin and %eosinophils in induced sputum between before and after BDP treatment was performed by Student's t-test for paired data. Correlations were examined by least square linear regression analysis. A p value less than 0.05 was considered to be significant.

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Table 1. Characteristics of study subjects.

No.	Age (y.o)	Sex	Smoking	FEV1% (%)	Eosinophil # (μ l)	Allergen
1	50	M	(-)	82.8	3954	M, HD
2	29	M	(-)	70	731	M, HD
3	26	M	(-)	53.2	490	M, HD
4	28	F	(-)	77.9	281	M, HD
5	23	F	(-)	78.7	1015	M, HD
6	29	F	(-)	86.6	775	M, HD
7	56	F	(-)	81.2	519	M, HD
8	24	F	(+)	66.5	249	M, HD
9	19	F	(-)	83.7	504	M, HD
10	21	M	(+)	80.2	608	M, HD
mean\pmSD	30.5\pm12.4	M/F:4/6		76.1\pm10.1	912\pm1092	

FEV1% : the ratio of the forced expiratory volume in one second to the forced vital capacity

cell counts of eosinophils in peripheral blood

M : mite, HD : house dust

Table 2. Changes of PEF and doses for period of treatment with BDP.

No.	Period (Weeks)	Min. PEF (L/min)	Max. PEF (L/min)	BDP (μ g)	Other drugs
1	12	240	560	400	
2	17	580	800	400	
3	18	400	600	400	
4	16	250	450	400	
5	12	360	480	400	
6	24	320	430	400	
7	34	310	430	400	T, A
8	42	300	500	400 \rightarrow 800	T
9	35	280	400	400	
10	22	450	700	400 \rightarrow 800	T, β 2
mean\pmSD	23.2\pm10.4				

period from baseline examination to examination after BDP treatment

T : theophylline, A : azelastin, BDP : beclomethasone dipropionate

β 2 : oral administration of β 2-adrenergic stimulant

Min. PEF : minimum peak expiratory flow for study period

Max. PEF : maximum peak expiratory flow for study period

Table 3. Changes of procaterol use, eosinophils, FEV1%, and Dmin after treatment with Beclomethasone Dipropionate.

No.	Procaterol use (puffs/week)		Eosinophil (%) *		FEV1% (%)		Dmin (Units)	
	before	after	before	after	before	after	before	after
1	8	0	21	3.8	82.8	89.3	0.473	14.952
2	28	1	24.2	5.7	70	94.5	0.447	2.036
3	28	0	34	14.4	53.2	73.1	0.16	0.252
4	48	2	28.8	9.1	77.9	89.7	0.088	0.186
5	7	0	23.3	11.2	78.7	81.3	0.786	1.723
6	28	1	6.9	4.6	86.6	79	1.463	0.786
7	28	2	20.1	21.6	81.2	83.7	0.046	0.039
8	7	2	25.8	26.2	66.5	61.7	0.317	0.252
9	21	3	18.8	18.9	83.7	86.3	9.119	11.619
10	14	2	26.5	23.8	80.2	83.8	1.411	2.244
mean\pmSD	21.7\pm13.0	1.3\pm1.1	22.9\pm7.2	13.9\pm8.3	76.1\pm10.1	82.2\pm9.4	1.43\pm2.75	3.41\pm5.33

* differential cell counts of eosinophil in induced sputum

FEV1% : the ratio of the forced expiratory volume in one second to the forced vital capacity

Dmin : minimum dose of methacholine for bronchial hyperactivity

Result

Clinical characteristics of subjects

The clinical characteristics of the subjects are shown in Table I. Of all subjects, two showed low values of FEV1%, but examinations of spirometry, methacholine inhalation test and sputum induction by hypertonic saline were safely done. All 10 subjects were atopic (positive reaction to mites and house dust). Two subjects (Nos. 8 and 10) were smokers. Inhalation doses of BDP were 400 µg/day in eight patients, but for the other two subjects, the dose was increased from 400 µg to 800 µg/day because their symptoms were not improving enough (Table II). Nos. 8 patient had had inhaled corticosteroid of 400 µg/day for 38 weeks and 800 µg/day for 4 weeks, Nos. 10 patient had inhaled corticosteroid of 400 µg/day for 16 weeks and 800 µg/day for 6 weeks.

Safety and success of the method of induced sputum

All patients had a mild cough and a feeling of salty taste after the induced sputum procedure, but their symptoms were self-controllable. Spirometry was measured both pre- and post-inhalation of the hypertonic saline, and no decline in FEV1% was observed, perhaps because the patients were clinically in the relatively stable condition of asthma and used inhaled salbutamol before the inhalation of hypertonic saline. The induction of sputum was successfully performed in all patients. The instructions to inhale the hypertonic saline deeply and to cough up the sputum were helpful to the subjects. Sputum from asthmatics contained a significantly greater percentage of eosinophils compared with those from normal subjects (22.9 ± 7.2 % vs. 1.43 ± 0.65 %, $p < 0.05$, respectively) (Fig.1).

Effect of inhaled BDP

By the end of the BDP treatment period, the all subjects' symptoms had notably improved. The number of procaterol use had remarkably reduced at all subjects after BDP treatment (21.7 ± 13.0 puffs/week vs. 1.3 ± 1.1 puffs/week, $p < 0.001$) (Table III). Their peak expiratory flow (PEF) were more than 80% of their personal best values. After the treatment, the FEV1% and Dmin values were significantly improved (76.1 ± 10.1 % vs. 82.2 ± 9.4 %, $p < 0.05$; 1.43 ± 2.75 log U vs. 3.41 ± 5.33 log U, $p < 0.05$, respectively)

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(Table III). The percentage of eosinophils in the induced sputum was significantly decreased after BDP treatment ($22.9 \pm 7.2\%$ vs. $13.9 \pm 8.3\%$, $p < 0.05$, respectively) (Table III).

Correlation between cell count in induced sputum and physiological examination

The percentage of eosinophils in induced sputum at baseline correlated significantly with FEV1% at baseline ($r = -0.75$, $p < 0.05$) (Fig.2A), but not with log Dmin at baseline ($p = 0.18$) (Fig.2B). The change of FEV1% from before to after treatment correlated significantly with the change of %eosinophils in the induced sputum between before and after treatment ($r = -0.79$, $p < 0.01$) (Fig.3A). In addition, there was a significant correlation between the change of log Dmin and the change of the percentage of eosinophils in induced sputum ($r = -0.64$, $p < 0.05$) (Fig.3B).

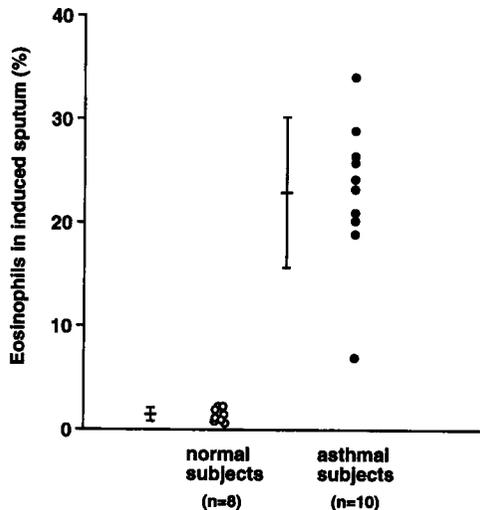


Figure 1. Individual data of the percentage of eosinophils in induced sputum from normal subjects and asthmatics. Open circles represent normal subjects, closed circles patients with asthma.

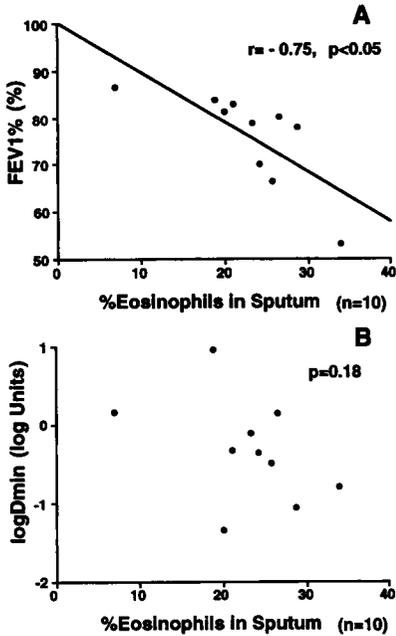


Figure 2. Relationship between the ratio of eosinophils in induced sputum and the parameters of a pulmonary function test. There was a significant correlation between the percentage of eosinophils in induced sputum and FEV1% (A), but no significant correlation between the percentage of eosinophils in induced sputum and log Dmin (B). FEV1%, the percentage of forced expiratory volume at one second; Dmin, minimum dose of methacholine for bronchial hyperreactivity.

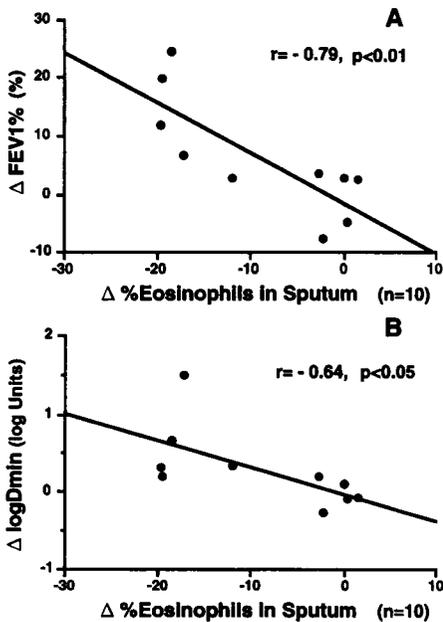


Figure 3. Relationship between the change of eosinophils in induced sputum and the change of parameters in the pulmonary function test before and after beclomethasone dpropionate treatment. (A) A significant correlation between the change in eosinophils in induced sputum and the change in FEV1% was shown. (B) A moderate correlation was shown between the change in eosinophils in induced sputum and the change in log Dmin. FEV1%, the percentage of forced expiratory volume at one second; Dmin, the minimum cumulative dose required to start to decrease respiratory conductance from the baseline.

Discussion

In the present study, to evaluate the clinical and cytological improvement in the condition of patients with bronchial asthma, we examined the results of a pulmonary function test, bronchial hyperresponsiveness, and the percentage of eosinophils in induced sputum before and after BDP treatment in asthmatic subjects. Sputum induction was safely and successfully performed in these asthmatic subjects. In our preliminary study, however, only one of eighty asthmatics, who showed low FEV₁% on the examination day, developed a mild bronchoconstriction during the inhalation of hypertonic saline in spite of inhalation of salbutamol as premedication, and she showed the decrease of PEF after about six hours. Though the safety of the inhalation of hypertonic saline has been reported,^{14,15)} the late asthmatic response should be monitored, especially when the patient has a low FEV₁% and an attack during the inhalation. The success rate of gathering the sputum after the inhalation of hypertonic saline was 79.2%¹⁴⁾ and 96%⁹⁾ in earlier studies. In the present study, all patients expectorated adequate sputum following inhalation of 3% saline, but we emphasize that to achieve an adequate quality and quantity of sputum, the patients made efforts to deeply inhale the hypertonic saline. In our preliminary study, we sometimes could not gather adequate samples without deep inhalation by the subjects.

Inhaled corticosteroid therapy has been established as one of the most effective treatments for chronic asthma. Inhaled corticosteroids have also been shown to reduce the number and activation of inflammatory cells in the airway in biopsy studies.^{5,10,13)} Laitinen et al. reported that there was a reduction in the numbers of eosinophils, macrophages, mast cells, and lymphocytes after 3 months of inhaled corticosteroid therapy.¹³⁾ Similar results have been reported in bronchoalveolar lavage of asthmatic patients, with a reduction in eosinophil number after the treatment of inhaled budesonide.¹⁾ The eosinophil percentage in induced sputum correlated significantly with those in bronchoalveolar lavage⁷⁾, so sputum induction is a non-invasive, useful method to evaluate the change of the bronchial eosinophilic inflammation with the treatment of BDP.

Continuous treatment with inhaled steroids reduces airway

responsiveness to inhaled histamine and methacholine in asthmatic patients. Several studies have shown an improvement of FEV₁% and a reduction in bronchial hyperresponsiveness after steroid inhalation for 2 or 3 months.^{6,11,21)} In our present study, to elucidate the effect of BDP on FEV₁% and hyperresponsiveness, the subjects inhaled BDP at least for 3 months. Though their symptoms was improved and the number of procaterol use was respectively decreased, the percentage of eosinophils in the induced sputum, FEV₁% and Dmin valeus were not changed after BDP treatment at some patients (Nos. 6-10). Nos. 6-10 subjects were moderate asthmatic patients and there may heva been a difference of severity of disease between Nos.1-5 subjects and Nos.6-10 subjects. We suspected that the possible reasons why there were no remarkable changes of the percentage of eosinophils in induced sputum, FEV₁% and Dmin in Nos.6-10 subjects were that the subjects probably had undertreatment of BDP, shorter periods of BDP treatment. The limitation of this study was the difficulty to assessment for the seasonal variation of airway inflammation in atopic asthmal patients. Although all subjects participated in November and December in our study, periods of BDP treatment were not fixed because of the variation of their symptom's improvement.

The changes in the percentage of eosinophils in the bronchoalveolar lavage were also found to be significantly correlated with bronchial hyperresponsiveness, in addition to FEV₁%.^{8,12,17,19,20)} Moreover, in induced sputum studies, the percentage of eosinophils showed a significant correlation both FEV₁% and PC20-histamine.^{4,14)} However, Iredale et al. reported that they found no correlation between PD20-hypertonic saline (HS) and %eosinophils on differential cell counts in induced sputum samples.⁹⁾ It is still controversial as to whether the relationship between the percentage of eosinophils in induced sputum samples and bronchial hyperresponsiveness is significant. In our study, there was a significant correlation between the percentage of eosinophils in induced sputum and FEV₁%, but we found no correlation between the percentage of eosinophils and Dmin. We showed that the change of %eosinophils in the differential cell counts of induced sputum between before and after treatment had a significant correlation with the changes of FEV₁% and Dmin. We suspect that the %eosinophils in differential cell counts of induced sputum samples does not always reflect the severity of bronchial

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hyperresponsiveness. However, we suggest that this parameter (%eosinophils) is useful to repeatably evaluate the clinical condition of asthmatic patients during treatment.

In conclusion, the results of this study showed that there was no correlation between the percentage of eosinophils in induced sputum and Dmin, but that the change in %eosinophils in differential cell counts in induced sputum before and after treatment had significant correlations with the changes in FEV1% and Dmin before and after treatment. It is very important to examine the efficacy of therapy over a long term in asthmatic treatment. The gathering of induced sputum is safe, noninvasive and repeatable, and the analysis of differential cell counts is very useful to evaluate the clinical condition of bronchial inflammation in asthmatic patients who are treated with anti-inflammatory medications.

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