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## A Surgical View of Alexander Invariants of Links

By Yasutaka NAKANISHI<sup>\*</sup>

### 1. Introduction

An *n*-components link  $L = K_1 \cup \cdots \cup K_n$  is an ordered collection of  $n$  disjoint simple closed oriented curves  $K_i$ 's in a three dimensional sphere  $S^3$ . Two links are said to have the *same link type* if there is an orientation-preserving homeomorphism from  $S^3$  to itself, which maps one link into the other, preserving the orientation and order of the components.

An *Alexander matrix*  $M_L(t_1, \cdots, t_n)$  of  $L$  is a presentation matrix of the first homology group  $H_1(\tilde{X}_\alpha)$  as a  $\Lambda$ -module, where  $\tilde{X}_\alpha$  means the universal abelian covering space of the exterior  $X$  of  $L$  and  $\Lambda$  means the integral group-ring  $ZH_1(X)$ ; we can see  $ZH_1(X) = \Lambda$  as the Laurent polynomial ring  $Z[t_1, t_1^{-1}, \cdots, t_n, t_n^{-1}]$  where  $t_i$  is always taken to be represented by the meridian of  $K_i$ . An Alexander polynomial  $\Delta_L(t_1, \cdots, t_n)$  of  $L$  is a generator of the smallest principal ideal containing the order ideal of  $M_L(t_1, \cdots, t_n)$  ([3], [7]).

In this paper, we will give a characterization of Alexander matrices

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of 2-components links (Theorems 4 and 5) and prove that the Torres's conditions are sufficient for 2-components links with the linking number 0 and 1 (Theorem 7).

For a manifold  $X$ ,  $\partial X$  means the boundary of  $X$  and  $^{\circ}X$  means the interior of  $X$ . For a manifold-pair  $(X, Y)$ ,  $N(Y)$  means the tubular neighbourhood of a submanifold  $Y$  in  $X$ .

Throughout this paper, we work in piecewise linear category.

## 2. 2-components links

**Proposition 1.** *A  $(2, 2k)$ -torus link may be expressed as the union of two ball-pairs  $(B^3, B^1 \cup B^1) \cup_{\theta} (B'^3, B'^1 \cup B'^1)$  shown in Figure 1, where  $\theta : \partial B^3 \rightarrow \partial B'^3$  is an orientation-preserving homeomorphism with  $\theta(y) = y'$  and  $\theta(z) = z'$  and  $\theta(d_i) = d'_i$  ( $i = 1, 2, 3, 4$ ).*

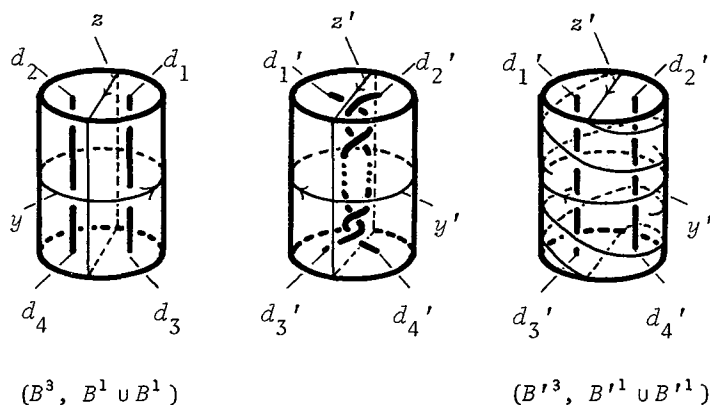


Figure 1

In Figure 1, the points  $d_i$  (resp.  $d'_i$ ) are  $\partial(B^1 \cup B^1)$  (resp.  $\partial(B'^1 \cup B'^1)$ ) and the oriented circles  $y, z$  (resp.  $y', z'$ ) are on  $\partial B^3$  (resp.  $\partial B'^3$ ),

and the middle figure shows the  $k$ -full twisted  $(B'^3, B'^1 \cup B'^1)$ . The observation on Figure 1 will suggest the proof of Proposition 1.

A link  $L$  is said to be *transformed* to a link  $L'$  iff there is a finite sequence of links  $L = L_0, \dots, L_n = L'$  satisfying the followings:

- (1)  $L_{i+1}$  is ambient isotopic to  $L_i$ ,
- or (2)  $L_{i+1}$  is obtained from  $L_i$  by replacing subarcs of the same component in a local 3-ball as in Figure 2.



Figure 2

We can easily show the following fact.

**Proposition 2a.** *For any 2-components link with the linking number  $k$ , a  $(2, 2k)$ -torus link can be transformed to the given one.*

Proposition 2a can be stated in a surgical description ([8], [9], [11], [12]) as follows.

**Proposition 2b.** *Let  $L = K_1 \cup K_2$  be a 2-components link with the linking number  $k$  in  $S^3$  and let  $L_0$  be a  $(2, 2k)$ -torus link in  $S^3$ . Then there exist  $v$  disjoint solid tori  $T_1, \dots, T_v$  in  $S^3 - L_0$  and a homeomorphism  $\phi$  from  $S^3 - \circ T_1 \cup \dots \cup \circ T_v$  to itself such that*

- (1)  $\phi(L_0) = L$ ,

- (2)  $T_1 \cup \dots \cup T_\nu$  is a trivial link,  
 (3)  $lk(T_i, \phi^{-1}(K_j)) = lk(T_i, K_j) = 0$  for all  $i, j$ ,  
 (4)  $\phi(\partial T_i) = \partial T_i$  and  $lk(\mu_i', T_i) = 1$  where  $\mu_i \subset \partial T_i$  is a meridian of  $T_i$  and  $\mu_i' = \phi^{-1}(\mu_i)$ ,  
 (5)  $T_i$ 's are in  $B'^3$  when  $S^3$  is separated as in Proposition 1.

In Proposition 2b and hereafter, for convenience, we use the same symbol for a solid torus and its core unless confusion.

First we consider the universal abelian covering space  $\tilde{X}_{\alpha 0}$  of the exterior of  $L_0$ . Let  $D$  (resp.  $D', F, F'$ ) be the closure of  $B^3 - N(B^1 \cup B^1)$  (resp.  $B'^3 - N(B'^1 \cup B'^1)$ ,  $\partial B^3 - \partial N(B^1 \cup B^1)$ ,  $\partial B'^3 - \partial N(B'^1 \cup B'^1)$ ). Then  $D \cup_\theta D'$  is homeomorphic to the exterior of  $L_0$ . We denote by  $\tilde{D}$  (resp.  $\tilde{D}', \tilde{F}, \tilde{F}'$ ) the lift of  $D$  (resp.  $D', F, F'$ ) in  $\tilde{X}_{\alpha 0}$ . In Figure 3, (i) shows  $D'$  (resp.  $D$ ) and the oriented circles  $\sigma, \omega$  (resp.  $s, z$ ) on  $F'$  (resp.  $F$ ), (ii) shows a fundamental region of  $\tilde{D}'$  (resp.  $\tilde{D}$ ), and (iii) shows  $\tilde{D}'$  (resp.  $\tilde{D}$ ) which is visualized in  $R^3$  where  $\tilde{\sigma}$  or  $\tilde{\omega}$  (resp.  $\tilde{s}$  or  $\tilde{z}$ )

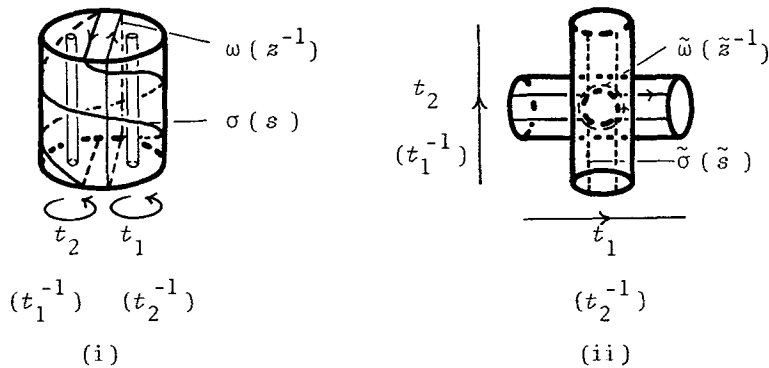


Figure 3

is a component of the lift of  $\sigma$  or  $\omega$  (resp.  $s$  or  $z$ ) respectively.

Let  $\eta$  be an inclusion map from  $F$  to  $D$  and let  $\eta'$  be a composite map of a restriction map of  $\theta$  to  $F$  and an inclusion map from  $F'$  to  $D'$ . We denote by  $\tilde{\theta}$  (resp.  $\tilde{\eta}$ ,  $\tilde{\eta}'$ ) a lift of  $\theta$  (resp.  $\eta$ ,  $\eta'$ ). Then

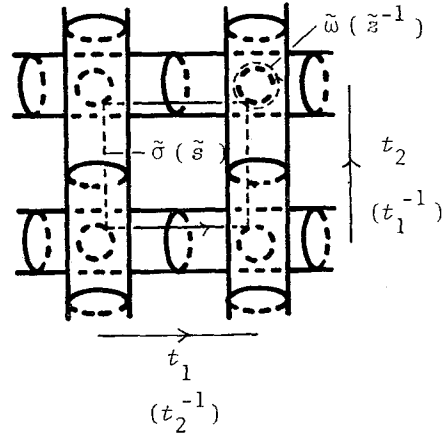


Figure 3 (iii)

$\tilde{\eta}'(\tilde{s})$  and  $\tilde{\eta}'(\tilde{z})$  are homologous to

$$\frac{(t_1 t_2)^{k+1} - 1}{t_1 t_2 - 1} \tilde{\sigma} + \frac{(t_1 t_2)^k - 1}{t_1 t_2 - 1} \tilde{\omega} \quad \text{and} \quad \frac{(t_1 t_2)^k - 1}{t_1 t_2 - 1} \tilde{\sigma} + \frac{(t_1 t_2)^{k-1} - 1}{t_1 t_2 - 1} \tilde{\omega} \quad \text{respec-}$$

tively. In the case  $k = 2$ , they appear as in Figure 4, (i) shows  $\eta'(z)$  on  $F'$ , (ii) shows  $\eta'(s)$  on  $F'$ , and (iii) shows  $\tilde{\eta}'(\tilde{z})$ ,  $\tilde{\eta}'(\tilde{s})$  on  $\tilde{F}'$ .

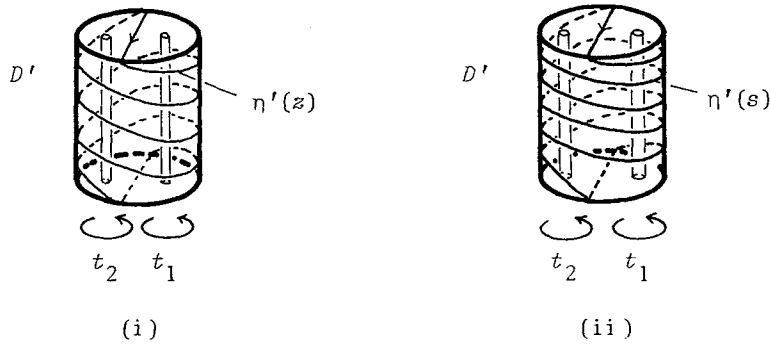


Figure 4

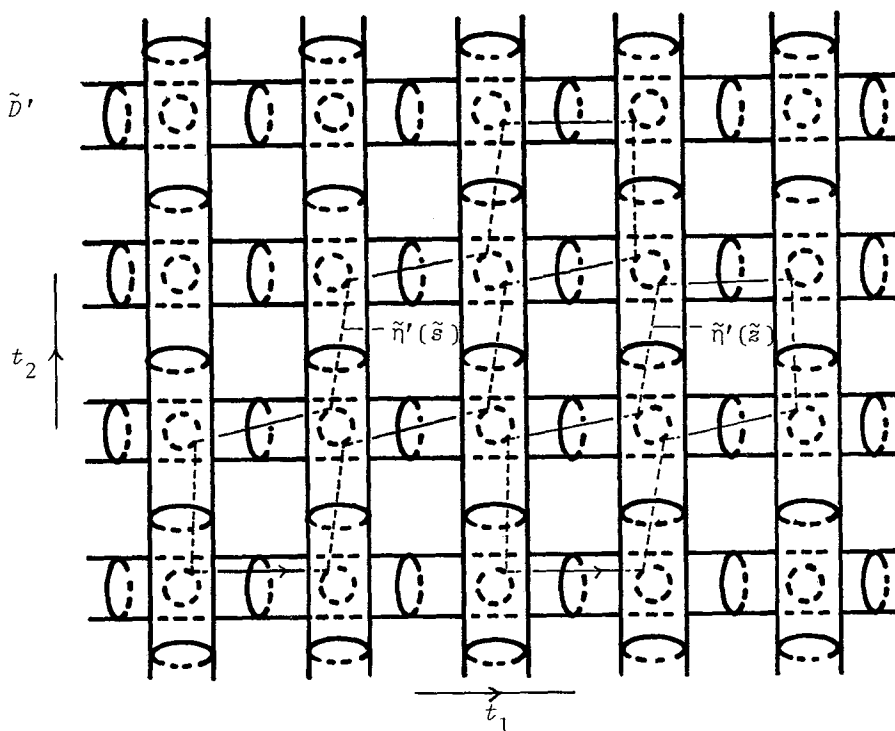


Figure 4 (iii)

We denote by  $\langle \dots : \dots \rangle$  a presentation of a homology group as a  $\Lambda = \mathbb{Z}[t_1, t_1^{-1}, t_2, t_2^{-1}]$ -module. Then we have

$$H_1(\tilde{F}) \cong \langle \tilde{z}, \tilde{s} : \rangle,$$

$$H_1(\tilde{D}) \cong \langle \tilde{s} : \rangle,$$

$$H_1(\tilde{D}') \cong \langle \tilde{\sigma} : \rangle.$$

We have the following exact sequence by the Mayer-Vietoris theorem.

$$H_1(\tilde{F}) \xrightarrow{\tilde{\eta}_* \oplus \tilde{\eta}'_*} H_1(\tilde{D}) \oplus H_1(\tilde{D}') \longrightarrow H_1(\tilde{X}_{\alpha 0}) \longrightarrow 0.$$

(The maps  $\tilde{\eta}_*$ ,  $\tilde{\eta}'_*$  are homomorphisms induced by  $\tilde{\eta}$ ,  $\tilde{\eta}'$  respectively.)

Since  $\text{Im}(\tilde{\eta}_* \oplus \tilde{\eta}'_*)$  is generated by  $\tilde{s} \oplus \tilde{\eta}'(\tilde{s})$  and  $0 \oplus \tilde{\eta}'(\tilde{z})$ , we have

$$H_1(\tilde{X}_{\alpha 0}) \cong H_1(\tilde{D}') / \langle \tilde{\eta}'(\tilde{z}) \rangle.$$

We have two universal abelian covering spaces;  $p: \tilde{X}_\alpha \rightarrow S^3 - \circ N(L)$ , which we wish to study, and  $p_0: \tilde{X}_{\alpha 0} \rightarrow S^3 - \circ N(L_0)$ , which is understood in the above. We know that  $T_i$ 's in Proposition 2b are lifted to solid tori in either covering space from Proposition 2b (3). Let  $\tilde{T}_i \subset \tilde{X}_{\alpha 0}$  be a fixed component of the lift of  $T_i$ , so  $p_0^{-1}(T_i) = \bigcup_{u,v} t_1^u t_2^v \tilde{T}_i$  in  $\tilde{X}_{\alpha 0}$  and let  $\tilde{\mu}_i'$  be the component of the lift of  $\mu_i'$  in  $\partial \tilde{T}_i$ . Then  $\tilde{X}_\alpha$  may be obtained from  $\tilde{X}_{\alpha 0}$  by removing the disjoint solid tori  $t_1^u t_2^v \tilde{T}_i$  ( $i=1, \dots, v$ ;  $u, v = 0, \pm 1, \dots$ ) and sewing back, by  $\psi$ , each so that its meridian coincides to  $t_1^u t_2^v \tilde{\mu}_i'$ .

The linking number of two circles in  $\tilde{D}'$  means the linking number in  $R^3$  in which  $\tilde{D}'$  is embedded as in Figure 4 (iii).

Let us calculate  $H_1(\tilde{X}_\alpha)$ . For each  $i = 1, \dots, v$ , we choose a 1-cycle  $\tilde{\alpha}_i$  in  $\tilde{X}_{\alpha 0} = \bigcup_{u,v,i} t_1^u t_2^v \circ \tilde{T}_i$  dual to  $\tilde{T}_i$  in the sense that

$$\text{lk}(\tilde{\alpha}_i, t_1^u t_2^v \tilde{T}_j) = \begin{cases} 1 & i = j \text{ and } u = v = 0, \\ 0 & \text{otherwise.} \end{cases}$$

Let  $\tilde{E}' = \tilde{D}' - \bigcup_{u,v,i} t_1^u t_2^v \circ \tilde{T}_i$ . Since  $\tilde{E}'$  is invariant under covering translation of  $\tilde{D}'$ ,  $H_1(\tilde{E}')$  is a  $\Lambda$ -module. From the exact sequence of  $\Lambda$ -modules

$$0 = H_2(\tilde{D}') \longrightarrow H_2(\tilde{D}', \tilde{E}') \longrightarrow H_1(\tilde{E}') \longrightarrow H_1(\tilde{D}') \longrightarrow H_1(\tilde{D}', \tilde{E}') = 0$$

and the fact that, by excision,  $H_2(\tilde{D}', \tilde{E}')$  is the free  $\Lambda$ -module on generators represented by  $v$  meridian disks, we have that  $H_1(\tilde{E}')$  is a free

$\Lambda$ -module on generators  $\tilde{\sigma}, \tilde{\alpha}_1, \dots, \tilde{\alpha}_v$ . Since  $\tilde{X}_\alpha \cong (\tilde{E}' \cup_{\psi} \bigcup_{u,v,i} t_1^u t_2^v \tilde{T}_i) \cup_{\tilde{\theta}} \tilde{D}$ ,

we have  $H_1(\tilde{X}_\alpha) \cong \langle \tilde{\sigma}, \tilde{\alpha}_1, \dots, \tilde{\alpha}_v : \tilde{\eta}'(\tilde{z}) = \tilde{\mu}_1' = \dots = \tilde{\mu}_v' = 0 \rangle$ .

**Example.** For the link  $L = 9^2_2$  ([12]) as in Figure 5 (i), we have

$$H_1(\tilde{X}_\alpha) \cong \langle \tilde{\sigma}, \tilde{\alpha}_1, \tilde{\alpha}_2 : \tilde{\eta}'(\tilde{z}) = \tilde{\mu}_1' = \tilde{\mu}_2' = 0 \rangle.$$

In Figure 5, we obtain (i) from (ii) by +1-surgeries along  $T_1$  and  $T_2$  where the meridians of  $T_1$  and  $T_2$  in (i) are the images of  $\mu_1'$  and  $\mu_2'$  respectively. (ii) is deformed to (iii), in which the area surrounded by dotted line is  $B'^3$ . (iv) shows a fundamental region of  $\tilde{D}'$ . Simplifying (iv) to (v), we have (vi) which shows  $\tilde{D}'$  and the lifts of  $T_1$  and  $T_2$ .

From (vi), we can see that

$$\begin{aligned} \tilde{\eta}'(\tilde{z}) &= (1 + t_1 t_2) \tilde{\sigma} + (1 - t_1)(1 - t_2) \tilde{\alpha}_2, \\ \tilde{\mu}_1' &= (-t_1 + 1 - t_1^{-1}) \tilde{\alpha}_1 + (-t_1^{-1} t_2^{-1} + t_2^{-1}) \tilde{\alpha}_2, \\ \tilde{\mu}_2' &= \tilde{\sigma} + (-t_1 t_2 + t_2) \tilde{\alpha}_1 + (-t_1 + 1 - t_1^{-1}) \tilde{\alpha}_2. \end{aligned}$$

Thus we have an Alexander matrix  $M_L = M_L(t_1, t_2)$  of  $L$ ;

$$M_L = \begin{pmatrix} 1 + t_1 t_2 & 0 & (1 - t_1)(1 - t_2) \\ 0 & -t_1 + 1 - t_1^{-1} & -t_1^{-1} t_2^{-1} + t_2^{-1} \\ 1 & -t_1 t_2 + t_2 & -t_1 + 1 - t_1^{-1} \end{pmatrix}.$$

and an Alexander polynomial  $\Delta_L = \Delta_L(t_1, t_2) = \det M_L$ ;

$$\Delta_L = 1 - 2t_1 + 3t_1^2 - 3t_1^3 + 2t_1^4 + 2t_1 t_2 - 3t_1^2 t_2 + 3t_1^3 t_2 - 2t_1^4 t_2 + t_1^5 t_2.$$

**Remark.** The Alexander polynomial of  $9^2_2$  in [12] is incorrect. We will note errata in [12] within sight in Appendix.

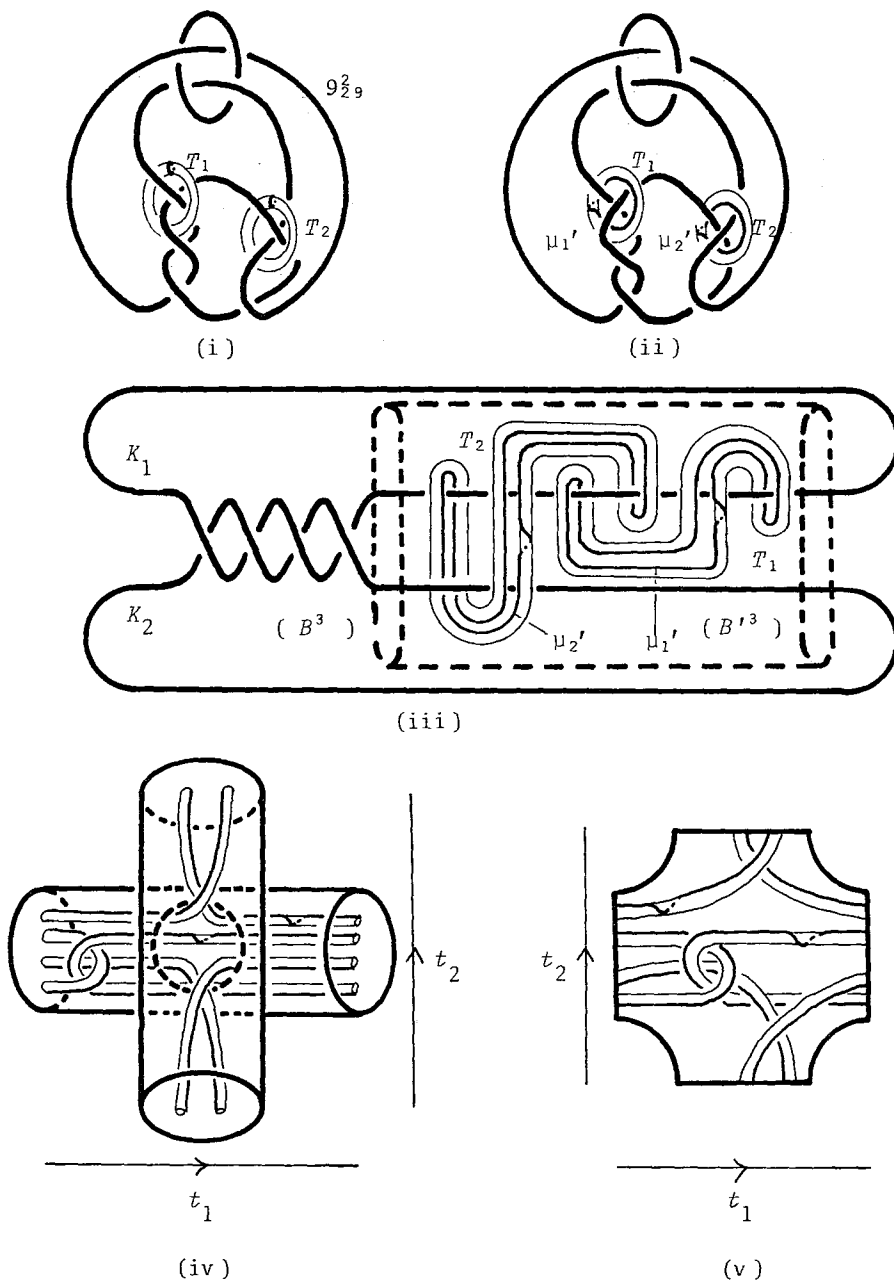


Figure 5

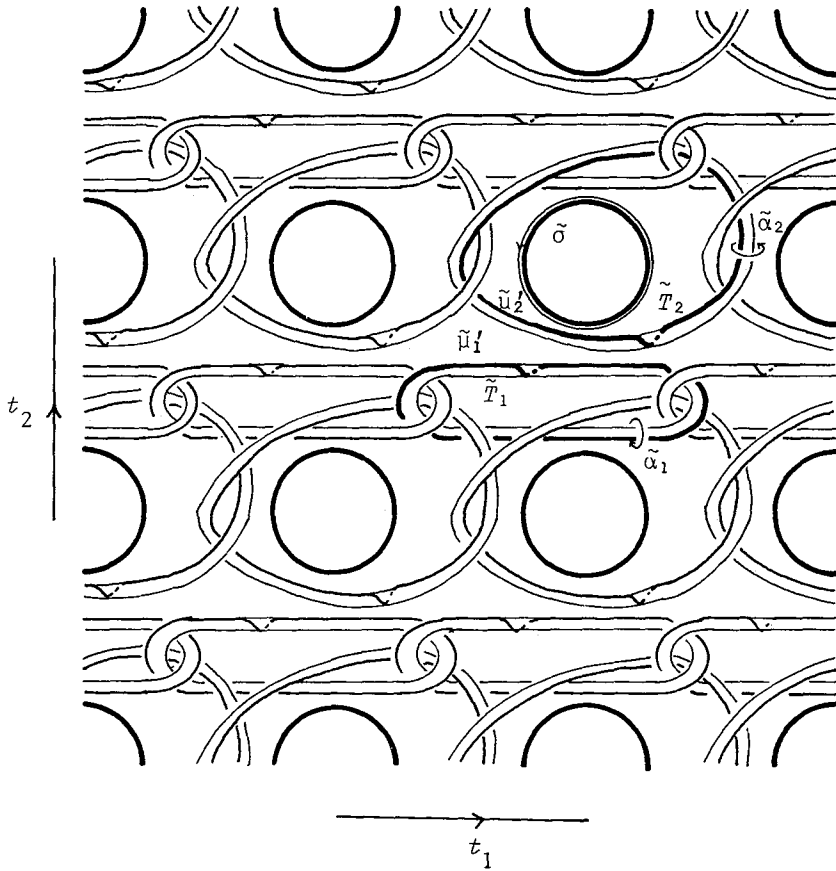


Figure 5 (vi)

Now we consider coefficients of Alexander matrices. We present  $\tilde{\mu}_i'$ ,  $\tilde{\eta}'(\tilde{z})$  as linear-combinations of  $\tilde{\sigma}$ ,  $\tilde{\alpha}_1, \dots, \tilde{\alpha}_v$  as follows.

$$(a) \quad \tilde{\mu}_i' = f_i(t_1, t_2) \tilde{\sigma} + \sum_{1 \leq j \leq v} m_{ij}(t_1, t_2) \tilde{\alpha}_j,$$

$$(b) \quad \tilde{\eta}'(\tilde{z}) = \frac{(t_1 t_2)^k - 1}{t_1 t_2 - 1} \tilde{\sigma} + \frac{(t_1 t_2)^{k-1} - 1}{t_1 t_2 - 1} \tilde{\omega},$$

$$\text{where } \tilde{\omega} = \sum_{1 \leq i \leq v} \left( \sum_{u,v} lk(\tilde{\omega}, t_1^u t_2^v \tilde{T}_i) t_1^u t_2^v \tilde{\alpha}_i \right).$$

Pushing  $\tilde{\sigma}$  off  $\tilde{F}'$  into  $\tilde{D}'$ , we denote it by  $\hat{\sigma}$ . Then we have

$$lk(\tilde{\omega}, t_1^u t_2^v \hat{\sigma}) = (\delta_{u,0} - \delta_{u,1})(\delta_{v,0} - \delta_{v,1})$$

where  $\delta_{ij}$  means Kronecker's delta. Since  $\tilde{T}_i$  is homologous to  $f_i(t_1, t_2)\hat{\sigma}$  in  $\tilde{D}'$ , we have

$$\sum_{u,v} lk(\tilde{\omega}, t_1^u t_2^v \tilde{T}_i) t_1^u t_2^v = f_i(t_1^{-1}, t_2^{-1})(1-t_1)(1-t_2).$$

Hence we have

$$(b') \quad \tilde{\eta}'(\tilde{z}) = \frac{(t_1 t_2)^k - 1}{t_1 t_2 - 1} \tilde{\sigma} + \frac{(t_1 t_2)^{k+1} - 1}{t_1 t_2 - 1} (1-t_1)(1-t_2) \sum_{1 \leq i \leq v} f_i(t_1^{-1}, t_2^{-1}) \tilde{\alpha}_i.$$

We will discuss the Alexander matrix  $M_L$ .

**Lemma 3.** *Between the coefficients of relations (a), we have*

$$(1) \quad m_{ij}(t_1, t_2) = m_{ji}(t_1^{-1}, t_2^{-1}),$$

$$(2) \quad |m_{ij}(1, 1)| = \delta_{ij}.$$

*Proof.* (1) is a consequence of the following equations.

$$\begin{aligned} lk(\tilde{\mu}_i', t_1^u t_2^v \tilde{T}_j) &= lk(\tilde{T}_i, t_1^u t_2^v \tilde{T}_j) \quad (\text{except when } i=j \text{ and } u=v=0) \\ &= lk(t_1^{-u} t_2^{-v} \tilde{T}_i, \tilde{T}_j) \\ &= lk(\tilde{T}_j, t_1^{-u} t_2^{-v} \tilde{T}_i) \\ &= lk(\tilde{\mu}_j', t_1^{-u} t_2^{-v} \tilde{T}_i). \end{aligned}$$

(2) is a consequence of the following equations.

$$lk(T_i, T_j) = \sum_{u,v} lk(\tilde{T}_i, t_1^u t_2^v \tilde{T}_j) = \sum_{u,v} lk(t_1^u t_2^v \tilde{T}_i, \tilde{T}_j).$$

**Theorem 4.** *Let  $L = K_1 \cup K_2$  be a 2-components link with  $lk(K_1, K_2) = k$ .*

*Then an Alexander matrix of  $L$  is equivalent to the matrix of the form:*

$$\begin{pmatrix} \frac{(t_1 t_2)^k - 1}{t_1 t_2 - 1} & g_1(t_1, t_2) & \cdots & g_v(t_1, t_2) \\ f_1(t_1, t_2) & m_{11}(t_1, t_2) & \cdots & m_{1v}(t_1, t_2) \\ \vdots & \vdots & & \vdots \\ f_v(t_1, t_2) & m_{v1}(t_1, t_2) & \cdots & m_{vv}(t_1, t_2) \end{pmatrix}$$

satisfying the following properties

- (1)  $m_{ij}(t_1, t_2) = m_{ji}(t_1^{-1}, t_2^{-1})$ ,
- (2)  $|m_{ij}(1, 1)| = \delta_{ij}$ ,
- (3)  $g_i(t_1, t_2) = \frac{(t_1 t_2)^{k-1} - 1}{t_1 t_2 - 1} (1 - t_1)(1 - t_2) f_i(t_1^{-1}, t_2^{-1})$ .

Considering carefully the above argument, we can see that the converse to Theorem 4 is valid. That is

**Theorem 5.** *For any matrix of the form in Theorem 4 satisfying the properties (1), (2) and (3) there exists a 2-components link  $L$  in  $S^3$  whose Alexander matrix is equivalent to the given one.*

By Theorem 4, we have

$$\det M_L(t_1, t_2) = \frac{(t_1 t_2)^k - 1}{t_1 t_2 - 1} \det M' + \frac{(t_1 t_2)^{k-1} - 1}{t_1 t_2 - 1} \det M'' (1 - t_1)(1 - t_2),$$

$$\text{where } M' = \begin{pmatrix} m_{11}(t_1, t_2) & \cdots & m_{1v}(t_1, t_2) \\ \vdots & & \vdots \\ m_{v1}(t_1, t_2) & \cdots & m_{vv}(t_1, t_2) \end{pmatrix},$$

$$M'' = \begin{pmatrix} 0 & f_1(t_1^{-1}, t_2^{-1}) & \cdots & f_v(t_1^{-1}, t_2^{-1}) \\ f_1(t_1, t_2) & & & \\ \vdots & & M' & \\ f_v(t_1, t_2) & & & \end{pmatrix}.$$

From  $m_{ij}(t_1, t_2) = m_{ji}(t_1^{-1}, t_2^{-1})$ , we have

$$\det M_L(t_1, t_2) = t_1^{c_1} t_2^{c_2} \det M_L(t_1^{-1}, t_2^{-1}) \text{ for some } c_i \equiv k+1 \pmod{2}.$$

It can be seen that  $M'|_{t_2=1}$  and  $M'|_{t_1=1}$  are Alexander matrices of  $K_1$  and  $K_2$  respectively. Hence we have the Torres's conditions [14, 15] for 2-components links. That is

**Corollary 6.** *Let  $\Delta_L(t_1, t_2)$  be an Alexander polynomial of a link  $L = K_1 \cup K_2$  in  $S^3$  with  $k = lk(K_1, K_2)$ . Then we have*

$$(1) \quad \Delta_L(t_1, 1) \doteq \frac{t_1^k - 1}{t_1 - 1} \Delta_{K_1}(t_1),$$

$$(2) \quad \Delta_L(1, t_2) \doteq \frac{t_2^k - 1}{t_2 - 1} \Delta_{K_2}(t_2),$$

(3)  $\Delta_L(t_1, t_2) = t_1^{c_1} t_2^{c_2} \Delta_L(t_1^{-1}, t_2^{-1})$  for some  $c_i \equiv k+1 \pmod{2}$ , where  $\Delta_{K_i}(t_i)$  is an Alexander polynomial of  $K_i$  and  $\doteq$  means "equal up to units".

**Question.** *Given any polynomial  $\Delta(t_1, t_2)$  with 2-variables  $t_1, t_2$  which satisfies*

$$(1) \quad \Delta(t_1, 1) \doteq \frac{t_1^k - 1}{t_1 - 1} \Delta_1(t_1) \text{ with } \Delta_1(t_1) \doteq \Delta_1(t_1^{-1}), \quad |\Delta_1(1)| = 1,$$

$$(2) \quad \Delta(1, t_2) \doteq \frac{t_2^k - 1}{t_2 - 1} \Delta_2(t_2) \text{ with } \Delta_2(t_2) \doteq \Delta_2(t_2^{-1}), \quad |\Delta_2(1)| = 1,$$

$$(3) \quad \Delta(t_1, t_2) = t_1^{c_1} t_2^{c_2} \Delta(t_1^{-1}, t_2^{-1}) \text{ for some } c_i \equiv k+1 \pmod{2},$$

$$(4) \quad |\Delta(1, 1)| = k.$$

Does there exist a 2-components link  $L$  in  $S^3$  whose Alexander polynomial is equal to  $\Delta(t_1, t_2)$  up to units?

[9], [13] give a proof for the case  $k = 1$ , [6] gives a counterexample for the case  $k = 6$ . In Theorem 7, we give a partial answer.

**Theorem 7.** *The answer for the case  $k = 0, 1$  in Question is "Yes".*

*Proof.* In the case  $k = 1$ ,  $\Delta(t_1, t_2) = \det \begin{pmatrix} 1 & 0 \\ 0 & \Delta(t_1, t_2) \end{pmatrix}$  implies

the existence of a required link by Theorem 5.

In the case  $k = 0$ , we may assume

$$\Delta(t_1, t_2) = (1 - t_1)(1 - t_2)\Delta'(t_1, t_2) \text{ with } \Delta'(t_1, t_2) = \Delta'(t_1^{-1}, t_2^{-1}).$$

We may suppose

$$\begin{aligned} \Delta'(t_1, t_2) = & \sum_{\substack{-\kappa \leq i \leq \kappa \\ 1 \leq j \leq \lambda}} \alpha_{ij} (t_1^i t_2^j + \sum_{0 \leq v \leq j-1} t_2^v) (t_1^{-i} t_2^{-j} + \sum_{0 \leq v \leq j-1} t_2^{-v}) \\ & + \sum_{-\kappa \leq i \leq \kappa} \alpha_{i0} \left( \sum_{0 \leq u \leq i} t_1^u \right) \left( \sum_{0 \leq u \leq i} t_1^{-u} \right) + \alpha_{00}. \end{aligned}$$

We denote

$$i_j^f = i_j^f(t_1, t_2) = \begin{cases} t_1^i t_2^j + \sum_{0 \leq v \leq j-1} t_2^v & (j \neq 0), \\ \sum_{0 \leq u \leq i} t_1^u & (j = 0), \end{cases}$$

$$i_j^g = i_j^g(t_1, t_2) = (1 - t_1)(1 - t_2) i_j^f(t_1^{-1}, t_2^{-1}),$$

[illegible]

The matrix  $P$

$s_{ij}$  = the sign of  $a_{ij}$ ,

$b_{ij} = |a_{ij}|$ .

Consider the preceding matrix  $P$ , it is easily seen that  $\det P$  becomes equal to  $\Delta(t_1, t_2)$ . That implies the existence of a required link.

Note. We will give a surgical description of a link corresponding to the matrix  $P$ . Consider ball-pairs  $i_j^D w$  ( $-\kappa \leq i \leq \kappa$ ,  $0 \leq j \leq \lambda$ ,  $1 \leq w \leq b_{ij}$ ) which include the solid tori  $i_j^T w$  with  $-s_{ij}$ -surgery respectively as in Figure 6. We attach the bottom face of  $i_j^D w$  to the top face of  $i_j^{D w+1}$  for  $1 \leq w \leq b_{ij}-1$ , and get  $i_j^D = i_j^{D 1} \cup \dots \cup i_j^{D b_{ij}}$ . Further we attach the bottom face of  $i_j^D$  to the top face of  $i_{j+1}^D$  for  $0 \leq i \leq \kappa-1$ ,  $j=0$  or  $-\kappa \leq i \leq \kappa-1$ ,  $1 \leq j \leq \lambda$ , and the bottom face of  $\kappa_j^D$  to the top face of  $-\kappa_{j+1}^D$  for  $0 \leq j \leq \lambda-1$ , and we obtained the surgery description  $D' = {}_{00}^D \cup \dots \cup_{\kappa\lambda}^D$  of a required link  $L$  corresponding to  $P$ . Now the linking number of  $L$  is 0 and the Alexander matrix becomes equivalent to  $P$ .

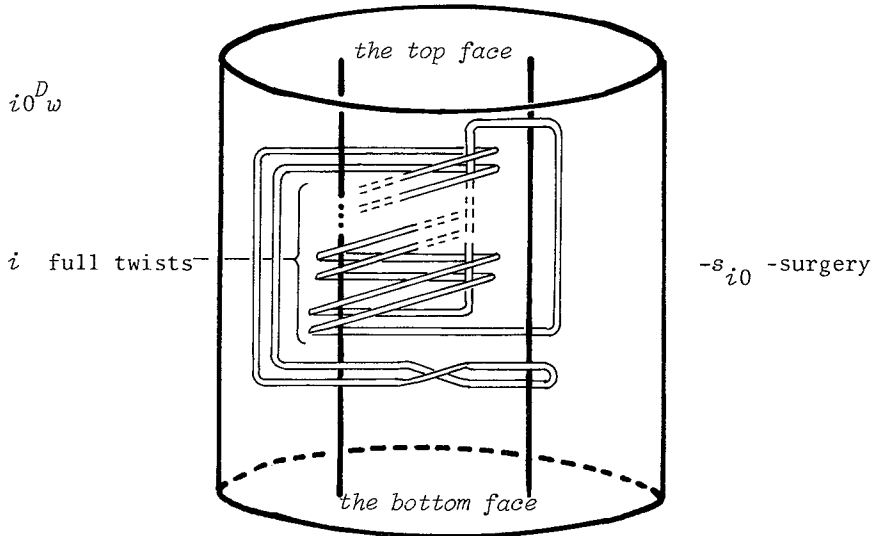


Figure 6 (i)

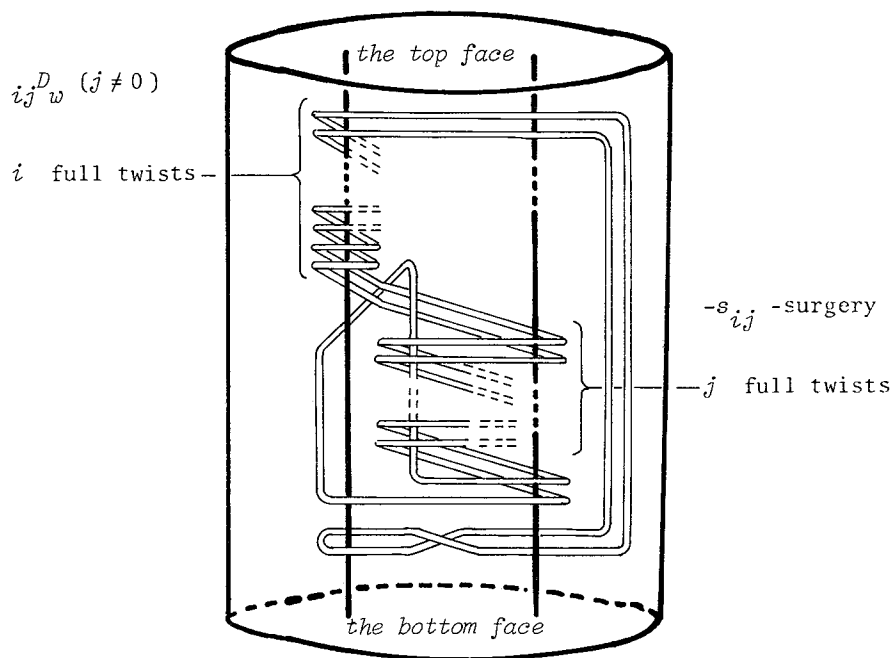


Figure 6 (ii)

### 3. $n$ -components links ( $n \geq 3$ )

**Proposition 8.** *For any  $n$ -components link, there exists a closed pure  $n$ -braid  $([10])$  which can be transformed to the given one.*

Let  $\tilde{X}_\alpha$  be the universal abelian covering space of the exterior of  $L$ , then we have the following presentation of  $H_1(\tilde{X}_\alpha)$  as a  $\Lambda = \mathbb{Z}[t_1, t_1^{-1}, \dots, t_n, t_n^{-1}]$ -module in a similar way to Section 2.

$$H_1(\tilde{X}_\alpha) \cong \langle \tilde{\sigma}_{ij}, \tilde{\alpha}_h \ (1 \leq i, j \leq n, \ 1 \leq h \leq v) \rangle:$$

$$\tilde{\rho}_{ijk}, \tilde{\eta}'(\tilde{z}_l), \tilde{\mu}_h' \ (1 \leq i, j, k \leq n, \ 1 \leq l \leq n-1, \ 1 \leq h \leq v) \rangle,$$

where  $\tilde{\rho}_{ijk} = (1 - t_k)\tilde{\sigma}_{ij} + (1 - t_i)\tilde{\sigma}_{jk} + (1 - t_j)\tilde{\sigma}_{ki}$  with  $\tilde{\sigma}_{ij} = -\tilde{\sigma}_{ji}$ , and  $\tilde{\eta}'(\tilde{z}_l)$  and  $\tilde{\mu}_h'$  are  $\Lambda$ -linear combinations which depend on a surgical description. Thus we have an Alexander matrix with  $\binom{v}{3} + v - 1 + n$  rows and  $\binom{v}{2} + v$  columns.

Generally, it is hard to characterize Alexander matrices of links, but this surgical method will give us a method of characterization for the individual class of links which can be transformed to the same closed pure braid. For example, we note the following without proof.

**Theorem 9.** *If an  $n$ -components link  $L$  can be transformed to a trivial link, then Alexander matrices of  $L$  are characterized by the following;*

$$\begin{array}{c} \vdots \\ \tilde{\rho}_{uvw} \\ \vdots \\ \tilde{\eta}'(\tilde{z}_1) \\ \vdots \\ \tilde{\eta}'(\tilde{z}_{n-1}) \\ \vdots \\ \tilde{\mu}_1' \\ \vdots \\ \tilde{\mu}_v' \end{array} \left[ \begin{array}{cccc|cccc} \cdot & \cdot & \cdot & \tilde{\sigma}_{uv} & \tilde{\sigma}_{vw} & \tilde{\sigma}_{wu} & \cdot & \cdot & \cdot & \tilde{\alpha}_1 & \cdot & \cdot & \cdot & \tilde{\alpha}_v \\ 0 & \cdot & \cdot & 0 & 1-t_w & 1-t_u & 1-t_v & 0 & \cdot & \cdot & 0 & & \bigcirc & \\ \hline & & & & & & & & & 1^{g_1} & \cdot & \cdot & \cdot & 1^{g_v} \\ & & & & & & & & & \vdots & & & & \vdots \\ \hline & & & & & & & & & 1^{n-1g_1} & \cdot & \cdot & \cdot & 1^{n-1g_v} \\ \hline \cdot & \cdot & \cdot & uv^f_1 & vw^f_1 & wu^f_1 & \cdot & \cdot & \cdot & m_{11} & \cdot & \cdot & \cdot & m_{1v} \\ & & & \vdots & \vdots & \vdots & & & & \vdots & & & & \vdots \\ \cdot & \cdot & \cdot & uv^f_v & vw^f_v & wu^f_v & \cdot & \cdot & \cdot & m_{v1} & \cdot & \cdot & \cdot & m_{vv} \end{array} \right]$$

satisfying the properties

- (1)  $m_{ij}(t_1, \dots, t_n) = m_{ji}(t_1^{-1}, \dots, t_n^{-1})$ ,
- (2)  $|m_{ij}(1, \dots, 1)| = \delta_{ij}$ ,
- (3)  $i^{g_k}(t_1, \dots, t_n) = \sum_{1 \leq j \leq n} i_j^f(t_1^{-1}, \dots, t_n^{-1})(1 - t_i^{-1})(1 - t_j^{-1})$

with  $i_j^f = -j_i^f$ .

Appendix. The following is a list of errata of Alexander polynomials in [12] within sight. For convenience of display, Alexander polynomials have been abbreviated as in [12].

$$\begin{array}{ll} 9_{2,9}^2 & \begin{bmatrix} 1 & -2 & 3 & -3 & 2 & 0 \\ 0 & 2 & -3 & 3 & -2 & 1 \end{bmatrix} & 9_{5,7}^2 & \begin{bmatrix} 1 & -2 & 1 & -1 \\ -1 & 1 & -2 & 1 \end{bmatrix} \\ 9_{5,5}^2 & \begin{bmatrix} -1 & 2 & -2 & 1 \\ 1 & -2 & 2 & -1 \end{bmatrix} & 9_{5,9}^2 & \begin{bmatrix} 0 & 0 & 1 & -1 & 0 & 1 \\ 1 & 0 & -1 & 1 & 0 & 0 \end{bmatrix} \end{array}$$

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